

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)  
September 2012**



**THOMAS P. DiNAPOLI  
STATE COMPTROLLER**

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (\*)  
(amounts in millions)**

**EXHIBIT A  
SUPPLEMENTAL**

	STATE OPERATING FUNDS						TOTAL		FEDERAL		CAPITAL PROJECTS		SPECIAL REVENUE		TOTAL	
	GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		STATE OPERATING FUNDS		SPECIAL REVENUE		ELIMINATIONS		GOVERNMENTAL FUNDS			
	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012
<b>RECEIPTS:</b>																
Personal Income Tax	\$2,773.7	\$13,938.0	\$187.9	\$587.7	\$987.2	\$4,841.9	\$3,948.8	\$19,367.6	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$3,948.8	\$19,367.6
Consumption/Use Taxes	919.8	4,547.8	182.7	1,099.3	287.1	1,392.3	1,389.6	7,039.4	--	--	63.8	302.1	--	--	1,453.4	7,341.5
Business Taxes	1,019.3	2,458.3	227.1	677.3	--	--	1,246.4	3,135.6	--	--	58.2	322.6	--	--	1,304.6	3,458.2
Other Taxes	67.8	539.5	65.1	559.2	46.4	321.4	179.3	1,420.1	--	--	11.9	47.6	--	--	191.2	1,467.7
Miscellaneous Receipts (10)	943.3	1,853.9	1,579.0	7,627.9	118.8	395.9	2,641.1	9,877.7	11.8	99.4	345.6	1,746.0	--	--	2,998.5	11,723.1
Federal Receipts (9)	--	33.4	3.3	11.7	--	39.4	3.3	84.5	3,012.2	18,281.1	199.8	899.1	--	--	3,215.3	19,264.7
<b>Total Receipts</b>	<b>5,723.9</b>	<b>23,370.9</b>	<b>2,245.1</b>	<b>10,563.1</b>	<b>1,439.5</b>	<b>6,990.9</b>	<b>9,408.5</b>	<b>40,924.9</b>	<b>3,024.0</b>	<b>18,380.5</b>	<b>679.3</b>	<b>3,317.4</b>	<b>--</b>	<b>--</b>	<b>13,111.8</b>	<b>62,622.8</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants (1)(5)(6)(7)(8)	3,267.6	17,577.1	3,156.8	8,790.8	--	--	6,424.4	26,367.9	2,747.7	16,300.7	140.4	502.3	--	--	9,312.5	43,170.9
Departmental Operations:																
Personal Service (6)	436.8	3,222.4	492.1	2,872.0	--	--	928.9	6,094.4	45.5	286.1	--	--	--	--	974.4	6,380.5
Non-Personal Service (6)	143.3	772.5	281.3	1,405.1	2.8	17.7	427.4	2,195.3	124.9	380.8	--	--	--	--	552.3	2,576.1
General State Charges	398.4	2,210.8	54.4	435.1	--	--	452.8	2,645.9	37.5	85.0	--	--	--	--	490.3	2,730.9
Debt Service, Including Payments on																
Financing Agreements (2)	--	--	--	--	769.2	2,320.1	769.2	2,320.1	--	--	--	--	--	--	769.2	2,320.1
Capital Projects (3)	--	--	0.3	3.1	--	--	0.3	3.1	--	--	464.8	2,757.9	--	--	465.1	2,761.0
<b>Total Disbursements</b>	<b>4,246.1</b>	<b>23,782.8</b>	<b>3,984.9</b>	<b>13,506.1</b>	<b>772.0</b>	<b>2,337.8</b>	<b>9,003.0</b>	<b>39,626.7</b>	<b>2,955.6</b>	<b>17,052.6</b>	<b>605.2</b>	<b>3,260.2</b>	<b>--</b>	<b>--</b>	<b>12,563.8</b>	<b>59,939.5</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,477.8</b>	<b>(411.9)</b>	<b>(1,739.8)</b>	<b>(2,943.0)</b>	<b>667.5</b>	<b>4,653.1</b>	<b>405.5</b>	<b>1,298.2</b>	<b>68.4</b>	<b>1,327.9</b>	<b>74.1</b>	<b>57.2</b>	<b>--</b>	<b>--</b>	<b>548.0</b>	<b>2,683.3</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (4)	1,390.5	6,037.2	516.1	3,962.0	272.1	2,991.4	2,178.7	12,990.6	--	--	0.7	323.1	(42.1)	(174.6)	2,137.3	13,139.1
Transfers to Other Funds (4)	(131.5)	(3,129.7)	(14.5)	(132.6)	(1,607.9)	(7,683.8)	(1,753.9)	(10,946.1)	(263.6)	(1,696.0)	(160.1)	(705.7)	42.1	174.6	(2,135.5)	(13,173.2)
<b>Total Other Financing Sources (Uses)</b>	<b>1,259.0</b>	<b>2,907.5</b>	<b>501.6</b>	<b>3,829.4</b>	<b>(1,335.8)</b>	<b>(4,692.4)</b>	<b>424.8</b>	<b>2,044.5</b>	<b>(263.6)</b>	<b>(1,696.0)</b>	<b>(159.4)</b>	<b>(382.6)</b>	<b>--</b>	<b>--</b>	<b>1.8</b>	<b>(34.1)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,736.8</b>	<b>2,495.6</b>	<b>(1,238.2)</b>	<b>886.4</b>	<b>(668.3)</b>	<b>(39.3)</b>	<b>830.3</b>	<b>3,342.7</b>	<b>(195.2)</b>	<b>(368.1)</b>	<b>(85.3)</b>	<b>(325.4)</b>	<b>--</b>	<b>--</b>	<b>549.8</b>	<b>2,649.2</b>
<b>Beginning Fund Balances (Deficit)</b>	<b>1,545.5</b>	<b>1,786.7</b>	<b>3,757.4</b>	<b>1,632.8</b>	<b>1,056.5</b>	<b>427.5</b>	<b>6,359.4</b>	<b>3,847.0</b>	<b>(210.2)</b>	<b>(37.3)</b>	<b>(689.5)</b>	<b>(449.4)</b>	<b>--</b>	<b>--</b>	<b>5,459.7</b>	<b>3,360.3</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$4,282.3</b>	<b>\$4,282.3</b>	<b>\$2,519.2</b>	<b>\$2,519.2</b>	<b>\$388.2</b>	<b>\$388.2</b>	<b>\$7,189.7</b>	<b>\$7,189.7</b>	<b>(\$405.4)</b>	<b>(\$405.4)</b>	<b>(\$774.8)</b>	<b>(\$774.8)</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$6,009.5</b>	<b>\$6,009.5</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds. Federal Special Revenue Funds account for all non-capital federal operating grants received by the State. Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

**EXHIBIT A**

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2011	6 MOS. ENDED SEPT. 30, 2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>														
Personal Income Tax	\$2,773.7	\$13,938.0	\$187.9	\$587.7	\$987.2	\$4,841.9	\$ --	\$ --	\$3,948.8	\$19,367.6	\$3,822.3	\$19,429.5	(\$61.9)	-0.3%
Consumption/Use Taxes	919.8	4,547.8	182.7	1,099.3	287.1	1,392.3	63.8	302.1	1,453.4	7,341.5	1,421.1	7,352.0	(10.5)	-0.1%
Business Taxes	1,019.3	2,458.3	227.1	677.3	--	--	58.2	322.6	1,304.6	3,458.2	1,277.4	3,355.5	102.7	3.1%
Other Taxes	67.8	539.5	65.1	559.2	46.4	321.4	11.9	47.6	191.2	1,467.7	245.0	1,570.1	(102.4)	-6.5%
Miscellaneous Receipts (10)	943.3	1,853.9	1,590.8	7,727.3	118.8	395.9	345.6	1,746.0	2,998.5	11,723.1	2,499.3	10,885.4	837.7	7.7%
Federal Receipts (9)	--	33.4	3,015.5	18,292.8	--	39.4	199.8	899.1	3,215.3	19,264.7	3,797.6	22,614.4	(3,349.7)	-14.8%
<b>Total Receipts</b>	<b>5,723.9</b>	<b>23,370.9</b>	<b>5,269.1</b>	<b>28,943.6</b>	<b>1,439.5</b>	<b>6,990.9</b>	<b>679.3</b>	<b>3,317.4</b>	<b>13,111.8</b>	<b>62,622.8</b>	<b>13,062.7</b>	<b>65,206.9</b>	<b>(2,584.1)</b>	<b>-4.0%</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants (1)(5)(6)(7)(8)	3,267.6	17,577.1	5,904.5	25,091.5	--	--	140.4	502.3	9,312.5	43,170.9	8,359.6	45,329.8	(2,158.9)	-4.8%
Departmental Operations:														
Personal Service (6)	436.8	3,222.4	537.6	3,158.1	--	--	--	--	974.4	6,380.5	994.1	6,405.6	(25.1)	-0.4%
Non-Personal Service (6)	143.3	772.5	406.2	1,785.9	2.8	17.7	--	--	552.3	2,576.1	521.4	2,838.0	(261.9)	-9.2%
General State Charges	398.4	2,210.8	91.9	520.1	--	--	--	--	490.3	2,730.9	470.2	2,733.7	(2.8)	-0.1%
Debt Service, Including Payments on Financing Agreements (2)	--	--	--	--	769.2	2,320.1	--	--	769.2	2,320.1	877.6	2,282.3	37.8	1.7%
Capital Projects (3)	--	--	0.3	3.1	--	--	464.8	2,757.9	465.1	2,761.0	422.9	2,457.2	303.8	12.4%
<b>Total Disbursements</b>	<b>4,246.1</b>	<b>23,782.8</b>	<b>6,940.5</b>	<b>30,558.7</b>	<b>772.0</b>	<b>2,337.8</b>	<b>605.2</b>	<b>3,260.2</b>	<b>12,563.8</b>	<b>59,939.5</b>	<b>11,645.8</b>	<b>62,046.6</b>	<b>(2,107.1)</b>	<b>-3.4%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,477.8</b>	<b>(411.9)</b>	<b>(1,671.4)</b>	<b>(1,615.1)</b>	<b>667.5</b>	<b>4,653.1</b>	<b>74.1</b>	<b>57.2</b>	<b>548.0</b>	<b>2,683.3</b>	<b>1,416.9</b>	<b>3,160.3</b>	<b>(477.0)</b>	<b>-15.1%</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (4)	1,390.5	6,037.2	474.0	3,787.4	272.1	2,991.4	0.7	323.1	2,137.3	13,139.1	2,112.2	13,344.4	(205.3)	-1.5%
Transfers to Other Funds (4)	(131.5)	(3,129.7)	(236.0)	(1,654.0)	(1,607.9)	(7,683.8)	(160.1)	(705.7)	(2,135.5)	(13,173.2)	(2,106.3)	(13,363.1)	(189.9)	-1.4%
<b>Total Other Financing Sources (Uses)</b>	<b>1,259.0</b>	<b>2,907.5</b>	<b>238.0</b>	<b>2,133.4</b>	<b>(1,335.8)</b>	<b>(4,692.4)</b>	<b>(159.4)</b>	<b>(382.6)</b>	<b>1.8</b>	<b>(34.1)</b>	<b>5.9</b>	<b>(18.7)</b>	<b>(15.4)</b>	<b>-82.4%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,736.8</b>	<b>2,495.6</b>	<b>(1,433.4)</b>	<b>518.3</b>	<b>(668.3)</b>	<b>(39.3)</b>	<b>(85.3)</b>	<b>(325.4)</b>	<b>549.8</b>	<b>2,649.2</b>	<b>1,422.8</b>	<b>3,141.6</b>	<b>(492.4)</b>	<b>-15.7%</b>
<b>Beginning Fund Balances (Deficit)</b>	<b>1,545.5</b>	<b>1,786.7</b>	<b>3,547.2</b>	<b>1,595.5</b>	<b>1,056.5</b>	<b>427.5</b>	<b>(689.5)</b>	<b>(449.4)</b>	<b>5,459.7</b>	<b>3,360.3</b>	<b>5,531.1</b>	<b>3,812.3</b>	<b>(452.0)</b>	<b>-11.9%</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$4,282.3</b>	<b>\$4,282.3</b>	<b>\$2,113.8</b>	<b>\$2,113.8</b>	<b>\$388.2</b>	<b>\$388.2</b>	<b>(\$774.8)</b>	<b>(\$774.8)</b>	<b>\$6,009.5</b>	<b>\$6,009.5</b>	<b>\$6,953.9</b>	<b>\$6,953.9</b>	<b>(\$944.4)</b>	<b>-13.6%</b>

**GOVERNMENTAL FUNDS FOOTNOTES**

September 2012 - Exhibit A Notes

1. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in October 2012:

Federal DHHS	\$185.8 million
Federal USDA/Food and Consumer Services	74.1
Federal DHHS/Block Grant	--
Federal Education	33.8
Federal Miscellaneous Operating Grants	180.2
Federal Employment and Training Grants	0.3

2. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$38.7 million
Urban Development Corporation (Youth Facilities)	7.6
Housing Finance Agency (HFA)	166.2
Housing Assistance Fund	20.4
Dormitory Authority (Mental Hygiene)	342.1
Dormitory Authority and State University Income Fund	40.2
Federal Capital Projects	121.3
State bond and note proceeds	57.2

4. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

State Capital Projects	\$266.2 million
General Debt Service	749.4
MTA Financial Assistance	161.0
MTA Operating Assistance	27.3
Housing Debt Fund	3.8
Banking Services	33.0
Crimes Against Revenue Account	10.0
Alcohol Beverage Control	8.7
Financial Management Systems	24.0
Court Facilities Incentive Aid	81.6
Centralized Tech Services	7.0
NYC County Courts Operating	8.6
SUNY - General Revenue Offset Account	180.0
SUNY - Hospitals IFR Account	26.9
SUNY - Stabilization Account	15.8

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$9.8m), the State University Income Funds (\$71.2m) and the Mental Hygiene Program Account (\$1,445.0m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2012 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves, and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service Funds of \$1,519.1m representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

SUNY Income Fund	\$32.1 million
Revenue Arrearage Account	21.6
Youth Facilities Per Diem	11.5
Business & Licensing Services Account	12.7
Fire Prevent & Code Enforcement Account	3.0
Miscellaneous State Special Revenue Fund	7.4

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,346.5 million
Local Government Assistance Tax	1,323.8
Clean Water/Clean Air	269.1

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$58.1m), Mental Hygiene (\$1,527.6m) and the State University (\$126.6m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$9.6m) the General Debt Service Fund (\$630.3m), and the Revenue Bond Tax Fund (\$65.8).

5. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. Accounting entries will be made in October 2012, to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

Medicaid Recoveries - Health Facilities	\$3,902,013
Medicaid Recoveries - Audit	10,212,731
Medicaid Recoveries - Third Parties	2,417,398
Pharmacy Rebates	16,917,324
Medicare Catastrophic Recovery	--
Medicaid "Windfall" Recovery	36,773
Total	<u>\$33,486,239</u>

6. Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Services to Non-Personal Services and Occupational Training Account Benefit Payments have been reclassified from Non-Personal Services to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Personal Service, Non-Personal Service and Local Assistance Grant disbursements.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

September 2012 - **Exhibit A Notes**  
(Continued)

7. Beginning April 1, 2012 New York State converted to a new Central Accounting System. Local Assistance Grants disbursements are now accounted for by program and not by object of expense. Therefore, only a total is available for reporting purposes.
8. A portion of Personal Income Tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$399.8m for the month of June and \$187.9 in September.
9. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

10. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	6 Months Ended September 30		\$ Increase/ (Decrease)
					2012	2011	
			(amounts in millions)				
<b>Abandoned Property</b>							
Abandoned Property	\$166.7	\$ --	\$ --	\$ --	\$166.7	\$167.7	(\$1.0)
Unclaimed Bottle Deposits	67.6	--	--	--	67.6	61.4	6.2
<b>Interest Earnings</b>	1.3	11.0	0.2	0.2	12.7	15.0	(2.3)
<b>Receipts from Public Authorities:</b>							
Bond Issuance Fees	69.0	7.2	--	--	76.2	43.7	32.5
Cost Recovery Assessments	--	11.3	--	--	11.3	22.6	(11.3)
Dormitory Authority	--	--	--	6.6	6.6	--	6.6
Empire State/Urban Development Corporation	--	--	--	--	--	0.6	(0.6)
Environmental Facilities Corporation	--	6.8	--	--	6.8	4.7	2.1
Ogdensburgh Bridge	--	--	--	0.1	0.1	--	0.1
Port of Oswego	--	--	--	0.1	0.1	--	0.1
Power Authority	21.0	3.9	--	--	24.9	47.7	(22.8)
State of New York Mortgage Agency	100.0	--	--	--	100.0	--	100.0
Thruway Authority - Policing the Thruway	--	26.3	--	--	26.3	23.4	2.9
<b>Bond Proceeds</b>							
Dormitory Authority	--	0.2	--	908.4	908.6	658.4	250.2
Empire State/Urban Development Corporation	--	--	--	226.0	226.0	527.8	(301.8)
Environmental Facilities Corporation	--	--	--	--	--	1.2	(1.2)
Thruway Authority	--	--	--	133.9	133.9	88.9	45.0
All Other	--	1.6	--	--	1.6	0.8	0.8
<b>Refunds and Reimbursements:</b>							
Receipts from Municipalities	89.5	52.1	6.4	0.8	148.8	144.6	4.2
Women, Infants and Children Rebates	--	56.6	--	--	56.6	50.9	5.7
HESC Student Loan Recoveries	--	37.5	--	--	37.5	38.0	(0.5)
Administrative Recoveries	43.4	51.3	--	0.7	95.4	87.5	7.9
Indirect Cost Assessments	24.1	--	--	--	24.1	66.5	(42.4)
EPIC Fees and Rebates	--	15.8	--	--	15.8	89.7	(73.9)
Reimbursements from Cornell University	--	1.4	--	--	1.4	7.0	(5.6)
Hazardous Waste and Oil Spill	--	2.1	--	6.7	8.8	11.1	(2.3)
Third Party Recoveries	--	99.5	--	--	99.5	18.7	80.8
All Other	15.2	6.6	--	11.2	33.0	19.4	13.6
<b>Health Care Reform Act:</b>							
Public Goods and Health Care Initiatives Pools	--	2,130.5	--	--	2,130.5	2,014.5	116.0
<b>Revenues of State Departments:</b>							
Patient/Client Care Reimbursements	--	954.5	221.8	--	1,176.3	1,075.8	100.5
Medical Care Provider Assessments	64.9	394.3	--	--	459.2	496.2	(37.0)
Industry Assessments - Regular	--	444.8	--	50.6	495.4	561.5	(66.1)
Industry Assessments - Temporary Utility Surcharge	249.8	--	--	--	249.8	260.0	(10.2)
Student Tuition, Fees and Other SUNY Revenues	--	1,001.7	167.5	--	1,169.2	1,110.4	58.8
Student Tuition, Fees and Other CUNY Revenues	--	40.8	--	--	40.8	72.3	(31.5)
Miscellaneous Sales, Rentals and Leases	11.6	9.6	--	2.7	23.9	23.3	0.6
Gifts	0.1	2.6	--	--	2.7	3.6	(0.9)
All Other	39.1	--	--	0.2	39.3	14.6	24.7
<b>Gaming:</b>							
Lottery - Education	--	920.8	--	--	920.8	855.2	65.6
Lottery - Administration	--	272.6	--	--	272.6	260.1	12.5
Video Lottery Terminal - Education	--	416.6	--	--	416.6	285.6	131.0
Video Lottery Terminal - Administration	--	25.8	--	--	25.8	16.7	9.1
<b>Licenses and Fees</b>							
Motor Vehicle - Other	--	143.2	--	376.5	519.7	517.9	1.8
Motor Vehicle - Metropolitan Transportation Authority	--	98.7	--	--	98.7	101.5	(2.8)
Alcohol Beverage Control Licensing	30.5	--	--	--	30.5	31.3	(0.8)
All Other	208.1	454.1	--	20.4	682.6	757.2	(74.6)
<b>Fines</b>	652.0	25.5	--	0.9	678.4	230.4	448.0
<b>TOTAL</b>	<b>\$1,853.9</b>	<b>\$7,727.3</b>	<b>\$395.9</b>	<b>\$1,746.0</b>	<b>\$11,723.1</b>	<b>\$10,885.4</b>	<b>\$837.7</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2011	6 MOS. ENDED SEPT. 30, 2011
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$12.2	\$120.0	\$49.3	\$109.6	\$61.5	\$229.6	\$56.8	\$347.7
Federal Receipts	244.1	1,808.1	--	--	244.1	1,808.1	294.9	1,961.9
Unemployment Taxes	229.1	1,631.0	--	--	229.1	1,631.0	260.0	1,683.4
<b>TOTAL RECEIPTS</b>	<b>485.4</b>	<b>3,559.1</b>	<b>49.3</b>	<b>109.6</b>	<b>534.7</b>	<b>3,668.7</b>	<b>611.7</b>	<b>3,993.0</b>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	1.7	3.6	7.9	52.6	9.6	56.2	9.7	59.1
Non-Personal Service	107.7	122.2	31.4	171.3	139.1	293.5	140.7	291.6
General State Charges	--	0.2	0.1	12.6	0.1	12.8	--	25.2
Unemployment Benefits	473.6	3,439.0	--	--	473.6	3,439.0	532.1	3,619.7
<b>TOTAL DISBURSEMENTS</b>	<b>583.0</b>	<b>3,565.0</b>	<b>39.4</b>	<b>236.5</b>	<b>622.4</b>	<b>3,801.5</b>	<b>682.5</b>	<b>3,995.6</b>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>(97.6)</b>	<b>(5.9)</b>	<b>9.9</b>	<b>(126.9)</b>	<b>(87.7)</b>	<b>(132.8)</b>	<b>(70.8)</b>	<b>(2.6)</b>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	2.6	40.0	2.6	40.0	9.5	50.3
Transfers to Other Funds	--	--	(4.4)	(5.9)	(4.4)	(5.9)	(4.4)	(6.4)
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>--</b>	<b>(1.8)</b>	<b>34.1</b>	<b>(1.8)</b>	<b>34.1</b>	<b>5.1</b>	<b>43.9</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(97.6)	(5.9)	8.1	(92.8)	(89.5)	(98.7)	(65.7)	41.3
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<b>188.8</b>	<b>97.1</b>	<b>(59.0)</b>	<b>41.9</b>	<b>129.8</b>	<b>139.0</b>	<b>156.9</b>	<b>49.9</b>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<b>\$91.2</b>	<b>\$91.2</b>	<b>(\$50.9)</b>	<b>(\$50.9)</b>	<b>\$40.3</b>	<b>\$40.3</b>	<b>\$91.2</b>	<b>\$91.2</b>

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2011	6 MOS. ENDED SEPT. 30, 2011
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$4.3	\$36.1	\$0.1	\$0.6	\$4.4	\$36.7	\$7.0	\$47.8
<b>TOTAL RECEIPTS</b>	<u>4.3</u>	<u>36.1</u>	<u>0.1</u>	<u>0.6</u>	<u>4.4</u>	<u>36.7</u>	<u>7.0</u>	<u>47.8</u>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	4.0	27.0	--	0.1	4.0	27.1	4.4	27.7
Non-Personal Service	0.6	8.8	--	--	0.6	8.8	0.6	8.4
General State Charges	--	6.2	--	--	--	6.2	--	12.5
<b>TOTAL DISBURSEMENTS</b>	<u>4.6</u>	<u>42.0</u>	<u>--</u>	<u>0.1</u>	<u>4.6</u>	<u>42.1</u>	<u>5.0</u>	<u>48.6</u>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<u>(0.3)</u>	<u>(5.9)</u>	<u>0.1</u>	<u>0.5</u>	<u>(0.2)</u>	<u>(5.4)</u>	<u>2.0</u>	<u>(0.8)</u>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
<b>NET SOURCES (USES)</b>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.3)	(5.9)	0.1	0.5	(0.2)	(5.4)	2.0	(0.8)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<u>(4.7)</u>	<u>0.9</u>	<u>10.6</u>	<u>10.2</u>	<u>5.9</u>	<u>11.1</u>	<u>7.9</u>	<u>10.7</u>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<u>(\$5.0)</u>	<u>(\$5.0)</u>	<u>\$10.7</u>	<u>\$10.7</u>	<u>\$5.7</u>	<u>\$5.7</u>	<u>\$9.9</u>	<u>\$9.9</u>



STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2012  
 (amounts in millions)

**EXHIBIT D**

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>			
Taxes.....	\$31,848	\$31,635.0	(\$213.0)
Miscellaneous Receipts.....	11,215	11,723.1	508.1
Federal Receipts.....	19,221	19,264.7	43.7
<b>Total Receipts.....</b>	<b>62,284</b>	<b>62,622.8</b>	<b>338.8</b>
<b>DISBURSEMENTS:</b>			
Local Assistance Grants.....	43,672	43,170.9	(501.1)
Departmental Operations.....	9,084	8,956.6	(127.4)
General State Charges.....	2,823	2,730.9	(92.1)
Debt Service.....	2,354	2,320.1	(33.9)
Capital Projects.....	2,711	2,761.0	50.0
<b>Total Disbursements.....</b>	<b>60,644</b>	<b>59,939.5</b>	<b>(704.5)</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>1,640</b>	<b>2,683.3</b>	<b>1,043.3</b>
<b>OTHER FINANCING SOURCES (USES):</b>			
Bond and Note Proceeds, net.....	99	--	(99.0)
Transfers from Other Funds.....	13,277	13,139.1	(137.9)
Transfers to Other Funds.....	(13,307)	(13,173.2)	(133.8)
<b>Total Other Financing Sources (Uses).....</b>	<b>69.0</b>	<b>(34.1)</b>	<b>(103.1)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>1,709</b>	<b>2,649.2</b>	<b>940.2</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>3,360</b>	<b>3,360.3</b>	<b>0.3</b>
<b>Fund Balances (Deficit) at September 30.....</b>	<b>\$5,069</b>	<b>\$6,009.5</b>	<b>\$940.5</b>

(\*) Source: 2012-13 Financial Plan First Quarterly Update, dated July 30, 2012.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2012  
 (amounts in millions)

**EXHIBIT D**  
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Personal Income .....	\$13,944	\$13,938.0	(\$6.0)	\$588	\$587.7	(\$0.3)
Consumption/Use .....	4,523	4,547.8	24.8	1,127	1,099.3	(27.7)
Business.....	2,565	2,458.3	(106.7)	682	677.3	(4.7)
Other.....	568	539.5	(28.5)	574	559.2	(14.8)
Miscellaneous Receipts .....	1,391	1,853.9	462.9	7,549	7,727.3	178.3
Federal Receipts.....	31	33.4	2.4	18,185	18,292.8	107.8
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	4,332	4,346.5	14.5	--	--	--
Sales Tax in excess of LGAC Debt Service.....	1,292	1,323.8	31.8	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	297	269.1	(27.9)	--	--	--
All Other.....	128	97.8	(30.2)	3,920	3,787.4	(132.6)
<b>Total Receipts.....</b>	<b>29,071</b>	<b>29,408.1</b>	<b>337.1</b>	<b>32,625</b>	<b>32,731.0</b>	<b>106.0</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	17,524	17,577.1	53.1	25,500	25,091.5	(408.5)
Departmental Operations.....	4,035	3,994.9	(40.1)	5,029	4,944.0	(85.0)
General State Charges.....	1,880	2,210.8	330.8	943	520.1	(422.9)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	1	3.1	2.1
Transfers To:						
Debt Service.....	755	749.4	(5.6)	--	--	--
Capital Projects.....	159	266.2	107.2	--	--	--
State Share Medicaid.....	1,764	1,526.3 (**)	(237.7)	--	--	--
SUNY Operations.....	180	180.0	--	--	--	--
Other Purposes.....	463	407.8	(55.2)	1,754	1,654.0	(100.0)
<b>Total Disbursements.....</b>	<b>26,760</b>	<b>26,912.5</b>	<b>152.5</b>	<b>33,227</b>	<b>32,212.7</b>	<b>(1,014.3)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>2,311</b>	<b>2,495.6</b>	<b>184.6</b>	<b>(602)</b>	<b>518.3</b>	<b>1,120.3</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>1,787</b>	<b>1,786.7</b>	<b>(0.3)</b>	<b>1,594</b>	<b>1,595.5</b>	<b>1.5</b>
<b>Fund Balances (Deficit) at September 30.....</b>	<b>\$4,098</b>	<b>\$4,282.3</b>	<b>\$184.3</b>	<b>\$992</b>	<b>\$2,113.8</b>	<b>\$1,121.8</b>

(\*) Source: 2012-13 Financial Plan First Quarterly Update, dated July 30, 2012.

(\*\*) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2012  
 (amounts in millions)

**EXHIBIT D**  
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes .....	\$6,584	\$6,555.6	(\$28.4)	\$693	\$672.3	(\$20.7)
Miscellaneous Receipts .....	441	395.9	(45.1)	1,834	1,746.0	(88.0)
Federal Receipts.....	40	39.4	(0.6)	965	899.1	(65.9)
Bond and Note Proceeds, net.....	--	--	--	99	--	(99.0)
Transfers from Other Funds.....	3,048	2,991.4	(56.6)	260	323.1	63.1
<b>Total Receipts.....</b>	<b>10,113</b>	<b>9,982.3</b>	<b>(130.7)</b>	<b>3,851</b>	<b>3,640.5</b>	<b>(210.5)</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	--	--	--	648	502.3	(145.7)
Departmental Operations.....	20	17.7	(2.3)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	2,354	2,320.1	(33.9)	--	--	--
Capital Projects.....	--	--	--	2,710	2,757.9	47.9
Transfers to Other Funds.....	7,524	7,683.8	159.8	708	705.7	(2.3)
<b>Total Disbursements.....</b>	<b>9,898</b>	<b>10,021.6</b>	<b>123.6</b>	<b>4,066</b>	<b>3,965.9</b>	<b>(100.1)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>215</b>	<b>(39.3)</b>	<b>(254.3)</b>	<b>(215)</b>	<b>(325.4)</b>	<b>(110.4)</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>428</b>	<b>427.5</b>	<b>(0.5)</b>	<b>(449)</b>	<b>(449.4)</b>	<b>(0.4)</b>
<b>Fund Balances (Deficit) at September 30.....</b>	<b>\$643</b>	<b>\$388.2</b>	<b>(\$254.8)</b>	<b>(\$664)</b>	<b>(\$774.8)</b>	<b>(\$110.8)</b>

(\*) Source: 2012-13 Financial Plan First Quarterly Update, dated July 30, 2012.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	MONTH OF SEPT. 2011	6 MOS. ENDED SEPT. 30, 2011	\$ Increase / (Decrease)	% Increase / Decrease
<b>PERSONAL INCOME TAX</b>														
Withholding	\$2,042.0	\$13,612.7	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,042.0	\$13,612.7	\$2,113.7	\$13,644.1	(\$31.4)	-0.2%
Estimated payments	1,874.8	7,639.7	--	--	--	--	--	--	1,874.8	7,639.7	1,751.2	7,781.4	(141.7)	-1.8%
Final returns	48.5	1,645.6	--	--	--	--	--	--	48.5	1,645.6	45.1	1,627.6	18.0	1.1%
State/City Offsets	(14.5)	(84.0)	--	--	--	--	--	--	(14.5)	(84.0)	(16.0)	(85.4)	(1.4)	-1.6%
Other (Assessments/LLC)	81.0	545.3	--	--	--	--	--	--	81.0	545.3	50.2	470.4	74.9	15.9%
Gross Receipts	4,031.8	23,359.3	--	--	--	--	--	--	4,031.8	23,359.3	3,944.2	23,438.1	(78.8)	-0.3%
Transfers to School Tax Relief Fund	(187.9)	(587.7)	187.9	587.7	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(987.2)	(4,841.9)	--	--	987.2	4,841.9	--	--	--	--	--	--	--	--
Less: Refunds Issued	(83.0)	(3,991.7)	--	--	--	--	--	--	(83.0)	(3,991.7)	(121.9)	(4,008.6)	(16.9)	-0.4%
Total	2,773.7	13,938.0	187.9	587.7	987.2	4,841.9	--	--	3,948.8	19,367.6	3,822.3	19,429.5	(61.9)	-0.3%
<b>CONSUMPTION / USE TAXES</b>														
Sales and Use	861.2	4,188.1	75.1	393.7	287.1	1,392.3	--	--	1,223.4	5,974.1	1,161.3	5,938.0	36.1	0.6%
Auto Rental	--	--	12.7	22.2	--	--	21.2	37.3	33.9	59.5	33.1	55.8	3.7	6.6%
Cigarette/Tobacco Products	35.7	233.5	85.7	588.2	--	--	--	--	121.4	821.7	149.6	875.8	(54.1)	-6.2%
Motor Fuel	--	--	8.8	52.0	--	--	33.4	195.9	42.2	247.9	44.2	251.4	(3.5)	-1.4%
Alcoholic Beverage	22.9	126.2	--	--	--	--	--	--	22.9	126.2	21.5	119.4	6.8	5.7%
Highway Use	--	--	--	--	--	--	9.2	68.9	9.2	68.9	10.1	67.7	1.2	1.8%
Metropolitan Commuter Trans. Taxicab Trip	--	--	0.4	43.2	--	--	--	--	0.4	43.2	1.3	43.9	(0.7)	-1.6%
Total	919.8	4,547.8	182.7	1,099.3	287.1	1,392.3	63.8	302.1	1,453.4	7,341.5	1,421.1	7,352.0	(10.5)	-0.1%
<b>BUSINESS TAXES</b>														
Corporation Franchise	392.1	1,103.2	56.8	156.8	--	--	--	--	448.9	1,260.0	492.2	1,369.1	(109.1)	-8.0%
Corporation and Utilities	133.9	267.7	36.4	77.0	--	--	2.9	5.2	173.2	349.9	189.6	310.4	39.5	12.7%
Insurance	248.8	522.0	32.2	67.2	--	--	--	--	281.0	589.2	272.1	602.2	(13.0)	-2.2%
Bank	244.5	565.4	57.7	122.9	--	--	--	--	302.2	688.3	227.1	524.0	164.3	31.4%
Petroleum Business	--	--	44.0	253.4	--	--	55.3	317.4	99.3	570.8	96.4	549.8	21.0	3.8%
Total	1,019.3	2,458.3	227.1	677.3	--	--	58.2	322.6	1,304.6	3,458.2	1,277.4	3,355.5	102.7	3.1%
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Estate and Gift	65.1	528.3	--	--	--	--	--	--	65.1	528.3	114.5	577.8	(49.5)	-8.6%
Pari-Mutuel	2.5	10.7	--	--	--	--	--	--	2.5	10.7	2.2	9.7	1.0	10.3%
Real Estate Transfer	--	--	--	--	46.4	321.4	11.9	47.6	58.3	369.0	56.9	342.7	26.3	7.7%
Racing and Exhibitions	0.2	0.5	--	--	--	--	--	--	0.2	0.5	0.2	0.4	0.1	25.0%
Metropolitan Commuter Trans. Mobility	--	--	65.1	559.2	--	--	--	--	65.1	559.2	71.2	639.5	(80.3)	-12.6%
Total	67.8	539.5	65.1	559.2	46.4	321.4	11.9	47.6	191.2	1,467.7	245.0	1,570.1	(102.4)	-6.5%
<b>TOTAL TAX RECEIPTS</b>	<b>\$4,780.6</b>	<b>\$21,483.6</b>	<b>\$662.8</b>	<b>\$2,923.5</b>	<b>\$1,320.7</b>	<b>\$6,555.6</b>	<b>\$133.9</b>	<b>\$672.3</b>	<b>\$6,898.0</b>	<b>\$31,635.0</b>	<b>\$6,765.8</b>	<b>\$31,707.1</b>	<b>(\$72.1)</b>	<b>-0.2%</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**GOVERNMENTAL FUNDS CASH FLOW**

	2012												2013				6 Months Ended Sept. 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease				
<b>BEGINNING CASH BALANCE</b>	\$3,360.3	\$7,986.4	\$4,850.1	\$5,006.1	\$5,944.4	\$5,459.7							\$3,360.3	\$3,812.3	(\$452.0)	-11.9%				
<b>RECEIPTS:</b>																				
Personal Income Tax	5,134.4	1,648.0	3,848.4	2,356.9	2,431.1	3,948.8							19,367.6	19,429.5	(61.9)	-0.3%				
Consumption/Use Taxes	1,085.4	1,100.9	1,425.7	1,155.3	1,120.8	1,453.4							7,341.5	7,352.0	(10.5)	-0.1%				
Business Taxes	310.1	151.8	1,315.5	193.6	182.6	1,304.6							3,458.2	3,355.5	102.7	3.1%				
Other Taxes	322.0	252.8	197.6	239.6	264.5	191.2							1,467.7	1,570.1	(102.4)	-6.5%				
Miscellaneous Receipts	1,255.8	1,615.6	1,937.3	1,762.4	2,153.5	2,998.5							11,723.1	10,885.4	837.7	7.7%				
Federal Receipts	2,003.5	3,213.0	3,223.7	3,691.3	3,917.9	3,215.3							19,264.7	22,614.4	(3,349.7)	-14.8%				
<b>Total Receipts</b>	<b>10,111.2</b>	<b>7,982.1</b>	<b>11,948.2</b>	<b>9,399.1</b>	<b>10,070.4</b>	<b>13,111.8</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>62,622.8</b>	<b>65,206.9</b>	<b>(2,584.1)</b>	<b>-4.0%</b>				
<b>DISBURSEMENTS:</b>																				
Local Assistance Grants (***)	3,571.8	8,310.5	9,004.2	5,699.8	7,272.1	9,312.5							43,170.9	45,329.8	(2,158.9)	-4.8%				
Departmental Operations:																				
Personal Service (**)	1,021.3	1,118.1	1,007.4	968.6	1,290.7	974.4							6,380.5	6,405.6	(25.1)	-0.4%				
Non-Personal Service (**)(***)	86.9	406.7	504.4	440.3	585.5	552.3							2,576.1	2,838.0	(261.9)	-9.2%				
General State Charges	439.2	472.5	401.7	473.3	453.9	490.3							2,730.9	2,733.7	(2.8)	-0.1%				
Debt Service, Including Payments on Financing Agreements	175.9	386.2	420.0	195.4	373.4	769.2							2,320.1	2,282.3	37.8	1.7%				
Capital Projects	190.0	413.5	455.2	661.2	576.0	465.1							2,761.0	2,457.2	303.8	12.4%				
<b>Total Disbursements</b>	<b>5,485.1</b>	<b>11,107.5</b>	<b>11,792.9</b>	<b>8,438.6</b>	<b>10,551.6</b>	<b>12,563.8</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>59,939.5</b>	<b>62,046.6</b>	<b>(2,107.1)</b>	<b>-3.4%</b>				
Excess (Deficiency) of Receipts over Disbursements	4,626.1	(3,125.4)	155.3	960.5	(481.2)	548.0	0.0	0.0	0.0	0.0	0.0	0.0	2,683.3	3,160.3	(477.0)	-15.1%				
<b>OTHER FINANCING SOURCES (USES):</b>																				
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--				
Transfers from Other Funds	2,096.3	2,002.8	2,453.0	2,505.3	1,944.4	2,137.3							13,139.1	13,344.4	(205.3)	-1.5%				
Transfers to Other Funds	(2,096.3)	(2,013.7)	(2,452.3)	(2,527.5)	(1,947.9)	(2,135.5)							(13,173.2)	(13,363.1)	(189.9)	-1.4%				
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>(10.9)</b>	<b>0.7</b>	<b>(22.2)</b>	<b>(3.5)</b>	<b>1.8</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(34.1)</b>	<b>(18.7)</b>	<b>(15.4)</b>	<b>-82.4%</b>				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,626.1	(3,136.3)	156.0	938.3	(484.7)	549.8	0.0	0.0	0.0	0.0	0.0	0.0	2,649.2	3,141.6	(492.4)	-15.7%				
<b>CLOSING CASH BALANCE</b>	<b>\$7,986.4</b>	<b>\$4,850.1</b>	<b>\$5,006.1</b>	<b>\$5,944.4</b>	<b>\$5,459.7</b>	<b>\$6,009.5</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$6,009.5</b>	<b>\$6,953.9</b>	<b>(\$944.4)</b>	<b>-13.6%</b>				

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(\*\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2012-2013  
(amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW  
TAX RECEIPTS

												6 Months Ended Sept. 30				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase / (Decrease)	% Increase / Decrease
<b>PERSONAL INCOME TAX</b>																
Withholdings	\$2,373.6	\$2,418.5	\$2,162.2	\$2,264.2	\$2,352.2	\$2,042.0							\$13,612.7	\$13,644.1	(\$31.4)	-0.2%
Estimated payments	3,848.6	81.2	1,676.3	87.9	70.9	1,874.8							7,639.7	7,781.4	(141.7)	-1.8%
Final returns	1,452.9	49.9	34.1	31.3	28.9	48.5							1,645.6	1,627.6	18.0	1.1%
State/City Offsets	(56.0)	(3.8)	(2.0)	(2.7)	(5.0)	(14.5)							(84.0)	(85.4)	(1.4)	-1.6%
Other (Assessments/LLC)	130.8	115.2	80.3	69.9	68.1	81.0							545.3	470.4	74.9	15.9%
Gross Receipts	<u>7,749.9</u>	<u>2,661.0</u>	<u>3,950.9</u>	<u>2,450.6</u>	<u>2,515.1</u>	<u>4,031.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>23,359.3</u>	<u>23,438.1</u>	<u>(78.8)</u>	<u>-0.3%</u>
Transfers to School Tax Relief Fund	--	--	--	--	--	--							--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	--	--							--	--	--	--
Refunds issued	(2,615.5)	(1,013.0)	(102.5)	(93.7)	(84.0)	(83.0)							(3,991.7)	(4,008.6)	(16.9)	-0.4%
Total Personal Income Tax	<u>5,134.4</u>	<u>1,648.0</u>	<u>3,848.4</u>	<u>2,356.9</u>	<u>2,431.1</u>	<u>3,948.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>19,367.6</u>	<u>19,429.5</u>	<u>(61.9)</u>	<u>-0.3%</u>
<b>CONSUMPTION/USE TAXES</b>																
Sales and Use	863.1	888.7	1,189.8	904.9	904.2	1,223.4							5,974.1	5,938.0	36.1	0.6%
Auto Rental	1.7	0.2	23.7	--	--	33.9							59.5	55.8	3.7	6.6%
Cigarette/Tobacco Products	134.0	137.9	135.8	147.4	145.2	121.4							821.7	875.8	(54.1)	-6.2%
Motor Fuel	35.1	42.4	43.2	43.3	41.7	42.2							247.9	251.4	(3.5)	-1.4%
Alcoholic Beverage	17.5	19.2	22.6	26.9	17.1	22.9							126.2	119.4	6.8	5.7%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2							68.9	67.7	1.2	1.8%
Metropolitan Commuter Trans. Taxicab Trip	20.6	0.6	0.3	20.1	1.2	0.4							43.2	43.9	(0.7)	-1.6%
Total Consumption/Use Taxes and Fees	<u>1,085.4</u>	<u>1,100.9</u>	<u>1,425.7</u>	<u>1,155.3</u>	<u>1,120.8</u>	<u>1,453.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>7,341.5</u>	<u>7,352.0</u>	<u>(10.5)</u>	<u>-0.1%</u>
<b>BUSINESS TAXES</b>																
Corporation Franchise	178.6	45.3	471.5	44.6	71.1	448.9							1,260.0	1,369.1	(109.1)	-8.0%
Corporation and Utilities	37.5	1.9	129.8	(1.9)	9.4	173.2							349.9	310.4	39.5	12.7%
Insurance	13.3	9.1	281.1	(1.2)	5.9	281.0							589.2	602.2	(13.0)	-2.2%
Bank	(4.3)	0.4	335.1	54.4	0.5	302.2							688.3	524.0	164.3	31.4%
Petroleum Business	85.0	95.1	98.0	97.7	95.7	99.3							570.8	549.8	21.0	3.8%
Total Business Taxes	<u>310.1</u>	<u>151.8</u>	<u>1,315.5</u>	<u>193.6</u>	<u>182.6</u>	<u>1,304.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>3,458.2</u>	<u>3,355.5</u>	<u>102.7</u>	<u>3.1%</u>
<b>OTHER TAXES</b>																
Real Property Gains	--	--	--	--	--	--							--	--	--	--
Estate and Gift	112.7	82.8	75.3	78.8	113.6	65.1							528.3	577.8	(49.5)	-8.6%
Pari-Mutuel	1.0	1.4	2.1	1.4	2.3	2.5							10.7	9.7	1.0	10.3%
Real Estate Transfer	68.6	52.6	53.1	68.9	67.5	58.3							369.0	342.7	26.3	7.7%
Racing and Exhibitions	0.1	--	--	0.1	0.1	0.2							0.5	0.4	0.1	25.0%
Metropolitan Commuter Trans. Mobility	139.6	116.0	67.1	90.4	81.0	65.1							559.2	639.5	(80.3)	-12.6%
Total Other Taxes	<u>322.0</u>	<u>252.8</u>	<u>197.6</u>	<u>239.6</u>	<u>264.5</u>	<u>191.2</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,467.7</u>	<u>1,570.1</u>	<u>(102.4)</u>	<u>-6.5%</u>
<b>TOTAL TAX RECEIPTS</b>	<u>\$6,851.9</u>	<u>\$3,153.5</u>	<u>\$6,787.2</u>	<u>\$3,945.4</u>	<u>\$3,999.0</u>	<u>\$6,898.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$31,635.0</u>	<u>\$31,707.1</u>	<u>(\$72.1)</u>	<u>-0.2%</u>

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "F"**

	2012						2013						6 Months Ended Sept. 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$1,786.7	\$5,637.4	\$2,017.8	\$2,934.7	\$2,403.8	\$1,545.5							\$1,786.7	\$1,376.1	\$410.6	29.8%
<b>RECEIPTS:</b>																
Personal Income Tax	3,850.8	1,236.0	2,486.5	1,767.7	1,823.3	2,773.7							13,938.0	14,002.5	(64.5)	-0.5%
Consumption/Use Taxes	651.9	680.9	899.0	703.0	693.2	919.8							4,547.8	4,533.5	14.3	0.3%
Business Taxes	205.1	42.2	1,044.3	79.7	67.7	1,019.3							2,458.3	2,390.9	67.4	2.8%
Other Taxes	113.8	84.2	77.4	80.3	116.0	67.8							539.5	587.9	(48.4)	-8.2%
Miscellaneous Receipts	116.8	93.2	415.7	166.7	118.2	943.3							1,853.9	1,227.2	626.7	51.1%
Federal Receipts	3.7	13.5	--	0.3	15.9	--							33.4	31.8	1.6	5.0%
Total Receipts	4,942.1	2,150.0	4,922.9	2,797.7	2,834.3	5,723.9	0.0	0.0	0.0	0.0	0.0	0.0	23,370.9	22,773.8	597.1	2.6%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants	1,150.5	4,218.4	4,300.1	1,842.1	2,798.4	3,267.6							17,577.1	16,866.2	710.9	4.2%
Departmental Operations:																
Personal Service (*)	596.1	630.5	549.4	416.0	593.6	436.8							3,222.4	3,301.7	(79.3)	-2.4%
Non-Personal Service (*)	52.0	145.6	142.2	112.6	176.8	143.3							772.5	841.6	(69.1)	-8.2%
General State Charges	413.4	442.1	89.9	433.0	434.0	398.4							2,210.8	1,752.6	458.2	26.1%
Total Disbursements	2,212.0	5,436.6	5,081.6	2,803.7	4,002.8	4,246.1	0.0	0.0	0.0	0.0	0.0	0.0	23,782.8	22,762.1	1,020.7	4.5%
Excess (Deficiency) of Receipts over Disbursements	2,730.1	(3,286.6)	(158.7)	(6.0)	(1,168.5)	1,477.8	0.0	0.0	0.0	0.0	0.0	0.0	(411.9)	11.7	(423.6)	-3620.5%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	1,530.2	409.0	1,449.6	680.8	577.1	1,390.5							6,037.2	6,126.9	(89.7)	-1.5%
Transfers to State Capital Projects	116.2	(113.0)	(102.0)	(213.6)	46.5	(0.3)							(266.2)	(148.1)	118.1	79.7%
Transfers to Federal Capital Projects	--	--	--	--	--	--							--	--	--	--
Transfers to General Debt Service	(506.1)	0.1	55.4	(458.0)	39.7	119.5							(749.4)	(718.7)	30.7	4.3%
Transfers to All Other State Funds	(19.7)	(629.1)	(327.4)	(534.1)	(353.1)	(250.7)							(2,114.1)	(1,699.9)	414.2	24.4%
Total Other Financing Sources (Uses)	1,120.6	(333.0)	1,075.6	(524.9)	310.2	1,259.0	0.0	0.0	0.0	0.0	0.0	0.0	2,907.5	3,560.2	(652.7)	-18.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,850.7	(3,619.6)	916.9	(530.9)	(858.3)	2,736.8	0.0	0.0	0.0	0.0	0.0	0.0	2,495.6	3,571.9	(1,076.3)	-30.1%
<b>CLOSING CASH BALANCE</b>	<u>\$5,637.4</u>	<u>\$2,017.8</u>	<u>\$2,934.7</u>	<u>\$2,403.8</u>	<u>\$1,545.5</u>	<u>\$4,282.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$4,282.3</u>	<u>\$4,948.0</u>	<u>(\$665.7)</u>	<u>-13.5%</u>

(\*)Beginning in April 2012, OT meals and Stipends have been reclassified from Personal Service to Non-Personal Service.  
For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2012-2013  
(amounts in millions)

EXHIBIT "F"  
TAX RECEIPTS

													6 Months Ended Sept. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
<b>PERSONAL INCOME TAX</b>														
Withholdings	\$2,373.6	\$2,418.5	\$2,162.2	\$2,264.2	\$2,352.2	\$2,042.0							\$13,612.7	\$13,644.1
Estimated payments	3,848.6	81.2	1,676.3	87.9	70.9	1,874.8							7,639.7	7,781.4
Final returns	1,452.9	49.9	34.1	31.3	28.9	48.5							1,645.6	1,627.6
State/City Offsets	(56.0)	(3.8)	(2.0)	(2.7)	(5.0)	(14.5)							(84.0)	(85.4)
Other (Assessments/LLC)	130.8	115.2	80.3	69.9	68.1	81.0							545.3	470.4
Gross Receipts	7,749.9	2,661.0	3,950.9	2,450.6	2,515.1	4,031.8	0.0	0.0	0.0	0.0	0.0	0.0	23,359.3	23,438.1
Transfers to School Tax Relief Fund	--	--	(399.8)	--	--	(187.9)							(587.7)	(569.6)
Transfers to Revenue Bond Tax Fund	(1,283.6)	(412.0)	(962.1)	(589.2)	(607.8)	(987.2)							(4,841.9)	(4,857.4)
Refunds issued	(2,615.5)	(1,013.0)	(102.5)	(93.7)	(84.0)	(83.0)							(3,991.7)	(4,008.6)
Total Personal Income Tax	3,850.8	1,236.0	2,486.5	1,767.7	1,823.3	2,773.7	0.0	0.0	0.0	0.0	0.0	0.0	13,938.0	14,002.5
<b>CONSUMPTION/USE TAXES</b>														
Sales and Use	596.9	623.1	837.6	634.9	634.4	861.2							4,188.1	4,162.1
Auto Rental	--	--	--	--	--	--							--	--
Cigarette/Tobacco Products	37.5	38.6	38.8	41.2	41.7	35.7							233.5	252.0
Motor Fuel	--	--	--	--	--	--							--	--
Alcoholic Beverage	17.5	19.2	22.6	26.9	17.1	22.9							126.2	119.4
Highway Use	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--							--	--
Total Consumption/Use Taxes and Fees	651.9	680.9	899.0	703.0	693.2	919.8	0.0	0.0	0.0	0.0	0.0	0.0	4,547.8	4,533.5
<b>BUSINESS TAXES</b>														
Corporation Franchise	171.1	33.3	415.3	36.5	54.9	392.1							1,103.2	1,161.3
Corporation and Utilities	24.8	1.4	98.7	0.5	8.4	133.9							267.7	245.3
Insurance	13.3	7.7	249.7	(2.1)	4.6	248.8							522.0	540.5
Bank	(4.1)	(0.2)	280.6	44.8	(0.2)	244.5							565.4	443.8
Petroleum Business	--	--	--	--	--	--							--	--
Total Business Taxes	205.1	42.2	1,044.3	79.7	67.7	1,019.3	0.0	0.0	0.0	0.0	0.0	0.0	2,458.3	2,390.9
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--							--	--
Estate and Gift	112.7	82.8	75.3	78.8	113.6	65.1							528.3	577.8
Pari-Mutuel	1.0	1.4	2.1	1.4	2.3	2.5							10.7	9.7
Real Estate Transfer	--	--	--	--	--	--							--	--
Racing and Exhibitions	0.1	--	--	0.1	0.1	0.2							0.5	0.4
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--							--	--
Total Other Taxes	113.8	84.2	77.4	80.3	116.0	67.8	0.0	0.0	0.0	0.0	0.0	0.0	539.5	587.9
<b>TOTAL TAX RECEIPTS</b>	<b>\$4,821.6</b>	<b>\$2,043.3</b>	<b>\$4,507.2</b>	<b>\$2,630.7</b>	<b>\$2,700.2</b>	<b>\$4,780.6</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$21,483.6</b>	<b>\$21,514.8</b>



**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
COMBINED**

													<b>6 Months Ended Sept. 30</b>			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$1,595.5	\$1,992.6	\$2,211.4	\$1,910.8	\$2,952.5	\$3,547.2							\$1,595.5	\$2,149.3	(\$553.8)	-25.8%
<b>RECEIPTS:</b>																
Personal Income Tax	--	--	399.8	--	--	187.9							587.7	569.6	18.1	3.2%
Consumption/Use Taxes	195.5	166.9	188.6	194.0	171.6	182.7							1,099.3	1,135.8	(36.5)	-3.2%
Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1							677.3	655.7	21.6	3.3%
Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1							559.2	639.5	(80.3)	-12.6%
Miscellaneous Receipts	879.8	1,255.6	1,210.0	1,268.5	1,522.6	1,590.8							7,727.3	7,480.7	246.6	3.3%
Federal Receipts	1,969.3	3,033.8	3,046.6	3,509.1	3,718.5	3,015.5							18,292.8	21,544.2	(3,251.4)	-15.1%
Total Receipts	3,242.2	4,628.9	5,126.2	5,121.9	5,555.3	5,269.1	0.0	0.0	0.0	0.0	0.0	0.0	28,943.6	32,025.5	(3,081.9)	-9.6%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants (**)	2,413.9	4,052.0	4,587.0	3,735.9	4,398.2	5,904.5							25,091.5	27,224.5	(2,133.0)	-7.8%
Departmental Operations:																
Personal Service (*)	425.2	487.6	458.0	552.6	697.1	537.6							3,158.1	3,103.9	54.2	1.7%
Non-Personal Service (**)(**)	34.2	258.7	357.8	322.8	406.2	406.2							1,785.9	1,974.7	(188.8)	-9.6%
General State Charges	25.8	30.4	311.8	40.3	19.9	91.9							520.1	981.1	(461.0)	-47.0%
Capital Projects	1.0	--	0.5	0.3	1.0	0.3							3.1	3.5	(0.4)	-11.4%
Total Disbursements	2,900.1	4,828.7	5,715.1	4,651.9	5,522.4	6,940.5	0.0	0.0	0.0	0.0	0.0	0.0	30,558.7	33,287.7	(2,729.0)	-8.2%
Excess (Deficiency) of Receipts over Disbursements	342.1	(199.8)	(588.9)	470.0	32.9	(1,671.4)	0.0	0.0	0.0	0.0	0.0	0.0	(1,615.1)	(1,262.2)	(352.9)	-28.0%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	67.1	920.6	581.7	813.4	930.6	474.0							3,787.4	3,592.2	195.2	5.4%
Transfers to Other Funds	(12.1)	(502.0)	(293.4)	(241.7)	(368.8)	(236.0)							(1,654.0)	(2,156.3)	(502.3)	-23.3%
Total Other Financing Sources (Uses)	55.0	418.6	288.3	571.7	561.8	238.0	0.0	0.0	0.0	0.0	0.0	0.0	2,133.4	1,435.9	697.5	48.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	397.1	218.8	(300.6)	1,041.7	594.7	(1,433.4)	0.0	0.0	0.0	0.0	0.0	0.0	518.3	173.7	344.6	198.4%
<b>CLOSING CASH BALANCE</b>	<u>\$1,992.6</u>	<u>\$2,211.4</u>	<u>\$1,910.8</u>	<u>\$2,952.5</u>	<u>\$3,547.2</u>	<u>\$2,113.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$2,113.8</u>	<u>\$2,323.0</u>	<u>(\$209.2)</u>	<u>-9.0%</u>

(\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.  
(\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
STATE**

													6 Months Ended Sept. 30				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Personal Income Tax	\$ --	\$ --	\$399.8	\$ --	\$ --	\$ 187.9							\$ --	\$587.7	\$569.6	\$18.1	3.2%
Consumption/Use Taxes	195.5	166.9	188.6	194.0	171.6	182.7							--	1,099.3	1,135.8	(36.5)	-3.2%
Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1							--	677.3	655.7	21.6	3.3%
Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1							--	559.2	639.5	(80.3)	-12.6%
Miscellaneous Receipts	868.0	1,218.9	1,200.6	1,266.5	1,494.9	1,579.0							--	7,627.9	7,387.5	240.4	3.3%
Federal Receipts	0.6	6.6	(0.1)	1.8	(0.5)	3.3							--	11.7	0.6	11.1	1850.0%
<b>Total Receipts</b>	<b>1,261.7</b>	<b>1,565.0</b>	<b>2,070.1</b>	<b>1,612.6</b>	<b>1,808.6</b>	<b>2,245.1</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>10,563.1</b>	<b>10,388.7</b>	<b>174.4</b>	<b>1.7%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants	468.4	1,190.1	1,593.4	1,269.6	1,112.5	3,156.8							--	8,790.8	8,642.3	148.5	1.7%
Departmental Operations:																	
Personal Service (**)	384.7	441.1	413.4	510.3	630.4	492.1							--	2,872.0	2,781.1	90.9	3.3%
Non-Personal Service (**)	26.0	198.6	291.4	278.5	329.3	281.3							--	1,405.1	1,560.7	(155.6)	-10.0%
General State Charges	25.8	27.6	272.5	34.8	20.0	54.4							--	435.1	858.7	(423.6)	-49.3%
Capital Projects	1.0	--	0.5	0.3	1.0	0.3							--	3.1	3.5	(0.4)	-11.4%
<b>Total Disbursements</b>	<b>905.9</b>	<b>1,857.4</b>	<b>2,571.2</b>	<b>2,093.5</b>	<b>2,093.2</b>	<b>3,984.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>13,506.1</b>	<b>13,846.3</b>	<b>(340.2)</b>	<b>-2.5%</b>
Excess (Deficiency) of Receipts over Disbursements	355.8	(292.4)	(501.1)	(480.9)	(284.6)	(1,739.8)	0.0	0.0	0.0	0.0	0.0	0.0	--	(2,943.0)	(3,457.6)	514.6	14.9%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	76.5	966.1	621.3	834.1	947.9	516.1							(174.6)	3,787.4	3,592.2	195.2	5.4%
Transfers to Other Funds	--	(37.6)	(37.0)	(5.0)	(38.5)	(14.5)							--	(132.6)	(330.1)	(197.5)	-59.8%
<b>Total Other Financing Sources (Uses)</b>	<b>76.5</b>	<b>928.5</b>	<b>584.3</b>	<b>829.1</b>	<b>909.4</b>	<b>501.6</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(174.6)</b>	<b>3,654.8</b>	<b>3,262.1</b>	<b>392.7</b>	<b>12.0%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$432.3	\$636.1	\$83.2	\$348.2	\$624.8	(\$1,238.2)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$174.6)	\$711.8	(\$195.5)	\$907.3	464.1%

(\*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
FEDERAL**

													<b>6 Months Ended Sept. 30</b>				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --							\$ --	\$ --	\$ --	--	
Consumption/Use Taxes	--	--	--	--	--	--							--	--	--	--	
Business Taxes	--	--	--	--	--	--							--	--	--	--	
Other Taxes	--	--	--	--	--	--							--	--	--	--	
Miscellaneous Receipts	11.8	36.7	9.4	2.0	27.7	11.8							--	99.4	93.2	6.2	6.7%
Federal Receipts	1,968.7	3,027.2	3,046.7	3,507.3	3,719.0	3,012.2							--	18,281.1	21,543.6	(3,262.5)	-15.1%
<b>Total Receipts</b>	<b>1,980.5</b>	<b>3,063.9</b>	<b>3,056.1</b>	<b>3,509.3</b>	<b>3,746.7</b>	<b>3,024.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>18,380.5</b>	<b>21,636.8</b>	<b>(3,256.3)</b>	<b>-15.0%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants (***)	1,945.5	2,861.9	2,993.6	2,466.3	3,285.7	2,747.7							--	16,300.7	18,582.2	(2,281.5)	-12.3%
Departmental Operations:																	
Personal Service (**)	40.5	46.5	44.6	42.3	66.7	45.5							--	286.1	322.8	(36.7)	-11.4%
Non-Personal Service (**)(***)	8.2	60.1	66.4	44.3	76.9	124.9							--	380.8	414.0	(33.2)	-8.0%
General State Charges	--	2.8	39.3	5.5	(0.1)	37.5							--	85.0	122.4	(37.4)	-30.6%
Capital Projects	--	--	--	--	--	--							--	--	--	--	--
<b>Total Disbursements</b>	<b>1,994.2</b>	<b>2,971.3</b>	<b>3,143.9</b>	<b>2,558.4</b>	<b>3,429.2</b>	<b>2,955.6</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>17,052.6</b>	<b>19,441.4</b>	<b>(2,388.8)</b>	<b>-12.3%</b>
Excess (Deficiency) of Receipts over Disbursements	(13.7)	92.6	(87.8)	950.9	317.5	68.4	0.0	0.0	0.0	0.0	0.0	0.0	--	1,327.9	2,195.4	(867.5)	-39.5%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--							--	--	--	--	--
Transfers to Other Funds	(21.5)	(509.9)	(296.0)	(257.4)	(347.6)	(263.6)							174.6	(1,521.4)	(1,826.2)	(304.8)	-16.7%
<b>Total Other Financing Sources (Uses)</b>	<b>(21.5)</b>	<b>(509.9)</b>	<b>(296.0)</b>	<b>(257.4)</b>	<b>(347.6)</b>	<b>(263.6)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>174.6</b>	<b>(1,521.4)</b>	<b>(1,826.2)</b>	<b>(304.8)</b>	<b>-16.7%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$35.2)	(\$417.3)	(\$383.8)	\$693.5	(\$30.1)	(\$195.2)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$174.6	(\$193.5)	\$369.2	(\$562.7)	-152.4%

(\*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(\*\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2012-2013  
(amounts in millions)

EXHIBIT "G"  
TAX RECEIPTS

													6 Months Ended Sept. 30	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
<b>PERSONAL INCOME TAX</b>	\$ --	\$ --	\$399.8	\$ --	\$ --	\$187.9							\$587.7	\$569.6
Total Personal Income Tax	--	--	399.8	--	--	187.9	0.0	0.0	0.0	0.0	0.0	0.0	587.7	569.6
<b>CONSUMPTION/USE TAXES</b>														
Sales and Use	70.9	57.9	73.1	58.4	58.3	75.1							393.7	394.2
Auto Rental	0.6	--	8.9	--	--	12.7							22.2	20.9
Cigarette/Tobacco Products	96.5	99.3	97.0	106.2	103.5	85.7							588.2	623.8
Motor Fuel	6.9	9.1	9.3	9.3	8.6	8.8							52.0	53.0
Alcoholic Beverage	--	--	--	--	--	--							--	--
Highway Use	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Taxicab Trip	20.6	0.6	0.3	20.1	1.2	0.4							43.2	43.9
Total Consumption/Use Taxes and Fees	195.5	166.9	188.6	194.0	171.6	182.7	0.0	0.0	0.0	0.0	0.0	0.0	1,099.3	1,135.8
<b>BUSINESS TAXES</b>														
Corporation Franchise	7.5	12.0	56.2	8.1	16.2	56.8							156.8	207.8
Corporation and Utilities	12.9	0.4	28.5	(2.0)	0.8	36.4							77.0	61.6
Insurance	--	1.4	31.4	0.9	1.3	32.2							67.2	61.7
Bank	(0.2)	0.6	54.5	9.6	0.7	57.7							122.9	80.2
Petroleum Business	37.8	42.2	43.5	43.3	42.6	44.0							253.4	244.4
Total Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1	0.0	0.0	0.0	0.0	0.0	0.0	677.3	655.7
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--							--	--
Estate and Gift	--	--	--	--	--	--							--	--
Pari-Mutuel	--	--	--	--	--	--							--	--
Real Estate Transfer	--	--	--	--	--	--							--	--
Racing and Exhibitions	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Mobility	139.6	116.0	67.1	90.4	81.0	65.1							559.2	639.5
Total Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1	0.0	0.0	0.0	0.0	0.0	0.0	559.2	639.5
<b>TOTAL TAX RECEIPTS</b>	<b>\$393.1</b>	<b>\$339.5</b>	<b>\$869.6</b>	<b>\$344.3</b>	<b>\$314.2</b>	<b>\$662.8</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$2,923.5</b>	<b>\$3,000.6</b>

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "H"**

													<b>6 Months Ended Sept. 30</b>			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$427.5	\$850.7	\$1,081.3	\$639.7	\$1,125.5	\$1,056.5							\$427.5	\$454.0	(\$26.5)	-5.8%
<b>RECEIPTS:</b>																
Personal Income Tax	1,283.6	412.0	962.1	589.2	607.8	987.2							4,841.9	4,857.4	(15.5)	-0.3%
Consumption/Use Taxes																
Sales and Use	195.3	207.7	279.1	211.6	211.5	287.1							1,392.3	1,381.7	10.6	0.8%
Other Taxes	68.6	52.6	41.2	57.0	55.6	46.4							321.4	295.1	26.3	8.9%
Miscellaneous Receipts	18.2	61.6	80.8	20.3	96.2	118.8							395.9	421.7	(25.8)	-6.1%
Federal Receipts (*)	--	--	--	1.7	37.7	--							39.4	42.5	(3.1)	-7.3%
Total Receipts	<u>1,565.7</u>	<u>733.9</u>	<u>1,363.2</u>	<u>879.8</u>	<u>1,008.8</u>	<u>1,439.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>6,990.9</u>	<u>6,998.4</u>	<u>(7.5)</u>	<u>-0.1%</u>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Non-Personal Service	0.7	2.4	4.4	4.9	2.5	2.8							17.7	21.7	(4.0)	-18.4%
Debt Service, including payments on financing agreements	175.9	386.2	420.0	195.4	373.4	769.2							2,320.1	2,282.3	37.8	1.7%
Total Disbursements	<u>176.6</u>	<u>388.6</u>	<u>424.4</u>	<u>200.3</u>	<u>375.9</u>	<u>772.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,337.8</u>	<u>2,304.0</u>	<u>33.8</u>	<u>1.5%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>1,389.1</u>	<u>345.3</u>	<u>938.8</u>	<u>679.5</u>	<u>632.9</u>	<u>667.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,653.1</u>	<u>4,694.4</u>	<u>(41.3)</u>	<u>-0.9%</u>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	615.2	560.2	303.2	790.1	450.6	272.1							2,991.4	3,332.2	(340.8)	-10.2%
Transfers to Other Funds	(1,581.1)	(674.9)	(1,683.6)	(983.8)	(1,152.5)	(1,607.9)							(7,683.8)	(7,952.3)	(268.5)	-3.4%
Total Other Financing Sources (Uses)	<u>(965.9)</u>	<u>(114.7)</u>	<u>(1,380.4)</u>	<u>(193.7)</u>	<u>(701.9)</u>	<u>(1,335.8)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(4,692.4)</u>	<u>(4,620.1)</u>	<u>(72.3)</u>	<u>-1.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>423.2</u>	<u>230.6</u>	<u>(441.6)</u>	<u>485.8</u>	<u>(69.0)</u>	<u>(668.3)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(39.3)</u>	<u>74.3</u>	<u>(113.6)</u>	<u>-152.9%</u>
<b>CLOSING CASH BALANCE</b>	<u>\$850.7</u>	<u>\$1,081.3</u>	<u>\$639.7</u>	<u>\$1,125.5</u>	<u>\$1,056.5</u>	<u>\$388.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$388.2</u>	<u>\$528.3</u>	<u>(\$140.1)</u>	<u>-26.5%</u>

(\*) Federal receipts includes credit payments for interest paid on Build America Bonds and Qualified School Construction Bonds.

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS-COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
COMBINED**

													<b>6 Months Ended Sept. 30</b>			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$449.4)	(\$494.3)	(\$460.4)	(\$479.1)	(\$537.4)	(\$689.5)							(\$449.4)	(\$167.1)	(\$282.3)	-168.9%
<b>RECEIPTS:</b>																
Consumption/Use Taxes																
Auto Rental	1.1	0.2	14.8	--	--	21.2							37.3	34.9	2.4	6.9%
Motor Fuel	28.2	33.3	33.9	34.0	33.1	33.4							195.9	198.4	(2.5)	-1.3%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2							68.9	67.7	1.2	1.8%
Business Taxes																
Petroleum Business	47.2	52.9	54.5	54.4	53.1	55.2							317.3	305.4	11.9	3.9%
Transmission	(0.2)	0.1	2.6	(0.4)	0.2	3.0							5.3	3.5	1.8	51.4%
Other Taxes	--	--	11.9	11.9	11.9	11.9							47.6	47.6	--	--
Miscellaneous Receipts	241.0	205.2	230.8	306.9	416.5	345.6							1,746.0	1,755.8	(9.8)	-0.6%
Federal Receipts	30.5	165.7	177.1	180.2	145.8	199.8							899.1	995.9	(96.8)	-9.7%
Total Receipts	361.2	469.3	535.9	599.7	672.0	679.3	0.0	0.0	0.0	0.0	0.0	0.0	3,317.4	3,409.2	(91.8)	-2.7%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants	7.4	40.1	117.1	121.8	75.5	140.4							502.3	1,239.1	(736.8)	-59.5%
Departmental Operations:																
Personal Service	--	--	--	--	--	--							--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--
Capital Projects	189.0	413.5	454.7	660.9	575.0	464.8							2,757.9	2,453.7	304.2	12.4%
Total Disbursements	196.4	453.6	571.8	782.7	650.5	605.2	0.0	0.0	0.0	0.0	0.0	0.0	3,260.2	3,692.8	(432.6)	-11.7%
Excess (Deficiency) of Receipts over Disbursements	164.8	15.7	(35.9)	(183.0)	21.5	74.1	0.0	0.0	0.0	0.0	0.0	0.0	57.2	(283.6)	340.8	120.2%
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--
Transfers from Other Funds	(116.2)	113.0	118.5	221.0	(13.9)	0.7							323.1	293.1	30.0	10.2%
Transfers to Other Funds	(93.5)	(94.8)	(101.3)	(96.3)	(159.7)	(160.1)							(705.7)	(687.8)	17.9	2.6%
Total Other Financing Sources (Uses)	(209.7)	18.2	17.2	124.7	(173.6)	(159.4)	0.0	0.0	0.0	0.0	0.0	0.0	(382.6)	(394.7)	12.1	3.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(44.9)	33.9	(18.7)	(58.3)	(152.1)	(85.3)	0.0	0.0	0.0	0.0	0.0	0.0	(325.4)	(678.3)	352.9	52.0%
<b>CLOSING CASH BALANCE (DEFICITS)</b>	(\$494.3)	(\$460.4)	(\$479.1)	(\$537.4)	(\$689.5)	(\$774.8)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$774.8)	(\$845.4)	\$70.6	8.4%

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
STATE**

													6 Months Ended Sept. 30				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Consumption/Use Taxes																	
Auto Rental	\$1.1	\$0.2	\$14.8	\$ --	\$ --	\$21.2							\$ --	\$37.3	\$34.9	\$2.4	6.9%
Motor Fuel	28.2	33.3	33.9	34.0	33.1	33.4							--	195.9	198.4	(2.5)	-1.3%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2							--	68.9	67.7	1.2	1.8%
Business Taxes																	
Petroleum Business	47.2	52.9	54.5	54.4	53.1	55.2							--	317.3	305.4	11.9	3.9%
Transmission	(0.2)	0.1	2.6	(0.4)	0.2	3.0							--	5.3	3.5	1.8	51.4%
Other Taxes	--	--	11.9	11.9	11.9	11.9							--	47.6	47.6	--	--
Miscellaneous Receipts	240.9	205.0	230.7	306.7	416.4	345.6							--	1,745.3	1,754.8	(9.5)	-0.5%
Federal Receipts	--	--	--	--	--	2.7							--	2.7	2.7	--	--
Total Receipts	330.6	303.4	358.7	419.3	526.1	482.2	0.0	0.0	0.0	0.0	0.0	0.0	--	2,420.3	2,415.0	5.3	0.2%
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants	7.4	40.0	85.1	121.1	68.0	74.8							--	396.4	956.2	(559.8)	-58.5%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--							--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--	--
Capital Projects	143.5	280.7	335.5	507.2	428.5	286.9							--	1,982.3	1,920.2	62.1	3.2%
Total Disbursements	150.9	320.7	420.6	628.3	496.5	361.7	0.0	0.0	0.0	0.0	0.0	0.0	--	2,378.7	2,876.4	(497.7)	-17.3%
Excess (Deficiency) of Receipts over Disbursements	179.7	(17.3)	(61.9)	(209.0)	29.6	120.5	0.0	0.0	0.0	0.0	0.0	0.0	--	41.6	(461.4)	503.0	109.0%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--	--
Transfers from Other Funds	(116.2)	113.0	118.5	221.0	(13.9)	0.7							--	323.1	293.1	30.0	10.2%
Transfers to Other Funds	(93.5)	(94.8)	(101.3)	(96.3)	(159.7)	(160.1)							--	(705.7)	(687.8)	17.9	2.6%
Total Other Financing Sources (Uses)	(209.7)	18.2	17.2	124.7	(173.6)	(159.4)	0.0	0.0	0.0	0.0	0.0	0.0	--	(382.6)	(394.7)	12.1	3.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$30.0)	\$0.9	(\$44.7)	(\$84.3)	(\$144.0)	(\$38.9)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$341.0)	(\$856.1)	\$515.1	60.2%

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
FEDERAL**

													6 Months Ended Sept. 30				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Miscellaneous Receipts	\$0.1	\$0.2	\$0.1	\$0.2	\$0.1	\$ --							\$ --	\$0.7	\$1.0	(\$0.3)	-30.0%
Federal Receipts	30.5	165.7	177.1	180.2	145.8	197.1							--	896.4	993.2	(96.8)	-9.7%
Total Receipts	30.6	165.9	177.2	180.4	145.9	197.1	0.0	0.0	0.0	0.0	0.0	0.0	--	897.1	994.2	(97.1)	-9.8%
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants	--	0.1	32.0	0.7	7.5	65.6							--	105.9	282.9	(177.0)	-62.6%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--							--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--	--
Capital Projects	45.5	132.8	119.2	153.7	146.5	177.9							--	775.6	533.5	242.1	45.4%
Total Disbursements	45.5	132.9	151.2	154.4	154.0	243.5	0.0	0.0	0.0	0.0	0.0	0.0	--	881.5	816.4	65.1	8.0%
Excess (Deficiency) of Receipts over Disbursements	(14.9)	33.0	26.0	26.0	(8.1)	(46.4)	0.0	0.0	0.0	0.0	0.0	0.0	--	15.6	177.8	(162.2)	-91.2%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--							--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--	--	--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--	--	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$14.9)	\$33.0	\$26.0	\$26.0	(\$8.1)	(\$46.4)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	\$15.6	\$177.8	(\$162.2)	-91.2%

(\*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.



**STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT J**

													<b>6 Months Ended Sept. 30</b>	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$97.1	\$25.3	\$89.6	\$102.4	\$70.9	\$188.8							\$97.1	\$20.9
<b>RECEIPTS:</b>														
Miscellaneous Receipts	2.9	4.5	7.2	33.7	59.5	12.2							120.0	151.7
Federal Receipts	280.0	329.7	338.6	343.0	272.7	244.1							1,808.1	1,961.9
Unemployment Taxes	273.2	290.1	245.0	290.6	303.0	229.1							1,631.0	1,683.4
<b>Total Receipts</b>	<b>556.1</b>	<b>624.3</b>	<b>590.8</b>	<b>667.3</b>	<b>635.2</b>	<b>485.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3,559.1</b>	<b>3,797.0</b>
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	0.3	0.3	0.3	0.4	0.6	1.7							3.6	3.4
Non-Personal Service	0.4	3.1	4.0	3.0	4.0	107.7							122.2	124.9
General State Charges	--	--	0.2	--	--	--							0.2	0.4
Unemployment Benefits	627.2	556.6	573.5	695.4	512.7	473.6							3,439.0	3,619.7
<b>Total Disbursements</b>	<b>627.9</b>	<b>560.0</b>	<b>578.0</b>	<b>698.8</b>	<b>517.3</b>	<b>583.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3,565.0</b>	<b>3,748.4</b>
Excess (Deficiency) of Receipts over Disbursements	(71.8)	64.3	12.8	(31.5)	117.9	(97.6)	0.0	0.0	0.0	0.0	0.0	0.0	(5.9)	48.6
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>--</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(71.8)	64.3	12.8	(31.5)	117.9	(97.6)	0.0	0.0	0.0	0.0	0.0	0.0	(5.9)	48.6
<b>CLOSING CASH BALANCE</b>	<b>\$25.3</b>	<b>\$89.6</b>	<b>\$102.4</b>	<b>\$70.9</b>	<b>\$188.8</b>	<b>\$91.2</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$91.2</b>	<b>\$69.5</b>

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT K**

													<b>6 Months Ended Sept. 30</b>	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$41.9	\$33.1	\$4.7	(\$14.9)	(\$50.1)	(\$59.0)							\$41.9	\$29.0
<b>RECEIPTS:</b>														
Miscellaneous Receipts	2.5	4.5	18.7	8.3	26.3	49.3							109.6	196.0
Total Receipts	2.5	4.5	18.7	8.3	26.3	49.3	0.0	0.0	0.0	0.0	0.0	0.0	109.6	196.0
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	8.6	8.8	7.9	8.0	11.4	7.9							52.6	55.7
Non-Personal Service	2.7	34.6	17.7	57.7	27.2	31.4							171.3	166.7
General State Charges	--	0.2	12.2	--	0.1	0.1							12.6	24.8
Total Disbursements	11.3	43.6	37.8	65.7	38.7	39.4	0.0	0.0	0.0	0.0	0.0	0.0	236.5	247.2
Excess (Deficiency) of Receipts over Disbursements	(8.8)	(39.1)	(19.1)	(57.4)	(12.4)	9.9	0.0	0.0	0.0	0.0	0.0	0.0	(126.9)	(51.2)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	10.7	1.0	22.2	3.5	2.6							40.0	50.3
Transfers to Other Funds	--	--	(1.5)	--	--	(4.4)							(5.9)	(6.4)
Total Other Financing Sources (Uses)	--	10.7	(0.5)	22.2	3.5	(1.8)	0.0	0.0	0.0	0.0	0.0	0.0	34.1	43.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(8.8)	(28.4)	(19.6)	(35.2)	(8.9)	8.1	0.0	0.0	0.0	0.0	0.0	0.0	(92.8)	(7.3)
<b>ENDING FUND EQUITY(DEFICITS)</b>	\$33.1	\$4.7	(\$14.9)	(\$50.1)	(\$59.0)	(\$50.9)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$50.9)	\$21.7

**STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT L**

	2012						2013						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011
<b>OPENING CASH BALANCE</b>	\$10.2	\$10.5	\$10.5	\$10.6	\$10.6	\$10.6							\$10.2	\$9.3
<b>RECEIPTS:</b>														
Miscellaneous Receipts	0.3	0.1	0.1	--	--	0.1							0.6	0.8
Total Receipts	0.3	0.1	0.1	--	--	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.6	0.8
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	--							0.1	0.2
Non-Personal Service	--	--	--	--	--	--							--	--
General State Charges	--	--	--	--	--	--							--	--
Total Disbursements	--	0.1	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.2
Excess (Deficiency) of Receipts over Disbursements	0.3	--	0.1	--	--	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.6
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.3	--	0.1	--	--	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.6
<b>CLOSING CASH BALANCE</b>	\$10.5	\$10.5	\$10.6	\$10.6	\$10.6	\$10.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$10.7	\$9.9

**STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT M**

	2012						2013						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011
<b>OPENING CASH BALANCE</b>	\$0.9	(\$0.3)	(\$1.2)	(\$9.0)	(\$10.3)	(\$4.7)							\$0.9	\$1.4
<b>RECEIPTS:</b>														
Miscellaneous Receipts	3.6	4.1	4.7	4.1	15.3	4.3							36.1	47.0
Total Receipts	3.6	4.1	4.7	4.1	15.3	4.3	0.0	0.0	0.0	0.0	0.0	0.0	36.1	47.0
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	4.4	4.0	4.5	4.0	6.1	4.0							27.0	27.5
Non-Personal Service	0.4	1.0	1.8	1.4	3.6	0.6							8.8	8.4
General State Charges	--	--	6.2	--	--	--							6.2	12.5
Total Disbursements	4.8	5.0	12.5	5.4	9.7	4.6	0.0	0.0	0.0	0.0	0.0	0.0	42.0	48.4
Excess (Deficiency) of Receipts over Disbursements	(1.2)	(0.9)	(7.8)	(1.3)	5.6	(0.3)	0.0	0.0	0.0	0.0	0.0	0.0	(5.9)	(1.4)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(1.2)	(0.9)	(7.8)	(1.3)	5.6	(0.3)	0.0	0.0	0.0	0.0	0.0	0.0	(5.9)	(1.4)
<b>CLOSING CASH BALANCE</b>	(\$0.3)	(\$1.2)	(\$9.0)	(\$10.3)	(\$4.7)	(\$5.0)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$5.0)	\$ --

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2012  
(amounts in millions)

SCHEDULE 1

	BALANCE 9/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/12
<b>GENERAL FUND</b>					
10000-10049-Local Assistance Account	\$ --	\$0.026	\$3,267.290	\$3,267.264	\$ --
10050-10099-State Operations Account	1,449.014	5,682.167	936.823	(2,008.251)	4,186.107
10100-10149-Tax Stabilization Reserve	--	--	--	--	--
10150-10199-Contingency Reserve	--	--	--	--	--
10200-10249-Universal Pre-K Reserve	--	--	--	--	--
10250-10299-Community Projects	96.515	--	0.341	--	96.174
10300-10349-Rainy Day Reserve Fund	--	--	--	--	--
10400-10449-Refund Reserve Account	--	--	--	--	--
10500-10549-Fringe Benefits Escrow	--	41.692	41.692	--	--
10550-10599-Tobacco Revenue Guarantee	--	--	--	--	--
<b>TOTAL GENERAL FUND</b>	<b>1,545.529</b>	<b>5,723.885</b>	<b>4,246.146</b>	<b>1,259.013</b>	<b>4,282.281</b>
<b>SPECIAL REVENUE FUNDS-STATE</b>					
20000-20099-Mental Health Gifts and Donations	2.194	(0.004)	0.002	--	2.188
20100-20299-Combined Expendable Trust	62.583	0.968	2.076	--	61.475
20300-20349-New York Interest on Lawyer Account	10.647	0.598	7.044	--	4.201
20350-20399-NYS Archives Partnership Trust	0.273	--	0.021	--	0.252
20400-20449-Child Performer's Protection	0.243	0.004	0.046	--	0.201
20450-20499-Tuition Reimbursement	5.743	0.327	0.172	--	5.898
20500-20549-New York State Local Government Records Management Improvement	3.024	0.826	0.743	--	3.107
20550-20599-School Tax Relief	0.001	187.880	187.880	--	0.001
20600-20649-Charter Schools Stimulus	3.342	0.001	0.779	--	2.564
20650-20699-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
20700-20749-Hudson River Valley Greenway	--	--	--	--	--
20750-20799-Rehabilitative Alcohol & Substance Abuse Treatment	0.002	--	--	--	0.002
20800-20849-HCRA Resources	302.579	428.233	390.145	(6.770)	333.897
20850-20899-Dedicated Mass Transportation Trust	88.548	50.458	51.188	--	87.818
20900-20949-State Lottery	1,017.317	281.830	1,999.713	--	(700.566)
20950-20999-Combined Student Loan	25.916	2.551	0.979	--	27.488
21000-21049-Sewage Treatment Program Mgmt. & Administration	0.055	--	0.191	--	(0.136)
21050-21149-EnCon Special Revenue	(31.080)	6.397	5.697	--	(30.380)
21150-21199-Conservation	--	83.795	2.186	--	90.167
21200-21249-Environmental Protection and Oil Spill Compensation	20.642	0.604	1.266	--	19.980
21250-21299-Training and Education Program on OSHA	5.722	0.001	3.353	--	2.370
21300-21349-Lawyers' Fund for Client Protection	6.285	0.678	0.181	--	6.782
21350-21399-Equipment Loan for the Disabled	0.498	0.006	--	--	0.504
21400-21449-Mass Transportation Operating Assistance	(41.257)	273.790	117.250	8.319	123.602
21450-21499-Clean Air	(15.873)	3.040	2.643	--	(15.476)
21500-21549-New York State Infrastructure Trust	0.067	--	--	--	0.067
21550-21559-Legislative Computer Services	10.154	0.006	0.094	--	10.066
21600-21649-Biodiversity Stewardship and Research	--	--	--	--	--
21650-21699-Combined Non-Expendable Trust	3.483	--	--	--	3.483
21700-21749-Winter Sports Education Trust	1.181	--	--	--	1.181
21750-21799-Musical Instrument Revolving	0.001	--	--	--	0.001
21800-21849-Rural Housing Assistance	--	--	--	--	--
21850-21899-Arts Capital Revolving	0.796	--	--	--	0.796
21900-22499-Miscellaneous State Special Revenue	1,179.526	319.748	573.018	470.726	1,396.982

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2012  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 9/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/12
<b><u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u></b>					
22500-22549-Court Facilities Incentive Aid	23.289	0.005	7.523	--	15.771
22550-22599-Employment Training	0.044	0.005	--	--	0.049
22600-22649-Homeless Housing and Assistance	--	--	--	--	--
22650-22699-State University Income	607.486	556.086	398.891	28.077	792.758
22700-22749-Chemical Dependence Service	6.189	2.084	0.001	--	8.272
22750-22799-Lake George Park Trust	0.632	--	0.183	--	0.449
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	7.853	11.793	0.364	--	19.282
22850-22899-New York Great Lakes Protection	0.317	--	0.006	--	0.311
22900-22949-Federal Revenue Maximization	0.023	--	--	--	0.023
22950-22999-Housing Development	9.376	0.004	0.100	--	9.280
23000-23049-NYS/DOT Highway Safety Program	(3.604)	0.369	0.228	--	(3.463)
23050-23099-Vocational Rehabilitation	0.135	0.010	0.013	--	0.132
23100-23149-Drinking Water Program Management and Administration	(3.564)	0.761	0.318	--	(3.121)
23150-23199-NYC County Clerks' Operations Offset	(25.613)	--	2.412	--	(28.025)
23200-23249-Judiciary Data Processing Offset	5.512	1.437	1.611	--	5.338
23250-23449-IFR / CUTRA	115.650	4.570	5.559	--	114.661
23450-23499-Supplemental Jury Facilities	--	--	--	--	--
23500-23549-USOC Lake Placid Training	0.037	0.001	--	--	0.038
23550-23599-Indigent Legal Services	60.366	5.869	0.100	--	66.135
23600-23649-Unemployment Insurance Interest and Penalty	8.609	0.794	0.658	--	8.745
23650-23699-MTA Financial Assistance Fund	198.287	94.807	220.176	1.147	74.065
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>3,757.431</b>	<b>2,245.095</b>	<b>3,984.810</b>	<b>501.499</b>	<b>2,519.215</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
25000-25099-Federal USDA / Food and Consumer Services	18.797	59.904	152.834	--	(74.133)
25100-25199-Federal Health and Human Services	(204.214)	2,606.076	2,324.652	(263.027)	(185.817)
25200-25249-Federal Education	(21.276)	222.518	234.585	(0.490)	(33.833)
25250-25299-Federal DHHS Block Grant	--	--	--	--	--
25300-25899-Federal Miscellaneous Operating Grants	(74.565)	85.390	190.979	--	(180.154)
25900-25949-Unemployment Insurance Administration	73.538	29.223	34.335	--	68.426
25950-25999-Unemployment Insurance Occupational Training	0.233	0.384	0.271	--	0.346
26000-26049-Federal Employment and Training Grants	(2.710)	20.455	18.010	--	(0.265)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>(210.197)</b>	<b>3,023.950</b>	<b>2,955.666</b>	<b>(263.517)</b>	<b>(405.430)</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>3,547.234</b>	<b>5,269.045</b>	<b>6,940.476</b>	<b>237.982</b>	<b>2,113.785</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
40000-40049-Debt Reduction Reserve	--	--	--	--	--
40050-40099-State University Educational Facilities	--	--	--	--	--
40100-40149-Mental Health Services	35.717	17.408	--	21.673	74.798
40150-40199-General Debt Service	716.237	987.190	706.439	(996.844)	0.144
40200-40249-Grade Crossing Elimination Debt Service	--	--	--	--	--
40250-40299-State Housing Debt Service	--	5.416	4.765	(0.651)	--
40300-40349-Department of Health Income	26.835	8.490	--	(6.998)	28.327
40350-40399-State University Dormitory Income	193.797	87.524	--	(19.699)	261.622
40400-40449-Clean Water/Clean Air	18.055	46.428	--	(44.711)	19.772
40450-40499-Local Government Assistance Tax	65.845	287.086	60.770	(288.669)	3.492
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>1,056.486</b>	<b>1,439.542</b>	<b>771.974</b>	<b>(1,335.899)</b>	<b>388.155</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2012  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 9/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/12
<b>CAPITAL PROJECTS FUNDS</b>					
30000-30049-State Capital Projects	--	143.400	147.882	4.482	--
30050-30099-Dedicated Highway and Bridge Trust	(213.258)	176.671	157.343	(159.818)	(353.748)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	112.239	0.018	6.738	0.400	105.919
30300-30349-New York State Canal System Development	2.853	0.353	0.235	--	2.971
30350-30399-Parks Infrastructure	(18.616)	0.115	6.465	--	(24.966)
30400-30449-Passenger Facility Charge	0.014	--	--	--	0.014
30450-30499-Environmental Protection	60.041	12.519	7.937	--	64.623
30500-30549-Clean Water/Clean Air Implementation	--	--	--	--	--
30550-30599-Hudson River Park	0.088	--	--	--	0.088
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
30610-30619-Park & Recreation Land Acquisition Bond	--	--	--	--	--
30620-30629-Pure Waters Bond	0.564	--	--	--	0.564
30750-30799-Outdoor Recreation Development Bond	--	--	--	--	--
30630-30639-Transportation Capital Facilities Bond	3.391	--	--	--	3.391
30640-30649-Environmental Quality Protection Bond	1.310	--	--	--	1.310
30900-30949-Rail Preservation and Development Bond	--	--	--	--	--
30700-30749-State Housing Bond	--	--	--	--	--
30650-30659-Rebuild and renew New York Transportation Board	58.525	--	--	(3.284)	55.241
30660-30669-Transportation Infrastructure Renewal Bond	4.257	--	--	--	4.257
30670-30679-1986 Environmental Quality Bond Act	7.004	--	--	(0.620)	6.384
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.837	--	--	--	2.837
30690-30699-Clean Water/Clean Air Bond	6.435	--	--	(0.275)	6.160
31350-31449-Federal Capital Projects	(74.912)	197.083	243.501	--	(121.330)
31450-31499-Forest Preserve Expansion	0.895	--	--	--	0.895
31500-31549-Hazardous Waste Remedial	(143.085)	0.802	4.108	(0.202)	(146.593)
31550-31599-Pine Barrens	--	--	--	--	--
31600-31649-Lake Champlain Bridges	--	--	--	--	--
31650-31699-Suburban Transportation	0.504	--	--	--	0.504
31700-31749-Division for Youth Facilities Improvement	(7.092)	3.738	0.507	--	(3.861)
31750-31799-Youth Centers Facility	--	--	--	--	--
31800-31849-Housing Assistance	(20.395)	--	--	--	(20.395)
31850-31899-Housing Program	(166.212)	--	--	--	(166.212)
31900-31949-Natural Resource Damage	17.574	9.842	0.063	--	27.353
31950-32199-DOT Engineering Services	(12.317)	--	0.188	--	(12.505)
32400-32999-State University Capital Projects	162.789	0.021	4.912	--	157.898
32200-32249-Miscellaneous Capital Projects	21.329	0.320	6.630	--	15.019
32250-32299-CUNY Capital Projects	(0.023)	--	--	--	(0.023)
32300-32349-Mental Hygiene Facilities Capital Improvement	(338.723)	3.270	6.624	--	(342.077)
32350-32399-Correction Facilities Capital Improvement	(157.729)	131.217	12.201	--	(38.713)
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(689.549)</b>	<b>679.369</b>	<b>605.334</b>	<b>(159.317)</b>	<b>(774.831)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$5,459.700</b>	<b>\$13,111.841</b>	<b>\$12,563.930</b>	<b>\$1.779</b>	<b>\$6,009.390</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF SEPTEMBER 2012  
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 9/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 9/30/12</u>
<b>ENTERPRISE FUNDS</b>					
50000-50049-Youth Commissary	\$0.219	\$0.003	\$0.009	\$ --	\$0.213
50050-50099-State Exposition Special	9.127	5.752	2.424	--	12.455
50100-50299-Correctional Services Commissary	3.030	3.337	2.282	--	4.085
50300-50399-Agency Enterprise	2.323	0.157	0.157	--	2.323
50400-50449-OMH Sheltered Workshop	1.804	0.078	0.044	--	1.838
50450-50499-OPWDD Sheltered Workshop	1.269	0.019	0.060	--	1.228
50500-50599-Mental Hygiene Community Stores	3.490	0.105	0.104	--	3.491
50650-50699-Unemployment Insurance Benefit	167.537	475.947	577.918	--	65.566
<b>TOTAL ENTERPRISE FUNDS</b>	<b>188.799</b>	<b>485.398</b>	<b>582.998</b>	<b>--</b>	<b>91.199</b>
<b>INTERNAL SERVICE FUNDS</b>					
55000-55049-O.G.S. Centralized Services	(44.127)	44.057	17.116	(4.324)	(21.510)
55050-55099-Agency Internal Service	5.827	2.150	17.935	2.545	(7.413)
55100-55149-Mental Hygiene Revolving	0.024	0.015	0.050	--	(0.011)
55150-55199-Youth Vocational Education	0.058	--	--	--	0.058
55200-55249-Joint Labor/Management Administration	1.687	--	0.155	--	1.532
55250-55299-Audit and Control Revolving	1.950	--	0.300	--	1.650
55300-55349-Health Insurance Revolving	(18.275)	0.056	0.756	--	(18.975)
55350-55399-Correctional Industries Revolving	(6.114)	2.984	3.083	--	(6.213)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(58.970)</b>	<b>49.262</b>	<b>39.395</b>	<b>(1.779)</b>	<b>(50.882)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$129.829</b>	<b>\$534.660</b>	<b>\$622.393</b>	<b>(\$1.779)</b>	<b>\$40.317</b>



**STATE OF NEW YORK**  
**FIDUCIARY FUNDS**  
**SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**  
**FOR THE MONTH OF SEPTEMBER 2012**  
(amounts in millions)

**SCHEDULE 3**

<u>FUND TYPE</u>	<u>FUND BALANCE 9/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 9/30/12</u>
<b><u>PENSION TRUST FUNDS</u></b>					
65000-65049-Common Retirement-Administration	(\$4.672)	\$4.238	\$4.595	\$ --	(\$5.029)
<b>TOTAL PENSION TRUST FUNDS</b>	<b>(4.672)</b>	<b>4.238</b>	<b>4.595</b>	<b>--</b>	<b>(5.029)</b>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
66000-66049-Agriculture Producers' Security	2.062	--	0.007	--	2.055
66050-66099-Milk Producers' Security	8.576	0.080	0.018	--	8.638
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<b>10.638</b>	<b>0.080</b>	<b>0.025</b>	<b>--</b>	<b>10.693</b>
<b><u>AGENCY FUNDS</u></b>					
60000-60049--Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
60050-60149-School Capital Facilities Financing Reserve	20.385	0.173	--	--	20.558
60150-60199-Child Performer's Holding	0.223	0.002	--	--	0.225
60200-60249-Employees Health Insurance	282.257	480.836	515.793	--	247.300
60250-60299-Social Security Contribution	1.026	60.662	60.682	--	1.006
60300-60399-Employee Payroll Withholding Escrow	15.943	319.317	322.316	--	12.944
60400-60449-Employees Dental Insurance	4.268	6.624	4.388	--	6.504
60450-60499-Management Confidential Group Insurance	0.462	0.761	0.544	--	0.679
60500-60549-Lottery Prize	278.114	88.858	65.294	--	301.678
60550-60599-Health Insurance Reserve Receipts	0.100	--	--	--	0.100
60600-60799-Miscellaneous New York State Agency	759.374	59.483	212.154	--	606.703
60800-60849-Elderly Pharmaceutical Insurance Coverage Escrow	17.901	3.131	3.150	--	17.882
60850-60899-CUNY Senior College Operating	19.084	165.000	171.762	--	12.322
60900-60949-Medicaid Management Information System Escrow	165.149	3,784.449	4,005.306	--	(55.708)
60950-60999-Special Education	--	--	--	--	--
61000-61099-State University Collection	432.238	(170.598)	--	--	261.640
61100-61199-SUNY Federal Direct Lending Program	(189.199)	172.534	--	--	(16.665)
<b>TOTAL AGENCY FUNDS</b>	<b>1,807.325</b>	<b>4,971.232</b>	<b>5,361.389</b>	<b>--</b>	<b>1,417.168</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$1,813.291</b>	<b>\$4,975.550</b>	<b>\$5,366.009</b>	<b>\$ --</b>	<b>\$1,422.832</b>

**STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF SEPTEMBER 2012  
(amounts in millions)**

**SCHEDULE 4**

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 9/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 9/30/12</u>
<b><u>ACCOUNTS</u></b>				
70000-70049-Tobacco Settlement	\$2.700	\$ --	\$ --	\$2.700
70050-70149-Sole Custody Investment (*)	1,485.754	7,411.970	7,025.282	1,872.442
70200-Comptroller's Refund	--	156.105	156.105	--
<b>TOTAL ACCOUNTS</b>	<b>\$1,488.454</b>	<b>\$7,568.075</b>	<b>\$7,181.387</b>	<b>\$1,875.142</b>

**(\*) Includes Public Asset Fund resources**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2012, \$11,629,589.77 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (20800).

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2013

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2012	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPT. 30, 2012	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2012	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2012		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2012
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$370,660,421.97	\$ --	\$ --	\$41,435,000.00	\$74,343,884.21	\$296,316,537.76	\$2,705,233.13	\$8,589,045.62
Clean Water/Clean Air:								
Air Quality	45,022,724.04	--	--	--	6,842,035.57	38,180,688.47	328,708.29	864,661.37
Safe Drinking Water	12,564,628.83	--	--	--	3,310,000.00	9,254,628.83	123,617.69	249,320.19
Water	466,036,864.14	--	--	--	4,790,301.55	461,246,562.59	4,804,303.73	8,721,887.22
Solid Waste	69,553,112.20	--	--	--	4,890,188.22	64,662,923.98	228,440.07	852,790.53
Environmental Restoration	101,560,841.31	--	--	--	--	101,560,841.31	867,127.04	2,004,967.35
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	12,107,804.13	--	--	2,965,000.00	3,387,414.25	8,720,389.88	142,567.47	229,715.13
Environmental Quality Protection (1972):								
Air	11,103,951.62	--	--	--	3,104,333.54	7,999,618.08	--	247,952.06
Land and Wetlands	24,794,967.59	--	--	1,405,000.00	5,822,675.41	18,972,292.18	93,977.42	547,321.72
Water	84,023,228.78	--	--	9,535,000.00	16,590,983.19	67,432,245.59	607,076.02	1,619,559.07
Environmental Quality (1986):								
Land and Forests	33,455,683.51	--	--	3,585,000.00	5,703,240.18	27,752,443.33	176,513.60	607,471.03
Solid Waste Management	369,042,581.64	--	--	4,970,000.00	18,638,203.92	350,404,377.72	2,861,683.49	6,900,035.77
Housing:								
Low Cost	34,360,000.00	--	--	--	4,875,000.00	29,485,000.00	--	515,200.00
Middle Income	31,160,000.00	--	--	4,415,000.00	4,415,000.00	26,745,000.00	349,799.75	349,799.75
Park and Recreation Land Acquisition	17,462.59	--	--	--	--	17,462.59	342.28	342.28
Pure Waters	67,237,919.66	--	--	5,005,000.00	9,922,974.31	57,314,945.35	482,344.99	1,342,580.16
Rail Preservation Development	4,720,436.05	--	--	1,725,000.00	2,043,406.10	2,677,029.95	44,032.75	85,172.31
Rebuild and Renew New York Transportation:								
Highway Facilities	764,178,426.65	--	--	--	--	764,178,426.65	7,199,634.62	16,765,662.41
Canals and Waterways	15,330,084.29	--	--	--	--	15,330,084.29	88,432.67	313,933.76
Aviation	43,456,928.50	--	--	--	--	43,456,928.50	271,139.02	937,553.22
Rail and Port	75,742,389.22	--	--	--	--	75,742,389.22	264,519.81	1,747,320.69
Mass Transit - Dept. of Transportation	12,764,273.58	--	--	--	--	12,764,273.58	48,897.75	280,532.16
Mass Transit - Metropolitan Transportation Authority	805,995,790.27	--	--	--	--	805,995,790.27	5,300,612.64	17,874,633.43
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	2,752,039.77	--	--	--	--	2,752,039.77	48,787.73	56,724.58
Ports, Canals, and Waterways	38,396.10	--	--	--	38,396.10	--	--	767.92
Rapid Transit, Rail, and Aviation	16,588,704.01	--	--	1,520,000.00	3,333,691.05	13,255,012.96	92,265.00	350,026.53
Transportation Capital Facilities:								
Aviation	16,250,647.54	--	--	995,000.00	2,594,717.63	13,655,929.91	84,571.00	327,733.73
Mass Transportation	3,844,691.47	--	--	2,790,000.00	2,833,554.77	1,011,136.70	46,030.75	51,237.53
<b>Total General Obligation Bonded Debt</b>	<b>\$3,494,364,999.46</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$80,345,000.00</b>	<b>\$177,480,000.00</b>	<b>\$3,316,884,999.46</b>	<b>\$27,260,658.71</b>	<b>\$72,433,947.52</b>

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE SIX (6) MONTHS ENDED SEPTEMBER 30, 2012

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)	
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	UNIVERSITY	6 MONTHS ENDED SEPT. 30			
	FUND	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	DORMITORY	2012	2011		
	(40000)	(40151)	(40300)	(40450)	(40100)	(40152)	(40350)				
<b>Special Contractual Financing Obligations:</b>											
<b>Managed by Office of General Services:</b>											
Department of Trans Region 1 Schenectady Hampton Plaza	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$631,732	(\$631,732)
Subtotal	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	19,000	(19,000)
Subtotal	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$650,732	(\$650,732)
<b>Payments to Public Authorities:</b>											
City University Construction	--	128,491,576	--	--	--	--	--	--	128,491,576	159,695,535	(31,203,959)
Dormitory Authority:											
Albany County Airport	--	325,332	--	--	--	--	--	325,332	373,194	(47,862)	
Child Care Facilities	--	--	--	--	--	--	--	--	37,669	(37,669)	
Consolidated Service Contract Refunding	--	83,554,102	--	--	--	--	--	83,554,102	59,884,539	23,669,563	
David Axelrod Institute	--	4,664,279	--	--	--	--	--	4,664,279	4,600,029	64,250	
Department of Health Facilities	--	--	14,077,231	--	--	--	--	14,077,231	14,822,755	(745,524)	
Economic Development Housing	--	--	--	--	--	9,549,235	--	9,549,235	11,138,197	(1,588,962)	
Education	--	--	--	--	--	135,782,758	--	135,782,758	136,276,055	(493,297)	
General Purpose	--	--	--	--	--	235,489,106	--	235,489,106	179,035,787	56,453,319	
Health Care	--	--	--	--	--	2,911,369	--	2,911,369	2,973,244	(61,875)	
Judicial Training Institute	--	--	--	--	--	--	--	--	434,793	(434,793)	
Mental Health Facilities	--	--	--	--	110,626,048	--	--	110,626,048	117,514,744	(6,888,696)	
OGS Parking	--	478,125	--	--	--	--	--	478,125	478,125	--	
State Department of Education Facilities	--	982,867	--	--	--	--	--	982,867	1,045,377	(62,510)	
State Facilities and Equipment	--	--	--	--	--	269,379	--	269,379	715,838	(446,459)	
SUNY Community Colleges	--	25,349,483	--	--	--	--	--	25,349,483	23,825,408	1,524,075	
SUNY Dormitory Facilities	--	--	--	--	--	--	67,111,158	67,111,158	58,325,259	8,785,899	
SUNY Educational Facilities	--	150,671,089	--	--	--	--	--	150,671,089	141,466,537	9,204,552	
Environmental Facilities Corporation	--	--	--	--	--	21,081,943	--	21,081,943	22,698,503	(1,616,560)	
Housing Finance Agency	--	27,318,999	--	--	--	41,227,598	--	68,546,597	51,217,663	17,328,934	
Local Government Assistance Corporation	--	--	--	63,816,513	--	--	--	63,816,513	66,997,713	(3,181,200)	
Metropolitan Transportation Authority:											
Transit and Commuter Rail Projects	--	41,732,511	--	--	--	--	--	41,732,511	82,490,224	(40,757,713)	
Triborough Bridge & Tunnel Authority:											
Javits Convention Center Project	--	--	--	--	--	--	--	--	--	--	--
Thruway Authority:											
Dedicated Highway & Bridge	--	522,414,900	--	--	--	--	--	522,414,900	512,583,771	9,831,129	
Local Highway & Bridge	--	101,186,800	--	--	--	--	--	101,186,800	99,639,000	1,547,800	
Transportation	--	--	--	--	--	65,659,250	--	65,659,250	60,353,000	5,306,250	
Urban Development Corporation:											
Center for Industrial Innovation at RPI	--	110,687	--	--	--	--	--	110,687	215,600	(104,913)	
Clarkson University	--	178,200	--	--	--	--	--	178,200	170,525	7,675	
Columbia Univer. Telecommunications Center	--	3,719,000	--	--	--	--	--	3,719,000	3,719,000	--	
Consolidated Service Contract Refunding	--	67,945,314	--	--	--	--	--	67,945,314	72,685,675	(4,740,361)	
Cornell Univer. Supercomputer Center	--	493,000	--	--	--	--	--	493,000	493,000	--	
Correctional Facilities	--	5,400,708	--	--	--	--	--	5,400,708	9,911,756	(4,511,048)	
Economic Development Housing	--	--	--	--	--	26,111,603	--	26,111,603	28,718,931	(2,607,328)	
General Purpose	--	--	--	--	--	69,008,877	--	69,008,877	56,217,715	12,791,162	
State Facilities and Equipment	--	--	--	--	--	41,819,009	--	41,819,009	26,307,575	15,511,434	
Syracuse University Science and Technology Center	--	311,025	--	--	--	--	--	311,025	363,963	(52,938)	
University Facilities Grant 95 Refunding	--	317,472	--	--	--	--	--	317,472	346,759	(29,287)	
Subtotal	\$ --	\$1,165,645,469	\$14,077,231	\$63,816,513	\$110,626,048	\$648,910,127	\$67,111,158	\$2,070,186,546	\$2,007,773,458	\$62,413,088	
<b>Total Disbursements for Special Contractual Financing Obligations</b>	\$ --	\$1,165,645,469	\$14,077,231	\$63,816,513	\$110,626,048	\$648,910,127	\$67,111,158	\$2,070,186,546	\$2,008,424,190	\$61,762,356	

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF SEPTEMBER 2012  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>SEPTEMBER 2012</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD SEPTEMBER 2011</u>
<b><u>SHORT TERM INVESTMENT POOL*</u></b>			
AVERAGE DAILY INVESTMENT BALANCE**	\$5,620.0	\$6,649.8	\$7,356.2
AVERAGE YIELD**	0.186%	0.167%	0.153%
TOTAL INVESTMENT EARNINGS	\$0.785	\$5.469	\$6.093

**Month-End Portfolio Balances**

<u>DESCRIPTION</u>	SEPTEMBER 2012	SEPTEMBER 2011
	<u>PAR AMOUNT</u>	<u>PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ --	\$ --
REPURCHASE AGREEMENTS	1,417.5	1,373.7
COMMERCIAL PAPER	1,184.8	2,363.1
CERTIFICATES OF DEPOSIT/SAVINGS	3,082.0	4,036.3
0% COMPENSATING BALANCE CD's	3,125.0	1,990.0
	<u>\$8,809.3</u>	<u>\$9,763.1</u>

\*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

\*\*Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING**  
**FISCAL YEAR 2012-2013**

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STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT  
 FISCAL YEAR 2012-2013

APPENDIX A

	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	6 Months Ended September 30, 2012
<b>OPENING CASH BALANCE</b>	<b>\$2,806,101</b>	<b>\$152,197,265</b>	<b>\$234,068,330</b>	<b>\$389,857,308</b>	<b>\$322,200,238</b>	<b>\$302,579,351</b>	<b>\$2,806,101</b>
<b>RECEIPTS:</b>							
Cigarette Tax	96,498,178	99,397,523	96,905,781	106,198,410	103,474,712	85,776,737	588,251,341
State Share of NYC Cigarette Tax	4,527,000	5,294,000	4,384,000	4,962,000	4,829,000	4,557,000	28,553,000
STIP Interest	46,238	48,125	(48,125)	--	103,152	69,150	218,540
Public Asset Transfers	--	--	--	--	--	--	--
Assessments	320,197,880	395,291,372	380,539,040	360,011,137	352,683,184	321,816,766	2,130,539,379
Rebates	--	--	--	--	--	9,246,218	9,246,218
Restitution and Settlements	--	--	--	--	--	6,517,215	6,517,215
Miscellaneous	--	--	3	300	--	250,229	250,532
<b>Total Receipts</b>	<b>421,269,296</b>	<b>500,031,020</b>	<b>481,780,699</b>	<b>471,171,847</b>	<b>461,090,048</b>	<b>428,233,315</b>	<b>2,763,576,225</b>
<b>DISBURSEMENTS:</b>							
Grants	268,619,137	415,634,171	302,829,356	533,039,734	453,600,447	385,965,087	2,359,687,932
Interest - Late Payments	298	16,320	(10,414)	8,938	16,778	10,981	42,901
Personal Service	2,315,981	738,913	447,862	1,026,487	1,148,906	145,503	5,823,652
Non-Personal Service	45,534	974,586	6,816,038	3,029,571	3,627,248	4,023,288	18,516,265
Employee Benefits/Indirect Costs	--	--	--	794,224	--	--	794,224
<b>Total Disbursements</b>	<b>270,980,950</b>	<b>417,363,990</b>	<b>310,082,842</b>	<b>537,898,954</b>	<b>458,393,379</b>	<b>390,144,859</b>	<b>2,384,864,974</b>
<b>OPERATING TRANSFERS:</b>							
Transfers to Capital Projects Fund	--	--	--	--	20,535,726	--	20,535,726
Transfers to General Fund	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	1,306,200	5,861,269	7,167,469
Transfers to Miscellaneous Special Revenue Empire State Stem Cell Trust Account	--	--	15,000,000	--	--	--	15,000,000
Transfers to SUNY Income Fund	897,182	795,965	908,879	929,963	475,630	908,861	4,916,480
<b>Total Operating Transfers</b>	<b>897,182</b>	<b>795,965</b>	<b>15,908,879</b>	<b>929,963</b>	<b>22,317,556</b>	<b>6,770,130</b>	<b>47,619,675</b>
<b>Total Disbursements and Transfers</b>	<b>271,878,132</b>	<b>418,159,955</b>	<b>325,991,721</b>	<b>538,828,917</b>	<b>480,710,935</b>	<b>396,914,989</b>	<b>2,432,484,649</b>
<b>CLOSING CASH BALANCE</b>	<b>\$152,197,265</b>	<b>\$234,068,330</b>	<b>\$389,857,308</b>	<b>\$322,200,238</b>	<b>\$302,579,351</b>	<b>\$333,897,677</b>	<b>\$333,897,677</b>

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2012-2013

APPENDIX B

Program/Purpose	Appropriation Amount (1)	April - June Disbursements	July Disbursements	August Disbursements	September Disbursements	Total Disbursements 6 Months Ending September 30, 2012 (2)
<b>ADULT HOMES PROGRAM</b>	\$ 59,736	\$ --	\$ --	\$ --	\$ --	\$ --
ADULT HOMES						
<b>AIDS INSTITUTE PROGRAM</b>	244,030,323					
COMMUNITY SERVICE PROG- HIGH RISK		--	--	--	--	--
HIV CLINICAL & PROVIDER EDUCATION		619,829	74,756	163,774	359,492	1,217,851
HIV HEALTH CARE SUPPORTIVE SERVICES		2,799,424	911,865	3,601,307	1,657,030	8,969,626
HIV STD HEPATITIS C PREVENTION		5,075,892	1,465,241	3,934,970	1,975,242	12,451,345
INFANTS AND PREGNANT WOMEN REGIONAL AND TARGETED		--	--	--	--	--
		3,638,235	3,719,277	4,103,510	3,040,208	14,501,230
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	184,744,193					
ADEPHI UNIVRST CANC SPRT PRG		--	--	--	--	--
BRST CANCER HOTLINE - ADELPHI		--	--	--	--	--
CENTER FOR COMMUNITY HLTH GEN		385,294	143,818	185,983	112,933	828,028
EVIDENCE BASED CANCER SVC		3,102,980	459,317	208,683	845,666	4,616,646
FAMILY PLANNING		--	--	--	--	--
HYPERTENSION PREVENTION TREATMENT		82,286	10,531	112,778	7,909	213,504
INDIAN HEALTH PROGRAM		6,215,187	1,234,502	2,860,507	1,193,944	11,504,140
LEAD POISONING PREVENTION		127,151	--	52,548	--	179,699
MATERNITY & EARLY CHHOOD FOUNDATION		74,657	--	149,750	--	224,407
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		15,176	5,711	58,759	3,171,354	3,251,000
PRENATAL CARE ASSISTANCE PROGRAM		450,071	83,418	384,090	152,367	1,069,946
PUBLIC HEALTH CAMPAIGN		1,191,730	40,931	183,666	22,679	1,439,006
RAPE CRISIS		66,775	12,514	9,219	--	88,508
SCHOOL BASED HEALTH PROGRAM		793,740	112,798	1,938,914	590,745	3,436,197
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		226,144	6,601	5,814	99,866	338,425
TOBACCO ENFORCEMENT		71,309	468,922	--	85,450	625,681
TUBERCULOSIS		--	--	127,737	--	127,737
<b>CHILD HEALTH INSURANCE PROGRAM</b>	979,306,800					
CHILD HEALTH INSURANCE		36,361,902	49,200,933	33,011,155	9,497,352	128,071,342
<b>COMMUNITY SUPPORT PROGRAM</b>	120,000					
COMMUNITY SUPPORT		12,000	12,000	12,000	--	36,000
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	329,800,000					
EDLERLY PHARMACEUTICAL INSURANCE COV		10,521,272	10,376,910	11,452,310	3,248,071	35,598,563
<b>HEALTH CARE FINANCING PROGRAM</b>	9,217,600					
HEALTH CARE FINANCING		272,176	103,059	135,819	91,371	602,425
<b>HEALTH CARE REFORM ACT PROGRAM</b>	1,776,039,106					
AIDS DRUG ASSISTANCE		--	--	--	12,300,000	12,300,000
AMBULATORY CARE TRAINING		--	--	--	--	--
AREA HEALTH EDUCATION CENTER		--	--	--	2,199,723	2,199,723
DIAGNOSTIC & TREATMENT CTR UNCOMPENSATED CARE		4,598,105	894,029	(34,279)	75,646	5,533,501
DIVERSITY IN MEDICINE		--	301,200	554,594	--	855,794
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		--	--	--	4,730,000	4,730,000
HCRA PAYOR / PROVIDER AUDITS		--	165,074	--	2,234,819	2,399,893
HEALTH FACILITY RESTRUCTURING DASNY		--	--	19,600,000	--	19,600,000
HEALTH WORKFORCE RETRAINING		552,374	403,756	1,242,109	754,548	2,952,787
INFERTILITY SERVICES GRANTS		405,246	279,296	58,312	--	742,854
MEDICAL INDEMNITY FUND		--	--	--	--	--
PART 405.4 HOSPITAL AUDITS		212,056	181,131	31,287	--	424,474
PAY FOR PERFORMANCE		--	--	--	--	--
PHYSICIAN EXCESS MEDICAL MALPRACTICE		--	127,400,000	--	--	127,400,000
PHYSICIAN LOAN REPAYMENT		64,927	--	--	73,111	138,038
PHYSICIAN PRACTICE SUPPORT		--	114,253	--	24,122	138,375
PHYSICIAN WORKFORCE STUDIES		--	--	--	--	--
POISON CONTROL CENTERS		--	--	--	1,250,000	1,250,000
POOL ADMINISTRATION		--	557,171	908,703	--	1,465,874
ROSWELL PARK CANCER INSTITUTE		--	--	17,900,000	--	17,900,000
RPCI CANC RSRCH OPERATING COSTS		--	--	1,500,000	--	1,500,000
RURAL HEALTH CARE ACCESS		167,146	410,286	440,744	1,894,746	2,912,922
RURAL HEALTH NETWORK		128,244	231,072	490,133	997,744	1,847,193
SCHOOL BASED HEALTH CENTERS		--	--	--	--	--
SCHOOL BASED HEALTH CLINICS-POOL ADMN		--	--	--	--	--
TOBACCO USE PREVENTION/CONTROL		7,618,122	4,211,113	3,652,942	1,486,910	16,969,087
TRANSITION ACCT - PRIOR YEAR ALLOCATION		--	--	--	--	--



STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2012-2013

APPENDIX B  
 (continued)

Program/Purpose	Appropriation Amount (1)	April - June Disbursements	July Disbursements	August Disbursements	September Disbursements	Total Disbursements 6 Months Ending September 30, 2012 (2)
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>\$ 18,568,492,000</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>
BREAST & CERVICAL CANCER		2,107,000	--	--	--	2,107,000
DISABLED PERSONS		23,814,000	--	--	--	23,814,000
FAMILY HEALTH PLUS		52,703,900	32,659,100	85,363,000	85,363,000	256,089,000
FINANCIAL ASSISTANCE		--	--	--	--	--
HOME HEALTH RATE INCREASE		--	--	--	--	--
INPATIENT NURSING HOME PHARMACIES		553,766,100	203,203,900	114,162,000	155,000,000	1,026,132,000
MEDICAID INDIGENT CARE		197,847,018	63,982,950	62,125,268	63,113,959	387,069,195
MEDICAL ASSISTANCE		12,200,000	12,200,000	24,400,000	12,200,000	61,000,000
NYC MEDICAID		13,320,000	13,320,000	13,320,000	13,320,000	53,280,000
PHYSICIAN SERVICES		45,500,000	--	40,838,000	--	86,338,000
PRIMARY CARE CASE MANAGEMENT		2,009,000	--	--	--	2,009,000
PSNL CRE WRKR RECR & RETEN NYC (3)		--	--	--	--	--
PSNL CRE WRKR RECR & RETEN ROS (4)		--	--	--	--	--
SUPPLEMENTAL MEDICAL INSURANCE		7,260,000	7,260,000	7,260,000	7,260,000	29,040,000
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>19,810,800</b>					
OFFICE OF HEALTH INSURANCE		1,995,988	329,689	263,937	191,931	2,781,545
<b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>	<b>51,940,100</b>					
OFFICE HEALTH SYSTEMS MANAGEMENT		2,629,283	2,280,755	2,098,049	429,904	7,437,991
<b>OFFICE OF LONG TERM CARE</b>	<b>23,898,240</b>					
ADULT HOME INITIATIVE		--	--	--	--	--
ENABLE AIR CONDITIONING		--	--	--	--	--
ENABLE QUALITY OF LIFE		--	--	--	--	--
QUALITY PROG ADULT CARE FACILITIES		31,949	--	--	4,298	36,247
<b>TOTAL</b>	<b>22,187,458,898</b>	<b>1,001,033,688</b>	<b>538,827,879</b>	<b>458,868,092</b>	<b>391,056,140</b>	<b>2,389,785,799</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)	636,003					
Reclass of SUNY Hospital Disprop Share to Transfer		(2,602,026)	(929,962)	(475,631)	(908,861)	(4,916,480)
Reconciling Adjustment (P-Card and T-Card)		(3,880)	1,037	918	(2,420)	(4,345)
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 22,188,094,901</b>	<b>\$ 998,427,782</b>	<b>\$ 537,898,954</b>	<b>\$ 458,393,379</b>	<b>\$ 390,144,859</b>	<b>\$ 2,384,864,974</b>

(1) Includes amounts appropriated in 2012 as well as prior year appropriations that were reappropriated in the SFY 2012 budget chapters.

(2) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(3) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(4) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK  
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - SEPTEMBER 2012  
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009  
 (Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	September Disbursements	Life-to-Date Disbursements
<b>Education</b>				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ --	\$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	194,445.78	8,536,267.34
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	--	399,900.00
84.033	Department of Education	Federal Work-Study Program	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	--	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	287,491.98	3,260,810.79
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	6,127.14	161,472.19
84.386	Department of Education	Education Technology State Grants, Recovery Act	24,453.59	50,861,596.95
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	--	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	5,264,477.58	87,249,255.14
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	348,959.00	906,632,435.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	--	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	--	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	--	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	--	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	4,847,078.64	60,943,196.71
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	--	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	--	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	--	2,297,731.00
84.410	Department of Education	Education Jobs Fund	72,277,161.00	579,625,296.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	--	411,249.00
<b>Total Education</b>			<b>83,250,194.71</b>	<b>5,674,187,690.22</b>
<b>Energy and Environment</b>				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	--	617,163.65
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	--	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	--	1,635,086.95
66.454	Environmental Protection Agency	Water Quality Management Planning	--	4,132,731.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	32,579,375.15	353,869,837.43
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	1,146,698.95	83,365,696.72
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	--	9,212,000.00
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	65,262.35	391,861,806.81
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	--	320,956.07
<b>Total Energy and Environment</b>			<b>33,791,336.45</b>	<b>846,022,890.88</b>
<b>Food and Nutrition Services</b>				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	4,148,718.00
<b>Total Food and Nutrition Services</b>			<b>--</b>	<b>11,082,466.00</b>
<b>Health and Social Services</b>				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	5,320.54	1,509,665.95
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	(105,096.79)	25,237,677.52
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	--	26,406,387.04
93.563	Health and Human Services	Child Support Enforcement	--	76,378,833.69
93.658	Health and Human Services	Foster Care- Title IV-E	--	53,978,181.00
93.659	Health and Human Services	Adoption Assistance	--	60,062,684.00
93.708	Health and Human Services	ARRA - Head Start	739,859.51	2,027,641.99
93.712	Health and Human Services	ARRA - Immunization	--	4,275,750.26
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	--	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	--	723,023,290.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	48,026.93	1,112,548.08
93.778	Health and Human Services	Medical Assistance Program (FMAP)	10,959,047.26	13,446,705,710.48
94.006	Corporation for National and Community Service	AmeriCorps	--	6,672,738.91
<b>Total Health and Social Services</b>			<b>11,647,157.45</b>	<b>14,554,048,009.92</b>

STATE OF NEW YORK  
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - SEPTEMBER 2012  
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009  
 (Disbursement Based)

APPENDIX C  
 (continued)

Federal CFDA No.	Federal Agency	Program	September Disbursements	Life-to-Date Disbursements
<b>Housing</b>				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	\$ --	\$ 21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	--	85,384,063.91
		<b>Total Housing</b>	<b>--</b>	<b>107,259,063.91</b>
<b>Labor</b>				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	51,074,427.88	12,408,720,761.82
17.235	Department of Labor	Senior Community Service - Employment Program	--	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	--	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	--	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	12,870.46	70,082,923.12
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	--	1,112,175.14
		<b>Total Labor</b>	<b>51,087,298.34</b>	<b>12,607,353,310.46</b>
<b>Public Protection</b>				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	206,651.89	1,992,527.17
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	--	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	--	7,274,508.35
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	9,318.55	836,690.73
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	--	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	--	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	3,008,090.27	50,923,036.25
		<b>Total Public Protection</b>	<b>3,224,060.71</b>	<b>73,061,474.56</b>
<b>Transportation</b>				
20.205	Department of Transportation	Highway Planning and Construction	2,655,272.77	849,227,657.77
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	324,626.72	5,708,391.36
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	--	19,888,840.41
		<b>Total Transportation</b>	<b>2,979,899.49</b>	<b>874,824,889.54</b>
		<b>TOTAL ARRA DISBURSEMENTS</b>	<b>\$ 185,979,947.15</b>	<b>\$ 34,747,839,795.49</b>

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2012-2013**

	<u>1st Quarter April-June</u>	<u>2012 JULY</u>	<u>2012 AUGUST</u>	<u>2012 SEPTEMBER</u>	<u>2012-2013</u>
<b>OPENING CASH BALANCE</b>	\$ 286,758,937.54	\$ 137,093,786.70	\$ 273,526,953.59	\$ 259,194,466.23	\$ 286,758,937.54
<b>RECEIPTS:</b>					
Patient Services	619,218,686.92	322,711,156.86	212,844,911.82	125,043,078.91	1,279,817,834.51
Covered Lives	218,746,792.16	130,860,653.92	82,699,772.27	46,821,689.52	479,128,907.87
Provider Assessments	18,222,091.50	9,417,105.87	6,762,136.34	3,774,588.30	38,175,922.01
1% Assessments	80,320,357.00	30,609,898.00	26,316,215.00	24,919,439.06	162,165,909.06
DASNY- MOE/Recast receivables	0.00	0.00	2,219,958.69	0.00	2,219,958.69
Interest Income	54,777.16	22,544.68	19,128.95	18,427.59	114,878.38
NYPHRM	0.00	0.00	0.00	0.00	0.00
Hospital Quality Contribution	0.00	0.00	0.00	0.00	0.00
Unassigned	(52,581.62)	40,713.00	6,650,321.00	(5,108,247.00)	1,530,205.38
<b>Total Receipts</b>	<b>936,510,123.12</b>	<b>493,662,072.33</b>	<b>337,512,444.07</b>	<b>195,468,976.38</b>	<b>1,963,153,615.90</b>
<b>DISBURSEMENTS:</b>					
<b>Program Disbursements:</b>					
Poison Control Centers	0.00	0.00	0.00	0.00	0.00
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	0.00	0.00	0.00	0.00
<b>Total Disbursements</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>936,510,123.12</b>	<b>493,662,072.33</b>	<b>337,512,444.07</b>	<b>195,468,976.38</b>	<b>1,963,153,615.90</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers from Other Pools:</b>					
Medicaid Disproportionate Share	0.00	0.00	13,421.00	0.00	13,421.00
Health Facility Assessment Fund - Hospital Quality Contribution	9,849,087.00	2,781,167.00	3,044,790.00	3,099,963.00	18,775,007.00
<b>Transfers From State Funds:</b>					
HCRA Resources Fund	0.00	0.00	0.00	0.00	0.00
HCRA Resources Fund FMAP	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<b>9,849,087.00</b>	<b>2,781,167.00</b>	<b>3,058,211.00</b>	<b>3,099,963.00</b>	<b>18,788,428.00</b>
<b>Transfers to Other Pools:</b>					
Medicaid Disproportionate Share	0.00	0.00	(2,219,958.69)	0.00	(2,219,958.69)
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>					
HCRA Resources Fund	(894,327,322.28)	(360,010,072.44)	(223,992,580.18)	(257,419,330.42)	(1,735,749,305.32)
Indigent Care Fund (matched)	(197,593,228.63)	0.00	(128,173,311.81)	(63,570,251.37)	(389,336,791.81)
Indigent Care Fund (non-matched)	(4,103,810.05)	0.00	(517,291.75)	(825,583.86)	(5,446,685.66)
<b>Total Other Financing Uses</b>	<b>(1,096,024,360.96)</b>	<b>(360,010,072.44)</b>	<b>(354,903,142.43)</b>	<b>(321,815,165.65)</b>	<b>(2,132,752,741.48)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(149,665,150.84)</b>	<b>136,433,166.89</b>	<b>(14,332,487.36)</b>	<b>(123,246,226.27)</b>	<b>(150,810,697.58)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 137,093,786.70</b>	<b>\$ 273,526,953.59</b>	<b>\$ 259,194,466.23</b>	<b>\$ 135,948,239.96</b>	<b>\$ 135,948,239.96</b>

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2012-2013**

	<i>1st Quarter April-June</i>	2012 JULY	2012 AUGUST	2012 SEPTEMBER	2012-2013
<b>OPENING CASH BALANCE</b>	\$ 12,566.79	\$ 1,064.46	\$ 0.32	\$ 1,600.71	\$ 12,566.79
<b>RECEIPTS:</b>					
Interest Income	2,846.81	0.32	1,600.71	348.63	4,796.47
<b>Total Receipts</b>	<u>2,846.81</u>	<u>0.32</u>	<u>1,600.71</u>	<u>348.63</u>	<u>4,796.47</u>
<b>DISBURSEMENTS:</b>					
<b>Program Disbursements:</b>					
Indigent Care	(188,800,520.91)	0.00	(126,407,804.78) *	(62,703,382.42)	(377,911,708.11)
High Need Indigent Care	(7,655,760.00)	0.00	(123,480.00)	0.00	(7,779,240.00)
Other	0.00	0.00	(941,267.24)	0.00	(941,267.24)
<b>Total Program Disbursements</b>	<u>(196,456,280.91)</u>	<u>0.00</u>	<u>(127,472,552.02)</u>	<u>(62,703,382.42)</u>	<u>(386,632,215.35)</u>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<u>(196,453,434.10)</u>	<u>0.32</u>	<u>(127,470,951.31)</u>	<u>(62,703,033.79)</u>	<u>(386,627,418.88)</u>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers from Other Pools:</b>					
Public Goods Pool	0.00	0.00	2,219,958.69	0.00	2,219,958.69
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>					
HCRA Resources Indigent Care - Matched	98,796,614.33	0.00	64,086,655.91	31,785,125.69	194,668,395.93
HCRA Resources Indigent Care - Unmatched	2,865,696.04	0.00	(308,204.85)	412,791.93	2,970,283.12
HCRA Resources Indigent Care - ATB	(4,013,061.73)	0.00	(2,583,955.63)	(1,279,660.88)	(7,876,678.24)
Federal DHHS Fund	98,796,614.30	0.00	64,086,655.90	31,785,125.68	194,668,395.88
<b>Total Other Financing Sources</b>	<u>196,445,862.94</u>	<u>0.00</u>	<u>127,501,110.02</u>	<u>62,703,382.42</u>	<u>386,650,355.38</u>
<b>Transfers to Other Pools:</b>					
Public Goods Pool	0.00	0.00	(13,421.00) *	0.00	(13,421.00)
Health Facility Assessment Fund	0.00	0.00	(15,137.00) *	0.00	(15,137.00)
<b>Transfers to State Funds:</b>					
HCRA Resources Fund Indigent Care Acct	(3,931.17)	(1,064.46)	(0.32)	(1,600.71)	(6,596.66)
<b>Total Other Financing Uses</b>	<u>(3,931.17)</u>	<u>(1,064.46)</u>	<u>(2,558.32)</u>	<u>(1,600.71)</u>	<u>(35,154.66)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<u>(11,502.33)</u>	<u>(1,064.14)</u>	<u>1,600.39</u>	<u>(1,252.08)</u>	<u>(12,218.16)</u>
<b>CLOSING CASH BALANCE</b>	<u>\$ 1,064.46</u>	<u>\$ 0.32</u>	<u>\$ 1,600.71</u>	<u>\$ 348.63</u>	<u>\$ 348.63</u>

Source: HCRA - Office of Pool Administration

\* Prior month's Program Disbursements were adjusted for updated information received for "Transfers to Other Pools"

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '12 (000)	DISBURSED MAY '12 (000)	DISBURSED JUNE '12 (000)	DISBURSED JULY '12 (000)	DISBURSED AUG '12 (000)	DISBURSED SEPT '12 (000)	DISBURSED OCT '12 (000)	DISBURSED NOV '12 (000)	DISBURSED DEC '12 (000)	DISBURSED JAN '13 (000)	DISBURSED FEB '13 (000)	DISBURSED MAR '13 (000)	DISBURSED TOTAL 12-13 (000)
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	8	12	--	142	12								174
Education - EXCEL	7,243	13,924	9,706	10,267	2,719								43,859
Department of Health - All Other	--	--	17	94	96								207
CEFAP	1,289	738	234	273	500								3,034
Regional Development:													
CCAP/RESTORE	906	734	--	77	73								1,790
Multi-modal	--	--	--	3	--								3
GenNYsis	--	--	--	--	--								--
CUNY Senior Colleges	17,285	23,690	14,687	29,174	25,849								110,685
CUNY Community Colleges	8,160	6,585	1,720	13,719	6,510								36,694
SUNY Dormitories	14,723	19,531	14,800	27,368	18,339								94,761
Upstate Community Colleges	2,213	10,115	6,163	7,139	16,240								41,870
Mental Health	5,495	5,651	3,145	6,525	5,410								26,226
Developmental Disabilities	1,569	1,520	1,636	3,755	1,262								9,742
Alcoholism & Substance Abuse	89	9	15	45	25								183
Brooklyn Court Officer Training Academy	2	--	--	37	8								47
<b>TOTAL DORMITORY AUTHORITY:</b>	<b>58,982</b>	<b>82,509</b>	<b>52,123</b>	<b>98,618</b>	<b>77,043</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>369,275</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	--	--	--	--	--								--
CCAP	54	284	--	212	--								550
Empire Opportunity	--	--	--	--	--								--
CEFAP	--	20	--	--	--								20
State Facilities and Equipment	--	--	--	--	--								--
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP:</b>	<b>54</b>	<b>304</b>	<b>--</b>	<b>212</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>570</b>
<b>THRUWAY AUTHORITY:</b>													
CHIPS	--	--	57,216	--	--								57,216
SHIPS	--	--	--	--	--								--
Marchiselli	--	--	7,325	--	--								7,325
Multi-modal	--	5	--	--	236								241
<b>TOTAL THRUWAY AUTHORITY:</b>	<b>--</b>	<b>5</b>	<b>64,541</b>	<b>--</b>	<b>236</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>64,782</b>
<b>TOTAL OFF-BUDGET:</b>	<b>59,036</b>	<b>82,818</b>	<b>116,664</b>	<b>98,830</b>	<b>77,279</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>434,627</b>
TOTAL CEFAP	1,289	758	234	273	500								3,054
ECONOMIC DEVELOPMENT:													
Total CCAP	960	1,018	--	289	73								2,340
Total Multi-modal	--	--	--	3	--								3
Total GenNYsis	--	--	--	--	--								--
Total Centers for Excellence	--	--	--	--	--								--
Total Empire Opportunity	--	--	--	--	--								--
Total Economic Development	960	1,018	--	292	73	--	--	--	--	--	--	--	2,343

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

## Schedule of Month-End Temporary Loans Outstanding September 30, 2012

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

**Office of the State Comptroller  
Summary of Month-End Temporary Loans Outstanding**

	May 31, 2012	June 30, 2012	July 31, 2012	August 31, 2012	Change	September 30, 2012
TOTAL GENERAL FUND	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	992,537,739.85	1,070,581,667.12	1,128,437,881.91	1,179,014,808.97	233,088,443.30	1,412,103,252.27
TOTAL STATE SPECIAL REVENUE FUNDS	600,161,407.72	789,495,516.78	502,516,408.92	679,447,175.37	1,315,208,969.82	1,994,656,145.19
TOTAL FEDERAL FUNDS	902,329,379.36	1,181,183,193.39	877,926,671.82	518,581,719.57	230,792,499.91	749,374,219.48
TOTAL AGENCY FUNDS	--	--	--	--	79,000,289.66	79,000,289.66
TOTAL ENTERPRISE FUND	--	--	--	--	--	--
TOTAL INTERNAL SERVICE FUNDS	57,340,659.79	62,154,778.89	74,630,711.95	82,965,960.65	10,052,304.90	93,018,265.55
<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>	<b>\$2,552,369,186.72</b>	<b>\$3,103,415,156.18</b>	<b>\$2,583,511,674.60</b>	<b>\$2,460,009,664.56</b>	<b>\$1,868,142,507.59</b>	<b>\$4,328,152,172.15</b>







Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

Table with columns: SFS Fund, ACCOUNT, ACCOUNT TITLE, April 30, 2012, May 31, 2012, June 30, 2012, July 31, 2012, August 31, 2012, Change, September 30, 2012. Rows include various state departments and special revenue funds, with sub-totals for Special Revenue Funds and Federal Funds.

Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

SFS Fund	ACCOUNT	ACCOUNT TITLE	April 30, 2012	May 31, 2012	June 30, 2012	July 31, 2012	August 31, 2012	Change	September 30, 2012
<b>AGENCY FUNDS</b>									
60901	609 -01	MMIS - STATE & FEDERAL	0.00	0.00	0.00	0.00	0.00	79,000,289.66	79,000,289.66
<b>TOTAL AGENCY FUNDS</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$79,000,289.66</b>	<b>\$79,000,289.66</b>
<b>ENTERPRISE FUND</b>									
50051	500 -51	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00	0.00
50318	503 -18	OGS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>TOTAL ENTERPRISE FUND</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>INTERNAL SERVICE FUNDS</b>									
55001	550 -01	CENTRALIZED SERVICES-FLEET MGMT	1,543,670.66	1,651,207.25	1,597,510.44	1,539,948.11	1,135,937.09	(191,649.73)	944,287.36
55002	-02	CENTRALIZED SERVICES-DATA PROCESSING	127,107.03	141,500.53	155,434.54	168,074.53	228,185.45	63,179.10	291,364.55
55003	-03	CENTRALIZED SERVICES-REPRODUCTION	1,380,957.27	1,537,882.36	1,593,691.74	1,705,179.99	1,728,586.85	542,843.48	2,271,430.33
55004	-04	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	13,844.90	59,430.18	0.00	179,721.50	257,362.87	174,342.62	431,705.49
55005	-05	CENTRALIZED SERVICES-SURPLUS FED FOODS	762,671.31	951,480.51	1,157,384.36	1,236,773.66	1,326,214.81	(283,821.56)	1,042,393.25
55006	-06	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55007	-07	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,457,102.92	2,625,383.98	2,738,487.44	3,086,669.10	3,165,700.41	45,900.85	3,211,601.26
55008	-08	CENTRALIZED SERVICES-PASNY	2,658,746.41	12,271,918.98	11,444,037.89	25,663,209.80	27,806,173.72	(811,647.51)	26,994,526.21
55009	-09	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	1,698,285.10	0.00	0.00	0.00	0.00	0.00
55010	-10	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55011	-11	CENTRALIZED SERVICES-INSURANCE	860,724.54	869,974.02	1,318,427.69	1,497,765.19	1,639,382.78	63,894.84	1,703,277.62
55012	-12	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55013	-13	CENTRALIZED SERVICES-COP'S	0.00	0.00	376,819.93	402,690.33	425,899.83	(425,899.83)	0.00
55014	-14	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55015	-15	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55016	-16	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	26,961.54	26,961.54	0.00	26,961.54
55017	-17	DOWNSTATE DISTRIBUTION	832,000.85	868,572.01	743,465.90	781,422.54	832,776.93	51,692.61	884,469.54
55018	-18	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55019	-19	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55052	-52	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55053	-53	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55055	-55	CIVIL SERVICE LAW-SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55056	-56	CIVIL SERVICE EHS OCCUP HEALTH PROG	137,499.62	195,674.81	194,415.98	172,714.48	133,516.10	58,888.81	192,404.91
55057	-57	BANKING SERVICES ACCOUNT	0.00	598.82	517,082.58	0.00	243,726.17	(45,754.25)	197,971.92
55058	-58	CULTURAL RESOURCE SURVEY	2,433,901.48	2,701,925.07	2,944,056.86	3,045,367.46	2,442,602.25	(204,331.38)	2,238,270.87
55059	-59	NEIGHBOR WORK PROJECT	5,673,913.64	8,028,564.83	7,871,278.51	7,764,532.48	7,590,449.29	277,281.48	7,867,730.77
55060	-60	AUTOMATIC/PRINT CHARGBACKS	0.00	0.00	471,001.81	384,272.83	1,641,820.15	747,587.37	2,389,407.52
55061	-61	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55062	-62	DATA CENTER ACCOUNT	0.00	0.00	0.00	0.00	4,779,308.36	9,013,357.83	13,792,666.19
55063	-63	HUMAN SVCE TELECOM ACCT	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55065	-65	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55066	-66	CYBER SECURITY INTRUSION ACCT	551,435.90	551,435.90	818,378.57	818,378.57	1,010,444.32	(95,583.78)	914,860.54
55067	-67	DOMESTIC VIOLENCE GRANT	271,268.41	315,927.36	305,203.23	346,083.67	320,787.56	41,954.03	362,741.59
55069	-69	CENTRALIZED TECHNOLOGY SERVICES	3,052,523.92	3,105,451.21	3,499,757.81	825,601.05	1,363,088.84	136,514.57	1,499,603.41
55070	-70	LEARNING MGMT SYSTEM	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55201	552 -01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00	0.00
55251	-51	EXECUTIVE DIRECTION INTERNAL AUDIT	757,669.26	856,745.03	243,069.67	331,751.08	477,780.23	94,885.46	572,665.69
55300	553 -00	HEALTH INSURANCE INTERNAL SERVICE	12,250,302.97	13,010,407.06	13,922,308.94	14,462,426.42	15,377,254.63	610,513.70	15,987,768.33
55301	-01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	2,996,896.88	3,182,212.62	3,501,545.12	3,040,788.00	2,897,872.78	89,647.56	2,987,520.34
55350	-50	CORR INDUSTRIES INTERNAL SERVICE	0.00	2,689,120.62	6,714,458.34	7,150,379.62	6,114,127.69	98,508.63	6,212,636.32
<b>TOTAL INTERNAL SERVICE FUNDS</b>			<b>\$38,789,199.51</b>	<b>\$57,340,659.79</b>	<b>\$62,154,778.89</b>	<b>\$74,630,711.95</b>	<b>\$82,965,960.65</b>	<b>\$10,052,304.90</b>	<b>\$93,018,265.55</b>
<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>			<b>\$2,238,689,301.47</b>	<b>\$2,552,369,186.72</b>	<b>\$3,103,415,156.18</b>	<b>\$2,583,511,674.60</b>	<b>\$2,460,009,664.56</b>	<b>\$1,868,142,507.59</b>	<b>\$4,328,152,172.15</b>

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part U, Section 1 and 1A, of the Laws of 2012-13. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

**NOTE:**

- (1) - Includes all negative cash balance Subfunds within fund 25000-25036 (261).
- (2) - Includes all negative cash balance Subfunds within fund 25100-25183 (265).
- (3) - Includes all negative cash balance Subfunds within fund 25200-25220 (267).
- (4) - Includes all negative cash balance Subfunds within fund 25250-25261 (269).
- (5) - Includes all negative cash balance Subfunds within fund 25300-25519 (290).
- (6) - Includes all other negative cash balance Subfunds within fund 31350-31376 (291).
- (7) - The Fund 31354 (291-10) temporary loan balance includes \$69.8 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 30051 (072-01) based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 30051 (072-01) on or before March 31, 2013.
- (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 10000 (001) and State Purpose Fund 10050 (003).