

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF MANAGEMENT AUDIT AND STATE FINANCIAL SERVICES  
BUREAU OF ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report To The Legislature  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)**

**APRIL 2002**



**H. CARL McCALL  
STATE COMPTROLLER**

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF	1 MO. ENDED	MONTH OF	1 MO. ENDED	MONTH OF	1 MO. ENDED	MONTH OF	1 MO. ENDED	MONTH OF	1 MO. ENDED	MONTH OF	1 MO. ENDED
	APR. 2002	APR. 30, 2002	APR. 2002	APR. 30, 2002	APR. 2002	APR. 30, 2002	APR. 2002	APR. 30, 2002	APR. 2002	APR. 30, 2002	APR. 2001	APR. 30, 2001
<b>RECEIPTS:</b>												
Personal Income Tax	\$4,688.2	\$4,688.2	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$4,688.2	\$4,688.2	\$8,157.7	\$8,157.7
Consumption/Use Taxes and Fees (5)(8)	593.2	593.2	58.1	58.1	161.2	161.2	53.5	53.5	866.0	866.0	817.7	817.7
Business Taxes	57.0	57.0	44.3	44.3	--	--	41.9	41.9	143.2	143.2	163.5	163.5
Other Taxes	59.4	59.4	--	--	36.5	36.5	--	--	95.9	95.9	76.4	76.4
Miscellaneous Receipts (7)	77.3	77.3	529.2	529.2	54.3	54.3	15.8	15.8	676.6	676.6	758.1	758.1
Federal Grants	1.7	1.7	2,193.4	2,193.4	--	--	97.3	97.3	2,292.4	2,292.4	1,676.6	1,676.6
<b>Total Receipts</b>	<b>5,476.8</b>	<b>5,476.8</b>	<b>2,825.0</b>	<b>2,825.0</b>	<b>252.0</b>	<b>252.0</b>	<b>208.5</b>	<b>208.5</b>	<b>8,762.3</b>	<b>8,762.3</b>	<b>11,650.0</b>	<b>11,650.0</b>
<b>DISBURSEMENTS:</b>												
Local Assistance Grants:												
General Purpose	70.0	70.0	--	--	--	--	--	--	70.0	70.0	--	--
Education	233.2	233.2	326.6	326.6	--	--	0.1	0.1	559.9	559.9	264.8	264.8
Social Services (4)	800.4	800.4	1,238.8	1,238.8	--	--	--	--	2,039.2	2,039.2	2,015.9	2,015.9
Health and Environment	55.2	55.2	143.1	143.1	--	--	0.3	0.3	198.6	198.6	116.4	116.4
Mental Hygiene	149.1	149.1	23.1	23.1	--	--	0.9	0.9	173.1	173.1	81.3	81.3
Transportation	0.1	0.1	102.7	102.7	--	--	13.0	13.0	115.8	115.8	93.7	93.7
Criminal Justice	6.8	6.8	14.5	14.5	--	--	--	--	21.3	21.3	16.6	16.6
Miscellaneous	13.9	13.9	251.0	251.0	--	--	10.0	10.0	274.9	274.9	64.8	64.8
Total Local Assistance Grants	1,328.7	1,328.7	2,099.8	2,099.8	--	--	24.3	24.3	3,452.8	3,452.8	2,653.5	2,653.5
Departmental Operations:												
Personal Service	617.8	617.8	171.6	171.6	--	--	--	--	789.4	789.4	754.7	754.7
Non-Personal Service	178.3	178.3	213.7	213.7	0.1	0.1	--	--	392.1	392.1	356.8	356.8
General State Charges	350.6	350.6	30.8	30.8	--	--	--	--	381.4	381.4	334.0	334.0
Debt Service, Including Payments on												
Financing Agreements (2)	--	--	--	--	242.4	242.4	--	--	242.4	242.4	242.8	242.8
Capital Projects (3)	--	--	0.1	0.1	--	--	259.6	259.6	259.7	259.7	264.3	264.3
<b>Total Disbursements</b>	<b>2,475.4</b>	<b>2,475.4</b>	<b>2,516.0</b>	<b>2,516.0</b>	<b>242.5</b>	<b>242.5</b>	<b>283.9</b>	<b>283.9</b>	<b>5,517.8</b>	<b>5,517.8</b>	<b>4,606.1</b>	<b>4,606.1</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>3,001.4</b>	<b>3,001.4</b>	<b>309.0</b>	<b>309.0</b>	<b>9.5</b>	<b>9.5</b>	<b>(75.4)</b>	<b>(75.4)</b>	<b>3,244.5</b>	<b>3,244.5</b>	<b>7,043.9</b>	<b>7,043.9</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (1)(8)	186.6	186.6	282.8	282.8	326.9	326.9	51.0	51.0	847.3	847.3	631.4	631.4
Transfers to Other Funds (1)(8)	(418.0)	(418.0)	(94.1)	(94.1)	(279.1)	(279.1)	(56.1)	(56.1)	(847.3)	(847.3)	(631.4)	(631.4)
<b>Total Other Financing Sources (Uses)</b>	<b>(231.4)</b>	<b>(231.4)</b>	<b>188.7</b>	<b>188.7</b>	<b>47.8</b>	<b>47.8</b>	<b>(5.1)</b>	<b>(5.1)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,770.0</b>	<b>2,770.0</b>	<b>497.7</b>	<b>497.7</b>	<b>57.3</b>	<b>57.3</b>	<b>(80.5)</b>	<b>(80.5)</b>	<b>3,244.5</b>	<b>3,244.5</b>	<b>7,043.9</b>	<b>7,043.9</b>
<b>Beginning Fund Balances (Deficit) (6)</b>	<b>1,031.8</b>	<b>1,031.8</b>	<b>1,046.9</b>	<b>1,046.9</b>	<b>169.0</b>	<b>169.0</b>	<b>(267.4)</b>	<b>(267.4)</b>	<b>1,980.3</b>	<b>1,980.3</b>	<b>3,641.3</b>	<b>3,641.3</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$3,801.8</b>	<b>\$3,801.8</b>	<b>\$1,544.6</b>	<b>\$1,544.6</b>	<b>\$226.3</b>	<b>\$226.3</b>	<b>(\$347.9)</b>	<b>(\$347.9)</b>	<b>\$5,224.8</b>	<b>\$5,224.8</b>	<b>\$10,685.2</b>	<b>\$10,685.2</b>

**GOVERNMENTAL FUNDS FOOTNOTES**

**Exhibit A Notes  
APRIL 2002**

1. Operating transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant transfers include the General Fund transfers "To" the State Capital Projects Fund (\$52.0m), the General Debt Service Fund (\$170.4m) and the Court Facilities Incentive Aid Fund (\$41.1m).

Also included in General Fund transfers are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$3.7m) and Special Revenue Funds (\$23.7m).

In Special Revenue Funds, Transfers "To" Other Funds includes transfers to Debt Service Funds (\$82.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$11.2m) from the SUNY Income Fund State University Hospital Income Reimbursable Account.

In Debt Service Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Local Government Assistance Tax Fund (\$147.3m) (See footnote #9), the Clean Water/Clean Air Fund (\$29.9m), the Emergency Highway Reconditioning and Preservation Fund (\$4.2m) and the Emergency Highway Construction and Reconstruction Fund (\$4.2m).

Also included in Debt Service Fund transfers are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$7.9m), Mental Hygiene (\$80.6m) and the State University (\$5.8m).

In Capital Projects Funds, Transfers "To" Other Funds includes transfers to the General Debt Service Fund (\$56.1m).

2. Total debt service disbursements include:
- |  |                 |
|--|-----------------|
| - Principal and interest on general obligation bonds | \$229.9 million |
| - Lease-purchase/contractual obligation payments     | 4,149.3         |

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government.

The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

State bond and note proceeds	\$22.8 million
Urban Development Corporation (Youth Facilities)	18.4
Urban Development Corporation (Correctional Facilities)	165.0
Housing Finance Agency (HFA)	127.5
Dormitory Authority (MCFFA)	191.4
Dormitory Authority (Health Facilities)	21.4
Dormitory Authority and State University Income Fund	40.0
Federal Capital Projects	87.1

4. Special Revenue Federal Funds disbursements include the following payments made by the State which will be reimbursed by the Federal Government in May 2002:

Federal USDA/Food and Consumer Services	\$3.6 million
Federal DHHS (Medicaid)	40.4
Federal DHHS (All Other)	29.6
Federal DHHS/Block Grant	1.5
Federal Education	7.5
Federal Miscellaneous Operating Grants	15.2
Federal DOL Grants	--

5. General Fund receipts do not include \$45.9 million of cigarette tax receipts which were collected by the State and credited to the Tobacco Control and Insurance Initiatives Pool.
6. The April 1, 2001 cash balance for the Agency Funds (-) and General Fund (+) have been adjusted to reflect the reclassification of the Fringe Benefit Escrow Fund.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

7. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	1 Month Ended April 30	
					2002	2001
	(amounts in millions)					
<b>Abandoned and Unclaimed Property</b>	\$ 1.5	\$ --	\$ --	\$ --	\$ 1.5	\$ 10.0
<b>Interest Earnings</b>	14.7	3.0	0.1	0.7	18.5	116.8
<b>Receipts from Public Authorities:</b>						
Bond Issuance Fees	--	1.1	--	--	1.1	0.9
Cost Recovery assessments	--	0.3	--	--	0.3	0.2
Bond Proceeds to reimburse capital spending	--	--	--	7.8	7.8	11.5
Thruway Authority	2.0	1.0	--	--	3.0	2.0
SUNY Construction Fund	--	1.8	--	--	1.8	1.8
All Other	--	0.6	--	0.1	0.7	0.5
<b>Refunds and Reimbursements:</b>						
SUNY Contracts and Grants	--	26.6	--	--	26.6	22.5
Receipts from Municipalities	--	2.6	0.1	--	2.7	0.1
Women, Infants and Children Rebates	--	16.2	--	--	16.2	17.1
HESC Student Loan Recoveries	--	6.0	--	--	6.0	5.0
Admin Recoveries - Collection of Local Taxes	--	0.9	--	--	0.9	--
Indirect Cost Assessments	3.2	--	--	--	3.2	2.8
All Other	2.2	6.9	4.2	4.4	17.7	10.2
<b>Health Care Reform Act Transfers From:</b>						
Health Care Initiatives Pool	--	--	--	--	--	--
Tobacco Control & Insurance Initiatives Pool	--	2.0	--	--	2.0	11.4
<b>Revenues of State Departments:</b>						
Patient/Client Care	18.2	63.4	22.4	--	104.0	111.9
Medical Care Provider Assessments	4.0	146.7	--	--	150.7	112.9
Assessments against regulated industries	--	42.5	--	--	42.5	82.2
Student Tuition and Fees	--	33.8	23.2	--	57.0	42.9
EPIC Premiums and Fees	--	9.4	--	--	9.4	4.6
Rentals and Leases	0.1	0.4	--	1.0	1.5	1.1
Miscellaneous sales	0.2	1.4	--	--	1.6	15.5
All Other	1.7	(28.9)	4.3	0.9	(22.0)	(42.9)
<b>Lottery Receipts:</b>						
Education	--	108.4	--	--	108.4	100.4
Administration	--	30.6	--	--	30.6	26.1
<b>Licenses and Fees</b>	20.8	43.5	--	0.8	65.1	77.2
<b>Fines</b>	8.7	9.0	--	0.1	17.8	13.4
<b>TOTAL</b>	\$ <u>77.3</u>	\$ <u>529.2</u>	\$ <u>54.3</u>	\$ <u>15.8</u>	\$ <u>676.6</u>	\$ <u>758.1</u>

GOVERNMENTAL FUNDS FOOTNOTES (continued)

Exhibit A Notes  
April 2002  
(continued)

8. An amount equal to one-cent of the State's four-cent Sales Tax, less refunds to taxpayers, is to be deposited in the Local Government Assistance Tax Fund (LGATF). The monies of such Fund are reserved for payment of principal and interest on bonds and notes issued by the New York Local Government Assistance Corporation.

Pursuant to Section 92r(5) of the State Finance Law, monies in the LGATF in excess of debt service requirements and administrative expenses of the New York Local Government Assistance Corporation are required to be transferred to the General Fund.

Following is a summary of the transactions in the LGATF during Fiscal Years 2002-03 and 2001-02 (amounts in millions):

**FY 2002-03**

	2002			July	August	September	October	November	December	2003			FISCAL YEAR TOTALS
	April	May	June							January	February	March	
Sales Tax Receipts	\$152.832												\$152.832
Interest Income	0.005												0.005
Total Receipts	<u>152.837</u>												<u>152.837</u>
Contractual Services	--												0.000
Debt Service	0.320												0.320
Transfer to General Fund	147.266												147.266
Total Disbursements	<u>\$147.586</u>												<u>\$147.586</u>

**FY 2001-02**

	2001			July	August	September	October	November	December	2002			FISCAL YEAR TOTALS
	April	May	June							January	February	March	
Sales Tax Receipts	\$146.249	\$151.129	\$206.532	\$168.900	\$152.842	\$157.599	\$181.303	\$158.683	\$206.089	\$178.700	\$129.092	\$206.556	\$2,043.674
Interest Income	0.015	0.016	0.019	0.014	0.018	0.315	0.008	0.011	0.008	0.006	0.006	0.186	0.623
Total Receipts	<u>146.264</u>	<u>151.145</u>	<u>206.551</u>	<u>168.914</u>	<u>152.860</u>	<u>157.914</u>	<u>181.311</u>	<u>158.694</u>	<u>206.097</u>	<u>178.706</u>	<u>129.098</u>	<u>206.742</u>	<u>2,044.297</u>
Contractual Services	--	--	1.000	--	--	1.000	--	--	1.000	--	--	1.000	4.000
Debt Service	1.830	2.570	2.010	1.040	2.180	91.616	0.510	1.58	0.17	1.010	0.650	184.959	290.125
Transfer to General Fund	139.390	147.575	204.704	167.223	47.873	168.593	180.639	156.439	205.927	178.022	33.457	\$120.330	1,750.172
Total Disbursements	<u>\$141.220</u>	<u>\$150.145</u>	<u>\$207.714</u>	<u>\$168.263</u>	<u>\$50.053</u>	<u>\$261.209</u>	<u>\$181.149</u>	<u>\$158.019</u>	<u>\$207.097</u>	<u>\$179.032</u>	<u>\$34.107</u>	<u>\$306.289</u>	<u>\$2,044.297</u>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF  
 CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN EQUITY  
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF APR. 2002	1 MO. ENDED APR. 30, 2002	MONTH OF APR. 2002	1 MO. ENDED APR. 30, 2002	MONTH OF APR. 2002	1 MO. ENDED APR. 30, 2002	MONTH OF APR. 2001	1 MO. ENDED APR. 30, 2001
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$6.3	\$6.3	\$33.2	\$33.2	\$39.5	\$39.5	\$27.3	\$27.3
<b>TOTAL RECEIPTS</b>	<u>6.3</u>	<u>6.3</u>	<u>33.2</u>	<u>33.2</u>	<u>39.5</u>	<u>39.5</u>	<u>27.3</u>	<u>27.3</u>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	0.7	0.7	9.5	9.5	10.2	10.2	10.0	10.0
Non-Personal Service	4.3	4.3	26.2	26.2	30.5	30.5	21.4	21.4
General State Charges	0.1	0.1	1.6	1.6	1.7	1.7	0.1	0.1
Debt Service	--	--	--	--	--	--	--	--
<b>TOTAL DISBURSEMENTS</b>	<u>5.1</u>	<u>5.1</u>	<u>37.3</u>	<u>37.3</u>	<u>42.4</u>	<u>42.4</u>	<u>31.5</u>	<u>31.5</u>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<u>1.2</u>	<u>1.2</u>	<u>(4.1)</u>	<u>(4.1)</u>	<u>(2.9)</u>	<u>(2.9)</u>	<u>(4.2)</u>	<u>(4.2)</u>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
<b>NET SOURCES (USES)</b>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.2	1.2	(4.1)	(4.1)	(2.9)	(2.9)	(4.2)	(4.2)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<u>19.0</u>	<u>19.0</u>	<u>(101.5)</u>	<u>(101.5)</u>	<u>(82.5)</u>	<u>(82.5)</u>	<u>(65.3)</u>	<u>(65.3)</u>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<u>\$20.2</u>	<u>\$20.2</u>	<u>(\$105.6)</u>	<u>(\$105.6)</u>	<u>(\$85.4)</u>	<u>(\$85.4)</u>	<u>(\$69.5)</u>	<u>(\$69.5)</u>

**STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF  
CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
(in millions)**

**EXHIBIT C**

	<b>EXPENDABLE TRUST</b>		<b>NONEXPENDABLE TRUST</b>		<b>TOTAL TRUST FUNDS (memorandum only)</b>			
	<b>MONTH OF APRIL 2002</b>	<b>1 MO. ENDED APRIL 30, 2002</b>	<b>MONTH OF APRIL 2002</b>	<b>1 MO. ENDED APRIL 30, 2002</b>	<b>MONTH OF APRIL 2002</b>	<b>1 MO. ENDED APRIL 30, 2002</b>	<b>MONTH OF APRIL 2001</b>	<b>1 MO. ENDED APRIL 30, 2001</b>
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$1.2	\$1.2	\$3.9	\$3.9	\$5.1	\$5.1	\$4.4	\$4.4
Federal Grants	171.5	171.5	0.2	0.2	171.7	171.7	1.7	1.7
Unemployment Taxes	269.3	269.3	--	--	269.3	269.3	163.4	163.4
<b>TOTAL RECEIPTS</b>	<b>442.0</b>	<b>442.0</b>	<b>4.1</b>	<b>4.1</b>	<b>446.1</b>	<b>446.1</b>	<b>169.5</b>	<b>169.5</b>
<b>DISBURSEMENTS:</b>								
Local Assistance Grants:								
Mental Hygiene	--	--	0.1	0.1	0.1	0.1	--	--
Miscellaneous	--	--	0.3	0.3	0.3	0.3	--	--
Departmental Operations:								
Personal Service	0.3	0.3	--	--	0.3	0.3	0.5	0.5
Non-Personal Service	1.5	1.5	1.1	1.1	2.6	2.6	4.5	4.5
General State Charges	0.3	0.3	--	--	0.3	0.3	0.5	0.5
Unemployment Benefits	474.8	474.8	--	--	474.8	474.8	194.5	194.5
Capital Projects	1.0	1.0	--	--	1.0	1.0	0.1	0.1
<b>TOTAL DISBURSEMENTS</b>	<b>477.9</b>	<b>477.9</b>	<b>1.5</b>	<b>1.5</b>	<b>479.4</b>	<b>479.4</b>	<b>200.1</b>	<b>200.1</b>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>(35.9)</b>	<b>(35.9)</b>	<b>2.6</b>	<b>2.6</b>	<b>(33.3)</b>	<b>(33.3)</b>	<b>(30.6)</b>	<b>(30.6)</b>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(35.9)	(35.9)	2.6	2.6	(33.3)	(33.3)	(30.6)	(30.6)
<b>BEGINNING FUND BALANCES</b>	<b>46.4</b>	<b>46.4</b>	<b>23.8</b>	<b>23.8</b>	<b>70.2</b>	<b>70.2</b>	<b>62.8</b>	<b>62.8</b>
<b>ENDING FUND BALANCES</b>	<b>\$10.5</b>	<b>\$10.5</b>	<b>\$26.4</b>	<b>\$26.4</b>	<b>\$36.9</b>	<b>\$36.9</b>	<b>\$32.2</b>	<b>\$32.2</b>

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2003  
FOR THE ONE (1) MONTH ENDED APRIL 30, 2002  
(amounts in millions)**

**EXHIBIT D**

**The General Fund "Financial Plan Cashflow" is not available; therefore no Plan-to-Actual comparison can be made for the period ended April 30, 2002.**



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)**

**EXHIBIT E**

	<b>GENERAL</b>				<b>SPECIAL REVENUE</b>			
	<u>MONTH OF APR 2002</u>	<u>1 MO. ENDED APR 30, 2002</u>	<u>MONTH OF APR 2001</u>	<u>1 MO. ENDED APR 30, 2001</u>	<u>MONTH OF APR 2002</u>	<u>1 MO. ENDED APR 30, 2002</u>	<u>MONTH OF APR 2001</u>	<u>1 MO. ENDED APR 30, 2001</u>
<b>PERSONAL INCOME TAX</b>								
Withholding	\$ 1,605.3	\$ 1,605.3	\$ 1,534.2	\$ 1,534.2	\$ --	\$ --	\$ --	\$ --
Estimated payments	1,414.5	1,414.5	2,200.7	2,200.7	--	--	--	--
Final returns	1,020.3	1,020.3	1,520.1	1,520.1	--	--	--	--
Other	65.1	65.1	60.1	60.1	--	--	--	--
Gross Receipts	<u>4,105.2</u>	<u>4,105.2</u>	<u>5,315.1</u>	<u>5,315.1</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
STAR Program	--	--	--	--	--	--	--	--
Debt Reduction Reserve	--	--	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	1,677.4	1,677.4	3,517.4	3,517.4	--	--	--	--
Less: Refunds Issued	<u>(1,094.4)</u>	<u>(1,094.4)</u>	<u>(674.8)</u>	<u>(674.8)</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Total	<u>4,688.2</u>	<u>4,688.2</u>	<u>8,157.7</u>	<u>8,157.7</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
<b>CONSUMPTION / USE TAXES AND FEES</b>								
Sales and Use	465.2	465.2	441.3	441.3	49.5	49.5	52.7	52.7
Auto Rental	1.8	1.8	2.2	2.2	--	--	--	--
Hotel / Motel	--	--	--	--	--	--	--	--
Motor Vehicle	43.4	43.4	38.4	38.4	3.8	3.8	--	--
Cigarette/Tobacco Products	62.5	62.5	48.2	48.2	--	--	--	--
Motor Fuel	--	--	--	--	4.8	4.8	5.2	5.2
Alcoholic Beverage	17.2	17.2	15.1	15.1	--	--	--	--
Beverage Container	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	--	--
Alcoholic Beverage Control Licenses	3.1	3.1	3.2	3.2	--	--	--	--
Total	<u>593.2</u>	<u>593.2</u>	<u>548.4</u>	<u>548.4</u>	<u>58.1</u>	<u>58.1</u>	<u>57.9</u>	<u>57.9</u>
<b>BUSINESS TAXES</b>								
Corporation Franchise	22.0	22.0	58.3	58.3	10.2	10.2	8.9	8.9
Corporation and Utilities	2.4	2.4	(10.7)	(10.7)	2.7	2.7	4.8	4.8
Insurance	18.6	18.6	16.1	16.1	(0.9)	(0.9)	0.8	0.8
Bank	14.0	14.0	9.5	9.5	(1.6)	(1.6)	2.0	2.0
Petroleum Business	--	--	--	--	33.9	33.9	32.6	32.6
Lubricating Oil	--	--	--	--	--	--	--	--
Total	<u>57.0</u>	<u>57.0</u>	<u>73.2</u>	<u>73.2</u>	<u>44.3</u>	<u>44.3</u>	<u>49.1</u>	<u>49.1</u>
<b>OTHER TAXES</b>								
Real Property Gains	0.5	0.5	1.6	1.6	--	--	--	--
Estate and Gift	57.4	57.4	55.3	55.3	--	--	--	--
Pari-Mutuel	1.5	1.5	1.6	1.6	--	--	--	--
Real Estate Transfer	--	--	--	--	--	--	--	--
Racing and Exhibitions	--	--	0.1	0.1	--	--	--	--
Total	<u>59.4</u>	<u>59.4</u>	<u>58.6</u>	<u>58.6</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
<b>TOTAL TAX RECEIPTS</b>	<u>\$ 5,397.8</u>	<u>\$ 5,397.8</u>	<u>\$ 8,837.9</u>	<u>\$ 8,837.9</u>	<u>\$ 102.4</u>	<u>\$ 102.4</u>	<u>\$ 107.0</u>	<u>\$ 107.0</u>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)

EXHIBIT E  
(page 2)

	DEBT SERVICE				CAPITAL PROJECTS			
	MONTH OF APR 2002	1 MO. ENDED APR 30, 2002	MONTH OF APR 2001	1 MO. ENDED APR 30, 2001	MONTH OF APR 2002	1 MO. ENDED APR 30, 2002	MONTH OF APR 2001	1 MO. ENDED APR 30, 2001
<b>PERSONAL INCOME TAX</b>								
Withholding	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
Estimated payments	--	--	--	--	--	--	--	--
Final returns	--	--	--	--	--	--	--	--
Other	--	--	--	--	--	--	--	--
Gross Receipts	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Debt Reduction Reserve	--	--	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	--	--	--	--	--	--	--
Less: Refunds Issued	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Total	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
<b>CONSUMPTION / USE TAXES AND FEES</b>								
Sales and Use	152.8	152.8	146.2	146.2	--	--	--	--
Auto Rental	--	--	--	--	--	--	--	--
Hotel / Motel	--	--	--	--	--	--	--	--
Motor Vehicle	--	--	--	--	16.3	16.3	13.0	13.0
Cigarette/Tobacco Products	--	--	--	--	--	--	--	--
Motor Fuel	8.4	8.4	9.3	9.3	25.1	25.1	28.1	28.1
Alcoholic Beverage	--	--	--	--	--	--	--	--
Beverage Container	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	12.1	12.1	14.8	14.8
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--
Total	<u>161.2</u>	<u>161.2</u>	<u>155.5</u>	<u>155.5</u>	<u>53.5</u>	<u>53.5</u>	<u>55.9</u>	<u>55.9</u>
<b>BUSINESS TAXES</b>								
Corporation Franchise	--	--	--	--	--	--	--	--
Corporation and Utilities	--	--	--	--	--	--	--	--
Insurance	--	--	--	--	--	--	--	--
Bank	--	--	--	--	--	--	--	--
Petroleum Business	--	--	--	--	41.9	41.9	41.2	41.2
Lubricating Oil	--	--	--	--	--	--	--	--
Total	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>41.9</u>	<u>41.9</u>	<u>41.2</u>	<u>41.2</u>
<b>OTHER TAXES</b>								
Real Property Gains	--	--	--	--	--	--	--	--
Estate and Gift	--	--	--	--	--	--	--	--
Pari-Mutuel	--	--	--	--	--	--	--	--
Real Estate Transfer	36.5	36.5	17.8	17.8	--	--	--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--
Total	<u>36.5</u>	<u>36.5</u>	<u>17.8</u>	<u>17.8</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
<b>TOTAL TAX RECEIPTS</b>	<u>\$ 197.7</u>	<u>\$ 197.7</u>	<u>\$ 173.3</u>	<u>\$ 173.3</u>	<u>\$ 95.4</u>	<u>\$ 95.4</u>	<u>\$ 97.1</u>	<u>\$ 97.1</u>

STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT "F"

	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003			1 Month Ended Apr. 30		
										JANUARY	FEBRUARY	MARCH	2002	2001	
<b>OPENING CASH BALANCE</b> (1)	\$1,031.8													\$1,031.8	\$1,019.7
<b>RECEIPTS:</b>															
Personal Income Tax	4,688.2													4,688.2	8,157.7
Consumption/Use Taxes and Fees (2)(3)	593.2													593.2	548.4
Business Taxes	57.0													57.0	73.2
Other Taxes	59.4													59.4	58.6
Miscellaneous Receipts	77.3													77.3	159.8
Federal Grants	1.7													1.7	--
Total Receipts	5,476.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	5,476.8	8,997.7
<b>DISBURSEMENTS:</b>															
Local Assistance Grants:															
General Purpose	70.0													70.0	--
Education	233.2													233.2	161.5
Social Services	800.4													800.4	798.0
Health and Environment	55.2													55.2	20.5
Mental Hygiene	149.1													149.1	72.7
Transportation	0.1													0.1	1.4
Criminal Justice	6.8													6.8	6.3
Miscellaneous	13.9													13.9	25.6
Total Local Assistance Grants	1,328.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,328.7	1,086.0
Departmental Operations:															
Personal Service	617.8													617.8	672.8
Non-Personal Service (1)	178.3													178.3	251.1
General State Charges (1)	350.6													350.6	304.1
Debt Service, Including Payments on Financing Agreements	--													--	--
Total Disbursements	2,475.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,475.4	2,314.0
Excess (Deficiency) of Receipts over Disbursements	3,001.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3,001.4	6,683.7
<b>OTHER FINANCING SOURCES (USES):</b>															
Transfers from Other Funds (3)	186.6													186.6	153.0
Transfers to State Capital Projects	(52.0)													(52.0)	(45.3)
Transfers to General Debt Service	(170.4)													(170.4)	(178.4)
Transfers to All Other State Funds	(195.6)													(195.6)	(72.6)
Total Other Financing Sources (Uses)	(231.4)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(231.4)	(143.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,770.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,770.0	6,546.1
<b>CLOSING CASH BALANCE</b>	<u>\$3,801.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$3,801.8</u>	<u>\$7,565.8</u>

(1) The April 2001 beginning cash balance and activity in Non-Personal Services and General State Charges reflects the reclassification of the Employee Fringe Benefits Escrow Fund to the General Fund Group.

(2) Reported amount does not include cigarette taxes which, pursuant to statute, are credited to the Tobacco Control and Insurance Initiatives Pool (see Exhibit A - Note #5)

(3) Reported amount does not include sales taxes credited to the Local Government Assistance Tax Fund (see Exhibit A- Note #8).

STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT " F "  
(page 2)

1 Month Ended Apr. 30

	2002									2003			2002	2001
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
<b>PERSONAL INCOME TAX</b>														
Withholdings	\$1,605.3												\$1,605.3	\$1,534.2
Estimated payments	1,414.5												1,414.5	2,200.7
Final returns	1,020.3												1,020.3	1,520.1
Other	65.1												65.1	60.1
Gross Receipts	4,105.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	4,105.2	5,315.1
STAR Program	--												--	--
Refund reserve reduction (increase)	1,677.4												1,677.4	3,517.4
Refunds issued	(1,094.4)												(1,094.4)	(674.8)
Total Personal Income Tax	4,688.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	4,688.2	8,157.7
<b>CONSUMPTION/USE TAXES AND FEES</b>														
Sales and Use	465.2												465.2	441.3
Auto Rental	1.8												1.8	2.2
Hotel / Motel	--												--	--
Motor Vehicle	43.4												43.4	38.4
Cigarette/Tobacco Products	62.5												62.5	48.2
Motor Fuel	--												--	--
Alcoholic Beverage	17.2												17.2	15.1
Beverage Container	--												--	--
Highway Use	--												--	--
Alcoholic Beverage Control Licenses	3.1												3.1	3.2
Total Consumption/Use Taxes and Fees	593.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	593.2	548.4
<b>BUSINESS TAXES</b>														
Corporation Franchise	22.0												22.0	58.3
Corporation and Utilities	2.4												2.4	(10.7)
Insurance	18.6												18.6	16.1
Bank	14.0												14.0	9.5
Petroleum Business	--												--	--
Lubricating Oil	--												--	--
Total Business Taxes	57.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	57.0	73.2
<b>OTHER TAXES</b>														
Real Property Gains	0.5												0.5	1.6
Estate and Gift	57.4												57.4	55.3
Pari-Mutuel	1.5												1.5	1.6
Real Estate Transfer	--												--	--
Racing and Exhibitions	--												--	0.1
Total Other Taxes	59.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	59.4	58.6
<b>TOTAL TAX RECEIPTS</b>	<b>\$5,397.8</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$5,397.8</b>	<b>\$8,837.9</b>

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT "G"**

													1 Month Ended Apr. 30	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE</b>	\$1,046.9												\$1,046.9	\$2,198.7
<b>RECEIPTS:</b>														
Personal Income Tax	--												--	--
Consumption/Use Taxes and Fees	58.1												58.1	57.9
Business Taxes	44.3												44.3	49.1
Other Taxes	--												--	--
Miscellaneous Receipts	529.2												529.2	509.7
Federal Grants	2,193.4												2,193.4	1,585.5
<b>Total Receipts</b>	<b>2,825.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>2,825.0</b>	<b>2,202.2</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Education	326.6												326.6	102.4
Social Services	1,238.8												1,238.8	1,217.7
Health and Environment	143.1												143.1	95.7
Mental Hygiene	23.1												23.1	6.0
Transportation	102.7												102.7	90.1
Criminal Justice	14.5												14.5	10.3
Miscellaneous	251.0												251.0	28.0
<b>Total Local Assistance Grants</b>	<b>2,099.8</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>2,099.8</b>	<b>1,550.2</b>
Departmental Operations:														
Personal Service	171.6												171.6	81.9
Non-Personal Service	213.7												213.7	105.6
General State Charges	30.8												30.8	29.9
Capital Projects	0.1												0.1	0.2
<b>Total Disbursements</b>	<b>2,516.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>2,516.0</b>	<b>1,767.8</b>
Excess (Deficiency) of Receipts over Disbursements	309.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	309.0	434.4
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	282.8												282.8	125.4
Transfers to Other Funds	(94.1)												(94.1)	(70.0)
<b>Total Other Financing Sources (Uses)</b>	<b>188.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>188.7</b>	<b>55.4</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	497.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	497.7	489.8
<b>CLOSING CASH BALANCE</b>	<b>\$1,544.6</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$1,544.6</b>	<b>\$2,688.5</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT "G"  
(page 2)

													<b>1 Month Ended Apr. 30</b>	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>PERSONAL INCOME TAX</b>	\$ --												\$ --	\$ --
Total Personal Income Tax	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
<b>CONSUMPTION/USE TAXES AND FEES</b>														
Sales and Use	49.5												49.5	52.7
Auto Rental	--												--	--
Hotel / Motel	--												--	--
Motor Vehicle	3.8												3.8	--
Cigarette/Tobacco Products	--												--	--
Motor Fuel	4.8												4.8	5.2
Alcoholic Beverage	--												--	--
Beverage Container	--												--	--
Highway Use	--												--	--
Alcoholic Beverage Control Licenses	--												--	--
Total Consumption/Use Taxes and Fees	58.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	58.1	57.9
<b>BUSINESS TAXES</b>														
Corporation Franchise	10.2												10.2	8.9
Corporation and Utilities	2.7												2.7	4.8
Insurance	(0.9)												(0.9)	0.8
Bank	(1.6)												(1.6)	2.0
Petroleum Business	33.9												33.9	32.6
Lubricating Oil	--												--	--
Total Business Taxes	44.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	44.3	49.1
<b>OTHER TAXES</b>														
Real Property Gains	--												--	--
Estate and Gift	--												--	--
Pari-Mutuel	--												--	--
Real Estate Transfer	--												--	--
Racing and Exhibitions	--												--	--
Total Other Taxes	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
<b>TOTAL TAX RECEIPTS</b>	<b>\$102.4</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$102.4</b>	<b>\$107.0</b>

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2001-2002  
(in millions)**

**EXHIBIT "H"**

													<b>1 Month Ended Apr. 30</b>	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE</b>	\$169.0												\$169.0	\$421.8
<b>RECEIPTS:</b>														
Personal Income Tax	--												--	--
Consumption/Use Taxes and Fees (1)														
Sales and Use	152.8												152.8	146.2
Motor Fuel	8.4												8.4	9.3
Other Taxes	36.5												36.5	17.8
Miscellaneous Receipts	54.3												54.3	69.1
Total Receipts	<u>252.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>252.0</u>	<u>242.4</u>
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Non-Personal Service	0.1												0.1	0.1
Debt Service, including payments on financing agreements	242.4												242.4	242.8
Total Disbursements	<u>242.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>242.5</u>	<u>242.9</u>
Excess (Deficiency) of Receipts over Disbursements	<u>9.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>9.5</u>	<u>(0.5)</u>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	326.9												326.9	302.4
Transfers to Other Funds (1)	(279.1)												(279.1)	(216.6)
Total Other Financing Sources (Uses)	<u>47.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>47.8</u>	<u>85.8</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>57.3</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>57.3</u>	<u>85.3</u>
<b>CLOSING CASH BALANCE</b>	<u>\$226.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$226.3</u>	<u>\$507.1</u>

(1) Reported amount does not include sales taxes credited to the Local Government Assistance Tax Fund (see Exhibit A- Note # 8).

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT "I"**

													<b>1 Month Ended April 30</b>	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003			2002	2001
										JANUARY	FEBRUARY	MARCH		
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$267.4)												(\$267.4)	(\$88.9)
<b>RECEIPTS:</b>														
Consumption/Use Taxes and Fees														
Motor Vehicle	16.3												16.3	13.0
Motor Fuel	25.1												25.1	28.1
Highway Use	12.1												12.1	14.8
Business Taxes														
Petroleum Business	41.9												41.9	41.2
Other Taxes	--												--	--
Miscellaneous Receipts	15.8												15.8	19.5
Federal Grants	97.3												97.3	91.1
<b>Total Receipts</b>	<b>208.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>208.5</b>	<b>207.7</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Education	0.1												0.1	0.9
Social Services	--												--	0.2
Health and Environment	0.3												0.3	0.2
Mental Hygiene	0.9												0.9	2.6
Transportation	13.0												13.0	2.2
Miscellaneous	10.0												10.0	11.2
<b>Total Local Assistance Grants</b>	<b>24.3</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>24.3</b>	<b>17.3</b>
Departmental Operations:														
Personal Service	--												--	--
Non-Personal Service	--												--	--
General State Charges	--												--	--
Capital Projects	259.6												259.6	264.1
<b>Total Disbursements</b>	<b>283.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>283.9</b>	<b>281.4</b>
Excess (Deficiency) of Receipts over Disbursements	(75.4)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(75.4)	(73.7)
<b>OTHER FINANCING SOURCES (USES):</b>														
Bond Proceeds (net)	--												--	--
Transfers from Other Funds	51.0												51.0	50.6
Transfers to Other Funds	(56.1)												(56.1)	(48.5)
<b>Total Other Financing Sources (Uses)</b>	<b>(5.1)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(5.1)</b>	<b>2.1</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(80.5)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(80.5)	(71.6)
<b>CLOSING CASH BALANCE (DEFICITS)</b>	<b>(\$347.9)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>(\$347.9)</b>	<b>(\$160.5)</b>



**STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT J**

													1 Month Ended Apr. 30	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE (DEFICITS)</b>	\$19.0												\$19.0	\$16.8
<b>RECEIPTS:</b>														
Miscellaneous Receipts	6.3												6.3	6.4
Total Receipts	6.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	6.3	6.4
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	0.7												0.7	0.7
Non-Personal Service	4.3												4.3	4.5
General State Charges	0.1												0.1	0.1
Total Disbursements	5.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	5.1	5.3
Excess (Deficiency) of Receipts over Disbursements	1.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.2	1.1
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--												--	--
Transfers to Other Funds	--												--	--
Total Other Financing Sources (Uses)	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.2	1.1
<b>CLOSING CASH BALANCE</b>	<b>\$20.2</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$20.2</b>	<b>\$17.9</b>

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT K**

													1 Month Ended Apr. 30	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$101.5)												(\$101.5)	(\$82.1)
<b>RECEIPTS:</b>														
Miscellaneous Receipts	33.2												33.2	20.9
Total Receipts	33.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	33.2	20.9
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	9.5												9.5	9.3
Non-Personal Service	26.2												26.2	16.9
General State Charges	1.6												1.6	--
Debt Service, Including Payments on Financing Agreements	--												--	--
Total Disbursements	37.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	37.3	26.2
Excess (Deficiency) of Receipts over Disbursements	(4.1)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(4.1)	(5.3)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--												--	--
Transfers to Other Funds	--												--	--
Total Other Financing Sources (Uses)	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.1)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(4.1)	(5.3)
<b>CLOSING CASH BALANCE (DEFICITS)</b>	(\$105.6)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$105.6)	(\$87.4)

STATE OF NEW YORK  
EXPENDABLE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)

EXHIBIT L

	1 Month Ended Apr. 30												2002	2001
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH		
<b>OPENING CASH BALANCE</b>	\$46.4												\$46.4	\$36.1
<b>RECEIPTS:</b>														
Miscellaneous Receipts	1.2												1.2	1.2
Federal Grants	171.5												171.5	1.7
Unemployment Taxes	269.3												269.3	163.4
Total Receipts	442.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	442.0	166.3
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Miscellaneous	--												--	--
Departmental Operations:														
Personal Service	0.3												0.3	0.5
Non-Personal Service	1.5												1.5	1.7
General State Charges	0.3												0.3	0.5
Unemployment Benefits	474.8												474.8	194.5
Capital Projects	1.0												1.0	0.1
Total Disbursements	477.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	477.9	197.3
Excess (Deficiency) of Receipts over Disbursements	(35.9)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(35.9)	(31.0)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--												--	--
Transfers to Other Funds	--												--	--
Total Other Financing Sources (Uses)	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(35.9)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(35.9)	(31.0)
<b>CLOSING CASH BALANCE</b>	\$10.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$10.5	\$5.1

**STATE OF NEW YORK  
NONEXPENDABLE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2002-2003  
(in millions)**

**EXHIBIT M**

													<u>1 Month Ended Apr. 30</u>	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
<b>OPENING CASH BALANCE</b>	\$23.8												\$23.8	\$26.7
<b>RECEIPTS:</b>														
Miscellaneous Receipts	3.9												3.9	3.2
Federal Grants	0.2												0.2	--
Total Receipts	<u>4.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4.1</u>	<u>3.2</u>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Mental Hygiene	0.1												0.1	--
Miscellaneous	0.3												0.3	--
Departmental Operations:														
Personal Service	--												--	--
Non-Personal Service	1.1												1.1	2.8
Total Disbursements	<u>1.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1.5</u>	<u>2.8</u>
Excess (Deficiency) of Receipts over Disbursements	<u>2.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2.6</u>	<u>0.4</u>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--												--	--
Transfers to Other Funds	--												--	--
Total Other Financing Sources (Uses)	<u>--</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>2.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2.6</u>	<u>0.4</u>
<b>CLOSING CASH BALANCE</b>	<u>\$26.4</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$26.4</u>	<u>\$27.1</u>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF APRIL 2002  
(amounts in millions)

SCHEDULE 1

	BALANCE 4/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 4/30/02
<b>GENERAL FUND</b>					
001-Local Assistance	\$ --	\$ 0.067	\$ 2,828.836	\$ 2,828.769	\$ --
003-State Operations	--	5,476.734	(425.360)	(2,350.247)	3,551.847
004-Tax Stabilization Reserve	709.935	--	--	(709.935)	--
005-Contingency Reserve	157.243	--	70.000	--	87.243
006-Universal Pre-K	4.963	--	0.320	--	4.643
007-Community Projects	159.692	--	2.587	--	157.105
166-Fringe Benefits Escrow	0.006	-	(0.999)	--	1.005
<b>TOTAL GENERAL FUND</b>	<b>1,031.839</b>	<b>5,476.801</b>	<b>2,475.384</b>	<b>(231.413)</b>	<b>3,801.843</b>
<b>SPECIAL REVENUE FUNDS-GENERAL</b>					
023-New York Interest on Lawyer Account	6.202	1.055	0.087	--	7.170
050-Tuition Reimbursement	1.090	0.176	0.213	--	1.053
052-Local Government Records Management Improvement	7.255	1.515	0.714	(0.049)	8.007
053-School Tax Relief	--	--	--	--	--
054-Charter Schools Stimulus	0.643	0.001	0.065	--	0.579
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.016	--	--	--	0.016
061-HCRA Transfer	29.524	2.072	12.384	--	19.212
062-Tobacco Transfer	0.600	--	--	--	0.600
068-Indigent Care	76.807	46.386	23.132	--	100.061
073-Dedicated Mass Transportation Trust	62.798	33.305	39.991	--	56.112
160-State Lottery	63.219	139.040	11.384	--	190.875
300-Sewage Treatment Program Mgmt. & Administration	1.942	--	0.393	--	1.549
301-EnCon Special Revenue	25.323	2.993	3.322	--	24.994
302-Conservation	16.271	0.952	3.949	2.300	15.574
303-Environmental Protection and Oil Spill Compensation	3.365	4.425	2.282	--	5.508
305-Training and Education Program on OSHA	12.600	2.112	2.504	--	12.208
306-Lawyers' Fund for Client Protection	3.750	1.057	0.034	--	4.773
312-Hazardous Waste Remedial	2.500	1.114	3.089	(0.844)	(0.319)
313-Mass Transportation Operating Assistance	161.578	71.868	60.331	2.616	175.731
314-Clean Air	8.887	0.117	2.643	--	6.361
318-New York State Infrastructure Trust	0.054	--	--	--	0.054
321-Legislative Computer Services	6.250	0.190	--	--	6.440
328-Biodiversity Stewardship and Research	--	--	--	--	--
337-Rural Housing Assistance	--	--	--	--	--
339-Miscellaneous State Special Revenue	643.197	187.175	195.262	190.695	825.805
340-Court Facilities Incentive Aid	0.009	0.027	0.783	41.121	40.374
341-Employment Training	0.026	--	--	--	0.026
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	50.645	117.346	139.299	44.409	73.101
346-Substance Abuse Service	3.025	0.328	0.251	--	3.102
349-Lake George Park Trust	0.373	0.001	0.093	--	0.281
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	11.855	0.499	0.163	--	12.191

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF APRIL 2002  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 4/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 4/30/02
<b><u>SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)</u></b>					
355-New York Great Lakes Protection	\$ 4.206	\$ 0.039	\$ 0.070	\$ --	\$ 4.175
359-Federal Revenue Maximization	0.015	0.008	--	--	0.023
362-NYS/DOT Highway Safety Program	0.372	0.022	0.150	--	0.244
365-Vocational Rehabilitation	1.212	0.370	--	--	1.582
366-Drinking Water Program Management and Administration	0.086	--	0.297	--	(0.211)
368-NYC County Clerks' Operations Offset	(0.397)	--	3.932	7.555	3.226
369-Judiciary Data Processing Offset	(9.528)	--	0.887	11.253	0.838
377-IFR / CUTRA	16.320	1.562	2.963	--	14.919
379-Racing Preservation	0.085	--	--	--	0.085
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.030	0.019	--	--	0.049
482-Unemployment Insurance Interest and Penalty	1.123	0.348	0.482	--	0.989
<b>TOTAL SPECIAL REVENUE FUNDS-GENERAL</b>	<b>1,213.328</b>	<b>616.122</b>	<b>511.149</b>	<b>299.056</b>	<b>1,617.357</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
261-Federal USDA / Food and Consumer Services	(0.539)	94.891	97.926	--	(3.574)
265-Federal Health and Human Services	(134.411)	1,467.683	1,295.300	(108.013)	(70.041)
267-Federal Education	(3.872)	288.833	290.064	(2.350)	(7.453)
269-Federal DHHS Block Grant	(0.001)	22.331	23.824	--	(1.494)
290-Federal Miscellaneous Operating Grants	(53.598)	277.347	238.960	(0.008)	(15.219)
480-Unemployment Insurance Administration	22.816	28.216	26.604	--	24.428
484-Unemployment Insurance Occupational Training	0.890	0.459	0.655	--	0.694
486-DOL Federal Grants	2.262	29.183	31.499	--	(0.054)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>(166.453)</b>	<b>2,208.943</b>	<b>2,004.832</b>	<b>(110.371)</b>	<b>(72.713)</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>1,046.875</b>	<b>2,825.065</b>	<b>2,515.981</b>	<b>188.685</b>	<b>1,544.644</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	53.278	21.811	--	4.070	79.159 (1)
311-General Obligation Debt Service	--	--	238.414	238.414	--
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	0.394	3.786	3.392	--
319-Department of Health Income	27.867	8.690	--	(6.723)	29.834 (1)
320-Emergency Highway Reconditioning & Preservation	--	4.186	--	(4.186)	-- (1)
330-State University Dormitory Income	87.824	23.380	--	(4.810)	106.394 (1)
336-Emergency Highway Construction & Reconstruction	--	4.186	--	(4.186)	-- (1)
361-Clean Water/Clean Air	--	36.526	--	(30.861)	5.665 (1)
364-Local Government Assistance Tax	--	152.837	0.320	(147.265)	5.252 (1)
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>\$ 168.969</b>	<b>\$ 252.010</b>	<b>\$ 242.520</b>	<b>\$ 47.845</b>	<b>\$ 226.304</b>

(1) Ending cash balance represents statutory impoundment for scheduled debt service payments.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF APRIL 2002  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 4/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 4/30/02
<b>CAPITAL PROJECTS FUNDS</b>					
002-State Capital Projects	\$ --	\$ 2.383	\$ 54.337	\$ 51.954	\$ --
071-Health Facilities Capital Improvement	--	--	--	--	--
072-Dedicated Highway and Bridge Trust	(63.926)	97.093	80.458	(56.106)	(103.397)
074-SUNY Residence Halls Rehabilitation and Repair	88.914	0.135	2.082	(0.960)	86.007
075-New York State Canal System Development	1.433	0.138	--	--	1.571
076-Parks Infrastructure	(5.650)	0.002	2.867	--	(8.515)
077-Passenger Facility Charge	0.214	0.001	--	--	0.215
078-Environmental Protection	320.284	1.326	9.679	--	311.931
079-Clean Water/Clean Air Implementation	(5.596)	--	0.015	--	(5.611)
080-Hudson River Park	0.072	--	--	--	0.072
101-Energy Conservation Thru Improved Transportation Bond	0.436	--	--	--	0.436
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	0.159	--	--	--	0.159
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	4.590	--	--	--	4.590
115-Environmental Quality Protection Bond	5.717	--	--	--	5.717
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
123-Transportation Infrastructure Renewal Bond	17.690	--	--	--	17.690
124-1986 Environmental Quality Bond Act	29.823	--	--	--	29.823
126-Accelerated Capacity and Transportation Improvement Bond	10.650	--	--	--	10.650
127-Clean Water/Clean Air Bond	22.737	--	--	--	22.737
291-Federal Capital Projects	(113.785)	97.632	70.906	--	(87.059)
310-Forest Preserve Expansion	0.259	--	--	--	0.259
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	0.555	0.001	--	--	0.556
327-Suburban Transportation	20.325	--	--	--	20.325
357-Division for Youth Facilities Improvement	(18.357)	0.727	0.814	--	(18.444)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	--	--	--	--	--
376-Housing Program	(121.469)	--	5.986	--	(127.455)
378-Natural Resource Damage	8.336	0.013	0.007	--	8.342
380-DOT Engineering Services	(146.610)	--	42.978	--	(189.588)
384-State University Capital Projects	7.730	0.449	0.517	--	7.662
387-Miscellaneous Capital Projects	21.068	0.192	0.193	--	21.067
388-CUNY Capital Projects	(1.282)	--	--	--	(1.282)
389-Mental Hygiene Facilities Capital Improvement	(197.148)	8.390	2.688	--	(191.446)
399-Correction Facilities Capital Improvement	(154.570)	--	10.385	--	(164.955)
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<u>(267.399)</u>	<u>208.482</u>	<u>283.912</u>	<u>(5.112)</u>	<u>(347.941)</u>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<u>\$ 1,980.284</u>	<u>\$ 8,762.358</u>	<u>\$ 5,517.797</u>	<u>\$ 0.005</u>	<u>\$ 5,224.850</u>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF APRIL 2002  
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 4/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 4/30/02</u>
<b><u>ENTERPRISE FUNDS</u></b>					
324-Youth Commissary	\$ 0.295	\$ 0.013	\$ 0.015	\$ --	\$ 0.293
325-State Exposition Special	0.505	0.904	0.124	--	1.285
326-Correctional Services Commissary	1.345	2.901	2.660	--	1.586
329-Correctional Services Family Benefit	6.978	1.831	0.682	--	8.127
331-Agency Enterprise	1.719	0.153	0.859	--	1.013
351-Mental Health Sheltered Workshop	2.917	0.220	0.179	--	2.958
352-Mental Retardation Sheltered Workshop	0.658	0.020	0.058	--	0.620
353-Mental Hygiene Community Stores	2.484	0.168	0.177	--	2.475
450-Industrial Exhibit Authority	2.076	0.064	0.286	--	1.854
<b>TOTAL ENTERPRISE FUNDS</b>	<u>18.977</u>	<u>6.274</u>	<u>5.040</u>	<u>--</u>	<u>20.211</u>
<b><u>INTERNAL SERVICE FUNDS</u></b>					
323-O.G.S. Centralized Services	(17.106)	16.313	18.671	--	(19.464)
334-Agency Internal Service	(78.861)	11.890	12.263	--	(79.234)
343-Mental Hygiene Revolving	0.770	0.158	0.132	--	0.796
347-Youth Vocational Education	0.003	--	--	--	0.003
394-Joint Labor/Management Administration	0.266	0.174	0.133	--	0.307
395-Audit and Control Revolving	(1.171)	--	0.118	--	(1.289)
396-Health Insurance Revolving	(18.901)	0.066	1.505	--	(20.340)
397-Correctional Industries Revolving	13.494	4.552	4.376	--	13.670
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<u>(101.506)</u>	<u>33.153</u>	<u>37.198</u>	<u>--</u>	<u>(105.551)</u>
<b>TOTAL PROPRIETARY FUNDS</b>	\$ <u>(82.529)</u>	\$ <u>39.427</u>	\$ <u>42.238</u>	\$ <u>--</u>	\$ <u>(85.340)</u>



SCHEDULE 3

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FOR THE MONTH OF APRIL 2002  
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 4/01/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 4/30/02</u>
<b><u>EXPENDABLE TRUST FUNDS</u></b>					
019-Mental Health Gifts and Donations	\$ 1.873	\$ 0.016	\$ 0.035	\$ --	\$ 1.854
020-Combined Expendable Trust	26.385	1.163	2.987	--	24.561
021-Agriculture Producer's Security	4.081	0.006	0.014	--	4.073
022-Milk Producers Security	5.646	0.072	0.015	--	5.703
024-Archives Partnership Trust	0.466	--	0.063	(0.004)	0.399
333-Winter Sports Education Trust	1.207	0.001	--	--	1.208
481-Unemployment Insurance Benefit	6.711	440.756	474.810	--	(27.343)
<b>TOTAL EXPENDABLE TRUST FUNDS</b>	<u>46.369</u>	<u>442.014</u>	<u>477.924</u>	<u>(0.004)</u>	<u>10.455</u>
<b><u>NONEXPENDABLE TRUST FUNDS</u></b>					
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
221-Combined Student Loan	7.018	3.982	1.111	--	9.889
307-Equipment Loan for the Disabled	0.248	0.013	--	--	0.261
332-Combined Non-Expendable Trust	3.825	0.099	0.071	--	3.853
335-Musical Instrument Revolving	0.001	--	--	--	0.001
338-Arts Capital Revolving	0.514	0.001	--	--	0.515
360-Housing Development	12.180	0.019	0.293	--	11.906
<b>TOTAL NONEXPENDABLE TRUST FUNDS</b>	<u>\$ 23.786</u>	<u>\$ 4.114</u>	<u>\$ 1.475</u>	<u>\$ --</u>	<u>\$ 26.425</u>

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FOR THE MONTH OF APRIL 2002  
 (amounts in millions)

SCHEDULE 3  
 (continued)

<u>FUND TYPE</u>	<u>FUND BALANCE 4/01/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 4/30/02</u>
<b><u>AGENCY FUNDS</u></b>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	\$ --	\$ --	\$ --	\$ --	\$ --
130-School Capital Facilities Financing Reserve	43.977	1.397	--	--	45.374
152-Employees Health Insurance	89.499	276.097	275.049	--	90.547
153-Social Security Contribution	18.905	80.369	68.604	--	30.670
154-Employee Payroll Withholding Escrow	22.637	308.429	277.630	--	53.436
162-Employees Dental Insurance	3.810	11.498	4.132	--	11.176
163-Management Confidential Group Insurance	3.751	0.372	0.388	--	3.735
165-Lottery Prize	121.598	42.311	62.393	(2.081)	99.435
167-Health Insurance Reserve Receipts	4.600	0.019	--	--	4.619
169-Miscellaneous New York State Agency	456.742	156.822	80.067	--	533.497
175-Elderly Pharmaceutical Insurance Coverage Escrow	21.593	37.489	38.150	--	20.932
176-City University Senior College Operating	12.867	94.500	83.789	--	23.578
179-Medicaid Management Information System Escrow	342.209	2,383.686	2,549.488	--	176.407
309-Special Education	--	--	--	--	--
344-State University Collection	106.186	(27.208)	--	--	78.978
382-SUNY Federal Direct Lending Program	0.211	(0.311)	--	--	(0.100)
<b>TOTAL AGENCY FUNDS</b>	<u>1,248.585</u>	<u>3,365.470</u>	<u>3,439.690</u>	<u>(2.081)</u>	<u>1,172.284</u>
<b>TOTAL FIDUCIARY FUNDS</b>	<u>\$ 1,318.740</u>	<u>\$ 3,811.598</u>	<u>\$ 3,919.089</u>	<u>\$ (2.085)</u>	<u>\$ 1,209.164</u>

STATE OF NEW YORK  
 SOLE CUSTODY AND INVESTMENT ACCOUNTS  
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
 FOR THE MONTH OF ARRIL 2002  
 (amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 4/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 4/30/02</u>
<b><u>ACCOUNTS</u></b>				
060-Tobacco Settlement	\$ 0.374	\$ 707.094	\$ 348.527	\$ 358.941
149-Sole Custody Investment	3,030.221	2,199.655	3,946.785	1,283.091
650-Comptroller's Refund	--	97.575	97.575	--
750-NYS Thruway Authority Operating	<u>3.109</u>	<u>23.872</u>	<u>25.652</u>	<u>1.329</u>
<b>TOTAL ACCOUNTS</b>	<b>\$ <u>3,033.704</u></b>	<b>\$ <u>3,028.196</u></b>	<b>\$ <u>4,418.539</u></b>	<b>\$ <u>1,643.361</u></b>

**STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2003**

PURPOSE	DEBT OUTSTANDING APR. 1, 2002	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING APR. 30, 2002	INTEREST DISBURSED	
		MONTH OF APRIL	1 MONTH ENDED APR. 30, 2002	MONTH OF APRIL	1 MONTH ENDED APR. 30, 2002		MONTH OF APRIL	1 MONTH ENDED APR. 30, 2002
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$ 1,304,285,262.09	\$ --	\$ --	\$ --	\$ --	\$ 1,304,285,262.09	\$ 3,347,114.04	\$ 3,347,114.04
Clean Water/Clean Air:								
Air Quality	138,723,327.21	--	--	--	--	138,723,327.21	69,405.75	69,405.75
Safe Drinking Water	228,817,482.95	--	--	--	--	228,817,482.95	70,540.20	70,540.20
Water	276,400,686.44	--	--	--	--	276,400,686.44	500,612.00	500,612.00
Solid Waste	96,166,804.31	--	--	--	--	96,166,804.31	56,326.74	56,326.74
Environmental Restoration	17,518,242.13	--	--	--	--	17,518,242.13	12,018.25	12,018.25
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	74,597,947.52	--	--	--	--	74,597,947.52	49,595.58	49,595.58
Environmental Quality Protection (1972):								
Air	34,292,298.25	--	--	--	--	34,292,298.25	94,319.10	94,319.10
Land	93,036,402.64	--	--	--	--	93,036,402.64	190,970.30	190,970.30
Wet Lands	1,000.00	--	--	--	--	1,000.00	--	--
Water	248,821,529.23	--	--	--	--	248,821,529.23	901,372.65	901,372.65
Environmental Quality (1986):								
Land and Forests	141,459,633.87	--	--	--	--	141,459,633.87	1,091,125.06	1,091,125.06
Solid Waste Management	681,822,787.03	--	--	--	--	681,822,787.03	452,438.00	452,438.00
Higher Education Construction	6,380,000.00	--	--	--	--	6,380,000.00	27,000.00	27,000.00
Housing								
Low Cost	152,385,863.00	--	--	2,725,000.00	2,725,000.00	149,660,863.00	1,061,075.00	1,061,075.00
Middle Income	75,482,000.00	--	--	--	--	75,482,000.00	--	--
Urban Renewal	862,383.18	--	--	--	--	862,383.18	205.32	205.32
Outdoor Recreation Development	838,234.48	--	--	--	--	838,234.48	20,638.51	20,638.51
Park and Recreation Land Acquisition	219,163.58	--	--	--	--	219,163.58	--	--
Pure Waters	205,278,758.72	--	--	--	--	205,278,758.72	813,770.54	813,770.54
Rail Preservation Development	63,982,328.96	--	--	--	--	63,982,328.96	213,466.91	213,466.91
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	11,970,833.58	--	--	--	--	11,970,833.58	16,231.09	16,231.09
Ports, Canals, and Waterways	4,739,546.83	--	--	--	--	4,739,546.83	52,260.33	52,260.33
Rapid Transit, Rail, and Aviation	68,224,275.27	--	--	--	--	68,224,275.27	241,013.79	241,013.79
Transportation Capital Facilities:								
Aviation	72,101,722.78	--	--	--	--	72,101,722.78	204,169.81	204,169.81
Mass Transportation	143,808,485.23	--	--	--	--	143,808,485.23	335,983.42	335,983.42
<b>Total General Obligation Bonded Debt</b>	<b>\$ 4,142,216,999.28</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ 2,725,000.00</b>	<b>\$ 2,725,000.00</b>	<b>\$ 4,139,491,999.28</b>	<b>\$ 9,821,652.39</b>	<b>\$ 9,821,652.39</b>

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE ONE (1) MONTH ENDED APRIL 30, 2002

SCHEDULE 5a

	DEBT REDUCTION RESERVE FUND (064)	EMERGENCY HIGHWAY CONSTRUCTION AND RECONSTRUCTION (336)	EMERGENCY HIGHWAY RECONDITIONING AND PRESERVATION (320)	GENERAL DEBT SERVICE (311)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		INCREASE (DECREASE)
									1 MONTH ENDED APRIL 30		
									2002	2001	
<b>Special Contractual Financing Obligations:</b>											
City University Construction	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
Community Enhancement Facilities Program	--	--	--	--	--	--	--	--	--	--	--
County of Albany	--	--	--	--	--	--	--	--	--	--	--
Department of TransRegion 1 Schenectady	--	--	--	--	--	--	--	--	--	--	--
Dormitory Authority	--	--	--	173,109,000	--	--	--	--	173,109,000	168,677,000	4,432,000
Environmental Conservation - Broadway Albany	--	--	--	--	--	--	--	--	--	3,106	(3,106)
Environmental Conservation - 50 Wolf Rd Albany	--	--	--	--	--	--	--	--	--	--	--
Energy Research & Development Authority	--	--	--	10,400	--	--	--	--	10,400	--	10,400
Environmental Facilities Corporation	--	--	--	--	--	--	--	--	--	--	--
Hampton Plaza	--	--	--	--	--	--	--	--	--	--	--
Hanson Place	--	--	--	314,250	--	--	--	--	314,250	314,250	--
44 Holland Avenue	--	--	--	--	--	--	--	--	--	--	--
Housing Finance Agency	--	--	--	44,076	--	--	--	--	--	--	--
Local Government Assistance Corporation	--	--	--	--	--	320,000	--	--	320,000	1,830,000	(1,510,000)
Metropolitan Transportation Authority:											
Transit and Commuter Rail Projects	--	--	--	--	--	--	--	--	--	--	--
Triborough Bridge & Tunnel Authority:											
Javits Convention Center Project	--	--	--	--	--	--	--	--	--	--	--
Thruway Authority	--	--	--	56,106,000	--	--	--	--	56,106,000	57,857,197	(1,751,197)
Urban Development Corporation:											
Correctional Facilities	--	--	--	--	--	--	--	--	--	--	--
Center for Industrial Innovation at RPI	--	--	--	--	--	--	--	--	--	--	--
Syracuse University Science and Technology Center	--	--	--	--	--	--	--	--	--	--	--
Cornell Univer. Supercomputer Center	--	--	--	--	--	--	--	--	--	--	--
Columbia Univer. Telecommunications Center	--	--	--	--	--	--	--	--	--	--	--
Onondaga Convention Center	--	--	--	--	--	--	--	--	--	--	--
Clarkson University	--	--	--	--	--	--	--	--	--	--	--
Alfred University	--	--	--	--	--	--	--	--	--	--	--
New York University	--	--	--	--	--	--	--	--	--	--	--
Rochester University	--	--	--	--	--	--	--	--	--	--	--
Higher Education Youth Facilities	--	--	--	--	--	--	--	--	--	--	--
University Facilities Grant 95 Refunding	--	--	--	--	--	--	--	--	--	--	--
Economic Development Heritage Trail Project	--	--	--	--	--	--	--	--	--	--	--
Sports Facility	--	--	--	--	--	--	--	--	--	--	--
Ten Eyck Project Albany	--	--	--	--	--	--	--	--	--	--	--
Long Island and Pine Barren South Mall	--	--	--	--	--	--	--	--	--	--	--
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ 229,583,726</b>	<b>\$ --</b>	<b>\$ 320,000</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ 229,859,650</b>	<b>\$ 228,681,553</b>	<b>\$ 1,178,097</b>

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF APRIL 2002  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>APRIL 2002</u>	<u>FISCAL YEAR TO DATE</u>
<b><u>SHORT TERM INVESTMENT POOL</u></b>		
AVERAGE DAILY INVESTMENT BALANCE	\$4,760.1	\$4,760.1
AVERAGE YIELD	1.815%	1.815%
TOTAL INVESTMENT EARNINGS	\$7.137	\$7.137
DESCRIPTION	<u>PAR AMOUNT</u>	
TREASURY BILLS	\$600.0	
GOVT. AGENCY BILLS/NOTES	\$395.7	
REPURCHASE AGREEMENTS	\$118.2	
COMMERCIAL PAPER	\$4,565.1	
CERTIFICATES OF DEPOSIT	\$313.3	
	<u>\$5,992.3</u>	

SOURCE: DIVISION OF INVESTMENTS AND CASH MANAGEMENT