

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
August 2009**



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2008	5 MOS. ENDED AUG. 31, 2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax (7)	\$1,477.6	\$8,776.2	\$ --	\$695.6	\$492.6	\$3,157.3	\$ --	\$ --	\$1,970.2	\$12,629.1	\$2,053.5	\$16,652.0	(\$4,022.9)	-24.2%
Consumption/Use Taxes and Fees	618.4	3,243.8	164.2	870.9	185.1	971.2	97.0	486.5	1,064.7	5,572.4	1,152.3	5,912.3	(339.9)	-5.7%
Business Taxes	108.5	1,383.4	70.6	478.4	--	--	55.4	266.2	234.5	2,128.0	203.1	1,878.7	249.3	13.3%
Other Taxes	63.5	358.0	--	--	23.8	112.7	19.9	59.8	107.2	530.5	167.5	1,063.8	(533.3)	-50.1%
Miscellaneous Receipts (8)	192.2	679.6	1,081.0	5,681.9	66.7	295.5	346.2	1,208.1	1,686.1	7,865.1	1,168.8	6,461.2	1,403.9	21.7%
Federal Receipts (1)	15.6	45.2	3,565.0	16,529.7	--	--	143.5	681.8	3,724.1	17,256.7	2,648.3	14,305.4	2,951.3	20.6%
Total Receipts	2,475.8	14,486.2	4,880.8	24,256.5	768.2	4,536.7	662.0	2,702.4	8,786.8	45,981.8	7,393.5	46,273.4	(291.6)	-0.6%
DISBURSEMENTS:														
Local Assistance Grants: (2) (7)														
General Purpose	0.2	438.9	--	--	--	--	--	--	0.2	438.9	4.1	390.9	48.0	12.3%
Education	834.8	7,411.0	152.5	2,366.3	--	--	2.6	37.5	989.9	9,814.8	904.6	8,753.9	1,060.9	12.1%
Social Services:														
Medicaid (1)(6)	661.4	2,768.6	2,547.5	12,846.1	--	--	--	--	3,208.9	15,614.7	2,491.1	13,676.8	1,937.9	14.2%
Other Social Services	182.0	1,157.4	501.6	1,450.1	--	--	--	--	683.6	2,607.5	687.7	2,858.7	(251.2)	-8.8%
Health and Environment (6)	152.3	690.5	192.6	990.9	--	--	17.5	48.3	362.4	1,729.7	537.0	1,818.5	(88.8)	-4.9%
Mental Hygiene	32.7	122.3	110.1	520.7	--	--	6.7	23.4	149.5	666.4	101.4	690.6	(24.2)	-3.5%
Transportation	22.4	40.7	316.1	985.9	--	--	33.5	199.3	372.0	1,225.9	460.8	1,626.2	(400.3)	-24.6%
Criminal Justice	11.5	53.0	18.1	163.1	--	--	--	--	29.6	216.1	15.7	154.1	62.0	40.2%
Emergency Management & Security Services	0.9	17.5	4.1	52.9	--	--	--	--	5.0	70.4	10.9	41.4	29.0	70.0%
Miscellaneous	23.0	141.6	71.9	331.3	--	--	27.1	121.2	122.0	594.1	133.1	581.4	12.7	2.2%
Total Local Assistance Grants	1,921.2	12,841.5	3,914.5	19,707.3	--	--	87.4	429.7	5,923.1	32,978.5	5,346.4	30,592.5	2,386.0	7.8%
Departmental Operations:														
Personal Service	563.1	2,893.8	487.9	2,517.7	--	--	--	--	1,051.0	5,411.5	952.3	5,331.9	79.6	1.5%
Non-Personal Service	188.5	900.3	235.0	1,400.5	3.0	18.5	--	--	426.5	2,319.3	521.8	2,622.0	(302.7)	-11.5%
General State Charges	310.3	1,188.6	50.6	718.5	--	--	--	--	360.9	1,907.1	350.0	2,802.0	(894.9)	-31.9%
Debt Service, Including Payments on														
Financing Agreements (3)	--	--	--	--	208.7	1,256.0	--	--	208.7	1,256.0	213.3	1,260.0	(4.0)	-0.3%
Capital Projects (4)	--	--	0.7	4.4	--	--	465.0	2,247.9	465.7	2,252.3	486.6	2,144.4	107.9	5.0%
Total Disbursements	2,983.1	17,824.2	4,688.7	24,348.4	211.7	1,274.5	552.4	2,677.6	8,435.9	46,124.7	7,870.4	44,752.8	1,371.9	3.1%
Excess (Deficiency) of Receipts over Disbursements	(507.3)	(3,338.0)	192.1	(91.9)	556.5	3,262.2	109.6	24.8	350.9	(142.9)	(476.9)	1,520.6	(1,663.5)	-109.4%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (5)	373.6	4,111.3	737.9	2,719.8	363.3	2,315.6	(67.8)	169.2	1,407.0	9,315.9	1,459.0	10,841.5	(1,525.6)	-14.1%
Transfers to Other Funds (5)	(165.8)	(2,008.6)	(279.6)	(1,725.6)	(896.3)	(5,308.7)	(69.5)	(312.7)	(1,411.2)	(9,356.6)	(1,462.3)	(10,877.8)	(1,522.2)	-14.0%
Total Other Financing Sources (Uses)	207.8	2,102.7	458.3	994.2	(533.0)	(2,993.1)	(137.3)	(143.5)	(4.2)	(39.7)	(3.3)	(36.3)	(3.4)	-9.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(299.5)	(1,235.3)	650.4	902.3	23.5	269.1	(27.7)	(118.7)	346.7	(182.6)	(480.2)	1,484.3	(1,666.9)	-112.3%
Beginning Fund Balances (Deficit)	1,012.7	1,948.5	3,098.3	2,846.4	543.7	298.1	(598.2)	(507.2)	4,056.5	4,585.8	8,450.5	6,486.0	(1,900.2)	-29.3%
Ending Fund Balances (Deficit)	\$713.2	\$713.2	\$3,748.7	\$3,748.7	\$567.2	\$567.2	(\$625.9)	(\$625.9)	\$4,403.2	\$4,403.2	\$7,970.3	\$7,970.3	(\$3,567.1)	-44.8%

GOVERNMENTAL FUNDS FOOTNOTES

August 2009 - Exhibit A Notes

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in September 2009:

Federal DHHS (Medicaid)	\$26.6 million
Federal DHHS (All Other)	29.5
Federal USDA/Food and Consumer Services	2.6
Federal DHHS/Block Grant	--
Federal Education	4.2
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	3.2

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a.**

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$19.9 million
Urban Development Corporation (Youth Facilities)	1.8
Housing Finance Agency (HFA)	91.0
Dormitory Authority (Mental Hygiene)	393.3
Dormitory Authority and State University Income Fund	29.6
Federal Capital Projects	310.8
State bond and note proceeds	22.6

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" as follows:

State Capital Projects	\$90.8 million
General Debt Service	660.7
Banking Services	39.7
Court Facilities Incentive Aid	76.4
State University Income	60.4
NYC County Courts Operating	8.3
Housing Debt Fund	3.5
Alcoholic Beverage Control Account	7.5
Mass Transportation Operating Assistance	13.8

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$15.0m), the State University Income Fund (\$74.3m) and the Mental Hygiene Program Account (\$956.6m).

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds (\$1,333.9m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

Tribal State Compact Revenue Account	\$13.4 million
Workers Compensation Board	57.8
Statewide Public Safety Communications Account	40.0
Insurance Department Account	15.0
Code Enforcement Account	5.0
Revenue Arrearage Account	15.0
Youth Facility Per Diem	91.8
Banking Department	8.0
OTDA Earned Revenue Account	10.0
DMV Compulsory Account	6.0
Federal Health and Human Services Account	41.0
Training & Education Program on OSHA	7.0
Indigent Legal Services	7.2
Unemployment Insurance Interest & Penalty	5.0
Business Licencing Account	10.0

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$2,803.5 million
Local Government Assistance Tax	890.0
Clean Water/Clean Air	63.5

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$54.4m), Mental Hygiene (\$1,337.7m) and the State University (\$128.8m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Debt Service Fund (\$293.4m).

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts and accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

Account	Allocation of Month-End Balances	
	General Fund	Special Revenue-Federal
Medicaid Recoveries - Health Facilities	\$ --	\$784,285
Medicaid Recoveries - Audit	--	12,860,157
Medicaid Recoveries - Third Parties	--	8,820,394
Pharmacy Rebates	--	267,934
Medicare Catastrophic Recovery	--	--
Medicaid "Windfall" Recovery	--	--
Total	\$ --	\$22,732,770

7. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$695.6m for the month of June.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	5 Months Ended August 31		\$ Increase/ (Decrease)
					2009	2008	
	(amounts in millions)						
Abandoned Property	\$ 66.0	\$ --	\$ --	\$ --	\$ 66.0	\$ 25.0	\$ 41.0
Interest Earnings	9.3	11.9	0.5	0.5	22.2	132.9	(110.7)
Receipts from Public Authorities:							
Bond Issuance Fees	54.5	7.2	--	--	61.7	59.9	1.8
Cost Recovery Assessments	--	0.5	--	--	0.5	2.1	(1.6)
Empire State/Urban Development Corporation	--	--	--	0.7	0.7	0.2	0.5
Environmental Facilities Corporation	--	--	--	--	--	1.6	(1.6)
Hudson River Park Trust	--	--	--	12.0	12.0	3.5	8.5
Lower Manhattan Development Corporation	--	--	--	--	--	0.4	(0.4)
Power Authority	--	3.0	--	0.3	3.3	60.7	(57.4)
State of NY Mortgage Agency	8.5	--	--	--	8.5	101.0	(92.5)
Thruway Authority - Policing the Thruway	--	25.5	--	--	25.5	19.3	6.2
Bond Proceeds							
Dormitory Authority	--	14.6	--	501.0	515.6	256.0	259.6
Empire State/Urban Development Corporation	--	--	--	353.7	353.7	36.4	317.3
Environmental Facilities Corporation	--	--	--	2.1	2.1	10.2	(8.1)
Housing Finance Agency	--	--	--	73.3	73.3	71.5	1.8
Thruway Authority	--	--	--	184.1	184.1	55.0	129.1
All Other	--	0.6	--	0.2	0.8	5.7	(4.9)
Refunds and Reimbursements:							
Receipts from Municipalities	62.5	144.1	5.6	--	212.2	152.1	60.1
Women, Infants and Children Rebates	--	43.4	--	--	43.4	48.1	(4.7)
HESC Student Loan Recoveries	--	28.0	--	--	28.0	39.0	(11.0)
Administrative Recoveries	18.5	32.7	--	--	51.2	38.4	12.8
Indirect Cost Assessments	31.2	--	--	--	31.2	25.9	5.3
Reimbursements from Cornell University	9.5	--	--	--	9.5	11.3	(1.8)
Hazardous Waste and Oil Spill	--	3.9	--	8.9	12.8	10.1	2.7
Third Party Recoveries	6.8	61.6	--	--	68.4	(34.7)	103.1
All Other	42.9	12.8	0.6	2.4	58.7	27.9	30.8
Health Care Reform Act:							
Public Goods and Health Care Initiatives Pools	--	1,626.4	--	--	1,626.4	1,339.6	286.8
Public Asset Transfers	--	95.0	--	--	95.0	--	95.0
Revenues of State Departments:							
Patient/Client Care Reimbursements	--	549.3	198.9	--	748.2	692.1	56.1
Medical Care Provider Assessments	45.7	290.2	--	--	335.9	263.9	72.0
Industry Assessments	33.4	420.4	--	9.6	463.4	344.9	118.5
Student Tuition, Fees and Other SUNY Revenues	--	490.9	89.9	--	580.8	556.4	24.4
Student Tuition, Fees and Other CUNY Revenues	--	27.7	--	--	27.7	57.5	(29.8)
EPIC Fees and Rebates	--	70.7	--	--	70.7	71.3	(0.6)
Miscellaneous Sales, Rentals and Leases	4.6	11.0	--	4.3	19.9	17.1	2.8
Gifts and Unclaimed Property	0.4	11.5	--	--	11.9	10.7	1.2
All Other	(4.6)	8.6	--	0.2	4.2	23.7	(19.5)
Gaming:							
Lottery - Education	--	750.7	--	--	750.7	727.9	22.8
Lottery - Administration	--	236.9	--	--	236.9	231.0	5.9
Video Lottery Terminal - Education	--	194.7	--	--	194.7	186.8	7.9
Video Lottery Terminal - Administration	--	18.5	--	--	18.5	15.1	3.4
Casinos	--	60.9	--	--	60.9	60.1	0.8
Licenses and Fees	163.0	358.5	--	51.4	572.9	561.4	11.5
Fines	127.4	70.2	--	3.4	201.0	142.2	58.8
TOTAL	<u>\$ 679.6</u>	<u>\$ 5,681.9</u>	<u>\$ 295.5</u>	<u>\$ 1,208.1</u>	<u>\$ 7,865.1</u>	<u>\$ 6,461.2</u>	<u>\$ 1,403.9</u>

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2008	5 MOS. ENDED AUG. 31, 2008
RECEIPTS:								
Miscellaneous Receipts	\$5.3	\$25.1	\$33.2	\$175.7	\$38.5	\$200.8	\$48.6	\$219.3
Federal Receipts (*)(**)	558.8	2,131.9	--	--	558.8	2,131.9	115.8	162.9
Unemployment Taxes	218.9	1,780.1	--	--	218.9	1,780.1	200.7	1,044.1 (***)
TOTAL RECEIPTS	783.0	3,937.1	33.2	175.7	816.2	4,112.8	365.1	1,426.3
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.3	2.0	8.8	49.2	9.1	51.2	9.0	54.0
Non-Personal Service	5.6	20.8	36.9	186.2	42.5	207.0	47.0	199.9
General State Charges	--	0.6	2.4	13.6	2.4	14.2	6.4	19.1
Unemployment Benefits (**)	885.5	3,945.0	--	--	885.5	3,945.0	312.4	1,173.0 (***)
TOTAL DISBURSEMENTS	891.4	3,968.4	48.1	249.0	939.5	4,217.4	374.8	1,446.0
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	(108.4)	(31.3)	(14.9)	(73.3)	(123.3)	(104.6)	(9.7)	(19.7)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	4.2	39.7	4.2	39.7	3.3	36.3
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	--	--	4.2	39.7	4.2	39.7	3.3	36.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(108.4)	(31.3)	(10.7)	(33.6)	(119.1)	(64.9)	(6.4)	16.6
BEGINNING FUND EQUITY (DEFICITS)	22.0	(55.1)	4.5	27.4	26.5	(27.7)	4.8	(18.2)
ENDING FUND EQUITY (DEFICITS)	(\$86.4)	(\$86.4)	(\$6.2)	(\$6.2)	(\$92.6)	(\$92.6)	(\$1.6)	(\$1.6)

(*) Due to economic downturn, Governor Patterson signed the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits and resulted in the increase of the Federal Receipts \$282 million after the American Recovery and Reinvestment Act disbursements.

(**) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

(***) The increase in Unemployment Taxes and Benefits is due to an increase in unemployment claims filed because of the economic downturn and a change in accounting treatment of Federal Withholding Taxes deducted from Unemployment Benefits. To provide greater transparency and accountability, Federal Withholding Taxes deducted from Unemployment Benefits will be added to Unemployment Benefits paid and Unemployment Taxes received rather than as a reduction of Unemployment Taxes received. To implement this change, Unemployment Taxes and Benefits were increased by \$10.3 million for the month of August 2008 and \$50.8 million for the 5 months ended in August 2008 to reflect Withholding Taxes deducted for comparative purposes.

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2008	5 MOS. ENDED AUG. 31, 2008
RECEIPTS:								
Miscellaneous Receipts	\$4.4	\$38.5	\$ --	\$0.4	\$4.4	\$38.9	\$11.2	\$45.4
TOTAL RECEIPTS	<u>4.4</u>	<u>38.5</u>	<u>--</u>	<u>0.4</u>	<u>4.4</u>	<u>38.9</u>	<u>11.2</u>	<u>45.4</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	4.0	22.5	--	0.1	4.0	22.6	3.7	20.9
Non-Personal Service	0.5	8.1	--	--	0.5	8.1	1.7	14.3
General State Charges	--	7.8	--	--	--	7.8	5.7	10.2
TOTAL DISBURSEMENTS	<u>4.5</u>	<u>38.4</u>	<u>--</u>	<u>0.1</u>	<u>4.5</u>	<u>38.5</u>	<u>11.1</u>	<u>45.4</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(0.1)</u>	<u>0.1</u>	<u>--</u>	<u>0.3</u>	<u>(0.1)</u>	<u>0.4</u>	<u>0.1</u>	<u>--</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.1)	0.1	--	0.3	(0.1)	0.4	0.1	--
BEGINNING FUND EQUITY (DEFICITS)	<u>0.1</u>	<u>(0.1)</u>	<u>10.2</u>	<u>9.9</u>	<u>10.3</u>	<u>9.8</u>	<u>9.3</u>	<u>9.4</u>
ENDING FUND EQUITY (DEFICITS)	<u>\$ --</u>	<u>\$ --</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$9.4</u>	<u>\$9.4</u>

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR FIVE (5) MONTHS ENDED AUGUST 31, 2009
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes.....	\$21,141	\$20,860.0	(\$281.0)
Miscellaneous Receipts.....	8,041	7,865.1	(175.9)
Federal Receipts.....	17,269	17,256.7	(12.3)
Total Receipts.....	46,451	45,981.8	(469.2)
DISBURSEMENTS:			
Local Assistance Grants.....	32,952	32,978.5	26.5
Departmental Operations.....	7,926	7,730.8	(195.2)
General State Charges.....	2,018	1,907.1	(110.9)
Debt Service.....	1,294	1,256.0	(38.0)
Capital Projects.....	2,566	2,252.3	(313.7)
Total Disbursements.....	46,756	46,124.7	(631.3)
Excess (Deficiency) of Receipts over Disbursements.....	(305)	(142.9)	162.1
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net.....	108	--	(108.0)
Transfers from Other Funds.....	9,271	9,315.9	44.9
Transfers to Other Funds.....	(9,311)	(9,355.6)	44.6
Total Other Financing Sources (Uses).....	68.0	(39.7)	(107.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(237)	(182.6)	54.4
Fund Balances (Deficit) at April 1.....	4,585	4,585.8	0.8
Fund Balances (Deficit) at August 31.....	\$4,348	\$4,403.2	\$55.2

(*) Source: DOB, 2009-10 Financial Plan First Quarterly Update dated July 30, 2009.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR FIVE (5) MONTHS ENDED AUGUST 31, 2009
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$8,890	\$8,776.2	(\$113.8)	\$696	\$695.6	(\$0.4)
Consumption/Use.....	3,297	3,243.8	(53.2)	859	870.9	11.9
Business.....	1,441	1,383.4	(57.6)	476	478.4	2.4
Other.....	371	358.0	(13.0)	--	--	--
Miscellaneous Receipts.....	755	679.6	(75.4)	5,818	5,681.9	(136.1)
Federal Receipts.....	29	45.2	16.2	16,499	16,529.7	30.7
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	2,838	2,803.5	(34.5)	--	--	--
Sales Tax in excess of LGAC Debt Service.....	966	890.0	(76.0)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	42	63.5	21.5	--	--	--
All Other.....	413	354.3	(58.7)	2,538	2,719.8	181.8
Total Receipts.....	19,042	18,597.5	(444.5)	26,886	26,976.3	90.3
DISBURSEMENTS:						
Local Assistance Grants.....	12,859	12,841.5	(17.5)	19,785	19,707.3	(77.7)
Departmental Operations.....	3,845	3,794.1	(50.9)	4,050	3,918.2	(131.8)
General State Charges.....	1,281	1,188.6	(92.4)	737	718.5	(18.5)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	3	4.4	1.4
Transfers To:						
Debt Service.....	674	660.7	(13.3)	--	--	--
Capital Projects.....	174	90.8	(83.2)	--	--	--
State Share Medicaid.....	989	956.6	(32.4)	--	--	--
Other Purposes.....	304	300.5	(3.5)	1,691	1,725.6	34.6
Total Disbursements.....	20,126	19,832.8	(293.2)	26,266	26,074.0	(192.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	(1,084)	(1,235.3)	(151.3)	620	902.3	282.3
Fund Balances (Deficit) at April 1.....	1,948	1,948.5	0.5	2,846	2,846.4	0.4
Fund Balances (Deficit) at August 31.....	\$864	\$713.2	(\$150.8)	\$3,466	\$3,748.7	\$282.7

(*) Source: DOB, 2009-10 Financial Plan First Quarterly Update dated July 30, 2009.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2010
 FOR FIVE (5) MONTHS ENDED AUGUST 31, 2009
 (amounts in millions)

EXHIBIT D
(continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes.....	\$4,277	\$4,241.2	(\$35.8)	\$834	\$812.5	(\$21.5)
Miscellaneous Receipts.....	325	295.5	(29.5)	1,143	1,208.1	65.1
Federal Receipts.....	--	--	--	741	681.8	(59.2)
Bond and Note Proceeds, net.....	--	--	--	108	--	(108.0)
Transfers from Other Funds.....	2,230	2,315.6	85.6	244	169.2	(74.8)
Total Receipts.....	6,832	6,852.3	20.3	3,070	2,871.6	(198.4)
DISBURSEMENTS:						
Local Assistance Grants.....	--	--	--	308	429.7	121.7
Departmental Operations.....	31	18.5	(12.5)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	1,294	1,256.0	(38.0)	--	--	--
Capital Projects.....	--	--	--	2,563	2,247.9	(315.1)
Transfers to Other Funds.....	5,171	5,308.7	137.7	308	312.7	4.7
Total Disbursements.....	6,496	6,583.2	87.2	3,179	2,990.3	(188.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	336	269.1	(66.9)	(109)	(118.7)	(9.7)
Fund Balances (Deficit) at April 1.....	298	298.1	0.1	(507)	(507.2)	(0.2)
Fund Balances (Deficit) at August 31.....	\$634	\$567.2	(\$66.8)	(\$616)	(\$625.9)	(\$9.9)

(*) Source: DOB, 2009-10 Financial Plan First Quarterly Update dated July 30, 2009.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2009	5 MOS. ENDED AUG. 31, 2009	MONTH OF AUG. 2008	5 MOS. ENDED AUG. 31, 2008	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$2,007.1	\$10,415.3	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,007.1	\$10,415.3	\$2,038.0	\$10,500.6	(\$85.3)	-0.8%
Estimated payments	43.8	3,970.3	--	--	--	--	--	--	43.8	3,970.3	61.7	7,449.2	(3,478.9)	-46.7%
Final returns	24.4	1,360.5	--	--	--	--	--	--	24.4	1,360.5	26.9	2,146.3	(785.8)	-36.6%
State/City Offsets	(9.9)	316.2	--	--	--	--	--	--	(9.9)	316.2	--	(157.2)	473.4	301.1%
Other (Assessments/LLC)	57.8	410.8	--	--	--	--	--	--	57.8	410.8	45.0	333.8	77.0	23.1%
Gross Receipts	2,123.2	16,473.1	--	--	--	--	--	--	2,123.2	16,473.1	2,171.6	20,272.7	(3,799.6)	-18.7%
Transfers to School Tax Relief Fund	--	(695.6)	--	695.6	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(492.6)	(3,157.3)	--	--	492.6	3,157.3	--	--	--	--	--	--	--	--
Less: Refunds Issued	(153.0)	(3,844.0)	--	--	--	--	--	--	(153.0)	(3,844.0)	(118.1)	(3,620.7)	223.3	6.2%
Total	1,477.6	8,776.2	--	695.6	492.6	3,157.3	--	--	1,970.2	12,629.1	2,053.5	16,652.0	(4,022.9)	-24.2%
CONSUMPTION / USE TAXES AND FEES														
Sales and Use	555.6	2,925.5	50.3	310.3	185.1	971.2	--	--	791.0	4,207.0	889.0	4,658.3	(451.3)	-9.7%
Auto Rental	--	--	2.3	5.9	--	--	0.1	5.6	2.4	11.5	--	18.1	(6.6)	-36.5%
Motor Vehicle	--	--	16.9	99.0	--	--	48.9	252.1	65.8	351.1	57.4	327.1	24.0	7.3%
Cigarette/Tobacco Products	40.8	197.5	85.5	411.5	--	--	--	--	126.3	609.0	126.5	521.2	87.8	16.8%
Motor Fuel	--	--	9.2	44.2	--	--	34.9	168.3	44.1	212.5	47.3	218.1	(5.6)	-2.6%
Alcoholic Beverage	16.9	96.3	--	--	--	--	--	--	16.9	96.3	16.5	90.3	6.0	6.6%
Highway Use	--	--	--	--	--	--	13.1	60.5	13.1	60.5	12.4	61.8	(1.3)	-2.1%
Alcoholic Beverage Control Licenses	5.1	24.5	--	--	--	--	--	--	5.1	24.5	3.2	17.4	7.1	40.8%
Total	618.4	3,243.8	164.2	870.9	185.1	971.2	97.0	486.5	1,064.7	5,572.4	1,152.3	5,912.3	(339.9)	-5.7%
BUSINESS TAXES														
Corporation Franchise	62.5	527.0	18.8	107.5	--	--	--	--	81.3	634.5	68.5	757.4	(122.9)	-16.2%
Corporation and Utilities	7.3	169.4	1.0	51.6	--	--	--	3.9	8.3	224.9	3.6	176.9	48.0	27.1%
Insurance	32.0	304.7	6.9	35.5	--	--	--	--	38.9	340.2	10.0	285.5	54.7	19.2%
Bank	6.7	382.3	(0.4)	73.4	--	--	--	--	6.3	455.7	20.2	180.5	275.2	152.5%
Petroleum Business	--	--	44.3	210.4	--	--	55.4	262.3	99.7	472.7	100.8	478.4	(5.7)	-1.2%
Total	108.5	1,383.4	70.6	478.4	--	--	55.4	266.2	234.5	2,128.0	203.1	1,878.7	249.3	13.3%
OTHER TAXES														
Real Property Gains	--	(0.5)	--	--	--	--	--	--	--	(0.5)	--	0.1	(0.6)	-600.0%
Estate and Gift	61.0	349.4	--	--	--	--	--	--	61.0	349.4	78.7	681.8	(332.4)	-48.8%
Pari-Mutuel	2.5	8.9	--	--	--	--	--	--	2.5	8.9	2.8	10.3	(1.4)	-13.6%
Real Estate Transfer	--	--	--	--	23.8	112.7	19.9	59.8	43.7	172.5	85.9	371.4	(198.9)	-53.6%
Racing and Exhibitions	--	0.2	--	--	--	--	--	--	--	0.2	0.1	0.2	--	--
Total	63.5	358.0	--	--	23.8	112.7	19.9	59.8	107.2	530.5	167.5	1,063.8	(533.3)	-50.1%
TOTAL TAX RECEIPTS	\$2,268.0	\$13,761.4	\$234.8	\$2,044.9	\$701.5	\$4,241.2	\$172.3	\$812.5	\$3,376.6	\$20,860.0	\$3,576.4	\$25,506.8	(\$4,646.8)	-18.2%

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT "F"

													5 Months Ended Aug. 31			
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$1,948.5	\$2,799.2	\$36.7	\$1,027.2	\$1,012.7								\$1,948.5	\$2,754.0	(\$805.5)	-29.2%
RECEIPTS:																
Personal Income Tax	2,866.5	744.3	2,058.2	1,629.6	1,477.6								8,776.2	12,099.4	(3,323.2)	-27.5%
Consumption/Use Taxes and Fees	614.4	593.7	804.1	613.2	618.4								3,243.8	3,523.7	(279.9)	-7.9%
Business Taxes	61.0	(16.0)	1,195.3	34.6	108.5								1,383.4	1,178.5	204.9	17.4%
Other Taxes	51.0	95.6	64.9	83.0	63.5								358.0	692.4	(334.4)	-48.3%
Miscellaneous Receipts	81.4	199.7	253.5	(47.2)	192.2								679.6	781.6	(102.0)	-13.1%
Federal Receipts	5.2	24.4	--	--	15.6								45.2	16.3	28.9	177.3%
Total Receipts	3,679.5	1,641.7	4,376.0	2,313.2	2,475.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	14,486.2	18,291.9	(3,805.7)	-20.81%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	--	11.3	427.4	--	0.2								438.9	390.9	48.0	12.3%
Education	669.3	2,848.1	2,822.4	236.4	834.8								7,411.0	6,707.3	703.7	10.5%
Social Services:																
Medicaid	805.6	492.0	180.8	628.8	661.4								2,768.6	4,145.2	(1,376.6)	-33.2%
Other Social Services	83.9	218.3	143.6	529.6	182.0								1,157.4	1,470.0	(312.6)	-21.3%
Health and Environment	119.9	118.7	110.1	189.5	152.3								690.5	359.6	330.9	92.0%
Mental Hygiene	13.0	21.7	26.5	28.4	32.7								122.3	141.2	(18.9)	-13.4%
Transportation	--	13.0	5.1	0.2	22.4								40.7	62.9	(22.2)	-35.3%
Criminal Justice	11.8	13.6	7.3	8.8	11.5								53.0	51.3	1.7	3.3%
Emergency Management & Security Services	8.2	2.9	1.4	4.1	0.9								17.5	8.2	9.3	113.4%
Miscellaneous	42.1	28.3	13.0	35.2	23.0								141.6	175.4	(33.8)	-19.3%
Total Local Assistance Grants	1,753.8	3,767.9	3,737.6	1,661.0	1,921.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	12,841.5	13,512.0	(670.5)	-5.0%
Departmental Operations:																
Personal Service	747.7	460.2	515.3	607.5	563.1								2,893.8	2,863.3	30.5	1.1%
Non-Personal Service	213.0	187.5	163.3	148.0	188.5								900.3	1,001.0	(100.7)	-10.1%
General State Charges	386.6	4.1	219.6	268.0	310.3								1,188.6	1,986.1	(797.5)	-40.2%
Total Disbursements	3,101.1	4,419.7	4,635.8	2,684.5	2,983.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	17,824.2	19,362.4	(1,538.2)	-7.9%
Excess (Deficiency) of Receipts over Disbursements	578.4	(2,778.0)	(259.8)	(371.3)	(507.3)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(3,338.0)	(1,070.5)	(2,267.5)	-211.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,148.4	436.5	1,391.8	761.0	373.6								4,111.3	5,293.0	(1,181.7)	-22.3%
Transfers to State Capital Projects	(31.0)	(40.2)	(28.9)	(63.9)	73.2								(90.8)	(385.2)	(294.4)	-76.4%
Transfers to General Debt Service	(487.8)	(91.5)	(30.9)	(14.3)	(36.2)								(660.7)	(677.0)	(16.3)	-2.4%
Transfers to All Other State Funds	(357.3)	(289.3)	(81.7)	(326.0)	(202.8)								(1,257.1)	(1,517.9)	(260.8)	-17.2%
Total Other Financing Sources (Uses)	272.3	15.5	1,250.3	356.8	207.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,102.7	2,712.9	(610.2)	-22.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	850.7	(2,762.5)	990.5	(14.5)	(299.5)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(1,235.3)	1,642.4	(2,877.7)	-175.2%
CLOSING CASH BALANCE	<u>\$2,799.2</u>	<u>\$36.7</u>	<u>\$1,027.2</u>	<u>\$1,012.7</u>	<u>\$713.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$713.2</u>	<u>\$4,396.4</u>	<u>(\$3,683.2)</u>	<u>-83.8%</u>

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2009-2010
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

	5 Months Ended Aug. 31												2009	2008	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH			
PERSONAL INCOME TAX															
Withholdings	\$2,078.6	\$1,987.2	\$2,092.5	\$2,249.9	\$2,007.1									\$10,415.3	\$10,500.6
Estimated payments (*)	2,652.3	(96.6)	1,321.3	49.5	43.8									3,970.3	7,449.2
Final returns	1,234.9	40.6	30.7	29.9	24.4									1,360.5	2,146.3
State/City Offsets	(25.0)	117.7	240.3	(6.9)	(9.9)									316.2	(157.2)
Other (Assessments/LLC)	129.9	68.3	85.8	69.0	57.8									410.8	333.8
Gross Receipts	<u>6,070.7</u>	<u>2,117.2</u>	<u>3,770.6</u>	<u>2,391.4</u>	<u>2,123.2</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>16,473.1</u>	<u>20,272.7</u>
Transfers to School Tax Relief Fund	--	--	(695.6)	--	--									(695.6)	(389.6)
Transfers to Revenue Bond Tax Fund	(955.5)	(248.1)	(917.9)	(543.2)	(492.6)									(3,157.3)	(4,163.0)
Refunds issued	<u>(2,248.7)</u>	<u>(1,124.8)</u>	<u>(98.9)</u>	<u>(218.6)</u>	<u>(153.0)</u>									<u>(3,844.0)</u>	<u>(3,620.7)</u>
Total Personal Income Tax	<u>2,866.5</u>	<u>744.3</u>	<u>2,058.2</u>	<u>1,629.6</u>	<u>1,477.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>8,776.2</u>	<u>12,099.4</u>
CONSUMPTION/USE TAXES AND FEES															
Sales and Use	551.3	535.0	740.1	543.5	555.6									2,925.5	3,230.2
Auto Rental	--	--	--	--	--									--	--
Motor Vehicle	--	--	--	--	--									--	--
Cigarette/Tobacco Products	40.8	36.7	39.9	39.3	40.8									197.5	185.8
Motor Fuel	--	--	--	--	--									--	--
Alcoholic Beverage	16.5	17.8	19.4	25.7	16.9									96.3	90.3
Highway Use	--	--	--	--	--									--	--
Alcoholic Beverage Control Licenses	5.8	4.2	4.7	4.7	5.1									24.5	17.4
Total Consumption/Use Taxes and Fees	<u>614.4</u>	<u>593.7</u>	<u>804.1</u>	<u>613.2</u>	<u>618.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>3,243.8</u>	<u>3,523.7</u>
BUSINESS TAXES															
Corporation Franchise	(0.1)	(31.9)	472.7	23.8	62.5									527.0	634.5
Corporation and Utilities	28.5	2.3	130.9	0.4	7.3									169.4	135.5
Insurance	1.7	7.8	264.0	(0.8)	32.0									304.7	259.1
Bank	30.9	5.8	327.7	11.2	6.7									382.3	149.4
Petroleum Business	--	--	--	--	--									--	--
Total Business Taxes	<u>61.0</u>	<u>(16.0)</u>	<u>1,195.3</u>	<u>34.6</u>	<u>108.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,383.4</u>	<u>1,178.5</u>
OTHER TAXES															
Real Property Gains	--	--	--	(0.5)	--									(0.5)	0.1
Estate and Gift	50.1	93.8	62.8	81.7	61.0									349.4	681.8
Pari-Mutuel	0.8	1.8	2.1	1.7	2.5									8.9	10.3
Real Estate Transfer	--	--	--	--	--									--	--
Racing and Exhibitions	0.1	--	--	0.1	--									0.2	0.2
Total Other Taxes	<u>51.0</u>	<u>95.6</u>	<u>64.9</u>	<u>83.0</u>	<u>63.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>358.0</u>	<u>692.4</u>
TOTAL TAX RECEIPTS	<u>\$3,592.9</u>	<u>\$1,417.6</u>	<u>\$4,122.5</u>	<u>\$2,360.4</u>	<u>\$2,268.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$13,761.4</u>	<u>\$17,494.0</u>

(*) The Department of Taxation and Finance has implemented a new Empire Processing System which allows for more timely distribution adjustments between NYS, NYC and Yonkers. The negative collections are due to adjustments processed in April 2009. Prior to the onset of this new system these adjustments were not processed until receipt of the final PIT returns which occurred later in the Fiscal Year.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

													5 Months Ended Aug. 31			
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$2,846.4	\$3,139.8	\$2,753.8	\$2,691.4	\$3,098.3								\$2,846.4	\$3,878.6	(\$1,032.2)	-26.6%
RECEIPTS:																
Personal Income Tax	--	--	695.6	--	--								695.6	389.6	306.0	78.5%
Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2								870.9	826.4	44.5	5.4%
Business Taxes	56.5	42.0	244.1	65.2	70.6								478.4	431.7	46.7	10.8%
Miscellaneous Receipts	1,113.0	1,118.8	1,248.9	1,120.2	1,081.0								5,681.9	4,883.1	798.8	16.4%
Federal Receipts	2,837.6	3,219.7	3,079.3	3,828.1	3,565.0								16,529.7	13,649.5	2,880.2	21.1%
Total Receipts	4,217.2	4,532.6	5,449.2	5,176.7	4,880.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	24,256.5	20,180.3	4,076.2	20.2%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	332.3	563.5	1,065.7	252.3	152.5								2,366.3	2,040.3	326.0	16.0%
Social Services:																
Medicaid	2,424.6	2,556.9	2,536.7	2,780.4	2,547.5								12,846.1	9,531.6	3,314.5	34.8%
Other Social Services	59.3	214.8	375.7	298.7	501.6								1,450.1	1,388.7	61.4	4.4%
Health and Environment	165.3	173.4	212.0	247.6	192.6								990.9	1,357.8	(366.9)	-27.0%
Mental Hygiene	83.0	64.1	135.5	128.0	110.1								520.7	508.3	12.4	2.4%
Transportation	43.6	294.9	191.0	140.3	316.1								985.9	1,385.0	(399.1)	-28.8%
Criminal Justice	36.3	14.8	28.0	65.9	18.1								163.1	102.8	60.3	58.7%
Emergency Management & Security Services	8.2	9.5	7.9	23.2	4.1								52.9	33.2	19.7	59.3%
Miscellaneous	57.6	54.6	49.1	98.1	71.9								331.3	288.9	42.4	14.7%
Total Local Assistance Grants	3,210.2	3,946.5	4,601.6	4,034.5	3,914.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	19,707.3	16,636.6	3,070.7	18.46%
Departmental Operations:																
Personal Service	562.0	508.8	430.3	528.7	487.9								2,517.7	2,468.6	49.1	2.0%
Non-Personal Service	285.4	245.6	356.4	278.1	235.0								1,400.5	1,589.7	(189.2)	-11.9%
General State Charges	82.1	387.7	173.3	24.8	50.6								718.5	815.9	(97.4)	-11.9%
Capital Projects	0.9	0.9	0.9	1.0	0.7								4.4	3.4	1.0	29.4%
Total Disbursements	4,140.6	5,089.5	5,562.5	4,867.1	4,688.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	24,348.4	21,514.2	2,834.2	13.2%
Excess (Deficiency) of Receipts over Disbursements	76.6	(556.9)	(113.3)	309.6	192.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(91.9)	(1,333.9)	1,242.0	93.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	493.2	633.4	238.6	616.7	737.9								2,719.8	2,884.9	(165.1)	-5.7%
Transfers to Other Funds	(276.4)	(462.5)	(187.7)	(519.4)	(279.6)								(1,725.6)	(1,360.5)	365.1	26.8%
Total Other Financing Sources (Uses)	216.8	170.9	50.9	97.3	458.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	994.2	1,524.4	(530.2)	-34.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	293.4	(386.0)	(62.4)	406.9	650.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	902.3	190.5	711.8	373.6%
CLOSING CASH BALANCE	\$3,139.8	\$2,753.8	\$2,691.4	\$3,098.3	\$3,748.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$3,748.7	\$4,069.1	(\$320.4)	-7.9%

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "G"
STATE**

													5 Months Ended Aug. 31				
	2009					2010							Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH					
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$695.6	\$ --	\$ --								\$ --	\$695.6	\$389.6	\$306.0	78.5%
Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2								--	870.9	826.4	44.5	5.4%
Business Taxes	56.5	42.0	244.1	65.2	70.6								--	478.4	431.7	46.7	10.8%
Miscellaneous Receipts	1,100.8	1,084.7	1,238.6	1,109.8	1,065.0								--	5,598.9	4,789.3	809.6	16.9%
Federal Receipts	--	0.2	--	0.2	--								--	0.4	--	0.4	100.0%
Total Receipts	1,367.4	1,279.0	2,359.6	1,338.4	1,299.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	7,644.2	6,437.0	1,207.2	18.8%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	1.6	240.8	781.9	0.4	0.5								--	1,025.2	722.8	302.4	41.8%
Social Services:																	
Medicaid	373.1	516.6	631.1	357.5	289.9								--	2,168.2	1,212.2	956.0	78.9%
Other Social Services	0.7	0.3	0.2	0.2	0.3								--	1.7	6.8	(5.1)	-75.0%
Health and Environment	90.2	102.6	116.2	125.8	104.4								--	539.2	887.3	(348.1)	-39.2%
Mental Hygiene	71.4	55.0	129.9	115.0	89.5								--	460.8	450.0	10.8	2.4%
Transportation	42.5	293.1	188.5	136.7	314.7								--	975.5	1,374.9	(399.4)	-29.0%
Criminal Justice	6.2	5.2	5.7	6.3	4.7								--	28.1	28.0	0.1	0.4%
Emergency Management & Security Services	(0.1)	0.1	--	--	--								--	--	--	--	--
Miscellaneous	25.5	7.4	4.2	49.0	19.4								--	105.5	93.7	11.8	12.6%
Total Local Assistance Grants	611.1	1,221.1	1,857.7	790.9	823.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	5,304.2	4,775.7	528.5	11.1%
Departmental Operations:																	
Personal Service	490.2	459.2	371.6	483.9	443.6								--	2,248.5	2,198.1	50.4	2.3%
Non-Personal Service	232.9	197.1	303.4	225.5	190.2								--	1,149.1	1,278.3	(129.2)	-10.1%
General State Charges	70.0	349.3	165.1	24.2	42.8								--	651.4	757.7	(106.3)	-14.0%
Capital Projects	0.9	0.9	0.9	1.0	0.7								--	4.4	3.4	1.0	29.4%
Total Disbursements	1,405.1	2,227.6	2,698.7	1,525.5	1,500.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	9,357.6	9,013.2	344.4	3.8%
Excess (Deficiency) of Receipts over Disbursements	(37.7)	(948.6)	(339.1)	(187.1)	(200.9)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	(1,713.4)	(2,576.2)	862.8	33.5%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	513.8	706.7	266.5	651.9	775.8								(194.9)	2,719.8	2,884.9	(165.1)	-5.7%
Transfers to Other Funds	(20.2)	(158.0)	(127.1)	(29.4)	(15.6)								--	(350.3)	(88.9)	261.4	294.0%
Total Other Financing Sources (Uses)	493.6	548.7	139.4	622.5	760.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(194.9)	2,369.5	2,796.0	(426.5)	-15.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$455.9	(\$399.9)	(\$199.7)	\$435.4	\$559.3	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$194.9)	\$656.1	\$219.8	\$436.3	198.5%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010**

(amounts in millions)

**EXHIBIT "G"
FEDERAL**

													5 Months Ended Aug. 31						
	2009												2010		Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH							
RECEIPTS:																			
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --								\$ --	\$ --	\$ --	\$ --	--	--	
Consumption/Use Taxes and Fees	--	--	--	--	--								--	--	--	--	--	--	
Business Taxes	--	--	--	--	--								--	--	--	--	--	--	
Miscellaneous Receipts	12.2	34.1	10.3	10.4	16.0								--	83.0	93.8	(10.8)	-11.5%		
Federal Receipts	<u>2,837.6</u>	<u>3,219.5</u>	<u>3,079.3</u>	<u>3,827.9</u>	<u>3,565.0</u>								--	<u>16,529.3</u>	<u>13,649.5</u>	<u>2,879.8</u>	<u>21.1%</u>		
Total Receipts	<u>2,849.8</u>	<u>3,253.6</u>	<u>3,089.6</u>	<u>3,838.3</u>	<u>3,581.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>16,612.3</u>	<u>13,743.3</u>	<u>2,869.0</u>	<u>20.9%</u>		
DISBURSEMENTS:																			
Local Assistance Grants:																			
Education	330.7	322.7	283.8	251.9	152.0								--	1,341.1	1,317.5	23.6	1.8%		
Social Services:																			
Medicaid	2,051.5	2,040.3	1,905.6	2,422.9	2,257.6								--	10,677.9	8,319.4	2,358.5	28.3%		
Other Social Services	58.6	214.5	375.5	298.5	501.3								--	1,448.4	1,381.9	66.5	4.8%		
Health and Environment	75.1	70.8	95.8	121.8	88.2								--	451.7	470.5	(18.8)	-4.0%		
Mental Hygiene	11.6	9.1	5.6	13.0	20.6								--	59.9	58.3	1.6	2.7%		
Transportation	1.1	1.8	2.5	3.6	1.4								--	10.4	10.1	0.3	3.0%		
Criminal Justice	30.1	9.6	22.3	59.6	13.4								--	135.0	74.8	60.2	80.5%		
Emergency Management & Security Services	8.3	9.4	7.9	23.2	4.1								--	52.9	33.2	19.7	59.3%		
Miscellaneous	32.1	47.2	44.9	49.1	52.5								--	225.8	195.2	30.6	15.7%		
Total Local Assistance Grants	<u>2,599.1</u>	<u>2,725.4</u>	<u>2,743.9</u>	<u>3,243.6</u>	<u>3,091.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>14,403.1</u>	<u>11,860.9</u>	<u>2,542.2</u>	<u>21.43%</u>		
Departmental Operations:																			
Personal Service	71.8	49.6	58.7	44.8	44.3								--	269.2	270.5	(1.3)	-0.5%		
Non-Personal Service	52.5	48.5	53.0	52.6	44.8								--	251.4	311.4	(60.0)	-19.3%		
General State Charges	12.1	38.4	8.2	0.6	7.8								--	67.1	58.2	8.9	15.3%		
Capital Projects	--	--	--	--	--								--	--	--	--	--		
Total Disbursements	<u>2,735.5</u>	<u>2,861.9</u>	<u>2,863.8</u>	<u>3,341.6</u>	<u>3,188.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>14,990.8</u>	<u>12,501.0</u>	<u>2,489.8</u>	<u>19.9%</u>		
Excess (Deficiency) of Receipts over Disbursements	<u>114.3</u>	<u>391.7</u>	<u>225.8</u>	<u>496.7</u>	<u>393.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>1,621.5</u>	<u>1,242.3</u>	<u>379.2</u>	<u>30.5%</u>		
OTHER FINANCING SOURCES (USES):																			
Transfers from Other Funds	--	--	--	--	--								--	--	--	--	--	--	
Transfers to Other Funds	(276.8)	(377.8)	(88.5)	(525.2)	(301.9)								194.9	(1,375.3)	(1,271.6)	(103.7)	-8.2%		
Total Other Financing Sources (Uses)	<u>(276.8)</u>	<u>(377.8)</u>	<u>(88.5)</u>	<u>(525.2)</u>	<u>(301.9)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>194.9</u>	<u>(1,375.3)</u>	<u>(1,271.6)</u>	<u>(103.7)</u>	<u>-8.2%</u>		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(\$162.5)</u>	<u>\$13.9</u>	<u>\$137.3</u>	<u>(\$28.5)</u>	<u>\$91.1</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$194.9</u>	<u>\$246.2</u>	<u>(\$29.3)</u>	<u>\$275.5</u>	<u>940.3%</u>		

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2009-2010
(amounts in millions)

EXHIBIT "G"
TAX RECEIPTS

													5 Months Ended Aug. 31	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
PERSONAL INCOME TAX	\$ --	\$ --	\$695.6	\$ --	\$ --								\$695.6	\$389.6
Total Personal Income Tax	--	--	695.6	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	695.6	389.6
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	98.1	49.5	63.5	48.9	50.3								310.3	356.2
Auto Rental	--	--	1.2	2.4	2.3								5.9	--
Motor Vehicle	19.9	18.3	23.5	20.4	16.9								99.0	88.7
Cigarette/Tobacco Products	85.0	74.7	83.6	82.7	85.5								411.5	335.4
Motor Fuel	7.1	9.6	9.5	8.8	9.2								44.2	46.1
Alcoholic Beverage	--	--	--	--	--								--	--
Highway Use	--	--	--	--	--								--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--								--	--
Total Consumption/Use Taxes and Fees	210.1	152.1	181.3	163.2	164.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	870.9	826.4
BUSINESS TAXES														
Corporation Franchise	0.4	1.4	64.3	22.6	18.8								107.5	122.9
Corporation and Utilities	8.3	(1.2)	41.2	2.3	1.0								51.6	37.9
Insurance	0.5	(1.5)	30.1	(0.5)	6.9								35.5	26.4
Bank	9.3	(0.3)	63.6	1.2	(0.4)								73.4	31.1
Petroleum Business	38.0	43.6	44.9	39.6	44.3								210.4	213.4
Total Business Taxes	56.5	42.0	244.1	65.2	70.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	478.4	431.7
OTHER TAXES														
Real Property Gains	--	--	--	--	--								--	--
Estate and Gift	--	--	--	--	--								--	--
Pari-Mutuel	--	--	--	--	--								--	--
Real Estate Transfer	--	--	--	--	--								--	--
Racing and Exhibitions	--	--	--	--	--								--	--
Total Other Taxes	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
TOTAL TAX RECEIPTS	\$266.6	\$194.1	\$1,121.0	\$228.4	\$234.8	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$2,044.9	\$1,647.7

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)

EXHIBIT "H"

													5 Months Ended Aug. 31			
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$298.1	\$513.7	\$625.3	\$315.6	\$543.7								\$298.1	\$286.2	\$11.9	4.2%
RECEIPTS:																
Personal Income Tax	955.5	248.1	917.9	543.2	492.6								3,157.3	4,163.0	(1,005.7)	-24.2%
Consumption/Use Taxes and Fees																
Sales and Use	180.1	178.3	246.9	180.8	185.1								971.2	1,071.9	(100.7)	-9.4%
Other Taxes	29.7	30.2	11.6	17.4	23.8								112.7	300.3	(187.6)	-62.5%
Miscellaneous Receipts	86.4	35.2	60.1	47.1	66.7								295.5	293.1	2.4	0.8%
Total Receipts	1,251.7	491.8	1,236.5	788.5	768.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	4,536.7	5,828.3	(1,291.6)	-22.2%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	7.1	1.3	6.8	0.3	3.0								18.5	31.3	(12.8)	-40.9%
Debt Service, including payments on financing agreements	552.8	194.4	214.2	85.9	208.7								1,256.0	1,260.0	(4.0)	-0.3%
Total Disbursements	559.9	195.7	221.0	86.2	211.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,274.5	1,291.3	(16.8)	-1.3%
Excess (Deficiency) of Receipts over Disbursements	691.8	296.1	1,015.5	702.3	556.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3,262.2	4,537.0	(1,274.8)	-28.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	810.4	425.6	151.1	565.2	363.3								2,315.6	2,246.0	69.6	3.1%
Transfers to Other Funds (*)	(1,286.6)	(610.1)	(1,476.3)	(1,039.4)	(896.3)								(5,308.7)	(6,602.4)	(1,293.7)	-19.6%
Total Other Financing Sources (Uses)	(476.2)	(184.5)	(1,325.2)	(474.2)	(533.0)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(2,993.1)	(4,356.4)	1,363.3	31.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	215.6	111.6	(309.7)	228.1	23.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	269.1	180.6	88.5	49.0%
CLOSING CASH BALANCE	<u>\$513.7</u>	<u>\$625.3</u>	<u>\$315.6</u>	<u>\$543.7</u>	<u>\$567.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$567.2</u>	<u>\$466.8</u>	<u>\$100.4</u>	<u>21.5%</u>

(*) See Exhibit A, Footnote #5

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

													5 Months Ended Aug. 31			
	2009 APRIL (\$507.2)	MAY (\$494.3)	JUNE (\$531.2)	JULY (\$520.8)	AUGUST (\$598.2)	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009 (\$507.2)	2008 (\$432.8)	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE (DEFICITS)																
RECEIPTS:																
Consumption/Use Taxes and Fees																
Auto Rental	(5.4)	0.1	5.3	5.5	0.1								5.6	18.1	(12.5)	-69.1%
Motor Vehicle	49.1	47.2	56.4	50.5	48.9								252.1	238.4	13.7	5.7%
Motor Fuel	27.7	36.5	35.8	33.4	34.9								168.3	172.0	(3.7)	-2.2%
Highway Use	12.1	10.1	12.1	13.1	13.1								60.5	61.8	(1.3)	-2.1%
Business Taxes																
Petroleum Business	47.2	54.2	56.3	49.2	55.4								262.3	265.0	(2.7)	-1.0%
Transmission	0.4	(0.3)	3.4	0.4	--								3.9	3.5	0.4	11.4%
Other Taxes	--	--	19.9	20.0	19.9								59.8	71.1	(11.3)	-15.9%
Miscellaneous Receipts	177.5	240.9	213.4	230.1	346.2								1,208.1	503.4	704.7	140.0%
Federal Receipts	128.4	105.7	138.3	165.9	143.5								681.8	639.6	42.2	6.6%
Total Receipts	437.0	494.4	540.9	568.1	662.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,702.4	1,972.9	729.5	37.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	17.3	16.3	0.8	0.5	2.6								37.5	6.3	31.2	495.2%
Social Services	--	--	--	--	--								--	--	--	--
Health and Environment	2.4	13.7	9.7	5.0	17.5								48.3	101.1	(52.8)	-52.2%
Mental Hygiene	2.1	6.1	2.8	5.7	6.7								23.4	41.1	(17.7)	-43.1%
Transportation	31.8	17.4	21.5	95.1	33.5								199.3	178.3	21.0	11.8%
Miscellaneous	14.3	15.3	24.3	40.2	27.1								121.2	117.1	4.1	3.5%
Total Local Assistance Grants	67.9	68.8	59.1	146.5	87.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	429.7	443.9	(14.2)	-3.2%
Departmental Operations:																
Personal Service	--	--	--	--	--								--	--	--	--
Non-Personal Service	--	--	--	--	--								--	--	--	--
General State Charges	--	--	--	--	--								--	--	--	--
Capital Projects	336.1	455.2	487.5	504.1	465.0								2,247.9	2,141.0	106.9	5.0%
Total Disbursements	404.0	524.0	546.6	650.6	552.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,677.6	2,584.9	92.7	3.6%
Excess (Deficiency) of Receipts over Disbursements	33.0	(29.6)	(5.7)	(82.5)	109.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	24.8	(612.0)	636.8	104.1%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--								--	--	--	--
Transfers from Other Funds	39.3	51.4	77.7	68.6	(67.8)								169.2	417.6	(248.4)	-59.5%
Transfers to Other Funds	(59.4)	(58.7)	(61.6)	(63.5)	(69.5)								(312.7)	(334.8)	(22.1)	-6.6%
Total Other Financing Sources (Uses)	(20.1)	(7.3)	16.1	5.1	(137.3)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(143.5)	82.8	(226.3)	-273.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	12.9	(36.9)	10.4	(77.4)	(27.7)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(118.7)	(529.2)	410.5	77.6%
CLOSING CASH BALANCE (DEFICITS)	<u>(\$494.3)</u>	<u>(\$531.2)</u>	<u>(\$520.8)</u>	<u>(\$598.2)</u>	<u>(\$625.9)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$625.9)</u>	<u>(\$962.0)</u>	<u>\$336.1</u>	<u>34.9%</u>

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
STATE**

													5 Months Ended Aug. 31				
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes and Fees																	
Auto Rental	(\$5.4)	\$0.1	\$5.3	\$5.5	\$0.1								\$ --	\$5.6	\$18.1	(\$12.5)	-69.1%
Motor Vehicle	49.1	47.2	56.4	50.5	48.9								--	252.1	238.4	13.7	5.7%
Motor Fuel	27.7	36.5	35.8	33.4	34.9								--	168.3	172.0	(3.7)	-2.2%
Highway Use	12.1	10.1	12.1	13.1	13.1								--	60.5	61.8	(1.3)	-2.1%
Business Taxes																	
Petroleum Business	47.2	54.2	56.3	49.2	55.4								--	262.3	265.0	(2.7)	-1.0%
Transmission	0.4	(0.3)	3.4	0.4	--								--	3.9	3.5	0.4	11.4%
Other Taxes	--	--	19.9	20.0	19.9								--	59.8	71.1	(11.3)	-15.9%
Miscellaneous Receipts	177.5	240.8	213.3	229.9	346.1								--	1,207.6	502.7	704.9	140.2%
Federal Receipts	--	--	--	--	--								--	--	--	--	--
Total Receipts	308.6	388.6	402.5	402.0	518.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	2,020.1	1,332.6	687.5	51.6%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	17.3	16.3	0.8	0.5	2.6								--	37.5	6.3	31.2	495.2%
Social Services	--	--	--	--	--								--	--	--	--	--
Health and Environment	2.4	13.7	9.7	5.0	17.5								--	48.3	101.1	(52.8)	-52.2%
Mental Hygiene	2.1	6.1	2.8	5.7	6.7								--	23.4	41.1	(17.7)	-43.1%
Transportation	1.5	1.4	2.5	56.2	5.9								--	67.5	14.8	52.7	356.1%
Miscellaneous	14.3	15.3	24.3	40.2	27.1								--	121.2	117.1	4.1	3.5%
Total Local Assistance Grants	37.6	52.8	40.1	107.6	59.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	297.9	280.4	17.5	6.2%
Departmental Operations:																	
Personal Service	--	--	--	--	--								--	--	--	--	--
Non-Personal Service	--	--	--	--	--								--	--	--	--	--
General State Charges	--	--	--	--	--								--	--	--	--	--
Capital Projects	279.3	391.4	362.7	374.6	342.3								--	1,750.3	1,697.5	52.8	3.1%
Total Disbursements	316.9	444.2	402.8	482.2	402.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	2,048.2	1,977.9	70.3	3.6%
Excess (Deficiency) of Receipts over Disbursements	(8.3)	(55.6)	(0.3)	(80.2)	116.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	(28.1)	(645.3)	617.2	95.6%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--								--	--	--	--	--
Transfers from Other Funds	39.3	51.4	77.7	135.5	(67.8)								(66.9)	169.2	417.6	(248.4)	-59.5%
Transfers to Other Funds	(59.4)	(58.7)	(61.6)	(63.5)	(69.5)								--	(312.7)	(330.5)	(17.8)	-5.4%
Total Other Financing Sources (Uses)	(20.1)	(7.3)	16.1	72.0	(137.3)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(66.9)	(143.5)	87.1	(230.6)	-264.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$28.4)	(\$62.9)	\$15.8	(\$8.2)	(\$21.0)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$66.9)	(\$171.6)	(\$558.2)	\$386.6	69.3%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2009-2010
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													5 Months Ended Aug. 31				
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2009	2008	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$ --	\$0.1	\$0.1	\$0.2	\$0.1								\$ --	\$0.5	\$0.7	(\$0.2)	-28.6%
Federal Receipts	128.4	105.7	138.3	165.9	143.5								--	681.8	639.6	42.2	6.6%
Total Receipts	128.4	105.8	138.4	166.1	143.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	682.3	640.3	42.0	6.6%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	--	--	--	--	--								--	--	--	--	--
Social Services	--	--	--	--	--								--	--	--	--	--
Health and Environment	--	--	--	--	--								--	--	--	--	--
Mental Hygiene	--	--	--	--	--								--	--	--	--	--
Transportation	30.3	16.0	19.0	38.9	27.6								--	131.8	163.5	(31.7)	-19.4%
Miscellaneous	--	--	--	--	--								--	--	--	--	--
Total Local Assistance Grants	30.3	16.0	19.0	38.9	27.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	131.8	163.5	(31.7)	-19.4%
Departmental Operations:																	
Personal Service	--	--	--	--	--								--	--	--	--	--
Non-Personal Service	--	--	--	--	--								--	--	--	--	--
General State Charges	--	--	--	--	--								--	--	--	--	--
Capital Projects	56.8	63.8	124.8	129.5	122.7								--	497.6	443.5	54.1	12.2%
Total Disbursements	87.1	79.8	143.8	168.4	150.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	629.4	607.0	22.4	3.7%
Excess (Deficiency) of Receipts over Disbursements	41.3	26.0	(5.4)	(2.3)	(6.7)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	52.9	33.3	19.6	58.9%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--								--	--	--	--	--
Transfers to Other Funds	--	--	--	(66.9)	--								66.9	--	(4.3)	(4.3)	-100.0%
Total Other Financing Sources (Uses)	--	--	--	(66.9)	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	66.9	--	(4.3)	4.3	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$41.3	\$26.0	(\$5.4)	(\$69.2)	(\$6.7)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$66.9	\$52.9	\$29.0	\$23.9	82.4%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT J

													5 Months Ended Aug. 31	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
BEGINNING FUND EQUITY (DEFICITS)	(\$55.1)	\$16.6	\$19.2	(\$59.8)	\$22.0								(\$55.1)	(\$9.9)
RECEIPTS:														
Miscellaneous Receipts	5.3	4.4	5.5	4.6	5.3								25.1	28.2
Federal Receipts (*) (**)	294.2	284.7	501.5	492.7	558.8								2,131.9	162.9
Unemployment Taxes	492.9	415.2	283.4	369.7	218.9								1,780.1	1,044.1 (***)
Total Receipts	792.4	704.3	790.4	867.0	783.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3,937.1	1,235.2
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.6	0.3	0.4	0.4	0.3								2.0	2.3
Non-Personal Service	3.0	3.8	3.8	4.6	5.6								20.8	20.0
General State Charges	0.1	0.3	0.1	0.1	--								0.6	0.5
Unemployment Benefits (**)	717.0	697.3	865.1	780.1	885.5								3,945.0	1,173.0 (***)
Total Disbursements	720.7	701.7	869.4	785.2	891.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3,968.4	1,195.8
Excess (Deficiency) of Receipts over Disbursements	71.7	2.6	(79.0)	81.8	(108.4)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(31.3)	39.4
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--								--	--
Transfers to Other Funds	--	--	--	--	--								--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	71.7	2.6	(79.0)	81.8	(108.4)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(31.3)	39.4
CLOSING CASH BALANCE	\$16.6	\$19.2	(\$59.8)	\$22.0	(\$86.4)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$86.4)	\$29.5

(*) Due to economic downturn, Governor Patterson signed the Emergency Unemployment Compensation agreement on July 2, 2008, which extended unemployment compensation benefits and resulted in the increase of the Federal Receipts \$282 million after the American Recovery and Reinvestment Act disbursements.

(**) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

(***) The increase in Unemployment Taxes and Benefits is due to an increase in unemployment claims filed because of the economic downturn and a change in accounting treatment of Federal Withholding Taxes deducted from Unemployment Benefits. To provide greater transparency and accountability, Federal Withholding Taxes deducted from Unemployment Benefits will be added to Unemployment Benefits paid and Unemployment Taxes received rather than as a reduction of Unemployment Taxes received. To implement this change, Unemployment Taxes and Benefits were increased by \$10.3 million for the month of August 2008 and \$50.8 million for the 5 months ended in August 2008 to reflect Withholding Taxes deducted for comparative purposes.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT K

													5 Months Ended Aug. 31	
	2009 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2010 JANUARY	FEBRUARY	MARCH	2009	2008
BEGINNING FUND EQUITY (DEFICITS)	\$27.4	\$36.3	\$20.9	\$9.5	\$4.5								\$27.4	(\$8.3)
RECEIPTS:														
Miscellaneous Receipts	43.8	31.3	36.9	30.5	33.2								175.7	191.1
Total Receipts	43.8	31.3	36.9	30.5	33.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	175.7	191.1
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	13.1	9.0	8.7	9.6	8.8								49.2	51.7
Non-Personal Service	27.3	33.4	47.7	40.9	36.9								186.2	179.9
General State Charges	1.7	9.5	--	--	2.4								13.6	18.6
Total Disbursements	42.1	51.9	56.4	50.5	48.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	249.0	250.2
Excess (Deficiency) of Receipts over Disbursements	1.7	(20.6)	(19.5)	(20.0)	(14.9)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(73.3)	(59.1)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	7.2	5.2	8.1	15.0	4.2								39.7	36.3
Transfers to Other Funds	--	--	--	--	--								--	--
Total Other Financing Sources (Uses)	7.2	5.2	8.1	15.0	4.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	39.7	36.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8.9	(15.4)	(11.4)	(5.0)	(10.7)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(33.6)	(22.8)
ENDING FUND EQUITY(DEFICITS)	\$36.3	\$20.9	\$9.5	\$4.5	(\$6.2)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$6.2)	(\$31.1)

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT L

	2009					2010							<u>5 Months Ended Aug. 31</u>	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008
OPENING CASH BALANCE	\$9.9	\$10.1	\$10.1	\$10.1	\$10.2								\$9.9	\$9.4
RECEIPTS:														
Miscellaneous Receipts	0.2	0.1	--	0.1	--								0.4	0.4
Total Receipts	0.2	0.1	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.4	0.4
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	0.1	--	--	--								0.1	0.1
Non-Personal Service	--	--	--	--	--								--	--
General State Charges	--	--	--	--	--								--	--
Total Disbursements	--	0.1	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.1
Excess (Deficiency) of Receipts over Disbursements	0.2	--	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.3	0.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--								--	--
Transfers to Other Funds	--	--	--	--	--								--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	--	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.3	0.3
CLOSING CASH BALANCE	<u>\$10.1</u>	<u>\$10.1</u>	<u>\$10.1</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$10.2</u>	<u>\$9.7</u>

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2009-2010
(amounts in millions)**

EXHIBIT M

	2009					2010							5 Months Ended Aug. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2009	2008
OPENING CASH BALANCE	(\$0.1)	(\$0.3)	(\$0.1)	(\$1.7)	\$0.1								(\$0.1)	\$ --
RECEIPTS:														
Miscellaneous Receipts	15.4	5.0	6.8	6.9	4.4								38.5	45.0
Total Receipts	15.4	5.0	6.8	6.9	4.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	38.5	45.0
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	6.0	4.0	4.5	4.0	4.0								22.5	20.8
Non-Personal Service	2.0	0.8	3.7	1.1	0.5								8.1	14.3
General State Charges	7.6	--	0.2	--	--								7.8	10.2
Total Disbursements	15.6	4.8	8.4	5.1	4.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	38.4	45.3
Excess (Deficiency) of Receipts over Disbursements	(0.2)	0.2	(1.6)	1.8	(0.1)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	(0.3)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--								--	--
Transfers to Other Funds	--	--	--	--	--								--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.2)	0.2	(1.6)	1.8	(0.1)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	(0.3)
CLOSING CASH BALANCE	(\$0.3)	(\$0.1)	(\$1.7)	\$0.1	\$ --	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$0.3)

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2009
(amounts in millions)

SCHEDULE 1

	BALANCE 8/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 8/31/09
GENERAL FUND					
001-Local Assistance Account	\$ --	\$4.666	\$1,909.058	\$1,904.392	\$ --
003-State Operations Account	889.924	2,434.435	1,025.228	(1,699.566)	599.565
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	122.771	--	12.145	1.000	111.626
008-Rainy Day Reserve Fund	--	--	--	--	--
013-Attica State Employee Victims'	--	--	--	2.000	2.000
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	36.706	36.706	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	1,012.695	2,475.807	2,983.137	207.826	713.191
SPECIAL REVENUE FUNDS-STATE					
019-Mental Health Gifts and Donations	2.308	0.004	0.010	--	2.302
020-Combined Expendable Trust	70.875	3.576	1.566	--	72.885
023-New York Interest on Lawyer Account	13.716	0.611	0.501	--	13.826
024-NYS Archives Partnership Trust	0.297	--	0.030	--	0.267
025-Child Performer's Protection	0.151	0.005	0.022	--	0.134
050-Tuition Reimbursement	3.816	0.379	0.121	--	4.074
052-New York State Local Government Records Management Improvement	4.193	0.783	0.244	--	4.732
053-School Tax Relief	2.823	--	0.287	--	2.536
054-Charter Schools Stimulus	3.168	0.001	0.467	--	2.702
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.019	--	--	--	0.019
061-HCRA Resources	179.086	400.329	301.726	(0.490)	277.199
073-Dedicated Mass Transportation Trust	87.908	58.542	62.320	--	84.130
160-State Lottery	609.486	223.711	21.022	--	812.175
221-Combined Student Loan	24.098	1.776	0.817	--	25.057
225-MTA Financial Assistance Fund	3.555	2.703	--	--	6.258
300-Sewage Treatment Program Mgmt. & Administration	(1.978)	--	0.582	--	(2.560)
301-EnCon Special Revenue	(3.168)	2.219	6.463	--	(7.412)
302-Conservation	31.120	2.594	2.016	--	31.698
303-Environmental Protection and Oil Spill Compensation	--	3.162	2.154	--	1.008
305-Training and Education Program on OSHA	2.633	3.972	3.257	--	3.348
306-Lawyers' Fund for Client Protection	3.849	0.287	0.038	--	4.098
307-Equipment Loan for the Disabled	0.507	0.006	(0.003)	--	0.516
313-Mass Transportation Operating Assistance	249.784	88.470	252.709	10.000	95.545
314-Clean Air	(3.090)	0.286	2.853	--	(5.657)
318-New York State Infrastructure Trust	0.066	--	--	--	0.066
321-Legislative Computer Services	10.188	0.065	--	--	10.253
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	7.120	0.002	--	--	7.122
333-Winter Sports Education Trust	1.181	--	--	--	1.181
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.693	0.002	--	--	0.695
339-Miscellaneous State Special Revenue	780.283	213.674	552.471	723.949	1,165.435

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2009
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 8/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 8/31/09
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
340-Court Facilities Incentive Aid	33.149	0.015	15.302	(0.345)	17.517
341-Employment Training	0.183	--	--	--	0.183
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	710.184	277.835	258.643	27.080	756.456
346-Chemical Dependence Service	6.637	0.002	0.007	--	6.632
349-Lake George Park Trust	1.577	0.062	0.088	--	1.551
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(19.419)	6.051	1.922	--	(15.290)
355-New York Great Lakes Protection	1.709	0.001	0.022	--	1.688
359-Federal Revenue Maximization	0.061	--	--	--	0.061
360-Housing Development	11.076	0.006	0.170	--	10.912
362-NYS/DOT Highway Safety Program	(0.844)	--	0.764	--	(1.608)
365-Vocational Rehabilitation	0.103	0.006	0.002	--	0.107
366-Drinking Water Program Management and Administration	(3.721)	--	0.867	--	(4.588)
368-NYC County Clerks' Operations Offset	(19.448)	--	1.974	--	(21.422)
369-Judiciary Data Processing Offset	6.595	0.993	1.358	--	6.230
377-IFR / CUTRA	73.751	3.828	7.491	--	70.088
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.003	0.001	--	--	0.004
390-Indigent Legal Services	24.291	3.054	--	--	27.345
482-Unemployment Insurance Interest and Penalty	11.281	0.795	0.328	--	11.748
TOTAL SPECIAL REVENUE FUNDS-STATE	2,921.857	1,299.808	1,500.611	760.194	3,481.248
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(2.138)	151.740	127.230	(25.000)	(2.628)
265-Federal Health and Human Services	(144.554)	3,190.538	2,825.217	(276.833)	(56.066)
267-Federal Education	(10.450)	140.733	134.508	-	(4.225)
269-Federal DHHS Block Grant	0.482	2.740	3.090	--	0.132
290-Federal Miscellaneous Operating Grants	234.798	42.483	43.114	--	234.167
480-Unemployment Insurance Administration	100.486	17.894	19.279	--	99.101
484-Unemployment Insurance Occupational Training	0.181	0.101	0.120	--	0.162
486-Federal Employment and Training Grants	(2.393)	34.733	35.504	--	(3.164)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	176.412	3,580.962	3,188.062	(301.833)	267.479
TOTAL SPECIAL REVENUE FUNDS	3,098.269	4,880.770	4,688.673	458.361	3,748.727
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	397.925	19.036	113.210	(244.987)	58.764
311-General Obligation Debt Service	1.320	492.556	96.879	(116.468)	280.529
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	1.167	1.170	0.003	--
319-Department of Health Income	24.239	11.169	--	(9.496)	25.912
330-State University Dormitory Income	112.021	35.316	--	(26.201)	121.136
361-Clean Water/Clean Air	--	23.745	--	(16.788)	6.957
364-Local Government Assistance Tax	8.243	185.188	0.430	(119.135)	73.866
TOTAL DEBT SERVICE FUNDS	543.748	768.177	211.689	(533.072)	567.164

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2009
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 8/1/09	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 8/31/09
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	--	183.162	176.234	(6.928)	--
072-Dedicated Highway and Bridge Trust	(76.575)	207.298	146.341	(59.172)	(74.790)
074-SUNY Residence Halls Rehabilitation and Repair	82.293	0.025	7.162	5.400	80.556
075-New York State Canal System Development	2.400	--	0.150	--	2.250
076-Parks Infrastructure	(36.118)	6.375	9.094	--	(38.837)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	27.928	20.529	15.232	--	33.225
079-Clean Water/Clean Air Implementation	(0.660)	--	--	--	(0.660)
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.392	--	--	--	3.392
115-Environmental Quality Protection Bond	2.010	--	--	--	2.010
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	153.729	--	--	(65.853)	87.876
123-Transportation Infrastructure Renewal Bond	5.406	--	--	(0.028)	5.378
124-1986 Environmental Quality Bond Act	15.931	--	--	--	15.931
126-Accelerated Capacity and Transportation Improvement Bond	4.308	--	--	--	4.308
127-Clean Water/Clean Air Bond	13.467	--	--	(0.387)	13.080
291-Federal Capital Projects	(304.083)	143.567	150.282	--	(310.798)
310-Forest Preserve Expansion	0.890	--	--	--	0.890
312-Hazardous Waste Remedial	(61.720)	3.487	6.177	(10.271)	(74.681)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.501	0.001	--	--	0.502
357-Division for Youth Facilities Improvement	(2.711)	2.589	1.725	--	(1.847)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(18.370)	0.151	--	--	(18.219)
376-Housing Program	(147.274)	56.554	0.275	--	(90.995)
378-Natural Resource Damage	23.711	(1.038)	0.031	--	22.642
380-DOT Engineering Services	(11.878)	--	0.713	--	(12.591)
384-State University Capital Projects	116.696	0.032	2.195	--	114.533
387-Miscellaneous Capital Projects	24.750	(0.023)	0.863	--	23.864
388-CUNY Capital Projects	(0.022)	--	--	--	(0.022)
389-Mental Hygiene Facilities Capital Improvement	(397.076)	19.869	16.074	--	(393.281)
399-Correction Facilities Capital Improvement	(19.436)	19.436	19.892	--	(19.892)
TOTAL CAPITAL PROJECTS FUNDS	(598.245)	662.014	552.440	(137.239)	(625.910)
TOTAL GOVERNMENTAL FUNDS	\$4,056.467	\$8,786.768	\$8,435.939	(\$4.124)	\$4,403.172

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF AUGUST 2009
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 8/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 8/31/09</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$0.218	\$0.007	\$0.002	\$ --	\$0.223
325-State Exposition Special	2.515	1.541	2.259	--	1.797
326-Correctional Services Commissary	1.544	2.932	2.905	--	1.571
331-Agency Enterprise	3.407	0.218	0.165	--	3.460
351-Sheltered Workshop	1.746	0.191	0.105	--	1.832
352-Patient Workshop	1.186	0.028	0.087	--	1.127
353-Mental Hygiene Community Stores	2.371	0.202	0.166	--	2.407
450-Industrial Exhibit Authority	0.766	0.264	0.235	--	0.795
481-Unemployment Insurance Benefit	8.211	777.718	885.513	--	(99.584)
TOTAL ENTERPRISE FUNDS	21.964	783.101	891.437	--	(86.372)
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	19.479	19.425	13.413	--	25.491
334-Agency Internal Service	11.089	8.307	28.208	4.126	(4.686)
343-Mental Hygiene Revolving	0.817	0.068	0.017	--	0.868
347-Youth Vocational Education	0.055	0.002	--	--	0.057
394-Joint Labor/Management Administration	1.212	--	0.178	--	1.034
395-Audit and Control Revolving	(0.180)	--	0.217	--	(0.397)
396-Health Insurance Revolving	(17.858)	1.183	2.439	--	(19.114)
397-Correctional Industries Revolving	(10.084)	4.220	3.636	--	(9.500)
TOTAL INTERNAL SERVICE FUNDS	4.530	33.205	48.108	4.126	(6.247)
TOTAL PROPRIETARY FUNDS	\$26.494	\$816.306	\$939.545	\$4.126	(\$92.619)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF AUGUST 2009
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 8/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 8/31/09</u>
<u>PENSION TRUST FUNDS</u>					
400-Common Retirement-Administration	\$0.101	\$4.445	\$4.555	\$ --	(\$0.009)
TOTAL PENSION TRUST FUNDS	0.101	4.445	4.555	--	(0.009)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers' Security	2.725	(0.001)	0.010	--	2.714
022-Milk Producers' Security	7.457	0.023	0.015	--	7.465
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.182	0.022	0.025	--	10.179
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	34.452	0.534	--	--	34.986
135-Child Performer's Holding	0.065	0.002	0.002	--	0.065
136-Child Performer's Holding II	0.042	0.001	0.004	--	0.039
152-Employees Health Insurance	652.783	490.118	509.253	--	633.648
153-Social Security Contribution	32.450	84.313	90.642	--	26.121
154-Employee Payroll Withholding Escrow	145.781	309.013	340.418	--	114.376
162-Employees Dental Insurance	15.623	10.433	6.260	--	19.796
163-Management Confidential Group Insurance	1.116	0.797	0.440	--	1.473
165-Lottery Prize	90.305	119.299	63.328	--	146.276
167-Health Insurance Reserve Receipts	0.075	0.002	--	--	0.077
169-Miscellaneous New York State Agency	597.611	8.849	16.096	--	590.364
175-Elderly Pharmaceutical Insurance Coverage Escrow	18.794	17.313	33.010	--	3.097
176-CUNY Senior College Operating	33.946	129.001	119.606	--	43.341
179-Medicaid Management Information System Escrow	233.724	3,343.282	3,407.855	--	169.151
309-Special Education	--	--	--	--	--
344-State University Collection	107.242	332.252	--	--	439.494
382-SUNY Federal Direct Lending Program	(0.048)	(18.364)	--	--	(18.412)
TOTAL AGENCY FUNDS	1,963.961	4,826.845	4,586.914	--	2,203.892
TOTAL FIDUCIARY FUNDS	\$1,974.244	\$4,831.312	\$4,591.494	\$ --	\$2,214.062

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF AUGUST 2009
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 8/1/09</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 8/31/09</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$2.684	\$0.001	\$ --	\$2.685
149-Sole Custody Investment (*)	1,399.099	1,259.678	1,308.322	1,350.455
650-Comptroller's Refund	--	80.920	80.920	--
750-NYS Thruway Authority Operating	0.169	--	--	0.169
TOTAL ACCOUNTS	<u>\$1,401.952</u>	<u>\$1,340.599</u>	<u>\$1,389.242</u>	<u>\$1,353.309</u>

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of August 31, 2009, \$15,623,315.67 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2010

PURPOSE	DEBT OUTSTANDING APRIL 1, 2009	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING AUG. 31, 2009	INTEREST DISBURSED	
		MONTH OF AUGUST	5 MONTHS ENDED AUG. 31, 2009	MONTH OF AUGUST	5 MONTHS ENDED AUG. 31, 2009		MONTH OF AUGUST	5 MONTHS ENDED AUG. 31, 2009
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$648,961,405.51	\$ --	\$ --	\$ --	\$31,736,529.97	\$617,224,875.54	\$66,105.70	\$7,588,081.89
Clean Water/Clean Air:								
Air Quality	86,783,652.74	--	--	--	9,765,117.23	77,018,535.51	227.29	1,150,820.56
Safe Drinking Water	75,187,786.89	--	--	--	15,305,314.04	59,882,472.85	--	1,149,048.98
Water	504,653,063.02	--	--	--	4,451,078.31	500,201,984.71	532,732.59	2,642,531.89
Solid Waste	99,179,187.43	--	--	--	5,984,100.11	93,195,087.32	13,574.22	967,690.63
Environmental Restoration	75,363,682.79	--	--	--	132,586.21	75,231,096.58	607,186.86	644,587.57
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	24,630,987.27	--	--	1,028,151.34	1,204,225.57	23,426,761.70	59,473.31	210,249.50
Environmental Quality Protection (1972):								
Air	21,498,402.83	--	--	--	3,303,164.51	18,195,238.32	139.81	449,329.21
Land and Wetlands	47,541,208.24	--	--	2,596,741.19	4,910,439.06	42,630,769.18	165,963.62	772,260.40
Water	125,389,186.41	--	--	5,162,725.71	7,405,381.62	117,983,804.79	295,379.42	2,022,116.10
Environmental Quality (1986):								
Land and Forests	60,589,492.07	--	--	--	10,001,198.84	50,588,293.23	9,653.02	740,266.71
Solid Waste Management	537,288,426.88	--	--	--	16,920,201.72	520,368,225.16	142,553.19	2,970,503.16
Housing:								
Low Cost	59,480,151.99	--	--	1,020,000.00	6,542,326.17	52,937,825.82	150,000.00	926,268.49
Middle Income	46,002,000.00	--	--	--	535,000.00	45,467,000.00	--	1,045,255.00
Outdoor Recreation Development	30,318.00	--	--	--	--	30,318.00	--	818.59
Park and Recreation Land Acquisition	40,224.71	--	--	--	--	40,224.71	--	--
Pure Waters	91,335,778.20	--	--	2,979,002.58	6,178,385.36	85,157,392.84	194,877.22	1,420,008.72
Rail Preservation Development	16,583,059.70	--	--	3,725,950.13	4,045,792.51	12,537,267.19	187,934.00	313,805.19
Rebuild and Renew New York Transportation:								
Highway Facilities	323,061,087.80	--	--	--	--	323,061,087.80	2,388,753.75	2,388,753.75
Canals and Waterways	7,737,683.52	--	--	--	--	7,737,683.52	123,602.72	123,602.72
Aviation	16,170,986.25	--	--	--	--	16,170,986.25	297,980.72	297,980.72
Rail and Port	39,388,920.99	--	--	--	--	39,388,920.99	688,818.47	688,818.47
Mass Transit - Dept. of Transportation	11,921,084.46	--	--	--	--	11,921,084.46	129,179.18	129,179.18
Mass Transit - Metropolitan Transportation Authority	322,321,343.08	--	--	--	--	322,321,343.08	3,690,193.79	3,690,193.79
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	5,432,361.75	--	--	--	292,133.68	5,140,228.07	789.43	18,561.41
Ports, Canals, and Waterways	126,438.48	--	--	--	14,912.47	111,526.01	--	2,491.49
Rapid Transit, Rail, and Aviation	23,663,282.84	--	--	483,319.00	747,378.82	22,915,904.02	27,883.32	390,496.26
Transportation Capital Facilities:								
Aviation	27,107,010.12	--	--	2,051,908.86	3,102,184.04	24,004,826.08	104,347.21	501,967.35
Mass Transportation	25,210,785.49	--	--	7,522,201.20	7,522,549.77	17,688,235.72	382,651.85	560,861.81
Total General Obligation Bonded Debt	\$3,322,678,999.46	\$ --	\$ --	\$26,570,000.01	\$140,100,000.01	\$3,182,578,999.45	\$10,260,000.69	\$33,806,549.54

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE FIVE (5) MONTHS ENDED AUGUST 31, 2009

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	UNIVERSITY	5 MONTHS ENDED AUG. 31		
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	DORMITORY	2009	2008	
FUND	(311-01)	(319)	TAX	(304)	(311-02)	(330)				
(064)			(364)							
Special Contractual Financing Obligations:										
Managed by Office of General Services:										
Department of Trans Region 1 Schenectady Hampton Plaza	\$ --	\$414,635	\$ --	\$ --	\$ --	\$ --	\$ --	\$414,635	\$454,365	(\$39,730)
Subtotal	\$ --	\$414,635	\$ --	\$ --	\$ --	\$ --	\$ --	\$414,635	\$454,365	(\$39,730)
Payments to Public Authorities:										
City University Construction	--	153,451,070	--	--	--	--	--	153,451,070	127,422,538	26,028,532
Community Enhancement Facilities Program	--	--	--	--	--	--	--	--	--	--
Dormitory Authority	--	208,784,032	14,847,374	--	111,092,306	26,996,562	50,611,853	412,332,127	416,891,211	(4,559,084)
Energy Research & Development Authority	--	--	--	--	--	--	--	--	--	--
Environmental Facilities Corporation	--	349,007	--	--	--	17,936,899	--	18,285,906	12,697,068	5,588,838
Housing Finance Agency	--	749,703	--	--	--	326,735	--	1,076,438	7,477,371	(6,400,933)
Local Government Assistance Corporation	--	--	--	4,579,593	--	--	--	4,579,593	22,179,098	(17,599,505)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	82,493,859	--	--	--	--	--	82,493,859	82,381,608	112,251
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	2,518,177	--	--	--	--	--	2,518,177	3,762,531	(1,244,354)
Thruway Authority	--	293,384,200	--	--	--	--	--	293,384,200	274,965,000	18,419,200
Urban Development Corporation:										
Correctional Facilities	--	30,811,440	--	--	--	--	--	30,811,440	79,770,790	(48,959,350)
Center for Industrial Innovation at RPI	--	409,200	--	--	--	--	--	409,200	509,981	(100,781)
Syracuse University Science and Technology Center	--	465,475	--	--	--	--	--	465,475	514,075	(48,600)
Cornell Univer. Supercomputer Center	--	620,000	--	--	--	--	--	620,000	492,000	128,000
Columbia Univer. Telecommunications Center	--	4,630,000	--	--	--	--	--	4,630,000	3,715,000	915,000
Clarkson University	--	228,265	--	--	--	--	--	228,265	243,312	(15,047)
Debt Reduction Reserve	--	--	--	--	--	--	--	--	15,559,688	(15,559,688)
University Facilities Grant 95 Refunding	--	403,322	--	--	--	--	--	403,322	514,239	(110,917)
Youth Facilities	--	1,537,031	--	--	--	--	--	1,537,031	1,949,893	(412,862)
Economic Development Housing	--	--	--	--	--	25,281,775	--	25,281,775	22,513,825	2,767,950
Sports Facility	--	--	--	--	--	--	--	--	--	--
South Mall	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	--	--	--	--	--	--	--	--	--	--
Consolidated Service Contract Refunding	--	49,123,654	--	--	--	--	--	49,123,654	5,658,315	43,465,339
Subtotal	\$ --	\$829,958,435	\$14,847,374	\$4,579,593	\$111,092,306	\$70,541,971	\$50,611,853	\$1,081,631,532	\$1,079,217,543	\$2,413,989
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$830,373,070	\$14,847,374	\$4,579,593	\$111,092,306	\$70,541,971	\$50,611,853	\$1,082,046,167	\$1,079,671,908	\$2,374,259

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF AUGUST 2009
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>AUGUST 2009</u>	<u>FISCAL YEAR TO DATE</u>	<u>Prior FYTD AUGUST 2008</u>
<u>SHORT TERM INVESTMENT POOL</u>			
AVERAGE DAILY INVESTMENT BALANCE*	\$6,261.7	\$6,618.7	\$11,550.4
AVERAGE YIELD*	0.303%	0.355%	2.256%
TOTAL INVESTMENT EARNINGS	\$1.980	\$11.982	\$109.211

<u>DESCRIPTION</u>	<u>PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$0.0
REPURCHASE AGREEMENTS	\$35.6
COMMERCIAL PAPER	\$3,315.7
CERTIFICATES OF DEPOSIT/SAVINGS	\$3,620.9
0% COMPENSATING BALANCE CD's	\$1,113.0
	<u>\$8,085.2</u>

*Does not include 0% Compensating Balance CD's.

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2009-2010**

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<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>	Appendix A
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<u>American Recovery and Reinvestment Act of 2009 - Schedule of Disbursements of Federal Awards</u>	Appendix C
<u>HCRA Public Goods Pool - Statement of Cash Flow</u>	Appendix D
<u>HCRA Medicaid Disproportionate Share - Statement of Cash Flow</u>	Appendix E
<u>Public Authority Off Budget Spending Report</u>	Appendix F

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2009-2010

APPENDIX A

	2009 APRIL	MAY	JUNE	JULY	AUGUST	5 Months Ended August 31, 2009
OPENING CASH BALANCE	\$239,963,585	\$204,479,921	\$299,679,153	\$152,079,890	\$179,086,295	\$239,963,585
RECEIPTS:						
Cigarette Tax	85,019,652	74,639,227	83,659,918	82,626,286	85,414,800	411,359,883
State Share of NYC Cigarette Tax	5,896,000	6,435,000	7,404,000	6,640,000	7,062,000	33,437,000
STIP Interest	158,585	86,022	115,405	89,746	67,795	517,553
Public Asset Transfers	--	--	95,000,000	--	--	95,000,000
Indigent Care Pool	1,103	403	734	368	368	2,976
Public Goods Pool	287,893,002	398,081,296	318,275,622	314,341,402	307,771,764	1,626,363,086
Hospital Excess Liability Pool	--	--	--	--	--	--
Miscellaneous	12,261	25	7,867	55,276	12,123	87,552
Total Receipts	378,980,603	479,241,973	504,463,546	403,753,078	400,328,850	2,166,768,050
DISBURSEMENTS:						
Grants - Social Service	155,337	57,752	44,908	104,350	4,025	366,372
Medical Assistance Payments	329,114,794	479,135,526	558,317,945	307,228,279	223,400,027	1,897,196,571
Grants - Health	77,101,511	(101,873,402)	69,958,490	65,735,524	72,038,860	182,960,983
Grants - Mental Hygiene	--	--	--	--	--	--
Grants - Miscellaneous	128,164	224,739	391,961	162,838	65,119	972,821
Interest - Late Payments	2,434	1,398	352	849	1,372	6,405
Personal Service	1,399,108	980,827	791,000	911,891	709,439	4,792,265
Non-Personal Service	5,433,318	5,497,881	8,357,125	2,102,942	4,447,238	25,838,504
Employee Benefits/Indirect Costs	1,129,601	18,020	701,028	--	1,059,678	2,908,327
Appropriated Transfers						
Transfers to 339-ES	--	--	--	500,000	490,000	990,000
Total Disbursements	414,464,267	384,042,741	638,562,809	376,746,673	302,215,758	2,116,032,248
OPERATING TRANSFERS:						
Transfers to 002	--	--	13,500,000	--	--	13,500,000
Transfers to 003	--	--	--	--	--	--
Transfers to 339-AP	--	--	--	--	--	--
Total Operating Transfers	--	--	13,500,000	--	--	13,500,000
Total Disbursements and Transfers	414,464,267	384,042,741	652,062,809	376,746,673	302,215,758	2,129,532,248
CLOSING CASH BALANCE	\$204,479,921	\$299,679,153	\$152,079,890	\$179,086,295	\$277,199,387	\$277,199,387

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2009-2010

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July Disbursements	August Disbursements	Total Disbursements 5 Months Ending August 31, 2009 (3)
COMMUNITY SERVICES PROGRAM	\$ 2,771,607	\$	\$	\$	\$	\$
LONG TERM CARE INSUR EDUC/OUTREACH		2,771,607	732,863	162,838	65,119	960,820
ADMIN & GRANTS MGMT HCRA RESOURCE	50,000					
LONG TERM CARE INSUR EDUC/OUTREACH		45,000	--	--	--	--
ADULT HOMES PROGRAM	120,000					
ADULT HOME RESIDENT COUNCIL PROJECT		120,000	12,000	--	--	12,000
ADMIN & EXECUTIVE DIRECTION PROGRAM	12,801,000					
HEALTH CARE DELIVERY ADMINISTRATION		739,630	86,160	17,312	40,441	143,913
HEALTH OCCUPATION DEVELOP/WORK DEMO		1,141,355	118,040	23,125	54,919	196,084
HEALTH WORKFORCE RETRAINING PROGRAM		2,294,055	254,848	36,185	35,320	326,353
PILOT HEALTH INSURANCE ACCOUNT		2,462,770	322,112	64,967	151,508	538,587
PRIMARY CARE INITIATIVES MONITORING		1,134,895	145,676	28,145	68,156	241,977
AIDS INSTITUTE PROGRAM	188,955,213					
HEALTH CARE SERVICES ACCOUNT		166,053,526	13,284,811	13,981,394	4,665,764	31,931,969
HOSPITAL BASED GRANTS PROGRAM		11,089,797	1,171,105	791,167	505,197	2,467,469
MATERNAL & CHILD HIV SERVICES		8,978,390	469,793	554,108	695,478	1,719,379
OPERATIONAL SUPPORT FOR AIDS HOUSING		1,916,500	257,997	104,350	4,025	366,372
CENTER FOR COMMUNITY HEALTH PROGRAM	119,505,261					
HEALTH CARE SERVICES ACCOUNT		69,820,698	10,156,705	4,791,272	2,856,344	17,804,321
HOSPITAL BASED GRANTS PROGRAM		20,280,823	2,882,716	776,585	720,773	4,380,074
TOBACCO CONTROL & CANCER SERVICES		5,267,393	810,832	158,488	355,516	1,324,836
OFFICE OF HEALTH SYSTEMS MANAGEMENT	74,511,518					
EMERGENCY MEDICAL SERVICES ACCOUNT		45,509,503	3,695,173	1,715,095	2,636,857	8,047,125
HEALTH CARE SERVICES ACCOUNT		11,240,000	--	--	--	--
QUALITY INCENTIVE PAYMENT		2,750,000	--	--	--	--
HEALTH CARE FINANCING PROGRAM	11,336,800					
PROVIDER COLLECTION MONITORING ACCOUNT		5,972,455	836,929	124,071	284,191	1,245,191
OFFICE OF HEALTH INSURANCE PROGRAM	17,063,000					
FAMILY HEALTH PLUS		12,256,860	1,939,304	206,619	673,687	2,819,610
MEDICAID FRAUD HOTLINE/ADMIN.		1,102,500	65,329	7,162	56,090	128,581
MEDICAL ASSISTANCE PROGRAM	6,730,190,000					
BREAST & CERVICAL CANCER GRANTS		3,780,000	--	--	--	--
D&TC RATES FOR R&R GRANTS (4)		3,050,000	--	--	--	--
DISABLED PERSONS GRANTS		42,300,000	--	--	--	--
FAMILY HEALTH PLUS GRANTS		1,047,000,000	22,000,000	172,200,000	34,500,000	228,700,000
HOME CARE RATES		8,000,000	--	--	--	--
HOME HEALTH R&R RATES GRANTS (5)		100,000,000	--	--	--	--
HOME HEALTH WORKFORCE RECRUITMENT		37,000,000	--	--	--	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,846,300,000	367,768,265	88,828,279	88,800,027	545,396,571
MEDICAL ASSISTANCE - PAYMENTS GRANTS		207,700,000	82,000,000	--	32,100,000	114,100,000
NASSAU & SUFFOLK HOSPITAL DIST GRANTS		5,000,000	--	--	--	--
NON-PUBLIC GENERAL HOSP RATES FOR R&R (6)		41,300,000	--	--	--	--
NON-PUBLIC NH RATES FOR R&R GRANTS (7)		21,600,000	--	--	--	--
NURSING HOME FINANCIAL ASSIST GRANTS		15,000,000	--	--	--	--
NYC MEDICAID GRANTS		249,400,000	124,700,000	--	--	124,700,000
NYC NON-PUBLIC HOSP MEANINGFUL ACCESS		38,000,000	--	--	--	--
NYC PERSONAL CARE WRR RATES GRANTS (8)		136,000,000	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (9)		11,200,000	--	--	--	--
PHARMACY SERVICES GRANT		1,864,280,000	747,400,000	46,200,000	68,000,000	861,600,000
PHYSICIAN SERVICES GRANT		153,360,000	--	--	--	--
PRIORITY RESTORATION GRANTS		24,000,000	--	--	--	--
PUBLIC HOSPITAL RATES - SECTION 2807C		48,000,000	--	--	--	--
SUPPLEMENTAL MED INS PAYMENTS GRANTS		122,400,000	22,700,000	--	--	22,700,000
SUPPLEMENTAL RURAL HOSPITAL RATES		7,000,000	--	--	--	--
ENHANCED COMMUNITY SERVICES PROGRAM	1,000,000					
ENHANCED COMMUNITY SERVICES ACCOUNT		1,000,000	--	500,000	--	500,000
OFFICE OF LONG TERM CARE	8,623,480					
ADULT HOME INITIATIVES		3,592,850	--	--	--	--
ENHANCING ABILITIES & LIFE EXPERIENCE		2,659,195	--	--	--	--
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	582,300,000					
ELDERLY PHARMACEUTICAL INSURANCE COVER		267,375,000	6,885,058	--	--	6,885,058
PAYBILLS	1,513,800					
CHILD HEALTH INSURANCE PROGRAM	955,241,400					
CHILD HEALTH INSURANCE		460,218,280	72,425,350	35,051,536	7,069,698	114,546,584

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2009-2010

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July Disbursements	August Disbursements	Total Disbursements 5 Months Ending August 31, 2009 (3)
HEALTH CARE REFORM ACT PROGRAM	\$ 2,596,830,067	\$	\$	\$	\$	\$
ADAP/HIV UNINSURED CARE (HRI) (10)		11,760,000	--	--	--	--
AREA HEALTH CARE CENTERS		788,000	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		29,577,000	670,470	55,555	500,000	1,226,025
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		12,034,000	615,353	240,699	591,349	1,447,401
CANCER RELATED SERVICES		47,048,002	2,328,017	979,407	674,168	3,981,592
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		3,480,000	700,000	--	--	700,000
COMMISSIONER'S PRIORITY POOL DISTRIB.		71,643,000	362,624	--	--	362,624
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		101,740,620	53,697,182	--	581,356	54,278,538
DISEASE MANAGEMENT DEMO PROGRAM		3,750,000	1,010,289	--	--	1,010,289
DIVERSITY IN MEDICINE/POST BACCALAUREAT		3,920,000	978,299	--	--	978,299
GRADUATE MEDICAL EDUCATION DISTRIB		198,240,000	--	--	--	--
HEALTH CARE STABILIZATION PROGRAM		28,000,000	(178,160)	--	888,290	710,130
HEALTH FACILITY RESTRUCTURING		39,200,000	19,600,000	--	--	19,600,000
HEALTH MAINTENANCE ORG (HMO) DIRECT PAY		39,200,000	(39,200,000)	--	--	(39,200,000)
HEALTH WORKFORCE RETRAINING		142,280,000	6,329,379	348,429	198,013	6,875,821
HEALTHY NY - ADMINISTRATION		1,438,226	(1,438,226)	--	--	(1,438,226)
HEALTHY NY - ENTERTAINMENT WORKERS		503,059	(502,712)	--	--	(502,712)
HEALTHY NY - GROUP PROGRAM		122,161,378	(122,161,378)	--	--	(122,161,378)
INDIVIDUAL SUBSIDY PROGRAM		1,551,130	--	--	--	--
INFERTILITY GRANT PROGRAM		2,830,000	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		15,059,619	1,337,491	--	157,768	1,495,259
LONG TERM CARE DELIVERY DEMO PROJECTS		956,244	135,559	--	23,745	159,304
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		2,626,960	17,598	--	132	17,730
MINORITY PARTICIPATION MED EDUC		215,000	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		3,000,000	--	1,435,584	1,124	1,436,708
OTHER MEDICAL SCHOOL		1,160,000	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,407,859	436,587	3,210	1,685	441,482
PHYSICIAN PRACTICE SUPPORT PROGRAM		4,900,000	--	--	--	--
PHYSICIANS EXCESS MEDICAL MALPRACTICE		127,400,000	--	--	--	--
POISON CONTROL CENTERS		7,400,000	2,387,817	--	--	2,387,817
POOL ADMINISTRATOR-SERVICES & EXPENSES		12,371,000	918,076	--	530,875	1,448,951
PRIMARY HEALTH CARE SERVICES		3,260,000	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		136,550,000	--	--	45,450,000	45,450,000
RURAL HEALTH CARE ACCESS DEVELOP		20,255,000	890,385	739,137	489,576	2,119,098
RURAL HEALTH CARE DELIVERY DEVELOP		6,100,000	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		14,100,000	1,031,910	1,474,321	465,912	2,972,143
SCHOOL BASED HEALTH CENTERS		3,196,000	--	--	--	--
SCHOOL BASED HEALTH CLINICS		6,392,000	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		3,240,000	860,874	--	248,932	1,109,806
SENATE PRIORITY DISTRIBUTIONS		30,794,347	61,000	--	75,000	136,000
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		36,480,000	--	975,000	3,067,500	4,042,500
TELEMEDICINE DEMONSTRATION PROGRAM		2,950,566	271,695	(35)	--	271,660
TOBACCO USE PREVENTION & CONTROL		134,434,151	22,788,622	4,172,668	2,784,934	29,746,224
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		44,060,000	--	--	--	--
WORKER/RECRUIT/RETAIN PUBLIC RHCF (11)		3,650,000	--	--	1,146,289	1,146,289
TOTAL	\$ 11,302,813,145 (2)	\$ 8,634,427,662	\$ 1,437,069,817	\$ 376,746,673	\$ 302,215,758	\$ 2,116,032,248
Transfer to the General Fund - State Purposes Account (for administration of the program)	869,890					
TOTAL APPROPRIATED AMOUNT	\$ 11,303,683,035					

- (1) Includes amounts appropriated in 2009 as well as prior year appropriations that were reappropriated in the SFY 2009 budget chapters.
 (2) Unsegregated appropriation total is \$2,668,385,483.
 (3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers
 (5) Full title is: Home Health Recruitment and Retention Rates Grant
 (6) Full title is: Non-Public General Hospital Rates for Recruitment and Retention of Health Care Workers
 (7) Full title is: Non-Public Nursing Home Rates for Recruitment and Retention of Health Care Workers
 (8) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants
 (9) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants
 (10) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.
 (11) Full title is: Workers Recruitment and Retention of Residential Health Care Facilities

State of New York
Schedule of Disbursements of Federal Awards - August 2009
American Recovery and Reinvestment Act of 2009

Federal CFDA No.	Federal Agency	Program	August Disbursements	Life-to-Date Disbursements
Education				
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	\$ 49,900,000.00	\$ 49,900,000.00
		Subtotal	<u>49,900,000.00</u>	<u>49,900,000.00</u>
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	1,550,447.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	65,348.66	114,018.37
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	119,409.27	165,445.77
		Subtotal	<u>184,757.93</u>	<u>1,829,911.14</u>
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10,718.00	12,138,141.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	420.00	2,458.00
93.658	Health and Human Services	Foster Care- Title IV-E	--	10,563,599.00
93.659	Health and Human Services	Adoption Assistance	73,145.00	12,481,725.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	140,000,000.00	140,000,000.00
93.778	Health and Human Services	Medical Assistance Program (FMAP) *	476,984,389.84	3,633,592,744.00
		Subtotal	<u>617,068,672.84</u>	<u>3,814,247,645.00</u>
Housing				
93.710	Health and Human Services	ARRA - Community Services Block Grant	17,693,641.00	17,693,641.00
		Subtotal	<u>17,693,641.00</u>	<u>17,693,641.00</u>
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	41,479.70	41,479.70
17.225	Department of Labor	Unemployment Insurance	595,685,754.99	1,740,071,445.74
17.235	Department of Labor	Senior Community Service - Employment Program	19,413.00	19,413.00
17.258	Department of Labor	Workforce Investment Act - Adult Program	1,242,644.48	3,010,121.22
17.259	Department of Labor	Workforce Investment Act - Youth Activities	12,877,646.29	17,844,740.92
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	1,960,056.40	5,645,524.91
		Subtotal	<u>611,826,994.86</u>	<u>1,766,632,725.49</u>
Public Protection				
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	4,549.65	6,524.65
		Subtotal	<u>4,549.65</u>	<u>6,524.65</u>
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	14,632,068.09	23,915,555.68
		Subtotal	<u>14,632,068.09</u>	<u>23,915,555.68</u>
TOTAL DISBURSEMENTS \$			<u>1,311,310,684.37</u>	<u>\$ 5,674,226,002.96</u>

* For Federal funds, Federal CMIA regulations require that we draw funds from the US Treasury as checks are 'cleared' instead of when 'issued'. Because of the manner in which we charge federal funds as payments clear our account, there is a timing delay for recording Medicaid payments based on clearances (rather than issuances). For reporting purposes \$ 5,186,077.72 in outstanding checks are included as Medicaid payments.

APPENDIX D

STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2009-2010

	1st Quarter April-June	2009 JULY	2009 AUGUST	2009-2010
OPENING CASH BALANCE	\$ 184,277,333.13	\$ 206,704,455.64	\$ 236,006,303.77	\$ 184,277,333.13
RECEIPTS:				
Patient Services	568,849,458.27	211,123,809.61	185,831,071.00	965,804,338.88
Covered Lives	367,270,056.55	103,288,511.06	75,469,508.67	546,028,076.28
Provider Assessments	13,018,654.96	5,167,790.38	4,357,313.20	22,543,758.54
1% Assessments	76,690,876.78	24,813,167.00	25,913,776.98	127,417,820.76
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00
Interest Income	41,146.10	14,007.12	10,035.81	65,189.03
NYPHRM	1,133.69	419.86	448.92	2,002.47
Unassigned	693,032.00	(764,454.58)	(11,844.42)	(83,267.00)
Total Receipts	1,026,564,358.35	343,643,250.45	291,570,310.16	1,661,777,918.96
DISBURSEMENTS:				
Program Disbursements:				
Diagnostic and Treatment Centers	0.00	0.00	0.00	0.00
Rural Health Care Initiatives	0.00	0.00	0.00	0.00
Poison Control	(2,387,817.00)	0.00	0.00	(2,387,817.00)
Cancer Related Services	0.00	0.00	0.00	0.00
Health Work Force Retraining Program	0.00	0.00	0.00	0.00
Minority Partnership in Medical Education Grants	0.00	0.00	0.00	0.00
GME Distributions	0.00	0.00	0.00	0.00
Health Care Recruitment & Retention	0.00	0.00	(1,146,289.00)	(1,146,289.00)
Poison Control Centers	0.00	0.00	0.00	0.00
School Based Health Center Grants	0.00	0.00	0.00	0.00
Professional Education Pool Distributions	0.00	0.00	(4,042,500.00)	(4,042,500.00)
DSH Cap "pop-up"	0.00	0.00	0.00	0.00
Total Program Disbursements	(2,387,817.00)	0.00	(5,188,789.00)	(7,576,606.00)
Administrative Expenses	0.00	0.00	0.00	0.00
Total Disbursements	(2,387,817.00)	0.00	(5,188,789.00)	(7,576,606.00)
Excess (Deficiency) of Receipts over Disbursements	1,024,176,541.35	343,643,250.45	286,381,521.16	1,654,201,312.96
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Tobacco Control and Insurance Initiatives	0.00	0.00	0.00	0.00
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Hospital Regional Pool Contribution	0.00	0.00	0.00	0.00
Statewide Bad Debt & Charity Care Pool	0.00	0.00	0.00	0.00
Transfers From State Funds:				
061-HCRA Resources Fund	2,387,817.00	0.00	5,188,789.00	7,576,606.00
Other	0.00	0.00	0.00	0.00
Total Other Financing Sources	2,387,817.00	0.00	5,188,789.00	7,576,606.00
Transfers to Other Pools:				
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00
Tobacco Control & Insurance Initiatives	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Escrow	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00
Transfers to State Funds:				
061-HCRA Resources Fund	(729,681,602.49)	(225,616,146.48)	(218,967,586.51)	(1,174,265,335.48)
061-IN Indigent Care Fund (matched)	(272,351,770.38)	(87,919,630.59)	(88,133,978.65)	(448,405,379.62)
061-IN Indigent Care Fund (non-matched)	(2,103,862.97)	(805,625.25)	(670,199.08)	(3,579,687.30)
Other	0.00	0.00	0.00	0.00
Total Other Financing Uses	(1,004,137,235.84)	(314,341,402.32)	(307,771,764.24)	(1,626,250,402.40)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22,427,122.51	29,301,848.13	(16,201,454.08)	35,527,516.56
CLOSING CASH BALANCE	\$ 206,704,455.64	\$ 236,006,303.77	\$ 219,804,849.69	\$ 219,804,849.69

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2009-2010

	<u>1st Quarter</u> <u>April-June</u>	<u>2009</u> <u>JULY</u>	<u>2009</u> <u>AUGUST</u>	<u>2009-2010</u>
OPENING CASH BALANCE	\$ 1,103.14	\$ 367.78	\$ 367.87	\$ 1,103.14
RECEIPTS:				
Interest Income	1,505.04	367.87	1,104.67	2,977.58
Total Receipts	<u>1,505.04</u>	<u>367.87</u>	<u>1,104.67</u>	<u>2,977.58</u>
DISBURSEMENTS:				
Program Disbursements:				
Indigent Care	(265,037,182.94)	(88,356,791.74)	(88,431,139.80)	(441,825,114.48)
High Need Indigent Care	(8,207,210.97)	0.00	0.00	(8,207,210.97)
Other	100,244.09	68,697.05	64,123.22	233,064.36
Total Program Disbursements	<u>(273,144,149.82)</u>	<u>(88,288,094.69)</u>	<u>(88,367,016.58)</u>	<u>(449,799,261.09)</u>
Investment Purchases	0.00	0.00	0.00	0.00
Total Disbursements	<u>(273,144,149.82)</u>	<u>(88,288,094.69)</u>	<u>(88,367,016.58)</u>	<u>(449,799,261.09)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(273,142,644.78)</u>	<u>(88,287,726.82)</u>	<u>(88,365,911.91)</u>	<u>(449,796,283.51)</u>
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Tobacco Control and Insurance Initiatives	0.00	0.00	0.00	0.00
Public Goods Pool	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers From State Funds:				
061-IN HCRA Resources Indigent Care - Matched	136,175,885.20	43,959,815.30	44,066,989.33	224,202,689.83
061-IN HCRA Resources Indigent Care - Unmatched	792,379.44	368,464.10	233,037.93	1,393,881.47
265-Federal DHHS Fund	136,175,885.18	43,959,815.29	44,066,989.32	224,202,689.79
Other	0.00	0.00	0.00	0.00
Total Other Financing Sources	<u>273,144,149.82</u>	<u>88,288,094.69</u>	<u>88,367,016.58</u>	<u>449,799,261.09</u>
Transfers to Other Pools:				
Public Goods Pool	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00
Transfers to State Funds:				
061-HCRA Resources Fund	(2,240.40)	(367.78)	(367.87)	(2,976.05)
Total Other Financing Uses	<u>(2,240.40)</u>	<u>(367.78)</u>	<u>(367.87)</u>	<u>(2,976.05)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(735.36)</u>	<u>0.09</u>	<u>736.80</u>	<u>1.53</u>
CLOSING CASH BALANCE	<u>\$ 367.78</u>	<u>\$ 367.87</u>	<u>\$ 1,104.67</u>	<u>\$ 1,104.67</u>

Source: HCRA - Office of Pool Administration

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '09 (000)	DISBURSED MAY '09 (000)	DISBURSED JUNE '09 (000)	DISBURSED JULY '09 (000)	DISBURSED AUG '09 (000)	DISBURSED SEPT '09 (000)	DISBURSED OCT '09 (000)	DISBURSED NOV '09 (000)	DISBURSED DEC '09 (000)	DISBURSED JAN '10 (000)	DISBURSED FEB '10 (000)	DISBURSED MAR '10 (000)	DISBURSED TOTAL 09-10 (000)
DORMITORY AUTHORITY:													
Education - All Other	625	--	21	--	--	--	--	--	--	--	--	--	646
Education - EXCEL	47,685	15,068	35,405	36,901	--	--	--	--	--	--	--	--	135,059
Department of Health - All Other	57	--	62	--	--	--	--	--	--	--	--	--	119
Department of Health - Oxford	--	--	--	--	--	--	--	--	--	--	--	--	--
Judicial Institutes (Pace)	--	--	--	--	--	--	--	--	--	--	--	--	--
CEFAP	763	522	196	31	--	--	--	--	--	--	--	--	1,512
Regional Development:													
CCAP	2,525	562	1,657	1,918	--	--	--	--	--	--	--	--	6,662
Multi-modal	2,040	10	98	--	--	--	--	--	--	--	--	--	2,148
GenNYsis	3,376	883	263	--	--	--	--	--	--	--	--	--	4,522
RESTORE	--	--	--	17	--	--	--	--	--	--	--	--	17
CUNY Senior Colleges	57,318	12,372	54,944	28,037	--	--	--	--	--	--	--	--	152,671
CUNY Community Colleges	12,258	3,450	12,532	8,871	--	--	--	--	--	--	--	--	37,111
SUNY Dormitories	14,694	4,399	22,955	19,678	--	--	--	--	--	--	--	--	61,726
Upstate Community Colleges	4,297	2,875	7,180	2,933	--	--	--	--	--	--	--	--	17,285
Mental Health	12,626	3,369	17,371	23,921	--	--	--	--	--	--	--	--	57,287
Mental Retardation	4,846	1,901	5,792	4,042	--	--	--	--	--	--	--	--	16,581
Alcoholism & Alcohol Abuse	320	4	204	1,090	--	--	--	--	--	--	--	--	1,618
TOTAL DORMITORY AUTHORITY:	163,430	45,415	158,680	127,439	--	--	--	--	--	--	--	--	494,964
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	25	334	278	976	--	--	--	--	--	--	--	--	1,613
CCAP	200	762	516	341	--	--	--	--	--	--	--	--	1,819
Empire Opportunity	--	--	--	--	--	--	--	--	--	--	--	--	--
CEFAP	100	9	--	--	--	--	--	--	--	--	--	--	109
SEMATECH	--	--	--	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	(56)	--	--	--	--	--	--	--	--	--	--	--	(56)
TOTAL EMPIRE STATE DEVELOPMENT CORP:	269	1,105	794	1,317	--	--	--	--	--	--	--	--	3,485
THRUWAY AUTHORITY:													
CHIPS	--	--	24,789	--	--	--	--	--	--	--	--	--	24,789
SHIPS	--	--	--	--	--	--	--	--	--	--	--	--	--
Marchiselli	--	--	9,852	--	--	--	--	--	--	--	--	--	9,852
Multi-modal	--	--	191	--	--	--	--	--	--	--	--	--	191
TOTAL THRUWAY AUTHORITY:	--	--	34,832	--	--	--	--	--	--	--	--	--	34,832
TOTAL OFF-BUDGET:	163,699	46,520	194,306	128,756	--	--	--	--	--	--	--	--	533,281
TOTAL CEFAP	863	531	196	31	--	--	--	--	--	--	--	--	1,621
ECONOMIC DEVELOPMENT:													
Total CCAP	2,725	1,324	2,173	2,259	--	--	--	--	--	--	--	--	8,481
Total Multi-modal	2,040	10	98	--	--	--	--	--	--	--	--	--	2,148
Total GenNYsis	3,376	883	263	--	--	--	--	--	--	--	--	--	4,522
Total RESTORE	--	--	--	17	--	--	--	--	--	--	--	--	17
Total Centers for Excellence	25	334	278	976	--	--	--	--	--	--	--	--	1,613
Total Empire Opportunity	--	--	--	--	--	--	--	--	--	--	--	--	--
Total Economic Development	8,166	2,551	2,812	3,252	--	--	--	--	--	--	--	--	16,781

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.