



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

OCTOBER 2018

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
October 31, 2018

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**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR		\$ Increase/ (Decrease)	% Increase/ Decrease
	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2017	7 MOS. ENDED OCT. 31, 2017		
RECEIPTS:														
Personal Income Tax	\$ 1,286.9	\$ 13,117.7	\$ 0.5	\$ 0.5	\$ 1,287.4	\$ 13,118.2	\$ -	\$ -	\$ 2,574.8	\$ 26,236.4	\$ 2,694.2	\$ 24,868.9	\$ 1,367.5	5.5%
Consumption/Use Taxes	587.9	4,469.3	166.1	1,213.6	538.4	4,099.3	47.5	384.9	1,339.9	10,167.1	1,316.1	9,670.3	496.8	5.1%
Business Taxes	96.5	2,586.3	58.9	937.6	-	-	53.5	389.8	208.9	3,913.7	69.7	3,710.3	203.4	5.5%
Other Taxes (4)	99.3	600.6	-	-	76.0	625.0	12.0	59.6	187.3	1,285.2	277.0	2,194.9	(909.7)	-41.4%
Miscellaneous Receipts	157.2	1,453.7	1,666.1	11,891.9	33.3	249.5	1,341.1	2,498.8	3,197.7	16,093.9	2,541.1	14,979.4	1,114.5	7.4%
Federal Receipts	-	0.1	4,560.7	34,326.2	-	36.7	179.7	1,272.6	4,740.4	35,635.6	4,214.7	32,250.3	3,385.3	10.5%
Total Receipts	2,227.8	22,227.7	6,452.3	48,369.8	1,935.1	18,128.7	1,633.8	4,605.7	12,249.0	93,331.9	11,112.8	87,674.1	5,657.8	6.5%
DISBURSEMENTS:														
Local Assistance Grants: (3,4)														
Education	932.2	12,408.6	326.0	4,884.3	-	-	11.1	110.1	1,269.3	17,403.0	1,277.4	16,535.2	867.8	5.2%
Environment and Recreation	0.3	1.7	0.1	1.9	-	-	7.2	105.1	7.6	108.7	8.8	73.3	35.4	48.3%
General Government	11.5	737.3	6.4	114.2	-	-	130.1	524.0	148.0	1,375.5	75.2	1,199.0	176.5	14.7%
Public Health:														
Medicaid	1,268.0	10,489.0	4,036.9	26,095.4	-	-	-	-	5,304.9	36,584.4	4,499.1	33,149.6	3,434.8	10.4%
Other Public Health	203.8	1,502.1	574.1	4,393.1	-	-	40.4	194.9	818.3	6,090.1	616.8	5,820.0	270.1	4.6%
Public Safety	9.8	112.4	216.0	773.6	-	-	-	34.1	225.8	920.1	173.3	865.0	55.1	6.4%
Public Welfare	263.7	1,394.9	438.3	2,904.2	-	-	-	205.3	702.0	4,504.4	855.8	3,827.3	677.1	17.7%
Support and Regulate Business	22.5	90.7	1.7	27.4	-	-	133.2	524.5	157.4	642.6	82.1	879.0	(236.4)	-26.9%
Transportation	-	255.6	266.6	2,115.6	-	-	95.7	874.4	362.3	3,245.6	435.9	3,353.4	(107.8)	-3.2%
Total Local Assistance Grants	2,711.8	26,992.3	5,866.1	41,309.7	-	-	417.7	2,572.4	8,995.6	70,874.4	8,024.4	65,701.8	5,172.6	7.9%
Departmental Operations:														
Personal Service	827.3	5,248.3	645.3	3,362.4	-	-	-	-	1,472.6	8,610.7	1,111.9	8,046.6	564.1	7.0%
Non-Personal Service	219.3	1,506.4	366.4	2,368.8	0.2	25.1	-	-	585.9	3,900.3	644.2	3,977.1	(76.8)	-1.9%
General State Charges	542.2	5,086.1	103.2	859.3	-	-	-	-	645.4	5,945.4	557.8	5,733.6	211.8	3.7%
Debt Service, Including Payments on														
Financing Agreements	-	-	-	-	47.7	1,356.4	-	-	47.7	1,356.4	26.7	1,581.9	(225.5)	-14.3%
Capital Projects (1)	-	-	-	-	-	-	688.5	4,198.8	688.5	4,198.8	718.2	3,794.5	404.3	10.7%
Total Disbursements	4,300.6	38,833.1	6,981.0	47,900.2	47.9	1,381.5	1,106.2	6,771.2	12,435.7	94,886.0	11,083.2	88,835.5	6,050.5	6.8%
Excess (Deficiency) of Receipts over Disbursements	(2,072.8)	(16,605.4)	(528.7)	469.6	1,887.2	16,747.2	527.6	(2,165.5)	(186.7)	(1,554.1)	29.6	(1,161.4)	(392.7)	-33.8%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	1,863.6	17,762.3	73.5	1,402.8	312.6	1,474.2	(584.2)	2,192.3	1,665.5	22,831.6	2,075.1	18,399.4	4,432.2	24.1%
Transfers to Other Funds (2)	323.8	(4,036.4)	(64.2)	(681.8)	(1,874.8)	(17,715.8)	(16.9)	(411.4)	(1,632.1)	(22,845.4)	(2,081.7)	(18,469.1)	4,376.3	23.7%
Total Other Financing Sources (Uses)	2,187.4	13,725.9	9.3	721.0	(1,562.2)	(16,241.6)	(601.1)	1,780.9	33.4	(13.8)	(6.6)	(69.7)	55.9	80.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	114.6	(2,879.5)	(519.4)	1,190.6	325.0	505.6	(73.5)	(384.6)	(153.3)	(1,567.9)	23.0	(1,231.1)	(336.8)	-27.4%
Beginning Fund Balances (Deficits)	6,450.9	9,445.0	6,012.1	4,302.1	333.7	153.1	(1,462.3)	(1,151.2)	11,334.4	12,749.0	9,850.6	11,104.7	1,644.3	14.8%
Ending Fund Balances (Deficits)	\$ 6,565.5	\$ 6,565.5	\$ 5,492.7	\$ 5,492.7	\$ 658.7	\$ 658.7	\$ (1,535.8)	\$ (1,535.8)	\$ 11,181.1	\$ 11,181.1	\$ 9,873.6	\$ 9,873.6	\$ 1,307.5	13.2%

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2017	7 MOS. ENDED OCT. 31, 2017	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax	\$ 1,286.9	\$ 13,117.7	\$ 0.5	\$ 0.5	\$ 1,287.4	\$ 13,118.2	\$ 2,574.8	\$ 26,236.4	\$ 2,694.2	\$ 24,868.9	\$ 1,367.5	5.5%
Consumption/Use Taxes	587.9	4,469.3	166.1	1,213.6	538.4	4,099.3	1,292.4	9,782.2	1,262.6	9,342.9	439.3	4.7%
Business Taxes	96.5	2,586.3	58.9	937.6	-	-	155.4	3,523.9	18.7	3,348.1	175.8	5.3%
Other Taxes	99.3	600.6	-	-	76.0	625.0	175.3	1,225.6	265.1	2,135.4	(909.8)	-42.6%
Miscellaneous Receipts	157.2	1,453.7	1,648.0	11,757.6	33.3	249.5	1,838.5	13,460.8	1,557.6	11,982.5	1,478.3	12.3%
Federal Receipts	-	0.1	-	(2.5)	-	36.7	-	34.3	0.1	37.2	(2.9)	-7.8%
Total Receipts	2,227.8	22,227.7	1,873.5	13,906.8	1,935.1	18,128.7	6,036.4	54,263.2	5,798.3	51,715.0	2,548.2	4.9%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	932.2	12,408.6	138.4	2,552.0	-	-	1,070.6	14,960.6	1,077.7	14,571.0	389.6	2.7%
Environment and Recreation	0.3	1.7	-	0.5	-	-	0.3	2.2	0.2	3.7	(1.5)	-40.5%
General Government	11.5	737.3	5.2	82.2	-	-	16.7	819.5	18.7	826.8	(7.3)	-0.9%
Public Health:												
Medicaid	1,268.0	10,489.0	614.1	3,280.7	-	-	1,882.1	13,769.7	1,613.8	12,264.2	1,505.5	12.3%
Other Public Health	203.8	1,502.1	61.5	525.1	-	-	265.3	2,027.2	142.5	1,943.0	84.2	4.3%
Public Safety	9.8	112.4	11.2	92.3	-	-	21.0	204.7	25.9	159.9	44.8	28.0%
Public Welfare	263.7	1,394.9	0.6	3.7	-	-	264.3	1,398.6	135.7	1,293.9	104.7	8.1%
Support and Regulate Business	22.5	90.7	0.9	21.8	-	-	23.4	112.5	38.8	134.4	(21.9)	-16.3%
Transportation	-	255.6	262.8	2,083.5	-	-	262.8	2,339.1	362.0	2,826.4	(487.3)	-17.2%
Total Local Assistance Grants	2,711.8	26,992.3	1,094.7	8,641.8	-	-	3,806.5	35,634.1	3,415.3	34,023.3	1,610.8	4.7%
Departmental Operations:												
Personal Service	827.3	5,248.3	576.1	2,965.7	-	-	1,403.4	8,214.0	1,064.3	7,675.6	538.4	7.0%
Non-Personal Service	219.3	1,506.4	261.0	1,614.3	0.2	25.1	480.5	3,145.8	505.6	3,203.0	(57.2)	-1.8%
General State Charges	542.2	5,086.1	76.1	582.6	-	-	618.3	5,668.7	533.3	5,555.2	113.5	2.0%
Debt Service, Including Payments on												
Financing Agreements	-	-	-	-	47.7	1,356.4	47.7	1,356.4	26.7	1,581.9	(225.5)	-14.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	4,300.6	38,833.1	2,007.9	13,804.4	47.9	1,381.5	6,356.4	54,019.0	5,545.2	52,039.0	1,980.0	3.8%
Excess (Deficiency) of Receipts over Disbursements	(2,072.8)	(16,605.4)	(134.4)	102.4	1,887.2	16,747.2	(320.0)	244.2	253.1	(324.0)	568.2	175.4%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	1,863.6	17,762.3	123.2	1,816.1	312.6	1,474.2	2,299.4	21,052.6	2,362.3	17,454.3	3,598.3	20.6%
Transfers to Other Funds	323.8	(4,036.4)	30.4	(77.1)	(1,874.8)	(17,715.8)	(1,520.6)	(21,829.3)	(2,012.8)	(17,168.5)	4,660.8	27.1%
Total Other Financing Sources (Uses)	2,187.4	13,725.9	153.6	1,739.0	(1,562.2)	(16,241.6)	778.8	(776.7)	349.5	285.8	(1,062.5)	-371.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	114.6	(2,879.5)	19.2	1,841.4	325.0	505.6	458.8	(532.5)	602.6	(38.2)	(494.3)	-1,294.0%
Beginning Fund Balances (Deficits)	6,450.9	9,445.0	5,830.7	4,008.5	333.7	153.1	12,615.3	13,606.6	10,984.5	11,625.3	1,981.3	17.0%
Ending Fund Balances (Deficits)	\$ 6,565.5	\$ 6,565.5	\$ 5,849.9	\$ 5,849.9	\$ 658.7	\$ 658.7	\$ 13,074.1	\$ 13,074.1	\$ 11,587.1	\$ 11,587.1	\$ 1,487.0	12.8%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$388.8 million
Urban Development Corporation (Youth Facilities)	62.1
Housing Finance Agency (HFA)	348.9
Housing Assistance Fund	13.1
Dormitory Authority (Mental Hygiene)	605.3
Dormitory Authority and State University Income Fund	531.8
Federal Capital Projects	554.5
State bond and note proceeds	103.3

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$1,379.3 million
General Debt Service Fund	511.9
Banking Services Account	19.2
Batavia School for the Blind Account	0.9
Business Service Center Account	6.0
Centralized Tech Services Account	14.0
Court Facilities Incentive Aid Fund	62.0
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	750.0
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Dedicated Mass Transportation - (Non-MTA)	2.5
Housing Debt Service Fund	2.0
MTA Financial Assistance Fund	195.4
MTA Operating Assistance Fund	27.8
NYC County Courts Operating Fund	3.1
Rome School for the Deaf Account	0.9
SUNY - Income Fund	837.5

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$6.3m), the State University Income Fund (\$184.3m), the Mental Hygiene Program Account (\$-29.0m) and Miscellaneous State Special Revenue Fund (\$0.1m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of October 31, 2018 - pursuant to a certification of the Budget Director - the reserve amount is (\$182.7m), which is funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$541.3m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Services Fund (\$8.4m), Medicaid Management Information System Escrow Fund (\$-23.8m), SUNY Capital Projects Fund (\$-21.7m) and All other Capital Projects (\$51.7m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Cable Television Account	\$2.5 million
Federal Dept of Health & Human Services Fund	42.1
Federal USDA/Food & Nutrition Services Fund	12.3
NYC Assessment Account	19.3
SUNY Income Fund	26.8
Unemployment Insurance Administration Fund	7.7
Unemployment Insurance - Interest & Penalty Account	11.4

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$12,914.1 million
Local Government Assistance Tax Fund	2,020.9
Sales Tax Revenue Bond Tax Fund	1,547.0
Clean Water/Clean Air Fund	590.6
Mental Health Services Fund	555.1

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$88.1m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$14.1m), the General Debt Service Fund - Lease Purchase (\$180.5m), and the Revenue Bond Tax Fund (\$216.8m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ -
Medicaid Recoveries - Audit	484	516
Medicaid Recoveries - Third Parties	1,123,396	1,197,670
Pharmacy Rebates	32,971	28,063
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	\$ 1,156,851	\$ 1,226,249

GOVERNMENTAL FUNDS FOOTNOTES

**EXHIBIT A NOTES
October 2018**

4. Part UU of Section 11 of Chapter 59 of the Laws of 2018 amended section 805(b) of the tax law, whereby the receipts from the metropolitan commuter transportation mobility tax will be paid into the metropolitan transportation authority finance fund pursuant to statute but without appropriation. The result is that neither the mobility tax receipts nor the related grant disbursements to the MTA are recorded in the State funds. The MTA mobility tax activity is now reported in Schedule 4.

5. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$0.5m) as of October 31, 2018.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2017	7 MOS. ENDED OCT. 31, 2017	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 5.5	\$ 38.7	\$ 32.7	\$ 288.5	\$ 38.2	\$ 327.2	\$ 43.1	\$ 332.3	\$ (5.1)	-1.5%
Federal Receipts	1.1	7.2	-	-	1.1	7.2	1.5	9.6	(2.4)	-25.0%
Unemployment Taxes	148.7	1,098.2	-	-	148.7	1,098.2	164.8	1,205.7	(107.5)	-8.9%
Total Receipts	155.3	1,144.1	32.7	288.5	188.0	1,432.6	209.4	1,547.6	(115.0)	-7.4%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	2.4	4.7	11.1	63.4	13.5	68.1	10.9	65.2	2.9	4.4%
Non-Personal Service	5.8	31.9	40.1	257.3	45.9	289.2	35.7	319.6	(30.4)	-9.5%
General State Charges	-	0.6	4.8	50.3	4.8	50.9	5.4	35.8	15.1	42.2%
Unemployment Benefits	150.0	1,105.4	-	-	150.0	1,105.4	166.2	1,215.3	(109.9)	-9.0%
Total Disbursements	158.2	1,142.6	56.0	371.0	214.2	1,513.6	218.2	1,635.9	(122.3)	-7.5%
Excess (Deficiency) of Receipts Over Disbursements	(2.9)	1.5	(23.3)	(82.5)	(26.2)	(81.0)	(8.8)	(88.3)	7.3	8.3%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	5.6	44.6	5.6	44.6	6.6	22.0	22.6	102.7%
Transfers to Other Funds	-	-	-	(7.0)	-	(7.0)	-	(7.3)	(0.3)	-4.1%
Total Other Financing Sources (Uses)	-	-	5.6	37.6	5.6	37.6	6.6	14.7	22.9	155.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2.9)	1.5	(17.7)	(44.9)	(20.6)	(43.4)	(2.2)	(73.6)	30.2	41.0%
Beginning Fund Balances (Deficits)	29.0	24.6	(296.4)	(269.2)	(267.4)	(244.6)	(248.2)	(176.8)	(67.8)	-38.3%
Ending Fund Balances (Deficits)	\$ 26.1	\$ 26.1	\$ (314.1)	\$ (314.1)	\$ (288.0)	\$ (288.0)	\$ (250.4)	\$ (250.4)	\$ (37.6)	-15.0%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2017	7 MOS. ENDED OCT. 31, 2017	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 7.6	\$ 74.1	\$ -	\$ 0.8	\$ 7.6	\$ 74.9	\$ 6.0	\$ 40.0	\$ 34.9	87.3%
Total Receipts	7.6	74.1	-	0.8	7.6	74.9	6.0	40.0	34.9	87.3%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	7.7	41.3	-	0.1	7.7	41.4	5.9	37.3	4.1	11.0%
Non-Personal Service	0.8	8.0	-	-	0.8	8.0	1.3	7.8	0.2	2.6%
General State Charges	3.2	32.4	-	-	3.2	32.4	-	15.0	17.4	116.0%
Total Disbursements	11.7	81.7	-	0.1	11.7	81.8	7.2	60.1	21.7	36.1%
Excess (Deficiency) of Receipts Over Disbursements	(4.1)	(7.6)	-	0.7	(4.1)	(6.9)	(1.2)	(20.1)	13.2	65.7%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.1)	(7.6)	-	0.7	(4.1)	(6.9)	(1.2)	(20.1)	13.2	65.7%
Beginning Fund Balances (Deficits)	(5.5)	(2.0)	12.6	11.9	7.1	9.9	(10.1)	8.8	1.1	12.5%
Ending Fund Balances (Deficits)	\$ (9.6)	\$ (9.6)	\$ 12.6	\$ 12.6	\$ 3.0	\$ 3.0	\$ (11.3)	\$ (11.3)	\$ 14.3	126.5%

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 26,567.0	\$ 26,209.0	\$ 26,236.4	\$ (330.6)	\$ 27.4
Consumption/Use	10,088.0	10,167.0	10,167.1	79.1	0.1
Business	3,910.0	3,910.0	3,913.7	3.7	3.7
Other	1,315.0	1,300.0	1,285.2	(29.8)	(14.8)
Miscellaneous Receipts	15,336.0	16,059.0	16,093.9	757.9	34.9
Federal Receipts	33,186.0	35,603.0	35,635.6	2,449.6	32.6
Total Receipts	90,402.0	93,248.0	93,331.9	2,929.9	83.9
DISBURSEMENTS:					
Local Assistance Grants	68,309.0	70,794.0	70,874.4	2,565.4	80.4
Departmental Operations	12,663.0	12,507.0	12,511.0	(152.0)	4.0
General State Charges	6,085.0	5,940.0	5,945.4	(139.6)	5.4
Debt Service	1,371.0	1,347.0	1,356.4	(14.6)	9.4
Capital Projects	5,263.0	4,229.0	4,198.8	(1,064.2)	(30.2)
Total Disbursements	93,691.0	94,817.0	94,886.0	1,195.0	69.0
Excess (Deficiency) of Receipts over Disbursements	(3,289.0)	(1,569.0)	(1,554.1)	1,734.9	14.9
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	24,355.0	23,330.0	22,831.6	(1,523.4)	(498.4)
Transfers to Other Funds	(24,409.0)	(23,382.0)	(22,845.4)	(1,563.6)	(536.6)
Total Other Financing Sources (Uses)	(54.0)	(52.0)	(13.8)	40.2	38.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3,343.0)	(1,621.0)	(1,567.9)	1,775.1	53.1
Fund Balances (Deficits) at April 1	12,749.0	12,749.0	12,749.0	-	-
Fund Balances (Deficits) at October 31, 2018	\$ 9,406.0	\$ 11,128.0	\$ 11,181.1	\$ 1,775.1	\$ 53.1

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2018-2019
FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
(Amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 26,567.0	\$ 26,209.0	\$ 26,236.4	\$ (330.6)	\$ 27.4
Consumption/Use	9,718.0	9,778.0	9,782.2	64.2	4.2
Business	3,525.0	3,519.0	3,523.9	(1.1)	4.9
Other	1,255.0	1,240.0	1,225.6	(29.4)	(14.4)
Miscellaneous Receipts	12,681.0	13,433.0	13,460.8	779.8	27.8
Federal Receipts	34.0	34.0	34.3	0.3	0.3
Total Receipts	53,780.0	54,213.0	54,263.2	483.2	50.2
DISBURSEMENTS:					
Local Assistance Grants	35,310.0	35,635.0	35,634.1	324.1	(0.9)
Departmental Operations	11,466.0	11,350.0	11,359.8	(106.2)	9.8
General State Charges	5,902.0	5,665.0	5,668.7	(233.3)	3.7
Debt Service	1,371.0	1,347.0	1,356.4	(14.6)	9.4
Capital Projects	-	-	-	-	-
Total Disbursements	54,049.0	53,997.0	54,019.0	(30.0)	22.0
Excess (Deficiency) of Receipts over Disbursements	(269.0)	216.0	244.2	513.2	28.2
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	21,661.0	21,134.0	21,052.6 (****)	(608.4)	(81.4)
Transfers to Other Funds	(22,828.0)	(21,906.0)	(21,829.3) (****)	(998.7)	(76.7)
Total Other Financing Sources (Uses)	(1,167.0)	(772.0)	(776.7)	390.3	(4.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,436.0)	(556.0)	(532.5)	903.5	23.5
Fund Balances (Deficits) at April 1	13,607.0	13,607.0	13,606.6	(0.4)	(0.4)
Fund Balances (Deficits) at October 31, 2018	\$ 12,171.0	\$ 13,051.0	\$ 13,074.1	\$ 903.1	\$ 23.1

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2018-2019
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
 (Amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 13,283.0	\$ 13,104.0	\$ 13,117.7	\$ (165.3)	\$ 13.7
Consumption/Use	4,435.0	4,466.0	4,469.3	34.3	3.3
Business	2,610.0	2,584.0	2,586.3	(23.7)	2.3
Other	605.0	615.0	600.6	(4.4)	(14.4)
Miscellaneous Receipts	1,049.0	1,472.0	1,453.7	404.7	(18.3)
Federal Receipts	-	-	0.1	0.1	0.1
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	13,059.0	12,900.0	12,914.1	(144.9)	14.1
Sales Tax in excess of LGAC / STRBF Debt Service	3,583.0	3,576.0	3,567.9	(15.1)	(8.1)
Real Estate Taxes in excess of CW/CA Debt Service	628.0	590.0	590.6	(37.4)	0.6
All Other	924.0	732.0	689.7	(234.3)	(42.3)
Total Receipts and Other Financing Sources	40,176.0	40,039.0	39,990.0	(186.0)	(49.0)
DISBURSEMENTS:					
Local Assistance Grants	26,693.0	26,990.0	26,992.3	299.3	2.3
Departmental Operations	6,896.0	6,752.0	6,754.7	(141.3)	2.7
General State Charges	5,364.0	5,086.0	5,086.1	(277.9)	0.1
Transfers To:					
Debt Service	572.0	511.0	511.9	(60.1)	0.9
Capital Projects	2,676.0	2,167.0	2,162.3	(513.7)	(4.7)
State Share Medicaid	-	(29.0)	161.7 (***)	161.7	190.7
SUNY Operations	842.0	838.0	837.5	(4.5)	(0.5)
Other Purposes	501.0	557.0	363.0	(138.0)	(194.0)
Total Disbursements and Other Financing Uses	43,544.0	42,872.0	42,869.5	(674.5)	(2.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3,368.0)	(2,833.0)	(2,879.5)	488.5	(46.5)
Fund Balances (Deficits) at April 1	9,445.0	9,445.0	9,445.0	-	-
Fund Balances (Deficits) at October 31, 2018	\$ 6,077.0	\$ 6,612.0	\$ 6,565.5	\$ 488.5	\$ (46.5)

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2018-2019
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
 (Amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ 1.0	\$ 1.0	\$ 0.5	\$ -	\$ 0.5	\$ (0.5)	\$ (0.5)
Consumption/Use	1,208.0	1,213.0	1,213.6	-	1,213.6	5.6	0.6
Business	915.0	935.0	937.6	-	937.6	22.6	2.6
Other	-	-	-	-	-	-	-
Miscellaneous Receipts	11,442.0	11,825.0	11,891.9	-	11,891.9	449.9	66.9
Federal Receipts	31,891.0	34,292.0	34,326.2	-	34,326.2	2,435.2	34.2
Transfers from Other Funds (***)	1,718.0	1,855.0	1,816.1	(413.3)	1,402.8	(315.2)	(452.2)
Total Receipts and Other Financing Sources	47,175.0	50,121.0	50,185.9	(413.3)	49,772.6	2,597.6	(348.4)
DISBURSEMENTS:							
Local Assistance Grants	38,734.0	41,225.0	41,309.7	-	41,309.7	2,575.7	84.7
Departmental Operations	5,735.0	5,730.0	5,731.2	-	5,731.2	(3.8)	1.2
General State Charges	721.0	854.0	859.3	-	859.3	138.3	5.3
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds (***)	1,323.0	1,183.0	1,095.1	(413.3)	681.8	(641.2)	(501.2)
Total Disbursements and Other Financing Uses	46,513.0	48,992.0	48,995.3	(413.3)	48,582.0	2,069.0	(410.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	662.0	1,129.0	1,190.6	-	1,190.6	528.6	61.6
Fund Balances (Deficits) at April 1	4,302.0	4,302.0	4,302.1	-	4,302.1	0.1	0.1
Fund Balances (Deficits) at October 31, 2018	\$ 4,964.0	\$ 5,431.0	\$ 5,492.7	\$ -	\$ 5,492.7	\$ 528.7	\$ 61.7

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2018-2019
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
 (Amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 1.0	\$ 1.0	\$ 0.5	\$ (0.5)	\$ (0.5)	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,208.0	1,213.0	1,213.6	5.6	0.6	-	-	-	-	-
Business	915.0	935.0	937.6	22.6	2.6	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-	-
Miscellaneous Receipts	11,378.0	11,700.0	11,757.6	379.6	57.6	64.0	125.0	134.3	70.3	9.3
Federal Receipts	(3.0)	(3.0)	(2.5)	0.5	0.5	31,894.0	34,295.0	34,328.7	2,434.7	33.7
Transfers from Other Funds	1,712.0	1,855.0	1,816.1	104.1	(38.9)	6.0	-	-	(6.0)	-
Total Receipts and Other Financing Sources	15,211.0	15,701.0	15,722.9	511.9	21.9	31,964.0	34,420.0	34,463.0	2,499.0	43.0
DISBURSEMENTS:										
Local Assistance Grants	8,617.0	8,645.0	8,641.8	24.8	(3.2)	30,117.0	32,580.0	32,667.9	2,550.9	87.9
Departmental Operations	4,538.0	4,573.0	4,580.0	42.0	7.0	1,197.0	1,157.0	1,151.2	(45.8)	(5.8)
General State Charges	538.0	579.0	582.6	44.6	3.6	183.0	275.0	276.7	93.7	1.7
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	162.0	117.0	77.1	(84.9)	(39.9)	1,161.0	1,066.0	1,018.0	(143.0)	(48.0)
Total Disbursements and Other Financing Uses	13,855.0	13,914.0	13,881.5	26.5	(32.5)	32,658.0	35,078.0	35,113.8	2,455.8	35.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,356.0	1,787.0	1,841.4	485.4	54.4	(694.0)	(658.0)	(650.8)	43.2	7.2
Fund Balances (Deficits) at April 1	4,009.0	4,009.0	4,008.5	(0.5)	(0.5)	293.0	293.0	293.6	0.6	0.6
Fund Balances (Deficits) at October 31, 2018	\$ 5,365.0	\$ 5,796.0	\$ 5,849.9	\$ 484.9	\$ 53.9	\$ (401.0)	\$ (365.0)	\$ (357.2)	\$ 43.8	\$ 7.8

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2018-2019
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
 (Amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 13,283.0	\$ 13,104.0	\$ 13,118.2	\$ (164.8)	\$ 14.2
Consumption/Use	4,075.0	4,099.0	4,099.3	24.3	0.3
Other	650.0	625.0	625.0	(25.0)	-
Miscellaneous Receipts	254.0	261.0	249.5	(4.5)	(11.5)
Federal Receipts	37.0	37.0	36.7	(0.3)	(0.3)
Transfers from Other Funds	1,755.0	1,481.0	1,474.2	(280.8)	(6.8)
Total Receipts and Other Financing Sources	20,054.0	19,607.0	19,602.9	(451.1)	(4.1)
DISBURSEMENTS:					
Departmental Operations	32.0	25.0	25.1	(6.9)	0.1
Debt Service	1,371.0	1,347.0	1,356.4	(14.6)	9.4
Transfers to Other Funds	18,075.0	17,745.0	17,715.8	(359.2)	(29.2)
Total Disbursements and Other Financing Uses	19,478.0	19,117.0	19,097.3	(380.7)	(19.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	576.0	490.0	505.6	(70.4)	15.6
Fund Balances (Deficits) at April 1	153.0	153.0	153.1	0.1	0.1
Fund Balances (Deficits) at October 31, 2018	\$ 729.0	\$ 643.0	\$ 658.7	\$ (70.3)	\$ 15.7

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2018-2019
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
 (Amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	\$ 370.0	\$ 389.0	\$ 384.9	\$ -	\$ 384.9	\$ 14.9	\$ (4.1)
Business	385.0	391.0	389.8	-	389.8	4.8	(1.2)
Other	60.0	60.0	59.6	-	59.6	(0.4)	(0.4)
Miscellaneous Receipts	2,591.0	2,501.0	2,498.8	-	2,498.8	(92.2)	(2.2)
Federal Receipts	1,258.0	1,274.0	1,272.6	-	1,272.6	14.6	(1.4)
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	2,688.0	2,196.0	2,376.5	(184.2)	2,192.3	(495.7)	(3.7)
Total Receipts and Other Financing Sources	7,352.0	6,811.0	6,982.2	(184.2)	6,798.0	(554.0)	(13.0)
DISBURSEMENTS:							
Local Assistance Grants	2,882.0	2,579.0	2,572.4	-	2,572.4	(309.6)	(6.6)
Capital Projects	5,263.0	4,229.0	4,198.8	-	4,198.8	(1,064.2)	(30.2)
Transfers to Other Funds	420.0	410.0	595.6	(184.2)	411.4	(8.6)	1.4
Total Disbursements and Other Financing Uses	8,565.0	7,218.0	7,366.8	(184.2)	7,182.6	(1,382.4)	(35.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,213.0)	(407.0)	(384.6)	-	(384.6)	828.4	22.4
Fund Balances (Deficits) at April 1	(1,151.0)	(1,151.0)	(1,151.2)	-	(1,151.2)	(0.2)	(0.2)
Fund Balances (Deficits) at October 31, 2018	\$ (2,364.0)	\$ (1,558.0)	\$ (1,535.8)	\$ -	\$ (1,535.8)	\$ 828.2	\$ 22.2

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2018-2019
 FOR SEVEN MONTHS ENDED OCTOBER 31, 2018
 (Amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 370.0	\$ 389.0	\$ 384.9	\$ 14.9	\$ (4.1)	\$ -	\$ -	\$ -	\$ -	\$ -
Business	385.0	391.0	389.8	4.8	(1.2)	-	-	-	-	-
Other	60.0	60.0	59.6	(0.4)	(0.4)	-	-	-	-	-
Miscellaneous Receipts	2,591.0	2,501.0	2,498.3	(92.7)	(2.7)	-	-	0.5	0.5	0.5
Federal Receipts	2.0	2.0	2.5	0.5	0.5	1,256.0	1,272.0	1,270.1	14.1	(1.9)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	2,688.0	2,381.0	2,376.5	(311.5)	(4.5)	-	(185.0)	-	-	185.0
Total Receipts and Other Financing Sources	6,096.0	5,724.0	5,711.6	(384.4)	(12.4)	1,256.0	1,087.0	1,270.6	14.6	183.6
DISBURSEMENTS:										
Local Assistance Grants	2,444.0	2,322.0	2,316.2	(127.8)	(5.8)	438.0	257.0	256.2	(181.8)	(0.8)
Capital Projects	4,492.0	3,425.0	3,397.0	(1,095.0)	(28.0)	771.0	804.0	801.8	30.8	(2.2)
Transfers to Other Funds	414.0	410.0	411.3	(2.7)	1.3	6.0	-	184.3	178.3	184.3
Total Disbursements and Other Financing Uses	7,350.0	6,157.0	6,124.5	(1,225.5)	(32.5)	1,215.0	1,061.0	1,242.3	27.3	181.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,254.0)	(433.0)	(412.9)	841.1	20.1	41.0	26.0	28.3	(12.7)	2.3
Fund Balances (Deficits) at April 1	(568.0)	(568.0)	(568.4)	(0.4)	(0.4)	(583.0)	(583.0)	(582.8)	0.2	0.2
Fund Balances (Deficits) at October 31, 2018	\$ (1,822.0)	\$ (1,001.0)	\$ (981.3)	\$ 840.7	\$ 19.7	\$ (542.0)	\$ (557.0)	\$ (554.5)	\$ (12.5)	\$ 2.5

(*) Source: 2018-19 Enacted Financial Plan dated May 11, 2018.

(**) Source: 2018-19 Mid-Year Update dated November 9, 2018.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2018	7 MOS. ENDED OCT. 31, 2018	MONTH OF OCT. 2017	7 MOS. ENDED OCT. 31, 2017	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 3,148.8	\$ 20,750.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,148.8	\$ 20,750.8	\$ 2,789.7	\$ 19,582.1	\$ 1,168.7	6.0%
Estimated Payments	182.9	9,745.4	-	-	-	-	-	-	182.9	9,745.4	145.3	8,849.1	896.3	10.1%
Returns	474.4	2,357.3	-	-	-	-	-	-	474.4	2,357.3	391.7	2,195.3	162.0	7.4%
State/City Offsets	(422.6)	(843.3)	-	-	-	-	-	-	(422.6)	(843.3)	(321.7)	(627.4)	215.9	34.4%
Other (Assessments/LLC)	104.3	704.6	-	-	-	-	-	-	104.3	704.6	100.1	734.1	(29.5)	-4.0%
Gross Receipts	3,487.8	32,714.8	-	-	-	-	-	-	3,487.8	32,714.8	3,105.1	30,733.2	1,981.6	6.4%
Transfers to School Tax Relief Fund	(0.5)	(0.5)	0.5	0.5	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,287.4)	(13,118.2)	-	-	1,287.4	13,118.2	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(913.0)	(6,478.4)	-	-	-	-	-	-	(913.0)	(6,478.4)	(410.9)	(5,864.3)	614.1	10.5%
Total	1,286.9	13,117.7	0.5	0.5	1,287.4	13,118.2	-	-	2,574.8	26,236.4	2,694.2	24,868.9	1,367.5	5.5%
CONSUMPTION/USE TAXES														
Sales and Use	538.7	4,110.7	75.1	593.6	538.4	4,099.3	-	-	1,152.2	8,803.6	1,112.9	8,329.4	474.2	5.7%
Auto Rental	-	-	0.1	28.2	-	-	0.1	46.8	0.2	75.0	9.3	81.2	(6.2)	-7.6%
Cigarette/Tobacco Products	30.5	204.8	70.2	488.7	-	-	-	-	100.7	693.5	106.0	724.9	(31.4)	-4.3%
Medical Marihuana	-	-	0.3	2.0	-	-	-	-	0.3	2.0	0.2	0.9	1.1	122.2%
Motor Fuel	-	-	9.3	65.5	-	-	35.4	247.1	44.7	312.6	42.6	302.9	9.7	3.2%
Alcoholic Beverage	18.7	153.8	-	-	-	-	-	-	18.7	153.8	19.4	152.5	1.3	0.9%
Highway Use	-	-	0.2	(2.7)	-	-	12.0	91.0	12.2	88.3	14.3	38.6	49.7	128.8%
Metropolitan Commuter Trans. Taxicab Trip	-	-	10.9	38.3	-	-	-	-	10.9	38.3	11.4	39.9	(1.6)	-4.0%
Total	587.9	4,469.3	166.1	1,213.6	538.4	4,099.3	47.5	384.9	1,339.9	10,167.1	1,316.1	9,670.3	496.8	5.1%
BUSINESS TAXES														
Corporation Franchise	61.2	1,686.8	19.1	476.5	-	-	-	-	80.3	2,163.3	(32.4)	1,701.3	462.0	27.2%
Corporation and Utilities	(1.6)	202.8	2.2	72.9	-	-	0.4	8.2	1.0	283.9	1.3	336.5	(52.6)	-15.6%
Insurance	28.0	667.2	6.4	93.2	-	-	-	-	34.4	760.4	8.5	762.9	(2.5)	-0.3%
Bank	8.9	29.5	(10.5)	(2.9)	-	-	-	-	(1.6)	26.6	1.0	268.6	(242.0)	-90.1%
Petroleum Business	-	-	41.7	297.9	-	-	53.1	381.6	94.8	679.5	91.3	641.0	38.5	6.0%
Total	96.5	2,586.3	58.9	937.6	-	-	53.5	389.8	208.9	3,913.7	69.7	3,710.3	203.4	5.5%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	97.8	588.3	-	-	-	-	-	-	97.8	588.3	75.6	739.3	(151.0)	-20.4%
Pari-Mutuel	1.1	10.7	-	-	-	-	-	-	1.1	10.7	1.2	10.6	0.1	0.9%
Real Estate Transfer	-	-	-	-	76.0	625.0	12.0	59.6	88.0	684.6	81.9	689.0	(4.4)	-0.6%
Racing and Exhibitions	0.4	1.6	-	-	-	-	-	-	0.4	1.6	0.3	1.5	0.1	6.7%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	118.0	754.5	(754.5)	-100.0%
Total	99.3	600.6	-	-	76.0	625.0	12.0	59.6	187.3	1,285.2	277.0	2,194.9	(909.7)	-41.4%
Total Tax Receipts	\$ 2,070.6	\$ 20,773.9	\$ 225.5	\$ 2,151.7	\$ 1,901.8	\$ 17,842.5	\$ 113.0	\$ 834.3	\$ 4,310.9	\$ 41,602.4	\$ 4,357.0	\$ 40,444.4	\$ 1,158.0	2.9%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)**

	2018							2019					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 12,749.0	\$ 14,013.5	\$ 8,996.4	\$ 11,166.0	\$ 11,703.0	\$ 10,667.6	\$ 11,334.4						\$ 12,749.0	\$ 11,104.7	\$ 1,644.3	14.8%
RECEIPTS:																
Taxes:																
Personal Income Tax :																
Withholdings	2,930.1	3,135.1	2,821.9	2,920.2	3,128.3	2,666.4	3,148.8						20,750.8	19,582.1	1,168.7	6.0%
Estimated payments	4,356.0	99.9	2,228.8	109.1	101.1	2,667.6	182.9						9,745.4	8,849.1	896.3	10.1%
Returns	1,639.5	59.6	49.3	38.2	38.9	57.4	474.4						2,357.3	2,195.3	162.0	7.4%
State/City Offsets	(279.9)	(38.8)	(25.2)	(10.8)	(22.5)	(43.5)	(422.6)						(843.3)	(627.4)	215.9	34.4%
Other (Assessments/LLC)	132.5	92.1	108.9	106.1	84.9	75.8	104.3						704.6	734.1	(29.5)	-4.0%
Gross Receipts	8,778.2	3,347.9	5,183.7	3,162.8	3,330.7	5,423.7	3,487.8						32,714.8	30,733.2	1,981.6	6.4%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Refunds issued	(2,922.0)	(1,164.9)	(231.9)	(263.1)	(356.4)	(627.1)	(913.0)						(6,478.4)	(5,864.3)	614.1	10.5%
Total Personal Income Tax	5,856.2	2,183.0	4,951.8	2,899.7	2,974.3	4,796.6	2,574.8						26,236.4	24,868.9	1,367.5	5.5%
Consumption/Use Taxes:																
Sales and Use	1,112.9	1,126.5	1,562.7	1,161.0	1,171.2	1,517.1	1,152.2						8,803.6	8,329.4	474.2	5.7%
Auto Rental	1.5	(0.1)	29.9	0.1	0.2	43.2	0.2						75.0	81.2	(6.2)	-7.6%
Cigarette/Tobacco Products	88.0	98.8	102.4	109.1	100.0	94.5	100.7						693.5	724.9	(31.4)	-4.3%
Medical Marijuana	0.2	0.3	0.3	0.2	0.3	0.4	0.3						2.0	0.9	1.1	122.2%
Motor Fuel	38.9	43.9	46.5	46.7	46.1	45.8	44.7						312.6	302.9	9.7	3.2%
Alcoholic Beverage	7.5	24.0	30.6	30.1	17.6	25.3	18.7						153.8	152.5	1.3	0.9%
Highway Use	15.6	12.4	11.2	15.0	11.1	10.8	12.2						88.3	38.6	49.7	128.8%
Metropolitan Commuter Trans. Taxicab Trip	12.8	0.7	0.4	12.4	0.7	0.4	10.9						38.3	39.9	(1.6)	-4.0%
Total Consumption/Use Taxes	1,277.4	1,306.5	1,784.0	1,374.6	1,347.2	1,737.5	1,339.9						10,167.1	9,670.3	496.8	5.1%
Business Taxes:																
Corporation Franchise	455.1	(81.4)	729.8	157.3	56.8	765.4	80.3						2,163.3	1,701.3	462.0	27.2%
Corporation and Utilities	26.4	2.1	117.6	6.7	1.1	129.0	1.0						283.9	336.5	(52.6)	-15.6%
Insurance	46.2	(5.3)	325.6	7.8	63.9	287.8	34.4						760.4	762.9	(2.5)	-0.3%
Bank	(32.6)	(6.8)	46.3	28.2	(11.9)	5.0	(1.6)						26.6	268.6	(242.0)	-90.1%
Petroleum Business	90.0	93.8	101.8	99.9	96.3	102.9	94.8						679.5	641.0	38.5	6.0%
Total Business Taxes	585.1	2.4	1,321.1	299.9	206.2	1,291.1	208.9						3,913.7	3,710.3	203.4	5.5%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-						-	-	-	0.0%
Estate and Gift	50.2	81.3	68.8	98.1	71.4	120.7	97.8						588.3	739.3	(151.0)	-20.4%
Pari-Mutuel	0.9	1.3	1.7	1.3	2.3	2.1	1.1						10.7	10.6	0.1	0.9%
Real Estate Transfer	87.0	91.8	101.4	99.0	122.2	95.2	88.0						684.6	689.0	(4.4)	-0.6%
Racing and Exhibitions	0.5	0.2	0.1	-	0.3	0.1	0.4						1.6	1.5	0.1	6.7%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-						-	754.5	(754.5)	-100.0%
Total Other Taxes	138.6	174.6	172.0	198.4	196.2	218.1	187.3						1,285.2	2,194.9	(909.7)	-41.4%
Total Taxes	7,857.3	3,666.5	8,228.9	4,772.6	4,723.9	8,042.3	4,310.9						41,602.4	40,444.4	1,158.0	2.9%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.2	1.3	0.9	1.1	0.3	11.7	46.3						62.8	77.2	(14.4)	-18.7%
Bottle Bill	0.9	0.3	33.7	3.2	-	37.8	0.1						76.0	71.7	4.3	6.0%
Assessments:																
Business	103.3	64.3	78.2	89.8	56.0	59.7	82.1						533.4	436.0	97.4	22.3%
Medical Care	525.8	470.1	547.4	503.8	490.4	521.8	498.1						3,557.4	3,410.7	146.7	4.3%
Public Utilities	1.8	-	0.6	-	0.6	49.4	(10.4)						42.0	38.9	3.1	8.0%
Other	-	0.9	0.2	-	0.1	0.2	-						1.4	2.6	(1.2)	-46.2%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.5	7.4	6.5	5.4	5.2	6.5						43.2	42.1	1.1	2.6%
Audit Fees	-	0.3	1.6	0.2	-	-	-						2.1	2.0	0.1	5.0%
Business/Professional:																
Civil	53.8	59.2	123.0	55.0	67.1	112.0	66.9						537.0	500.1	36.9	7.4%
Criminal	28.4	17.1	21.5	26.1	21.8	23.0	25.3						163.2	172.3	(9.1)	-5.3%
Motor Vehicle	0.4	1.0	1.2	1.0	1.3	0.2	0.8						5.9	6.0	(0.1)	-1.7%
Recreational/Consumer	140.9	144.5	132.2	117.3	141.5	109.2	127.9						913.5	894.8	18.7	2.1%
Fines, Penalties and Forfeitures	44.4	53.6	50.3	57.1	74.2	108.6	81.3						469.5	449.7	19.8	4.4%
Gaming:																
Casino	69.8	112.2	248.7	52.9	47.7	13.5	80.7						625.5	890.7	(265.2)	-29.8%
Lottery	28.2	15.5	17.2	30.3	16.8	19.0	33.8						160.8	120.5	40.3	33.4%
Video Lottery	199.0	236.1	187.0	182.7	229.1	182.2	321.8						1,537.9	1,435.1	102.8	7.2%
Interest Earnings	75.1	86.4	68.8	67.7	92.9	75.9	90.5						557.3	559.8	(2.5)	-0.4%
Receipts from Public Authorities:																
Bond Proceeds	28.5	23.0	23.9	21.2	25.3	27.7	26.9						176.5	71.1	105.4	148.2%
Cost Recovery Assessments	315.8	24.3	3.3	210.7	3.9	19.4	1,262.8						1,840.2	2,154.1	(313.9)	-14.6%
Issuance Fees	2.6	(2.6)	-	27.7	2.5	7.7	1.9						39.8	31.2	8.6	27.6%
Non Bond Related	11.9	1.3	2.3	21.0	1.8	2.8	16.3						57.4	63.5	(6.1)	-9.6%
Receipts from Municipalities	5.5	5.8	4.1	9.0	2.2	1.8	10.7						39.1	88.1	(49.0)	-55.6%
Rentals	21.0	20.0	24.2	31.9	40.6	26.7	23.0						187.4	203.3	(15.9)	-7.8%
Revenues of State Departments:	39.5	33.7	18.1	34.2	6.7	4.0	59.2						195.4	136.0	59.4	43.7%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)**

	2018												2019				7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease				
Administrative Recoveries	15.2	9.7	19.2	1.9	1.1	16.9	2.5						66.5	137.4	(70.9)	-51.6%				
Commissions	0.4	0.5	0.5	0.3	1.3	0.4	0.6						4.0	2.6	1.4	53.8%				
Commissions- Asset Conversion	-	-	-	1,000.0	-	-	-						1,000.0	-	1,000.0	100.0%				
Gifts, Grants and Donations	1.5	0.3	4.9	1.4	37.1	57.7	0.7						103.6	21.9	81.7	373.1%				
Indirect Cost Recoveries	1.0	9.2	2.6	9.9	17.8	9.4	6.1						56.0	66.5	(10.5)	-15.8%				
Patient/Client Care Reimbursement	230.4	302.0	156.1	220.6	186.8	219.4	84.2						1,399.5	1,364.3	35.2	2.6%				
Rebates	12.7	12.0	12.2	12.8	19.0	11.1	16.7						96.5	100.7	(4.2)	-4.2%				
Restitution and Settlements	152.7	10.0	2.0	6.5	2.2	0.9	2.0						176.3	37.5	138.8	370.1%				
Student Loans	4.9	7.7	10.5	15.2	6.9	2.4	11.3						58.9	88.5	(29.6)	-33.4%				
All Other	46.0	50.5	39.5	43.6	36.1	60.5	49.1						325.3	304.8	20.5	6.7%				
Sales	2.6	1.5	3.1	1.8	1.4	1.7	4.2						16.3	20.8	(4.5)	-21.6%				
Tuition	37.8	49.5	83.8	47.6	220.8	359.0	167.8						966.3	976.9	(10.6)	-1.1%				
Total Miscellaneous Receipts	2,208.7	1,827.7	1,930.2	2,912.0	1,858.7	2,158.9	3,197.7						16,093.9	14,979.4	1,114.5	7.4%				
Federal Receipts	3,616.0	4,915.4	5,451.5	4,088.1	6,476.5	6,347.7	4,740.4						35,635.6	32,250.3	3,385.3	10.5%				
Total Receipts	13,682.0	10,409.6	15,610.6	11,772.7	13,059.1	16,548.9	12,249.0						93,331.9	87,674.1	5,657.8	6.5%				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	1,566.1	4,241.6	2,570.7	2,145.9	1,565.8	4,043.6	1,269.3						17,403.0	16,535.2	867.8	5.2%				
Environment and Recreation	8.0	30.1	8.2	8.1	39.2	7.5	7.6						108.7	73.3	35.4	48.3%				
General Government	39.7	140.7	629.0	129.2	96.7	192.2	148.0						1,375.5	1,199.0	176.5	14.7%				
Public Health:																				
Medicaid	4,373.7	5,802.5	5,068.2	4,096.3	6,502.7	5,436.1	5,304.9						36,584.4	33,149.6	3,434.8	10.4%				
Other Public Health	757.5	836.0	969.2	916.5	638.7	1,153.9	818.3						6,090.1	5,820.0	270.1	4.6%				
Public Safety	90.3	155.7	71.7	179.9	107.8	88.9	225.8						920.1	865.0	55.1	6.4%				
Public Welfare	460.1	395.9	371.8	584.1	1,300.7	689.8	702.0						4,504.4	3,827.3	677.1	17.7%				
Support and Regulate Business	112.0	30.7	79.6	143.7	71.0	48.2	157.4						642.6	879.0	(236.4)	-26.9%				
Transportation	293.3	489.0	722.3	379.2	468.4	531.1	362.3						3,245.6	3,353.4	(107.8)	-3.2%				
Total Local Assistance Grants	7,700.7	12,122.2	10,490.7	8,582.9	10,791.0	12,191.3	8,995.6						70,874.4	65,701.8	5,172.6	7.9%				
Departmental Operations:																				
Personal Service	1,102.5	1,470.7	1,122.9	1,105.4	1,280.0	1,076.6	1,472.6						8,610.7	8,046.6	564.1	7.0%				
Non-Personal Service	416.9	622.8	562.6	429.4	653.5	629.2	585.9						3,900.3	3,977.1	(76.8)	-1.9%				
General State Charges	2,865.5	472.6	519.1	418.1	483.2	541.5	645.4						5,945.4	5,733.6	211.8	3.7%				
Debt Service, Including Payments on Financing Agreements	64.1	126.1	166.2	25.4	95.7	831.2	47.7						1,356.4	1,581.9	(225.5)	-14.3%				
Capital Projects	361.2	590.4	523.0	672.9	760.8	602.0	688.5						4,198.8	3,794.5	404.3	10.7%				
Total Disbursements	12,510.9	15,404.8	13,384.5	11,234.1	14,044.2	15,871.8	12,435.7						94,886.0	88,835.5	6,050.5	6.8%				
Excess (Deficiency) of Receipts over Disbursements	1,171.1	(4,995.2)	2,226.1	538.6	(985.1)	677.1	(186.7)						(1,554.1)	(1,161.4)	(392.7)	-33.8%				
OTHER FINANCING SOURCES (USES):																				
Bond Proceeds (net)	-	-	-	-	-	-	-						-	-	-	0.0%				
Transfers from Other Funds	4,144.2	2,568.8	4,758.8	2,883.6	2,587.0	4,223.7	1,665.5						22,831.6	18,399.4	4,432.2	24.1%				
Transfers to Other Funds	(4,050.8)	(2,590.7)	(4,815.3)	(2,885.2)	(2,637.3)	(4,234.0)	(1,632.1)						(22,845.4)	(18,469.1)	4,376.3	23.7%				
Total Other Financing Sources (Uses)	93.4	(21.9)	(56.5)	(1.6)	(50.3)	(10.3)	33.4						(13.8)	(69.7)	55.9	80.2%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,264.5	(5,017.1)	2,169.6	537.0	(1,035.4)	666.8	(153.3)						(1,567.9)	(1,231.1)	(336.8)	-27.4%				
Ending Fund Balance	\$ 14,013.5	\$ 8,996.4	\$ 11,166.0	\$ 11,703.0	\$ 10,667.6	\$ 11,334.4	\$ 11,181.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,181.1	\$ 9,873.6	\$ 1,307.5	13.2%				

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2018-2019
(Amounts in millions)**

	2018												2019				7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ 13,606.6	\$ 15,387.2	\$ 10,770.4	\$ 12,337.4	\$ 13,256.6	\$ 12,325.7	\$ 12,615.3						\$ 13,606.6	\$ 11,625.3	\$ 1,981.3	17.0%				
RECEIPTS:																				
Taxes:																				
Personal Income Tax:																				
Withholdings	2,930.1	3,135.1	2,821.9	2,920.2	3,128.3	2,666.4	3,148.8						20,750.8	19,582.1	1,168.7	6.0%				
Estimated payments	4,356.0	99.9	2,228.8	109.1	101.1	2,667.6	182.9						9,745.4	8,849.1	896.3	10.1%				
Returns	1,639.5	59.6	49.3	38.2	38.9	57.4	474.4						2,357.3	2,195.3	162.0	7.4%				
State/City Offsets	(279.9)	(38.8)	(25.2)	(10.8)	(22.5)	(43.5)	(422.6)						(843.3)	(627.4)	215.9	34.4%				
Other (Assessments/LLC)	132.5	92.1	108.9	106.1	84.9	75.8	104.3						704.6	734.1	(29.5)	-4.0%				
Gross Receipts	8,778.2	3,347.9	5,183.7	3,162.8	3,330.7	5,423.7	3,487.8						32,714.8	30,733.2	1,981.6	6.4%				
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-						-	-	-	0.0%				
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-						-	-	-	0.0%				
Refunds issued	(2,922.0)	(1,164.9)	(231.9)	(263.1)	(356.4)	(627.1)	(913.0)						(6,478.4)	(5,864.3)	614.1	10.5%				
Total Personal Income Tax	5,856.2	2,183.0	4,951.8	2,899.7	2,974.3	4,796.6	2,574.8						26,236.4	24,868.9	1,367.5	5.5%				
Consumption/Use Taxes:																				
Sales and Use	1,112.9	1,126.5	1,562.7	1,161.0	1,171.2	1,517.1	1,152.2						8,803.6	8,329.4	474.2	5.7%				
Auto Rental	0.9	(0.1)	11.2	-	0.1	16.0	0.1						28.2	29.7	(1.5)	-5.1%				
Cigarette/Tobacco Products	88.0	98.8	102.4	109.1	100.0	94.5	100.7						693.5	724.9	(31.4)	-4.3%				
Medical Marijuana	0.2	0.3	0.3	0.2	0.3	0.4	0.3						2.0	0.9	1.1	122.2%				
Motor Fuel	7.9	9.2	9.8	10.0	9.6	9.7	9.3						65.5	64.4	1.1	1.7%				
Alcoholic Beverage	7.5	24.0	30.6	30.1	17.6	25.3	18.7						153.8	152.5	1.3	0.9%				
Highway Use	2.8	(2.2)	-	0.1	-	(3.6)	0.2						(2.7)	1.2	(3.9)	-325.0%				
Metropolitan Commuter Trans. Taxicab Trip	12.8	0.7	0.4	12.4	0.7	0.4	10.9						38.3	39.9	(1.6)	-4.0%				
Total Consumption/Use Taxes	1,233.0	1,257.2	1,717.4	1,322.9	1,299.5	1,659.8	1,292.4						9,782.2	9,342.9	439.3	4.7%				
Business Taxes:																				
Corporation Franchise	455.1	(81.4)	729.8	157.3	56.8	765.4	80.3						2,163.3	1,701.3	462.0	27.2%				
Corporation and Utilities	23.3	2.1	115.4	6.5	0.9	126.9	0.6						275.7	330.5	(54.8)	-16.6%				
Insurance	46.2	(5.3)	325.6	7.8	63.9	287.8	34.4						760.4	762.9	(2.5)	-0.3%				
Bank	(32.6)	(6.8)	46.3	28.2	(11.9)	5.0	(1.6)						26.6	268.6	(242.0)	-90.1%				
Petroleum Business	25.5	55.7	44.0	43.8	42.3	44.9	41.7						297.9	284.8	13.1	4.6%				
Total Business Taxes	517.5	(35.7)	1,261.1	243.6	152.0	1,230.0	155.4						3,523.9	3,348.1	175.8	5.3%				
Other Taxes:																				
Real Property Gains	-	-	-	-	-	-	-						-	-	-	0.0%				
Estate and Gift	50.2	81.3	68.8	98.1	71.4	120.7	97.8						588.3	739.3	(151.0)	-20.4%				
Pari-Mutuel	0.9	1.3	1.7	1.3	2.3	2.1	1.1						10.7	10.6	0.1	0.9%				
Real Estate Transfer	87.0	91.8	89.5	87.1	110.3	83.3	76.0						625.0	629.5	(4.5)	-0.7%				
Racing and Exhibitions	0.5	0.2	0.1	-	0.3	0.1	0.4						1.6	1.5	0.1	6.7%				
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-						-	754.5	(754.5)	-100.0%				
Total Other Taxes	138.6	174.6	160.1	186.5	184.3	206.2	175.3						1,225.6	2,135.4	(909.8)	-42.6%				
Total Taxes	7,745.3	3,579.1	8,090.4	4,662.7	4,610.1	7,892.6	4,197.9						40,768.1	39,695.3	1,072.8	2.7%				
Miscellaneous Receipts:																				
Abandoned Property:																				
Abandoned Property	1.2	1.3	0.9	1.1	0.3	11.7	46.3						62.8	77.2	(14.4)	-18.7%				
Bottle Bill	0.9	0.3	10.7	3.2	-	37.8	0.1						53.0	48.7	4.3	8.8%				
Assessments:																				
Business	83.4	18.2	69.1	78.5	37.0	51.0	72.2						409.4	306.0	103.4	33.8%				
Medical Care	525.8	470.1	547.4	503.8	490.4	521.8	498.1						3,557.4	3,410.7	146.7	4.3%				
Public Utilities	1.8	-	0.6	-	0.6	49.4	(10.4)						42.0	38.9	3.1	8.0%				
Other	-	0.9	0.2	-	0.1	0.2	-						1.4	2.6	(1.2)	-46.2%				
Fees, Licenses and Permits:																				
Alcohol Beverage Control Licensing	5.7	6.5	7.4	6.5	5.4	5.2	6.5						43.2	42.1	1.1	2.6%				
Audit Fees	-	0.3	1.6	0.2	-	-	-						2.1	2.0	0.1	5.0%				
Business/Professional	50.3	49.3	120.1	52.4	63.2	109.0	66.0						510.3	475.9	34.4	7.2%				
Civil	28.4	17.1	21.5	26.1	21.8	23.0	25.3						163.2	172.3	(9.1)	-5.3%				
Criminal	0.4	1.0	1.2	1.0	1.3	0.2	0.8						5.9	6.0	(0.1)	-1.7%				
Motor Vehicle	74.7	69.8	58.4	43.3	78.1	45.7	64.4						434.4	448.9	(14.5)	-3.2%				
Recreational/Consumer	44.3	53.4	50.1	57.1	67.3	108.6	81.3						462.1	425.6	36.5	8.6%				
Fines, Penalties and Forfeitures	66.2	109.3	245.1	50.1	45.1	10.6	73.7						605.1	865.3	(260.2)	-30.1%				
Gaming:																				
Casino	28.2	15.5	17.2	30.3	16.8	19.0	33.8						160.8	120.5	40.3	33.4%				
Lottery	199.0	236.1	187.0	182.7	229.1	182.2	321.8						1,537.9	1,435.1	102.8	7.2%				
Video Lottery	75.1	86.4	68.8	67.7	92.9	75.9	90.5						557.3	559.8	(2.5)	-0.4%				
Interest Earnings	27.4	21.3	22.7	19.8	23.6	26.2	19.5						160.5	63.8	96.7	151.6%				
Receipts from Public Authorities:																				
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	0.0%				
Cost Recovery Assessments	2.6	(2.6)	-	27.7	2.5	7.7	1.9						39.8	31.2	8.6	27.6%				

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2018-2019
(Amounts in millions)**

	2018												2019				7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease				
Issuance Fees	11.9	1.3	2.3	21.0	1.8	2.8	16.3						57.4	63.5	(6.1)	-9.6%				
Non Bond Related	5.0	5.3	3.7	8.4	2.2	1.6	9.4						35.6	45.2	(9.6)	-21.2%				
Receipts from Municipalities	20.8	20.0	24.2	31.8	40.5	26.6	22.8						186.7	202.2	(15.5)	-7.7%				
Rentals	38.9	32.7	17.3	33.7	4.0	3.3	58.7						188.6	129.6	59.0	45.5%				
Revenues of State Departments:																				
Administrative Recoveries	15.2	9.7	19.2	1.9	1.1	16.9	2.5						66.5	137.4	(70.9)	-51.6%				
Commissions	0.4	0.5	0.5	0.3	1.3	0.4	0.6						4.0	2.6	1.4	53.8%				
Commissions- Asset Conversion	-	-	-	1,000.0	-	-	-						1,000.0	-	1,000.0	100.0%				
Gifts, Grants and Donations	1.5	0.9	4.7	0.9	36.9	57.5	0.3						102.7	7.7	95.0	1,233.8%				
Indirect Cost Recoveries	1.0	9.2	2.6	9.9	17.8	9.4	6.1						56.0	66.5	(10.5)	-15.8%				
Patient/Client Care Reimbursement	230.4	302.0	156.1	220.6	186.8	219.4	84.2						1,399.5	1,364.3	35.2	2.6%				
Rebates	4.5	2.7	3.8	3.5	10.6	2.0	7.9						35.0	37.1	(2.1)	-5.7%				
Restitution and Settlements	152.6	5.1	1.7	6.4	1.9	0.4	1.7						169.8	35.4	134.4	379.7%				
Student Loans	4.9	7.7	10.5	15.2	6.9	2.4	11.3						58.9	88.5	(29.6)	-33.4%				
All Other	44.9	48.5	40.2	40.3	35.4	53.3	48.0						310.6	285.6	25.0	8.8%				
Sales	2.5	1.4	2.3	1.7	1.2	1.4	4.1						14.6	7.4	7.2	97.3%				
Tuition	37.8	49.5	83.8	47.6	220.8	359.0	167.8						966.3	976.9	(10.6)	-1.1%				
Total Miscellaneous Receipts	1,787.7	1,650.7	1,802.9	2,594.7	1,744.7	2,041.6	1,838.5	-	-	-	-	-	13,460.8	11,982.5	1,478.3	12.3%				
Federal Receipts	(2.6)	-	-	1.6	35.2	0.1	-						34.3	37.2	(2.9)	-7.8%				
Total Receipts	9,530.4	5,229.8	9,893.3	7,249.0	6,390.0	9,934.3	6,036.4	-	-	-	-	-	54,263.2	51,715.0	2,548.2	4.9%				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	1,180.0	3,618.9	2,214.2	1,788.5	1,192.0	3,896.4	1,070.6						14,960.6	14,571.0	389.6	2.7%				
Environment and Recreation	0.4	0.3	0.4	0.2	0.3	0.3	0.3						2.2	3.7	(1.5)	-40.5%				
General Government	11.9	40.6	562.8	18.2	48.7	120.6	16.7						819.5	826.8	(7.3)	-0.9%				
Public Health:																				
Medicaid	1,795.9	2,271.1	1,999.9	1,468.7	2,300.1	2,051.9	1,882.1						13,769.7	12,264.2	1,505.5	12.3%				
Other Public Health	258.1	471.7	187.8	398.4	512.4	(66.5)	265.3						2,027.2	1,943.0	84.2	4.3%				
Public Safety	25.1	36.2	26.4	34.8	33.8	27.4	21.0						204.7	159.9	44.8	28.0%				
Public Welfare	81.3	132.7	133.6	211.3	211.3	364.1	264.3						1,398.6	1,293.9	104.7	8.1%				
Support and Regulate Business	2.8	7.0	17.5	10.2	41.1	10.5	23.4						112.5	134.4	(21.9)	-16.3%				
Transportation	236.3	445.6	366.3	332.9	369.4	325.8	262.8						2,339.1	2,826.4	(487.3)	-17.2%				
Total Local Assistance Grants	3,591.8	7,024.1	5,508.9	4,263.2	4,709.1	6,730.5	3,806.5	-	-	-	-	-	35,634.1	34,023.3	1,610.8	4.7%				
Departmental Operations:																				
Personal Service	1,050.8	1,400.5	1,060.9	1,060.5	1,211.9	1,026.0	1,403.4						8,214.0	7,675.6	538.4	7.0%				
Non-Personal Service	347.2	532.1	472.0	368.5	522.1	423.4	480.5						3,145.8	3,203.0	(57.2)	-1.8%				
General State Charges	2,826.1	445.3	509.4	396.2	359.4	514.0	618.3						5,668.7	5,555.2	113.5	2.0%				
Debt Service, Including Payments on																				
Financing Agreements	64.1	126.1	166.2	25.4	95.7	831.2	47.7						1,356.4	1,581.9	(225.5)	-14.3%				
Capital Projects	-	-	-	-	-	-	-						-	-	-	0.0%				
Total Disbursements	7,880.0	9,528.1	7,717.4	6,113.8	6,898.2	9,525.1	6,356.4	-	-	-	-	-	54,019.0	52,039.0	1,980.0	3.8%				
Excess (Deficiency) of Receipts over Disbursements	1,650.4	(4,298.3)	2,175.9	1,135.2	(508.2)	409.2	(320.0)	-	-	-	-	-	244.2	(324.0)	568.2	175.4%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds (**)	4,147.3	2,120.8	4,083.3	2,586.5	2,014.6	3,800.7	2,299.4						21,052.6	17,454.3	3,598.3	20.6%				
Transfers to Other Funds (**)	(4,017.1)	(2,439.3)	(4,692.2)	(2,802.5)	(2,437.3)	(3,920.3)	(1,520.6)						(21,829.3)	(17,168.5)	4,660.8	27.1%				
Total Other Financing Sources (Uses)	130.2	(318.5)	(608.9)	(216.0)	(422.7)	(119.6)	778.8	-	-	-	-	-	(776.7)	285.8	(1,062.5)	-371.8%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,780.6	(4,616.8)	1,567.0	919.2	(930.9)	289.6	458.8	-	-	-	-	-	(532.5)	(38.2)	(494.3)	-1,294.0%				
Ending Fund Balance	\$ 15,387.2	\$ 10,770.4	\$ 12,337.4	\$ 13,256.6	\$ 12,325.7	\$ 12,615.3	\$ 13,074.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,074.1	\$ 11,587.1	\$ 1,487.0	12.8%				

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities

from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT F

	2018							2019					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 9,445.0	\$ 9,937.5	\$ 5,130.6	\$ 6,312.7	\$ 5,416.6	\$ 4,113.5	\$ 6,450.9						\$ 9,445.0	\$ 7,748.6	\$ 1,696.4	21.9%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,930.1	3,135.1	2,821.9	2,920.2	3,128.3	2,666.4	3,148.8						20,750.8	19,582.1	1,168.7	6.0%
Estimated payments	4,356.0	99.9	2,228.8	109.1	101.1	2,667.6	182.9						9,745.4	8,849.1	896.3	10.1%
Returns	1,639.5	59.6	49.3	38.2	38.9	57.4	474.4						2,357.3	2,195.3	162.0	7.4%
State/City Offsets	(279.9)	(38.6)	(25.2)	(10.8)	(22.5)	(43.5)	(422.6)						(843.3)	(627.4)	215.9	34.4%
Other (Assessments/LLC)	132.5	92.1	108.9	106.1	84.9	75.8	104.3						704.6	734.1	(29.5)	-4.0%
Gross Receipts	8,778.2	3,347.9	5,183.7	3,162.8	3,330.7	5,423.7	3,487.8						32,714.8	30,733.2	1,981.6	6.4%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	(0.5)						(0.5)	(62.6)	(62.1)	-99.2%
Transfers to Revenue Bond Tax Fund	(2,928.1)	(1,091.5)	(2,475.9)	(1,449.9)	(1,487.1)	(2,398.3)	(1,287.4)						(13,118.2)	(6,217.2)	6,901.0	111.0%
Refunds issued	(2,922.0)	(1,164.9)	(231.9)	(263.1)	(356.4)	(627.1)	(913.0)						(6,478.4)	(5,864.3)	614.1	10.5%
Total Personal Income Tax	2,928.1	1,091.5	2,475.9	1,449.8	1,487.2	2,398.3	1,286.9						13,117.7	18,589.1	(5,471.4)	-29.4%
Consumption/Use Taxes:																
Sales and Use	511.9	527.0	738.7	536.2	548.2	710.0	538.7						4,110.7	3,886.5	224.2	5.8%
Auto Rental	-	-	-	-	-	-	-						-	-	0.0%	
Cigarette/Tobacco Products	24.4	29.5	29.5	31.4	29.4	30.1	30.5						204.8	209.5	(4.7)	-2.2%
Motor Fuel	-	-	-	-	-	-	-						-	-	0.0%	
Alcoholic Beverage	7.5	24.0	30.6	30.1	17.6	25.3	18.7						153.8	152.5	1.3	0.9%
Highway Use	-	-	-	-	-	-	-						-	-	0.0%	
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-						-	-	0.0%	
Total Consumption/Use Taxes	543.8	580.5	798.8	597.7	595.2	765.4	587.9						4,469.3	4,248.5	220.8	5.2%
Business Taxes:																
Corporation Franchise	334.3	(107.0)	601.8	131.3	30.7	634.5	61.2						1,686.8	1,306.5	380.3	29.1%
Corporation and Utilities	(0.3)	1.3	90.1	5.2	7.7	100.4	(1.6)						202.8	258.4	(55.6)	-21.5%
Insurance	41.4	(7.2)	288.2	7.0	55.4	254.4	28.0						697.2	692.6	(4.6)	-0.7%
Bank	(29.9)	(6.7)	39.6	25.0	(10.2)	2.8	8.9						29.5	237.2	(207.7)	-87.6%
Petroleum Business	-	-	-	-	-	-	-						-	-	0.0%	
Total Business Taxes	345.5	(119.6)	1,019.7	168.5	83.6	992.1	96.5						2,586.3	2,494.7	91.6	3.7%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-	-						-	-	0.0%	
Estate and Gift	50.2	81.3	68.8	98.1	71.4	120.7	97.8						588.3	739.3	(151.0)	-20.4%
Pari-Mutuel	0.9	1.3	1.7	1.3	2.3	2.1	1.1						10.7	10.6	0.1	0.9%
Real Estate Transfer	-	-	-	-	-	-	-						-	-	0.0%	
Racing and Exhibitions	0.5	0.2	0.1	-	0.3	0.1	0.4						1.6	1.5	0.1	6.7%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-						-	-	0.0%	
Total Other Taxes	51.6	82.8	70.6	99.4	74.0	122.9	99.3						600.6	751.4	(150.8)	-20.1%
Total Taxes	3,869.0	1,635.2	4,365.0	2,315.4	2,240.0	4,278.7	2,076.6						20,773.9	26,083.7	(5,309.8)	-20.4%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.5	0.1	0.2	0.3	0.2	10.0	45.1						56.4	71.0	(14.6)	-20.6%
Bottle Bill	0.9	0.3	10.7	3.2	-	37.8	0.1						53.0	48.7	4.3	8.8%
Assessments:																
Business	-	-	-	-	-	-	-						-	-	0.0%	
Medical Care	1.5	1.8	3.7	3.7	2.9	2.1	11.6						27.3	23.9	3.4	14.2%
Public Utilities	-	-	-	-	-	-	-						-	-	0.0%	
Other	-	0.1	0.1	-	0.1	0.1	-						0.4	0.5	(0.1)	-20.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.5	7.4	6.5	5.4	5.2	6.5						43.2	42.1	1.1	2.6%
Audit Fees	-	-	-	-	-	-	-						-	-	0.0%	
Business/Professional	13.2	9.8	32.6	12.1	15.9	35.5	11.3						130.4	102.1	28.3	27.7%
Civil	23.8	12.5	16.7	21.5	17.0	17.8	21.4						130.7	138.1	(7.4)	-5.4%
Criminal	0.1	0.2	0.1	0.2	0.1	0.1	0.2						1.0	1.1	(0.1)	-9.1%
Motor Vehicle	29.8	24.8	13.2	1.8	35.5	3.3	23.2						131.6	150.4	(18.8)	-12.5%
Recreational/Consumer	1.0	1.6	1.2	1.9	1.3	1.5	1.4						9.9	9.1	0.8	8.8%
Fines, Penalties and Forfeitures	14.2	95.4	239.3	36.3	38.1	6.1	66.2						495.6	737.4	(241.8)	-32.8%
Interest Earnings	17.4	10.0	11.3	7.3	9.0	11.5	4.0						70.5	15.5	55.0	354.8%
Receipts from Public Authorities:																
Cost Recovery Assessments	-	-	-	7.3	-	5.2	6.9						19.4	10.8	8.6	79.6%
Issuance Fees	4.7	1.3	2.3	21.0	1.8	2.6	16.3						50.2	56.3	(6.1)	-10.8%
Non Bond Related	-	-	-	-	-	-	-						-	24.2	(24.2)	-100.0%
Receipts from Municipalities	16.7	16.7	16.6	16.7	16.7	16.6	16.7						116.7	116.7	0.0	0.0%
Rentals	0.2	0.1	0.9	0.3	1.5	0.1	0.8						3.9	2.5	1.4	56.0%
Revenues of State Departments:																
Administrative Recoveries	0.4	0.9	18.1	1.1	-	15.4	(0.4)						35.5	45.1	(9.6)	-21.3%
Commissions	-	-	-	-	-	-	-						-	0.2	(0.2)	-100.0%
Gifts, Grants and Donations	-	0.1	-	-	-	-	-						0.1	0.1	-	0.0%
Indirect Cost Recoveries	1.0	9.2	2.6	9.9	17.8	9.4	6.1						56.0	66.5	(10.5)	-15.8%
Patient/Client Care Reimbursement	(17.4)	6.2	4.7	(19.1)	1.9	(0.3)	(94.4)						(118.4)	-	(118.4)	-100.0%
Rebates	(0.6)	(0.8)	2.1	(0.9)	(1.6)	1.4	-						(0.4)	0.3	(0.7)	-42.9%
Restitution and Settlements	104.7	3.8	0.3	0.8	-	0.1	0.3						110.0	9.8	100.2	1,022.4%
Student Loans	-	-	-	-	-	-	-						-	-	-	0.0%
All Other	2.5	4.8	(1.8)	9.2	1.6	0.4	13.9						30.6	11.4	19.2	168.4%
Sales	-	-	-	0.1	-	-	-						0.1	-	0.1	100.0%
Total Miscellaneous Receipts	220.3	205.4	382.3	141.2	165.2	182.1	157.2						1,453.7	1,682.8	(229.1)	-13.6%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT F

	2018												7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	-	-	-	0.1	-	-	-	-	-	-	-	-	0.1	0.1	-	0.0%
Total Receipts	4,089.3	1,840.6	4,747.3	2,456.7	2,405.2	4,460.8	2,227.8	-	-	-	-	-	22,227.7	27,766.6	(5,538.9)	-19.9%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,179.9	3,618.8	1,887.0	1,787.7	1,191.0	1,812.0	932.2						12,408.6	11,845.7	562.9	4.8%
Environment and Recreation	0.4	-	0.4	0.2	0.2	0.2	0.3						1.7	2.4	(0.7)	-29.2%
General Government	1.4	13.1	560.2	3.7	33.9	113.5	11.5						737.3	724.8	12.5	1.7%
Public Health:																
Medicaid	1,515.6	1,730.4	1,542.6	1,135.2	1,587.8	1,709.4	1,268.0						10,489.0	9,102.0	1,387.0	15.2%
Other Public Health	195.3	433.6	89.9	232.6	475.0	(128.1)	203.8						1,502.1	617.0	885.1	143.5%
Public Safety	13.3	16.2	13.4	21.8	18.2	19.7	9.8						112.4	81.1	31.3	38.6%
Public Welfare	80.8	131.5	133.2	211.0	210.8	363.9	263.7						1,394.9	1,290.6	104.3	8.1%
Support and Regulate Business	2.7	5.9	9.5	8.9	31.4	9.8	22.5						90.7	100.0	(9.3)	-9.3%
Transportation	46.4	69.0	61.2	46.9	31.7	0.4	-						255.6	64.3	191.3	297.5%
Total Local Assistance Grants	3,036.8	6,016.5	4,297.4	3,448.0	3,580.0	3,900.8	2,711.8	-	-	-	-	-	26,992.3	23,827.9	3,164.4	13.3%
Departmental Operations:																
Personal Service	667.9	846.1	684.1	724.8	838.5	659.6	827.3						5,248.3	3,608.1	1,640.2	45.5%
Non-Personal Service	163.7	282.5	220.5	182.3	228.3	209.8	219.3						1,506.4	1,216.2	290.2	23.9%
General State Charges	2,706.6	368.1	470.5	318.6	245.1	435.0	542.2						5,086.1	4,396.8	689.3	15.7%
Total Disbursements	6,574.0	7,515.2	5,672.5	4,673.7	4,891.9	5,205.2	4,300.6	-	-	-	-	-	38,833.1	33,049.0	5,784.1	17.5%
Excess (Deficiency) of Receipts over Disbursements	(2,484.7)	(5,674.6)	(925.2)	(2,217.0)	(2,486.7)	(744.4)	(2,072.8)	-	-	-	-	-	(16,605.4)	(5,282.4)	(11,323.0)	-214.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	2,927.8	1,070.3	2,486.7	1,438.1	1,056.3	2,647.5	1,287.4						12,914.1	5,805.3	7,108.8	122.5%
Transfers from LGAC / STRBTf	436.0	404.8	696.6	478.9	445.3	675.5	430.8						3,567.9	3,452.9	115.0	3.3%
Transfers from CW/CA Fund	73.8	92.2	87.5	82.2	105.5	78.3	71.1						590.6	593.4	(2.8)	-0.5%
Transfers from Other Funds	101.4	81.1	85.5	67.5	171.0	108.9	74.3						689.7	130.3	559.4	429.3%
Transfers to State Capital Projects	45.6	(268.1)	(631.1)	(252.6)	(422.4)	(437.7)	587.0						(1,379.3)	(354.8)	1,024.5	288.8%
Transfers to Federal Capital Projects	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to All Other Capital Projects	(100.0)	(200.0)	(186.5)	(80.0)	(200.0)	(16.5)	-						(783.0)	(719.7)	63.3	8.8%
Transfers to General Debt Service	(192.3)	(38.4)	(19.9)	(222.9)	100.5	62.6	(201.5)						(511.9)	(712.3)	(200.4)	-28.1%
Transfers to All Other State Funds	(315.1)	(274.2)	(411.5)	(190.3)	(72.6)	(36.8)	(61.7)						(1,362.2)	(4,298.3)	(2,936.1)	-68.3%
Total Other Financing Sources (Uses)	2,977.2	867.7	2,107.3	1,320.9	1,183.6	3,081.8	2,187.4	-	-	-	-	-	13,725.9	3,896.8	9,829.1	252.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	492.5	(4,806.9)	1,182.1	(896.1)	(1,303.1)	2,337.4	114.6	-	-	-	-	-	(2,879.5)	(1,385.6)	(1,493.9)	-107.8%
Ending Fund Balance	\$ 9,937.5	\$ 5,130.6	\$ 6,312.7	\$ 5,416.6	\$ 4,113.5	\$ 6,450.9	\$ 6,565.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,565.5	\$ 6,363.0	\$ 202.5	3.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT G

	Intra-Fund Transfer												7 Months Ended October 31				
	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	Eliminations (*)	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 4,302.1	\$ 4,970.5	\$ 4,749.2	\$ 5,652.9	\$ 6,783.4	\$ 6,575.9	\$ 6,012.1						\$ -	\$ 4,302.1	\$ 4,272.2	\$ 29.9	0.7%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	-	0.5						-	0.5	62.6	(62.1)	-99.2%
Consumption/Use Taxes:																	
Sales and Use	98.2	73.0	100.1	74.7	75.1	97.4	75.1						-	593.6	563.0	30.6	5.4%
Auto Rental	0.9	(0.1)	11.2	-	0.1	16.0	0.1						-	28.2	29.7	(1.5)	-5.1%
Cigarette/Tobacco Products	63.6	69.3	72.9	77.7	70.6	64.4	70.2						-	488.7	515.4	(26.7)	-5.2%
Medical Marijuana	0.2	0.3	0.3	0.2	0.3	0.4	0.3						-	2.0	0.9	1.1	122.2%
Motor Fuel	7.9	9.2	9.8	10.0	9.6	9.7	9.3						-	65.5	64.4	1.1	1.7%
Alcoholic Beverage	-	-	-	-	-	-	-						-	-	-	-	0.0%
Highway Use	2.8	(2.2)	-	0.1	-	(3.6)	0.2						-	(2.7)	1.2	(3.9)	-325.0%
Metropolitan Commuter Trans. Taxicab Trip	12.8	0.7	0.4	12.4	0.7	0.4	10.9						-	38.3	39.9	(1.6)	-4.0%
Total Consumption/Use Taxes	186.4	150.2	194.7	175.1	156.4	184.7	166.1						-	1,213.6	1,214.5	(0.9)	-0.1%
Business Taxes:																	
Corporation Franchise	120.8	25.6	128.0	26.0	26.1	130.9	19.1						-	476.5	394.8	81.7	20.7%
Corporation and Utilities	23.6	0.8	25.3	1.3	(6.8)	26.5	2.2						-	72.9	72.1	0.8	1.1%
Insurance	4.8	1.9	37.4	0.8	8.5	33.4	6.4						-	93.2	70.3	22.9	32.6%
Bank	(2.7)	(0.1)	6.7	3.2	(1.7)	2.2	(10.5)						-	(2.9)	31.4	(34.3)	-109.2%
Petroleum Business	25.5	55.7	44.0	43.8	42.3	44.9	41.7						-	297.9	284.8	13.1	4.6%
Total Business Taxes	172.0	83.9	241.4	75.1	68.4	237.9	58.9						-	937.6	853.4	84.2	9.8%
Other Taxes:																	
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-						-	-	754.5	(754.5)	-100.0%
Total Other Taxes	-	-	-	-	-	-	-						-	754.5	754.5	-	-100.0%
Total Taxes	358.4	234.1	436.1	250.2	224.8	422.6	225.5						-	2,151.7	2,885.0	(733.3)	-25.4%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.7	1.2	0.7	0.8	0.1	1.7	1.2						-	6.4	6.2	0.2	3.2%
Assessments:																	
Business	87.6	55.2	69.3	81.0	47.7	51.0	74.2						-	466.0	359.6	106.4	29.6%
Medical Care	524.3	468.3	543.7	500.1	487.5	519.7	486.5						-	3,530.1	3,386.8	143.3	4.2%
Public Utilities	1.8	-	0.6	-	0.6	49.4	(10.4)						-	42.0	38.9	3.1	8.0%
Other	-	0.8	0.1	-	-	0.1	-						-	1.0	2.1	(1.1)	-52.4%
Fees, Licenses and Permits:																	
Audit Fees	-	0.3	1.6	0.2	-	-	-						-	2.1	2.0	0.1	5.0%
Business/Professional	37.1	39.5	87.5	40.3	47.3	73.5	54.7						-	379.9	373.8	6.1	1.6%
Civil	4.6	4.6	4.8	4.6	4.8	5.2	3.9						-	32.5	34.2	(1.7)	-5.0%
Criminal	0.3	0.8	1.1	0.8	1.2	0.1	0.6						-	4.9	4.9	-	0.0%
Motor Vehicle	44.9	45.0	45.2	41.5	42.6	42.4	41.2						-	302.8	298.5	4.3	1.4%
Recreational/Consumer	43.3	51.8	48.9	55.2	66.0	107.1	79.9						-	452.2	416.5	35.7	8.6%
Fines, Penalties and Forfeitures	53.2	14.4	6.5	14.4	7.7	5.0	13.2						-	114.4	135.4	(21.0)	-15.5%
Gaming:																	
Casino	28.2	15.5	17.2	30.3	16.8	19.0	33.8						-	160.8	120.5	40.3	33.4%
Lottery	199.0	236.1	187.0	182.7	229.1	182.2	321.8						-	1,537.9	1,435.1	102.8	7.2%
Video Lottery	75.1	86.4	68.8	67.7	92.9	75.9	90.5						-	557.3	559.8	(2.5)	-0.4%
Interest Earnings	10.3	12.1	11.8	13.0	14.8	15.0	22.1						-	99.1	51.6	47.5	92.1%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	-	0.0%
Cost Recovery Assessments	2.6	(2.6)	-	20.4	2.5	2.5	(5.0)						-	20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-	-	-						-	7.2	7.2	-	0.0%
Non Bond Related	5.0	5.3	3.7	8.4	2.2	1.6	9.4						-	35.6	21.0	14.6	69.5%
Receipts from Municipalities	4.1	2.9	7.5	14.3	23.8	10.0	4.9						-	67.5	83.1	(15.6)	-18.8%
Rentals	38.7	32.6	16.4	33.4	2.5	3.2	57.9						-	184.7	127.1	57.6	45.3%
Revenues of State Departments:																	
Administrative Recoveries	14.8	8.8	1.1	0.8	1.1	1.5	2.9						-	31.0	92.3	(61.3)	-66.4%
Commissions	0.4	0.5	0.5	0.3	1.3	0.4	0.6						-	4.0	2.4	1.6	66.7%
Commissions-Asset Conversion	-	-	-	1,000.0	-	-	-						-	1,000.0	-	1,000.0	100.0%
Gifts, Grants and Donations	1.5	0.8	4.7	0.9	36.9	57.5	0.3						-	102.6	8.0	94.6	1,182.5%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	-	-	0.0%
Patient/Client Care Reimbursement	204.0	247.5	134.0	177.7	162.1	200.2	146.5						-	1,272.0	1,086.7	185.3	17.1%
Rebates	13.3	12.7	10.1	13.7	20.6	9.5	16.7						-	96.6	101.2	(4.6)	-4.5%
Restitution and Settlements	47.9	1.3	1.4	5.6	1.9	0.3	1.4						-	59.8	25.6	34.2	133.6%
Student Loans	4.9	7.7	10.5	15.2	6.9	2.4	11.3						-	59.9	85.5	(25.6)	-33.4%
All Other	42.4	43.7	42.9	31.2	34.0	53.2	34.1						-	281.5	278.2	3.3	1.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT G

	2018												Intra-Fund Transfer		7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Eliminations (*)	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease	
Sales	2.5	1.5	2.3	1.5	1.1	1.4	4.1	-	-	-	-	-	-	14.4	7.7	6.7	87.0%	
Tuition	37.8	49.5	83.8	47.6	220.8	359.0	167.8	-	-	-	-	-	-	966.3	976.9	(10.6)	-1.1%	
Total Miscellaneous Receipts	1,537.5	1,444.2	1,413.7	2,403.6	1,576.8	1,850.0	1,666.1	-	-	-	-	-	-	11,891.9	10,152.2	1,739.7	17.1%	
Federal Receipts	3,557.3	4,860.9	5,310.1	3,772.6	6,168.2	6,096.4	4,560.7	-	-	-	-	-	-	34,326.2	31,107.2	3,219.0	10.3%	
Total Receipts	5,453.2	6,539.2	7,159.9	6,426.4	7,969.8	8,369.0	6,452.3	-	-	-	-	-	-	48,369.8	44,144.4	4,225.4	9.6%	
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	378.2	605.9	667.0	340.9	347.7	2,218.6	326.0	-	-	-	-	-	-	4,884.3	4,613.8	270.5	5.9%	
Environment and Recreation	-	0.6	0.1	0.2	0.8	0.1	0.1	-	-	-	-	-	-	1.9	1.9	-	0.0%	
General Government	13.7	29.4	14.3	14.9	26.0	9.5	6.4	-	-	-	-	-	-	114.2	123.9	(9.7)	-7.8%	
Public Health:																		
Medicaid	2,858.1	4,072.1	3,525.6	2,961.1	4,914.9	3,726.7	4,036.9	-	-	-	-	-	-	26,095.4	24,047.6	2,047.8	8.5%	
Other Public Health	542.7	385.2	854.6	624.6	149.3	1,262.6	574.1	-	-	-	-	-	-	4,393.1	5,082.2	(689.1)	-13.6%	
Public Safety	76.4	138.5	56.8	129.0	87.6	69.3	216.0	-	-	-	-	-	-	773.6	765.9	7.7	1.0%	
Public Welfare	266.7	200.5	238.6	373.1	1,089.9	297.1	438.3	-	-	-	-	-	-	2,904.2	2,391.9	512.3	21.4%	
Support and Regulate Business	0.1	1.2	10.0	1.5	11.7	1.2	1.7	-	-	-	-	-	-	27.4	37.4	(10.0)	-26.7%	
Transportation	192.6	380.8	310.6	289.4	344.3	331.3	266.6	-	-	-	-	-	-	2,115.6	2,791.7	(676.1)	-24.2%	
Total Local Assistance Grants	4,328.5	5,814.2	5,677.6	4,734.7	6,972.2	7,916.4	5,866.1	-	-	-	-	-	-	41,309.7	39,856.3	1,453.4	3.6%	
Departmental Operations:																		
Personal Service	434.6	624.6	438.8	380.6	421.5	417.0	645.3	-	-	-	-	-	-	3,362.4	4,438.5	(1,076.1)	-24.2%	
Non-Personal Service	252.4	338.7	335.5	246.3	412.5	417.0	366.4	-	-	-	-	-	-	2,368.8	2,740.5	(371.7)	-13.6%	
General State Charges	158.9	104.5	48.6	99.5	238.1	106.5	103.2	-	-	-	-	-	-	859.3	1,336.8	(477.5)	-35.7%	
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Total Disbursements	5,174.4	6,882.0	6,500.5	5,461.1	8,044.3	8,856.9	6,981.0	-	-	-	-	-	-	47,900.2	48,372.1	(471.9)	-1.0%	
Excess (Deficiency) of Receipts over Disbursements	278.8	(342.8)	659.4	965.3	(74.5)	(487.9)	(528.7)	-	-	-	-	-	-	469.6	(4,227.7)	4,697.3	111.1%	
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	381.9	284.8	592.2	218.2	136.4	79.4	123.2	-	-	-	-	-	(413.3)	1,402.8	5,180.6	(3,777.8)	-72.9%	
Transfers to Other Funds	7.7	(163.3)	(347.9)	(53.0)	(269.4)	(155.3)	(113.9)	-	-	-	-	-	413.3	(681.8)	(1,176.3)	(494.5)	-42.0%	
Total Other Financing Sources (Uses)	389.6	121.5	244.3	165.2	(133.0)	(75.9)	9.3	-	-	-	-	-	-	721.0	4,004.3	(3,283.3)	-82.0%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	668.4	(221.3)	903.7	1,130.5	(207.5)	(563.8)	(519.4)	-	-	-	-	-	-	1,190.6	(223.4)	1,414.0	632.9%	
Ending Fund Balance	\$ 4,970.5	\$ 4,749.2	\$ 5,652.9	\$ 6,783.4	\$ 6,575.9	\$ 6,012.1	\$ 5,492.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,492.7	\$ 4,048.8	\$ 1,443.9	35.7%	

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT G

	7 Months Ended October 31												2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 4,008.5	\$ 5,103.0	\$ 5,119.6	\$ 5,600.1	\$ 7,063.3	\$ 7,024.5	\$ 5,830.7						\$ 4,008.5	\$ 3,732.3	\$ 276.2	7.4%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-	0.5						0.5	62.6	(62.1)	-99.2%
Consumption/Use Taxes:																
Sales and Use	98.2	73.0	100.1	74.7	75.1	97.4	75.1						593.6	563.0	30.6	5.4%
Auto Rental	0.9	(0.1)	11.2	-	0.1	16.0	0.1						28.2	29.7	(1.5)	-5.1%
Cigarette/Tobacco Products	63.6	69.3	72.9	77.7	70.6	64.4	70.2						488.7	515.4	(26.7)	-5.2%
Medical Marijuana	0.2	0.3	0.3	0.2	0.3	0.4	0.3						2.0	0.9	1.1	122.2%
Motor Fuel	7.9	9.2	9.8	10.0	9.6	9.7	9.3						65.5	64.4	1.1	1.7%
Alcoholic Beverage	-	-	-	-	-	-	-						-	-	-	0.0%
Highway Use	2.8	(2.2)	-	0.1	-	(3.6)	0.2						(2.7)	1.2	(3.9)	-325.0%
Metropolitan Commuter Trans. Taxicab Trip	12.8	0.7	0.4	12.4	0.7	0.4	10.9						38.3	39.9	(1.6)	-4.0%
Total Consumption/Use Taxes	186.4	150.2	194.7	175.1	156.4	184.7	166.1						1,213.6	1,214.5	(0.9)	-0.1%
Business Taxes																
Corporation Franchise	120.8	25.6	128.0	26.0	26.1	130.9	19.1						476.5	394.8	81.7	20.7%
Corporation and Utilities	23.6	0.8	25.3	1.3	(6.8)	26.5	2.2						72.9	72.1	0.8	1.1%
Insurance	4.8	1.9	37.4	0.8	8.5	33.4	6.4						93.2	70.3	22.9	32.6%
Bank	(2.7)	(0.1)	6.7	3.2	(1.7)	2.2	(10.5)						(2.9)	31.4	(34.3)	-109.2%
Petroleum Business	25.5	55.7	44.0	43.8	42.3	44.9	41.7						297.9	284.8	13.1	4.6%
Total Business Taxes	172.0	83.9	241.4	75.1	68.4	237.9	58.9						937.6	853.4	84.2	9.9%
Other Taxes																
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-						-	75.5	(75.5)	-100.0%
Total Other Taxes	-	-	-	-	-	-	-						-	75.5	(75.5)	-100.0%
Total Taxes	358.4	234.1	436.1	250.2	224.8	422.6	225.5						2,151.7	2,885.0	(733.3)	-25.4%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.7	1.2	0.7	0.8	0.1	1.7	1.2						6.4	6.2	0.2	3.2%
Assessments:																
Business	83.4	18.2	69.1	78.5	37.0	51.0	72.2						409.4	306.0	103.4	33.8%
Medical Care	524.3	468.3	543.7	500.1	487.5	519.7	486.5						3,530.1	3,386.8	143.3	4.2%
Public Utilities	1.8	-	0.6	-	0.6	49.4	(10.4)						42.0	38.9	3.1	8.0%
Other	-	0.8	0.1	-	-	0.1	-						1.0	2.1	(1.1)	-52.4%
Fees, Licenses and Permits:																
Audit Fees	-	0.3	1.6	0.2	-	-	-						2.1	2.0	0.1	5.0%
Business/Professional	37.1	39.5	87.5	40.3	47.3	73.5	54.7						379.9	373.8	6.1	1.6%
Civil	4.6	4.6	4.8	4.6	4.8	5.2	3.9						32.5	34.2	(1.7)	-5.0%
Criminal	0.3	0.8	1.1	0.8	1.2	0.1	0.6						4.9	4.9	-	0.0%
Motor Vehicle	44.9	45.0	45.2	41.5	42.6	42.4	41.2						302.8	298.5	4.3	1.4%
Recreational/Consumer	43.3	51.8	48.9	55.2	66.0	107.1	79.9						452.2	416.5	35.7	8.6%
Fines, Penalties and Forfeitures	52.0	13.9	5.8	13.8	7.0	4.5	12.5						109.5	127.9	(18.4)	-14.4%
Gaming:																
Casino	28.2	15.5	17.2	30.3	16.8	19.0	33.8						160.8	120.5	40.3	33.4%
Lottery	199.0	236.1	187.0	182.7	229.1	182.2	321.8						1,537.9	1,435.1	102.8	7.2%
Video Lottery	75.1	86.4	68.8	67.7	92.9	75.9	90.5						557.3	559.8	(2.5)	-0.4%
Interest Earnings	9.9	11.3	11.4	12.5	14.0	14.5	15.5						89.1	47.8	41.3	86.4%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	0.0%
Cost Recovery Assessments	2.6	(2.6)	-	20.4	2.5	2.5	(5.0)						20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-	-	-						7.2	7.2	-	0.0%
Non Bond Related	5.0	5.3	3.7	8.4	2.2	1.6	9.4						35.6	21.0	14.6	69.5%
Receipts from Municipalities	4.1	2.9	7.5	14.3	23.8	10.0	4.9						67.5	83.1	(15.6)	-18.8%
Rentals	38.7	32.6	16.4	33.4	2.5	3.2	57.9						184.7	127.1	57.6	45.3%
Revenues of State Departments:																
Administrative Recoveries	14.8	8.8	1.1	0.8	1.1	1.5	2.9						31.0	92.3	(61.3)	-66.4%
Commissions	0.4	0.5	0.5	0.3	1.3	0.4	0.6						4.0	2.4	1.6	66.7%
Commission- Asset Conversion	-	-	-	1,000.0	-	-	-						1,000.0	-	1,000.0	100.0%
Gifts, Grants and Donations	1.5	0.8	4.7	0.9	36.9	57.5	0.3						102.6	7.6	95.0	1,250.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Patient/Client Care Reimbursement	204.0	247.5	134.0	177.7	162.1	200.2	146.5						1,272.0	1,086.7	185.3	17.1%
Rebates	5.1	3.3	1.7	4.4	12.2	0.6	7.9						35.4	37.8	(2.4)	-6.3%
Restitution and Settlements	47.9	1.3	1.4	5.6	1.9	0.3	1.4						59.8	25.6	34.2	133.6%
Student Loans	4.9	7.7	10.5	15.2	6.9	2.4	11.3						58.9	88.5	(29.6)	-33.4%
All Other	42.4	43.6	42.0	31.1	33.8	52.9	34.1						279.9	274.2	5.7	2.1%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT G

	2018												7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019			2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
										JANUARY	FEBRUARY	MARCH				
Sales	2.5	1.4	2.3	1.6	1.1	1.4	4.1						14.4	7.3	7.1	97.3%
Tuition	37.8	49.5	83.8	47.6	220.8	359.0	167.8						966.3	976.9	(10.6)	-1.1%
Total Miscellaneous Receipts	1,523.5	1,396.5	1,403.1	2,390.7	1,556.0	1,839.8	1,648.0	-	-	-	-	-	11,757.6	10,019.1	1,738.5	17.4%
Federal Receipts	(2.6)	-	-	(0.1)	0.1	0.1	-						(2.5)	0.4	(2.9)	-725.0%
Total Receipts	1,879.3	1,630.6	1,839.2	2,640.8	1,780.9	2,262.5	1,873.5	-	-	-	-	-	13,906.8	12,904.5	1,002.3	7.8%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	0.1	327.2	0.8	1.0	2,084.4	138.4						2,552.0	2,725.3	(173.3)	-6.4%
Environment and Recreation	-	0.3	-	-	0.1	0.1	-						0.5	1.3	(0.8)	-61.5%
General Government	10.5	27.5	2.6	14.5	14.8	7.1	5.2						82.2	102.0	(19.8)	-19.4%
Public Health:																
Medicaid	280.3	540.7	457.3	333.5	712.3	342.5	614.1						3,280.7	3,162.2	118.5	3.7%
Other Public Health	62.8	38.1	97.9	165.8	37.4	61.6	61.5						525.1	1,326.0	(800.9)	-60.4%
Public Safety	11.8	20.0	13.0	13.0	15.6	7.7	11.2						92.3	78.8	13.5	17.1%
Public Welfare	0.5	1.2	0.4	0.3	0.5	0.2	0.6						3.7	3.3	0.4	12.1%
Support and Regulate Business	0.1	1.1	8.0	1.3	9.7	0.7	0.9						21.8	34.4	(12.6)	-36.6%
Transportation	189.9	376.6	305.1	286.0	337.7	325.4	262.8						2,083.5	2,762.1	(678.6)	-24.6%
Total Local Assistance Grants	556.0	1,005.6	1,211.5	815.2	1,129.1	2,829.7	1,094.7	-	-	-	-	-	8,641.8	10,195.4	(1,553.6)	-15.2%
Departmental Operations:																
Personal Service	382.9	554.4	376.8	335.7	373.4	366.4	576.1						2,965.7	4,067.5	(1,101.8)	-27.1%
Non-Personal Service	182.7	248.0	244.9	185.4	281.1	211.2	261.0						1,614.3	1,966.4	(352.1)	-17.9%
General State Charges	119.5	77.2	38.9	77.6	114.3	79.0	76.1						582.6	1,158.4	(575.8)	-49.7%
Capital Projects	-	-	-	-	-	-	-						-	-	-	0.0%
Total Disbursements	1,241.1	1,885.2	1,872.1	1,413.9	1,897.9	3,486.3	2,007.9	-	-	-	-	-	13,804.4	17,387.7	(3,583.3)	-20.6%
Excess (Deficiency) of Receipts over Disbursements	638.2	(254.6)	(32.9)	1,226.9	(117.0)	(1,223.8)	(134.4)	-	-	-	-	-	102.4	(4,483.2)	4,585.6	102.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	381.9	284.8	592.2	218.2	136.4	79.4	123.2						1,816.1	5,506.6	(3,690.5)	-67.0%
Transfers to Other Funds	74.4	(13.6)	(78.8)	18.1	(58.2)	(49.4)	30.4						(77.1)	(319.0)	(241.9)	-75.8%
Total Other Financing Sources (Uses)	456.3	271.2	513.4	236.3	78.2	30.0	153.6	-	-	-	-	-	1,739.0	5,187.6	(3,448.6)	-66.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,094.5	16.6	480.5	1,463.2	(38.8)	(1,193.8)	19.2	-	-	-	-	-	1,841.4	704.4	1,137.0	161.4%
Ending Fund Balance	\$ 5,103.0	\$ 5,119.6	\$ 5,600.1	\$ 7,063.3	\$ 7,024.5	\$ 5,830.7	\$ 5,849.9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,849.9	\$ 4,436.7	\$ 1,413.2	31.9%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT G

	2018								2019				7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 293.6	\$ (132.5)	\$ (370.4)	\$ 52.8	\$ (279.9)	\$ (448.6)	\$ 181.4						\$ 293.6	\$ 539.9	\$ (246.3)	-45.6%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	4.2	37.0	0.2	2.5	10.7	-	2.0						56.6	53.6	3.0	5.6%
Medical Care	-	-	-	-	-	-	-						-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-						-	-	-	0.0%
Other	-	-	-	-	-	-	-						-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-						-	-	-	0.0%
Civil	-	-	-	-	-	-	-						-	-	-	0.0%
Criminal	-	-	-	-	-	-	-						-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-						-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-						-	-	-	0.0%
Fines, Penalties and Forfeitures	1.2	0.5	0.7	0.6	0.7	0.5	0.7						4.9	7.5	(2.6)	-34.7%
Interest Earnings	0.4	0.8	0.4	0.5	0.8	0.5	6.6						10.0	3.8	6.2	163.2%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-						-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-						-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-						-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-						-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-						-	-	-	0.0%
Rentals	-	-	-	-	-	-	-						-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Commissions	-	-	-	-	-	-	-						-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-						0.4	-	(0.4)	-100.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-						-	-	-	0.0%
Rebates	8.2	9.2	8.4	9.3	8.4	8.9	8.8						61.2	63.4	(2.2)	-3.5%
Restitution and Settlements	-	-	-	-	-	-	-						-	-	-	0.0%
Student Loans	-	-	-	-	-	-	-						-	-	-	0.0%
All Other	-	0.1	0.9	0.1	0.2	0.3	-						1.6	4.0	(2.4)	-60.0%
Sales	-	0.1	-	(0.1)	-	-	-						-	0.4	(0.4)	-100.0%
Tuition	-	-	-	-	-	-	-						-	-	-	0.0%
Total Miscellaneous Receipts	14.0	47.7	10.6	12.9	20.8	10.2	18.1	-	-	-	-	-	134.3	133.1	1.2	0.9%
Federal Receipts	3,559.9	4,860.9	5,310.1	3,772.7	6,168.1	6,096.3	4,560.7						34,328.7	31,106.8	3,221.9	10.4%
Total Receipts	3,573.9	4,908.6	5,320.7	3,785.6	6,188.9	6,106.5	4,578.8	-	-	-	-	-	34,463.0	31,239.9	3,223.1	10.3%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT G

	7 Months Ended October 31												2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH				
DISBURSEMENTS:																
Local Assistance Grants:																
Education	378.1	605.8	339.8	340.1	346.7	134.2	187.6						2,332.3	1,888.5	443.8	23.5%
Environment and Recreation	-	0.3	0.1	0.2	0.7	-	0.1						1.4	0.6	0.8	133.3%
General Government	3.2	1.9	11.7	0.4	11.2	2.4	1.2						32.0	21.9	10.1	46.1%
Public Health:																
Medicaid	2,577.8	3,531.4	3,068.3	2,627.6	4,202.6	3,384.2	3,422.8						22,814.7	20,885.4	1,929.3	9.2%
Other Public Health	479.9	347.1	756.7	458.8	111.9	1,201.0	512.6						3,868.0	3,756.2	111.8	3.0%
Public Safety	64.6	118.5	43.8	116.0	72.0	61.6	204.8						681.3	687.1	(5.8)	-0.8%
Public Welfare	266.2	199.3	238.2	372.8	1,089.4	296.9	437.7						2,900.5	2,388.6	511.9	21.4%
Support and Regulate Business	-	0.1	2.0	0.2	2.0	0.5	0.8						5.6	3.0	2.6	86.7%
Transportation	2.7	4.2	5.5	3.4	6.6	5.9	3.8						32.1	29.6	2.5	8.4%
Total Local Assistance Grants	3,772.5	4,808.6	4,466.1	3,919.5	5,843.1	5,086.7	4,771.4	-	-	-	-	-	32,667.9	29,660.9	3,007.0	10.1%
Departmental Operations:																
Personal Service	51.7	70.2	62.0	44.9	48.1	50.6	69.2						396.7	371.0	25.7	6.9%
Non-Personal Service	69.7	90.7	90.6	60.9	131.4	205.8	105.4						754.5	774.1	(19.6)	-2.5%
General State Charges	39.4	27.3	9.7	21.9	123.8	27.5	27.1						276.7	178.4	98.3	55.1%
Capital Projects	-	-	-	-	-	-	-						-	-	-	0.0%
Total Disbursements	3,933.3	4,996.8	4,628.4	4,047.2	6,146.4	5,370.6	4,973.1	-	-	-	-	-	34,095.8	30,984.4	3,111.4	10.0%
Excess (Deficiency) of Receipts over Disbursements	(359.4)	(88.2)	692.3	(261.6)	42.5	735.9	(394.3)	-	-	-	-	-	367.2	255.5	111.7	43.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Other Funds	(66.7)	(149.7)	(269.1)	(71.1)	(211.2)	(105.9)	(144.3)						(1,018.0)	(1,183.3)	(165.3)	-14.0%
Total Other Financing Sources (Uses)	(66.7)	(149.7)	(269.1)	(71.1)	(211.2)	(105.9)	(144.3)	-	-	-	-	-	(1,018.0)	(1,183.3)	(165.3)	-14.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(426.1)	(237.9)	423.2	(332.7)	(168.7)	630.0	(538.6)	-	-	-	-	-	(650.8)	(927.8)	277.0	29.9%
Ending Fund Balance	(132.5)	(370.4)	52.8	(279.9)	(448.6)	181.4	(357.2)	\$ -	\$ -	\$ -	\$ -	\$ -	(357.2)	(387.9)	30.7	7.9%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT H

	2018							2019					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 153.1	\$ 346.7	\$ 520.2	\$ 424.6	\$ 776.7	\$ 1,187.7	\$ 333.7						\$ 153.1	\$ 144.4	\$ 8.7	6.0%
RECEIPTS:																
Taxes:																
Personal Income Tax	2,928.1	1,091.5	2,475.9	1,449.9	1,487.1	2,398.3	1,287.4						13,118.2	6,217.2	6,901.0	111.0%
Consumption/Use Taxes:																
Sales and Use	502.8	526.5	723.9	550.1	547.9	709.7	538.4						4,099.3	3,879.9	219.4	5.7%
Total Consumption/Use Taxes	502.8	526.5	723.9	550.1	547.9	709.7	538.4						4,099.3	3,879.9	219.4	5.7%
Other Taxes:																
Real Estate Transfer	87.0	91.8	89.5	87.1	110.3	83.3	76.0						625.0	629.5	(4.5)	-0.7%
Total Other Taxes	87.0	91.8	89.5	87.1	110.3	83.3	76.0						625.0	629.5	(4.5)	-0.7%
Total Taxes	3,517.9	1,709.8	3,289.3	2,087.1	2,145.3	3,191.3	1,901.8						17,842.5	10,726.6	7,115.9	66.3%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-	-						-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-						-	-	-	0.0%
Business/Professional	-	-	-	-	-	-	-						-	-	-	0.0%
Civil	-	-	-	-	-	-	-						-	-	-	0.0%
Criminal	-	-	-	-	-	-	-						-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-						-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-						-	-	-	0.0%
Interest Earnings	0.1	-	-	-	0.6	0.2	-						0.9	0.5	0.4	80.0%
Receipts from Municipalities	-	0.4	0.1	0.8	-	-	1.2						2.5	2.4	0.1	4.2%
Rentals	-	-	-	-	-	-	-						-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	43.8	48.3	17.4	62.0	22.8	19.5	32.1						245.9	277.6	(31.7)	-11.4%
All Other	-	0.1	-	-	-	-	-						0.1	-	0.1	100.0%
Sales	-	-	-	-	0.1	-	-						0.1	0.1	-	0.0%
Total Miscellaneous Receipts	43.9	48.8	17.5	62.8	23.5	19.7	33.3						249.5	280.6	(31.1)	-11.1%
Federal Receipts	-	-	-	1.6	35.1	-	-						36.7	36.7	-	0.0%
Total Receipts	3,561.8	1,758.6	3,306.8	2,151.5	2,203.9	3,211.0	1,935.1						18,128.7	11,043.9	7,084.8	64.2%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.8	1.6	6.6	0.8	12.7	2.4	0.2						25.1	20.4	4.7	23.0%
Debt Service, Including Payments On Financing Agreements	64.1	126.1	166.2	25.4	95.7	831.2	47.7						1,356.4	1,581.9	(225.5)	-14.3%
Total Disbursements	64.9	127.7	172.8	26.2	108.4	833.6	47.9						1,381.5	1,602.3	(220.8)	-13.8%
Excess (Deficiency) of Receipts over Disbursements	3,496.9	1,630.9	3,134.0	2,125.3	2,095.5	2,377.4	1,887.2						16,747.2	9,441.6	7,305.6	77.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	226.4	187.6	134.8	301.6	100.1	211.1	312.6						1,474.2	1,965.8	(491.6)	-25.0%
Transfers to Other Funds	(3,529.7)	(1,645.0)	(3,364.4)	(2,074.8)	(1,784.6)	(3,442.5)	(1,874.8)						(17,715.8)	(10,764.4)	6,951.4	64.6%
Total Other Financing Sources (Uses)	(3,303.3)	(1,457.4)	(3,229.6)	(1,773.2)	(1,684.5)	(3,231.4)	(1,562.2)						(16,241.6)	(8,798.6)	(7,443.0)	-84.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	193.6	173.5	(95.6)	352.1	411.0	(854.0)	325.0						505.6	643.0	(137.4)	-21.4%
Ending Fund Balance	\$ 346.7	\$ 520.2	\$ 424.6	\$ 776.7	\$ 1,187.7	\$ 333.7	\$ 658.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 658.7	\$ 787.4	\$ (128.7)	-16.3%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2018-2019
 (Amounts in millions)

EXHIBIT I

	2018								2019				Intra-Fund Transfer Eliminations (*)	7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (1,151.2)	\$ (1,241.2)	\$ (1,403.6)	\$ (1,224.2)	\$ (1,273.7)	\$ (1,209.5)	\$ (1,462.3)						\$ (1,151.2)	\$ (1,060.5)	\$ (90.7)	-8.6%	
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	0.6	-	18.7	0.1	0.1	27.2	0.1						46.8	51.5	(4.7)	-9.1%	
Motor Fuel	31.0	34.7	36.7	36.7	36.5	36.1	35.4						247.1	238.5	8.6	3.6%	
Highway Use	12.8	14.6	11.2	14.9	11.1	14.4	12.0						91.0	37.4	53.6	143.3%	
Total Consumption/Use Taxes	44.4	49.3	66.6	51.7	47.7	77.7	47.5						384.9	327.4	57.5	17.6%	
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-	-						-	-	-	0.0%	
Corporation and Utilities	3.1	-	2.2	0.2	0.2	2.1	0.4						8.2	6.0	2.2	36.7%	
Petroleum Business	64.5	38.1	57.8	56.1	54.0	58.0	53.1						381.6	356.2	25.4	7.1%	
Total Business Taxes	67.6	38.1	60.0	56.3	54.2	60.1	53.5						389.8	362.2	27.6	7.6%	
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0						59.6	59.5	0.1	0.2%	
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0						59.6	59.5	0.1	0.2%	
Total Taxes	112.0	87.4	138.5	119.9	113.8	149.7	113.0						834.3	749.1	85.2	11.4%	
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-	-						23.0	23.0	-	0.0%	
Assessments:																	
Business	15.7	9.1	8.9	8.8	8.3	8.7	7.9						67.4	76.4	(9.0)	-11.8%	
Fees, Licenses and Permits:																	
Business/Professional	3.5	9.9	2.9	2.6	3.9	3.0	0.9						26.7	24.2	2.5	10.3%	
Civil	-	-	-	-	-	-	-						-	-	-	0.0%	
Motor Vehicle	66.2	74.7	73.8	74.0	63.4	63.5	63.5						479.1	445.9	33.2	7.4%	
Recreational/Consumer	0.1	0.2	0.2	-	6.9	-	-						7.4	24.1	(16.7)	-69.3%	
Fines, Penalties and Forfeitures	2.4	2.4	2.9	2.2	1.9	2.4	1.3						15.5	17.9	(2.4)	-13.4%	
Interest Earnings	0.7	0.9	0.8	0.9	0.9	1.0	0.8						6.0	3.5	2.5	71.4%	
Receipts from Public Authorities:																	
Bond Proceeds	315.8	24.3	3.3	210.7	3.9	19.4	1,262.8						1,840.2	2,154.1	(313.9)	-14.6%	
Issuance Fees	-	-	-	-	-	-	-						-	-	-	0.0%	
Non Bond Related	0.5	0.5	0.4	0.6	-	0.2	1.3						3.5	42.9	(39.4)	-91.8%	
Receipts from Municipalities	0.2	-	-	0.1	0.1	0.1	0.2						0.7	1.1	(0.4)	-36.4%	
Rentals	0.6	1.0	0.8	0.5	2.7	0.7	0.5						6.8	6.4	0.4	6.3%	
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%	
Gifts, Grants and Donations	-	(0.6)	0.2	0.5	0.2	0.2	0.4						0.9	13.8	(12.9)	-93.5%	
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%	
Rebates	-	0.1	-	-	-	0.2	-						0.3	0.2	0.1	50.0%	
Restitution and Settlements	0.1	4.9	0.3	0.1	0.3	0.5	0.3						6.5	2.1	4.4	209.5%	
All Other	1.1	1.9	(1.6)	3.2	0.5	6.9	1.1						13.1	15.2	(2.1)	-13.8%	
Sales	0.1	-	0.8	0.2	0.2	0.3	0.1						1.7	13.0	(11.3)	-86.9%	
Total Miscellaneous Receipts	407.0	129.3	116.7	304.4	93.2	107.1	1,341.1						2,498.8	2,863.8	(365.0)	-12.7%	
Federal Receipts	58.7	54.5	141.4	313.8	273.2	251.3	179.7						1,272.6	1,106.3	166.3	15.0%	
Total Receipts	577.7	271.2	396.6	738.1	480.2	508.1	1,633.8						4,605.7	4,719.2	(113.5)	-2.4%	

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2018-2019
 (Amounts in millions)

EXHIBIT I

	2018												2019		7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease	
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	8.0	16.9	16.7	17.3	27.1	13.0	11.1	-	-	-	-	-	-	110.1	75.7	34.4	45.4%	
Environment and Recreation	7.6	29.5	7.7	7.7	38.2	7.2	7.2	-	-	-	-	-	-	105.1	69.0	36.1	52.3%	
General Government	24.6	98.2	54.5	110.6	36.8	69.2	130.1	-	-	-	-	-	-	524.0	350.3	173.7	49.6%	
Public Health:																		
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Other Public Health	19.5	17.2	24.7	59.3	14.4	19.4	40.4	-	-	-	-	-	-	194.9	120.8	74.1	61.3%	
Public Safety	0.6	1.0	1.5	29.1	2.0	(0.1)	-	-	-	-	-	-	-	34.1	18.0	16.1	89.4%	
Public Welfare	112.6	63.9	-	-	-	28.8	-	-	-	-	-	-	-	205.3	144.8	60.5	41.8%	
Support and Regulate Business	109.2	23.6	60.1	133.3	27.9	37.2	133.2	-	-	-	-	-	-	524.5	741.6	(217.1)	-29.3%	
Transportation	54.3	39.2	350.5	42.9	92.4	199.4	95.7	-	-	-	-	-	-	874.4	497.4	377.0	75.8%	
Total Local Assistance Grants	336.4	289.5	515.7	400.2	238.8	374.1	417.7	-	-	-	-	-	-	2,572.4	2,017.6	554.8	27.5%	
Departmental Operations:																		
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Capital Projects	361.2	590.4	523.0	672.9	760.8	602.0	688.5	-	-	-	-	-	-	4,198.8	3,794.5	404.3	10.7%	
Total Disbursements	697.6	879.9	1,038.7	1,073.1	999.6	976.1	1,106.2	-	-	-	-	-	-	6,771.2	5,812.1	959.1	16.5%	
Excess (Deficiency) of Receipts over Disbursements	(119.9)	(608.7)	(642.1)	(335.0)	(519.4)	(468.0)	527.6	-	-	-	-	-	-	(2,165.5)	(1,092.9)	(1,072.6)	-98.1%	
OTHER FINANCING SOURCES (USES):																		
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
Transfers from Other Funds	55.7	473.6	847.7	314.2	626.6	458.7	(400.0)	-	-	-	-	-	(184.2)	2,192.3	1,271.1	921.2	72.5%	
Transfers to Other Funds	(25.8)	(27.3)	(26.2)	(28.7)	(43.0)	(243.5)	(201.1)	-	-	-	-	-	184.2	(411.4)	(443.3)	(31.9)	-7.2%	
Total Other Financing Sources (Uses)	29.9	446.3	821.5	285.5	583.6	215.2	(601.1)	-	-	-	-	-	-	1,780.9	827.8	953.1	115.1%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(90.0)	(162.4)	179.4	(49.5)	64.2	(252.8)	(73.5)	-	-	-	-	-	-	(384.6)	(265.1)	(119.5)	-45.1%	
Ending Fund Balance	\$ (1,241.2)	\$ (1,403.6)	\$ (1,224.2)	\$ (1,273.7)	\$ (1,209.5)	\$ (1,462.3)	\$ (1,535.8)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,535.8)	\$ (1,325.6)	\$ (210.2)	-15.9%	

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2018-2019
 (Amounts in millions)

EXHIBIT I

	2018												2019				7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ (568.4)	\$ (609.5)	\$ (702.1)	\$ (532.0)	\$ (748.1)	\$ (771.7)	\$ (1,103.2)						\$ (568.4)	\$ (490.9)	\$ (77.5)	-15.8%				
RECEIPTS:																				
Taxes:																				
Consumption/Use Taxes																				
Auto Rental	0.6	-	18.7	0.1	0.1	27.2	0.1						46.8	51.5	(4.7)	-9.1%				
Motor Fuel	31.0	34.7	36.7	36.7	36.5	36.1	35.4						247.1	238.5	8.6	3.6%				
Highway Use	12.8	14.6	11.2	14.9	11.1	14.4	12.0						91.0	37.4	53.6	143.3%				
Total Consumption/Use Taxes	44.4	49.3	66.6	51.7	47.7	77.7	47.5	-	-	-	-	-	384.9	327.4	57.5	17.6%				
Business Taxes																				
Corporation Franchise	-	-	-	-	-	-	-						-	-	-	0.0%				
Corporation and Utilities	3.1	-	2.2	0.2	0.2	2.1	0.4						8.2	6.0	2.2	36.7%				
Petroleum Business	64.5	38.1	57.8	56.1	54.0	58.0	53.1						381.6	356.2	25.4	7.1%				
Total Business Taxes	67.6	38.1	60.0	56.3	54.2	60.1	53.5	-	-	-	-	-	389.8	362.2	27.6	7.6%				
Other Taxes																				
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0						59.6	59.5	0.1	0.2%				
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	12.0	-	-	-	-	-	59.6	59.5	0.1	0.2%				
Total Taxes	112.0	87.4	138.5	119.9	113.8	149.7	113.0	-	-	-	-	-	834.3	749.1	85.2	11.4%				
Miscellaneous Receipts:																				
Abandoned Property:																				
Bottle Bill	-	-	23.0	-	-	-	-						23.0	23.0	-	0.0%				
Assessments:																				
Business	15.7	9.1	8.9	8.8	8.3	8.7	7.9						67.4	76.4	(9.0)	-11.8%				
Fees, Licenses and Permits:																				
Business/Professional	3.5	9.9	2.9	2.6	3.9	3.0	0.9						26.7	24.2	2.5	10.3%				
Civil	-	-	-	-	-	-	-						-	-	-	0.0%				
Motor Vehicle	66.2	74.7	73.8	74.0	63.4	63.5	63.5						479.1	445.9	33.2	7.4%				
Recreational/Consumer	0.1	0.2	0.2	-	6.9	-	-						7.4	24.1	(16.7)	-69.3%				
Fines, Penalties and Forfeitures	2.4	2.4	2.9	2.2	1.9	2.4	1.3						15.5	17.9	(2.4)	-13.4%				
Interest Earnings	0.7	0.9	0.8	0.9	0.9	1.0	0.8						6.0	3.5	2.5	71.4%				
Receipts from Public Authorities:																				
Bond Proceeds	315.8	24.3	3.3	210.7	3.9	19.4	1,262.8						1,840.2	2,154.1	(313.9)	-14.6%				
Issuance Fees	-	-	-	-	-	-	-						-	-	-	0.0%				
Non Bond Related	0.5	0.5	0.4	0.6	-	0.2	1.3						3.5	42.9	(39.4)	-91.8%				
Receipts from Municipalities	0.2	-	-	0.1	0.1	0.1	0.2						0.7	1.1	(0.4)	-36.4%				
Rentals	0.5	1.0	0.7	0.5	2.5	0.7	0.5						6.4	6.0	0.4	6.7%				
Revenues of State Departments:																				
Administrative Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%				
Gifts, Grants and Donations	-	(0.6)	0.2	0.5	0.2	0.2	0.4						0.9	13.8	(12.9)	-93.5%				
Indirect Cost Recoveries	-	-	-	-	-	-	-						-	-	-	0.0%				
Rebates	-	0.1	-	-	-	0.2	-						0.3	0.2	0.1	50.0%				
Restitution and Settlements	0.1	4.9	0.3	0.1	0.3	0.5	0.3						6.5	2.1	4.4	209.5%				
All Other	1.1	1.9	(1.6)	3.2	0.5	6.9	1.1						13.1	15.2	(2.1)	-13.8%				
Sales	0.1	-	0.8	0.2	0.2	0.2	0.1						1.6	12.1	(10.5)	-86.8%				
Total Miscellaneous Receipts	406.9	129.3	116.6	304.4	93.0	107.0	1,341.1	-	-	-	-	-	2,498.3	2,862.5	(364.2)	-12.7%				
Federal Receipts	-	-	-	-	-	2.5	-						2.5	2.5	-	0.0%				
Total Receipts	518.9	216.7	255.1	424.3	206.8	259.2	1,454.1	-	-	-	-	-	3,335.1	3,614.1	(279.0)	-7.7%				

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2018-2019
 (Amounts in millions)

EXHIBIT I

													7 Months Ended October 31			
	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	8.0	16.9	16.7	17.3	27.1	13.0	11.1						110.1	75.7	34.4	45.4%
Environment and Recreation	7.6	29.5	7.7	7.7	38.2	7.2	7.2						105.1	69.0	36.1	52.3%
General Government	24.6	98.2	54.5	110.6	36.8	69.2	130.1						524.0	350.3	173.7	49.6%
Public Health:																
Medicaid	-	-	-	-	-	-	-						-	-	-	0.0%
Other Public Health	19.5	17.2	24.7	56.5	14.4	19.4	40.4						192.1	115.9	76.2	65.7%
Public Safety	0.6	1.0	1.5	11.6	1.9	(0.1)	-						16.5	14.7	1.8	12.2%
Public Welfare	112.6	63.9	-	-	-	28.8	-						205.3	144.8	60.5	41.8%
Support and Regulate Business	109.2	23.6	60.1	133.3	27.9	37.2	133.2						524.5	741.6	(217.1)	-29.3%
Transportation	2.9	2.5	323.6	25.5	51.5	162.6	70.0						638.6	222.1	416.5	187.5%
Total Local Assistance Grants	285.0	252.8	488.8	362.5	197.8	337.3	392.0	-	-	-	-	-	2,316.2	1,734.1	582.1	33.6%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-						-	-	-	0.0%
Capital Projects	304.9	502.8	417.7	563.4	616.3	468.6	523.3						3,397.0	3,009.8	387.2	12.9%
Total Disbursements	589.9	755.6	906.5	925.9	814.1	805.9	915.3	-	-	-	-	-	5,713.2	4,743.9	969.3	20.4%
Excess (Deficiency) of Receipts over Disbursements	(71.0)	(538.9)	(651.4)	(501.6)	(607.3)	(546.7)	538.8	-	-	-	-	-	(2,378.1)	(1,129.8)	(1,248.3)	-110.5%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers from Other Funds	55.7	473.6	847.7	314.2	626.6	458.7	(400.0)						2,376.5	1,271.1	1,105.4	87.0%
Transfers to Other Funds	(25.8)	(27.3)	(26.2)	(28.7)	(42.9)	(243.5)	(16.9)						(411.3)	(438.6)	(27.3)	-6.2%
Total Other Financing Sources (Uses)	29.9	446.3	821.5	285.5	583.7	215.2	(416.9)	-	-	-	-	-	1,965.2	832.5	1,132.7	136.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(41.1)	(92.6)	170.1	(216.1)	(23.6)	(331.5)	121.9	-	-	-	-	-	(412.9)	(297.3)	(115.6)	-38.9%
Ending Fund Balance	\$ (609.5)	\$ (702.1)	\$ (532.0)	\$ (748.1)	\$ (771.7)	\$ (1,103.2)	\$ (981.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (981.3)	\$ (788.2)	\$ (193.1)	-24.5%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2018-2019
 (Amounts in millions)

EXHIBIT I

													7 Months Ended October 31			
	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (582.8)	\$ (631.7)	\$ (701.5)	\$ (692.2)	\$ (525.6)	\$ (437.8)	\$ (359.1)						\$ (582.8)	\$ (569.6)	\$ (13.2)	-2.3%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities																
Rentals	0.1	-	0.1	-	0.2	-	-	-	-	-	-	-	0.4	0.4	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	0.1	-	-	-	-	-	-	0.1	0.9	(0.8)	-88.9%
Total Miscellaneous Receipts	0.1	-	0.1	-	0.2	0.1	-	-	-	-	-	-	0.5	1.3	(0.8)	-61.5%
Federal Receipts	58.7	54.5	141.4	313.8	273.2	248.8	179.7						1,270.1	1,103.8	166.3	15.1%
Total Receipts	58.8	54.5	141.5	313.8	273.4	248.9	179.7	-	-	-	-	-	1,270.6	1,105.1	165.5	15.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	2.8	-	-	-	-	-	-	-	-	2.8	4.9	(2.1)	-42.9%
Public Safety	-	-	-	17.5	0.1	-	-	-	-	-	-	-	17.6	3.3	14.3	433.3%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	51.4	36.7	26.9	17.4	40.9	36.8	25.7						235.8	275.3	(39.5)	-14.3%
Total Local Assistance Grants	51.4	36.7	26.9	37.7	41.0	36.8	25.7	-	-	-	-	-	256.2	283.5	(27.3)	-9.6%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	56.3	87.6	105.3	109.5	144.5	133.4	165.2						801.8	784.7	17.1	2.2%
Total Disbursements	107.7	124.3	132.2	147.2	185.5	170.2	190.9	-	-	-	-	-	1,058.0	1,068.2	(10.2)	-1.0%
Excess (Deficiency) of Receipts over Disbursements	(48.9)	(69.8)	9.3	166.6	87.9	78.7	(11.2)	-	-	-	-	-	212.6	36.9	175.7	476.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	(0.1)	-	(184.2)						(184.3)	(4.7)	179.6	3,821.3%
Total Other Financing Sources (Uses)	-	-	-	-	(0.1)	-	(184.2)	-	-	-	-	-	(184.3)	(4.7)	179.6	3821.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(48.9)	(69.8)	9.3	166.6	87.8	78.7	(195.4)	-	-	-	-	-	28.3	32.2	(3.9)	-12.1%
Ending Fund Balance	\$ (631.7)	\$ (701.5)	\$ (692.2)	\$ (525.6)	\$ (437.8)	\$ (359.1)	\$ (554.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (554.5)	\$ (537.4)	\$ (17.1)	-3.2%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT J

	2018							2019					7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 24.6	\$ 25.7	\$ 26.4	\$ 26.3	\$ 26.9	\$ 26.3	\$ 29.0						\$ 24.6	\$ 23.6	\$ 1.0	4.2%
RECEIPTS:																
Miscellaneous Receipts	3.9	6.0	4.4	4.4	6.9	7.6	5.5						38.7	37.4	1.3	3.5%
Federal Receipts	1.0	1.2	0.9	1.1	1.0	0.9	1.1						7.2	9.6	(2.4)	-25.0%
Unemployment Taxes	191.1	148.1	135.0	182.1	161.2	132.0	148.7						1,098.2	1,205.7	(107.5)	-8.9%
Total Receipts	196.0	155.3	140.3	187.6	169.1	140.5	155.3	-	-	-	-	-	1,144.1	1,252.7	(108.6)	-8.7%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.4	0.6	0.4	0.4	0.3	0.2	2.4						4.7	4.9	(0.2)	-4.1%
Non-Personal Service	2.4	4.5	4.1	3.1	7.4	4.6	5.8						31.9	31.4	0.5	1.6%
General State Charges	-	0.1	0.1	0.1	0.3	-	-						0.6	0.8	(0.2)	-25.0%
Unemployment Benefits	192.1	149.4	135.8	183.4	161.7	133.0	150.0						1,105.4	1,215.3	(109.9)	-9.0%
Total Disbursements	194.9	154.6	140.4	187.0	169.7	137.8	158.2	-	-	-	-	-	1,142.6	1,252.4	(109.8)	-8.8%
Excess (Deficiency) of Receipts over Disbursements	1.1	0.7	(0.1)	0.6	(0.6)	2.7	(2.9)	-	-	-	-	-	1.5	0.3	1.2	400.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	1.1	0.7	(0.1)	0.6	(0.6)	2.7	(2.9)	-	-	-	-	-	1.5	0.3	1.2	400.0%
Ending Fund Balance	\$ 25.7	\$ 26.4	\$ 26.3	\$ 26.9	\$ 26.3	\$ 29.0	\$ 26.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26.1	\$ 23.9	\$ 2.2	9.2%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT K

													7 Months Ended October 31			
	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY FEBRUARY MARCH			2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (269.2)	\$ (300.3)	\$ (253.4)	\$ (253.5)	\$ (263.4)	\$ (269.7)	\$ (296.4)						\$ (269.2)	\$ (200.4)	\$ (68.8)	-34.3%
RECEIPTS:																
Miscellaneous Receipts	22.6	73.5	50.2	26.7	56.9	25.9	32.7						288.5	294.9	(6.4)	-2.2%
Total Receipts	22.6	73.5	50.2	26.7	56.9	25.9	32.7	-	-	-	-	-	288.5	294.9	(6.4)	-2.2%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	8.1	11.4	8.5	8.0	8.3	8.0	11.1						63.4	60.3	3.1	5.1%
Non-Personal Service	48.0	27.3	46.2	23.4	38.3	34.0	40.1						257.3	288.2	(30.9)	-10.7%
General State Charges	0.2	9.8	4.1	6.8	18.2	6.4	4.8						50.3	35.0	15.3	43.7%
Total Disbursements	56.3	48.5	58.8	38.2	64.8	48.4	56.0	-	-	-	-	-	371.0	383.5	(12.5)	-3.3%
Excess (Deficiency) of Receipts over Disbursements	(33.7)	25.0	(8.6)	(11.5)	(7.9)	(22.5)	(23.3)	-	-	-	-	-	(82.5)	(88.6)	6.1	6.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	2.6	21.9	8.6	1.6	1.6	2.7	5.6						44.6	22.0	22.6	102.7%
Transfers to Other Funds	-	-	(0.1)	-	-	(6.9)	-						(7.0)	(7.3)	(0.3)	-4.1%
Total Other Financing Sources (Uses)	2.6	21.9	8.5	1.6	1.6	(4.2)	5.6	-	-	-	-	-	37.6	14.7	22.9	155.8%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(31.1)	46.9	(0.1)	(9.9)	(6.3)	(26.7)	(17.7)	-	-	-	-	-	(44.9)	(73.9)	29.0	39.2%
Ending Fund Balance	\$ (300.3)	\$ (253.4)	\$ (253.5)	\$ (263.4)	\$ (269.7)	\$ (296.4)	\$ (314.1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (314.1)	\$ (274.3)	\$ (39.8)	-14.5%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT L

	2018												2019												7 Months Ended October 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease												
Beginning Fund Balance	\$ (2.0)	\$ (2.8)	\$ (12.1)	\$ (13.1)	\$ (28.1)	\$ (34.2)	\$ (5.5)						\$ (2.0)	\$ (1.9)	\$ (0.1)	-5.3%												
RECEIPTS:																												
Miscellaneous Receipts	5.2	7.9	5.2	5.1	5.1	38.0	7.6						74.1	39.0	35.1	90.0%												
Total Receipts	5.2	7.9	5.2	5.1	5.1	38.0	7.6	-	-	-	-	-	74.1	39.0	35.1	90.0%												
DISBURSEMENTS:																												
Departmental Operations:																												
Personal Service	5.2	7.9	5.4	5.1	5.0	5.0	7.7						41.3	37.1	4.2	11.3%												
Non-Personal Service	0.8	1.5	0.8	1.7	1.3	1.1	0.8						8.0	7.8	0.2	2.6%												
General State Charges	-	7.8	-	13.3	4.9	3.2	3.2						32.4	14.9	17.5	117.4%												
Total Disbursements	6.0	17.2	6.2	20.1	11.2	9.3	11.7	-	-	-	-	-	81.7	59.8	21.9	36.6%												
Excess (Deficiency) of Receipts over Disbursements	(0.8)	(9.3)	(1.0)	(15.0)	(6.1)	28.7	(4.1)	-	-	-	-	-	(7.6)	(20.8)	13.2	63.5%												
OTHER FINANCING SOURCES (USES):																												
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%												
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%												
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%												
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(0.8)	(9.3)	(1.0)	(15.0)	(6.1)	28.7	(4.1)	-	-	-	-	-	(7.6)	(20.8)	13.2	63.5%												
Ending Fund Balance	\$ (2.8)	\$ (12.1)	\$ (13.1)	\$ (28.1)	\$ (34.2)	\$ (5.5)	\$ (9.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (9.6)	\$ (22.7)	\$ 13.1	57.7%												

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2018-2019
(Amounts in millions)

EXHIBIT M

													7 Months Ended October 31			
	2018						2019						2018	2017	\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 11.9	\$ 12.1	\$ 12.2	\$ 12.3	\$ 12.4	\$ 12.5	\$ 12.6						\$ 11.9	\$ 10.7	\$ 1.2	11.2%
RECEIPTS:																
Miscellaneous Receipts	0.2	0.1	0.2	0.1	0.1	0.1	-						0.8	1.0	(0.2)	-20.0%
Total Receipts	0.2	0.1	0.2	0.1	0.1	0.1	-	-	-	-	-	-	0.8	1.0	(0.2)	-20.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	0.1	-	-	-	-						0.1	0.2	(0.1)	-50.0%
Non-Personal Service	-	-	-	-	-	-	-						-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-						-	0.1	(0.1)	-100.0%
Total Disbursements	-	-	0.1	-	-	-	-	-	-	-	-	-	0.1	0.3	(0.2)	-66.7%
Excess (Deficiency) of Receipts over Disbursements	0.2	0.1	0.1	0.1	0.1	0.1	-	-	-	-	-	-	0.7	0.7	-	0.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	0.1	0.1	0.1	0.1	0.1	-	-	-	-	-	-	0.7	0.7	-	0.0%
Ending Fund Balance	\$ 12.1	\$ 12.2	\$ 12.3	\$ 12.4	\$ 12.5	\$ 12.6	\$ 12.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 12.6	\$ 11.4	\$ 1.2	10.5%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)**

SCHEDULE 1

	BALANCE OCTOBER 1, 2018	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2018
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.100	\$ 2,711.121	\$ 2,711.021	\$ -
10050-10099-State Operations Account	6,408.949	2,224.966	1,586.032	(523.644)	6,524.239
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	41.941	-	0.702	-	41.239
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	2.745	2.745	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	6,450.890	2,227.811	4,300.600	2,187.377	6,565.478
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.788	0.002	-	-	0.790
20100-20299-Combined Expendable Trust	65.706	0.530	0.335	-	65.901
20300-20349-New York Interest on Lawyer Account	45.749	3.771	5.636	-	43.884
20350-20399-NYS Archives Partnership Trust	0.151	-	0.039	-	0.112
20400-20449-Child Performer's Protection	0.181	0.010	0.045	-	0.146
20450-20499-Tuition Reimbursement	6.848	0.275	0.256	-	6.867
20500-20549-New York State Local Government Records Management Improvement	4.043	0.545	0.532	-	4.056
20550-20599-School Tax Relief	0.011	0.500	0.409	-	0.102
20600-20649-Charter Schools Stimulus	1.645	0.003	-	-	1.648
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	269.543	493.691	524.267	(0.788)	238.179
20850-20899-Dedicated Mass Transportation Trust	85.514	50.599	64.700	-	71.413
20900-20949-State Lottery	(615.580)	412.858	139.959	-	(342.681)
20950-20999-Combined Student Loan	19.379	2.323	0.714	-	20.988
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.816)	-	0.080	-	(3.896)
21050-21149-Encon Special Revenue	(3.368)	10.472	8.984	1.820	(0.060)
21150-21199-Conservation	76.828	11.502	3.795	(1.820)	82.715
21200-21249-Environmental Protection and Oil Spill Compensation	36.675	6.087	1.994	(1.768)	39.000
21250-21299-Training and Education Program on OSHA	5.685	5.138	5.442	-	5.381
21300-21349-Lawyers' Fund for Client Protection	8.195	0.743	0.108	-	8.830
21350-21399-Equipment Loan for the Disabled	0.540	0.003	0.004	-	0.539
21400-21449-Mass Transportation Operating Assistance	222.265	103.530	198.492	(0.121)	127.182
21450-21499-Clean Air	(32.383)	9.370	3.635	-	(26.648)
21500-21549-New York State Infrastructure Trust	0.069	-	-	-	0.069
21550-21599-Legislative Computer Services	11.217	0.094	0.050	-	11.261
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.464	0.001	-	-	0.465
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.931	0.002	-	-	0.933
21900-22499-Miscellaneous State Special Revenue	1,621.514	231.236	347.609	48.296	1,553.437
22500-22549-Court Facilities Incentive Aid	23.882	0.044	3.140	-	20.786

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)**

SCHEDULE 1

	BALANCE OCTOBER 1, 2018	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2018
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22550-22599-Employment Training	0.050	0.001	-	-	0.051
22650-22699-State University Income	2,029.742	397.302	675.844	91.103	1,842.303
22700-22749-Chemical Dependence Service	22.341	0.736	0.113	-	22.964
22750-22799-Lake George Park Trust	0.387	(0.002)	0.159	-	0.226
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	88.620	10.296	0.209	-	98.707
22850-22899-New York Great Lakes Protection	0.404	0.001	0.014	-	0.391
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.554	0.016	0.150	-	9.420
23000-23049-NYS/DOT Highway Safety Program	(11.436)	0.052	0.382	-	(11.766)
23050-23099-Vocational Rehabilitation	0.026	0.001	-	-	0.027
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(42.777)	0.002	3.473	-	(46.248)
23200-23249-Judiciary Data Processing Offset	26.698	3.982	3.070	-	27.610
23250-23449-IFR/CUTRA	157.773	21.227	8.385	-	170.615
23500-23549-USOC Lake Placid Training	0.203	0.001	-	-	0.204
23550-23599-Indigent Legal Services	288.909	11.843	2.673	-	298.079
23600-23649-Unemployment Insurance Interest and Penalty	30.002	0.292	0.363	(0.058)	29.873
23650-23699-MTA Financial Assistance Fund	34.388	25.257	-	48.846	108.491
23700-23749-New York State Commercial Gaming Fund	68.218	16.105	1.799	-	82.524
23750-23799-Medical Marihuana Trust Fund	3.822	0.339	0.972	-	3.189
23800-23899-Dedicated Miscellaneous State Special Revenue	1.123	0.280	0.028	-	1.375
24850-24899-Health Care Transformation	1,000.059	1.684	-	-	1,001.743
24900-24949-Charitable Gift Trust Fund	92.518	0.163	-	-	92.681
24950-24999-Interactive Fantasy Sports	10.008	0.521	-	-	10.529
40350-40399-State University Dormitory Income	172.744	40.008	-	(31.883)	180.869
TOTAL SPECIAL REVENUE FUNDS-STATE	5,830.725	1,873.436	2,007.859	153.627	5,849.929
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	6.520	181.675	177.031	-	11.164
25100-25199-Federal Health and Human Services	470.450	3,987.433	4,331.501	(143.432)	(17.050)
25200-25249-Federal Education	(146.071)	155.735	182.391	(0.933)	(173.660)
25300-25899-Federal Miscellaneous Operating Grants	(309.285)	220.167	237.791	-	(326.909)
25900-25949-Unemployment Insurance Administration	160.823	21.711	31.479	-	151.055
25950-25999-Unemployment Insurance Occupational Training	(0.527)	0.518	0.419	-	(0.428)
26000-26049-Federal Employment and Training Grants	(0.500)	11.692	12.572	-	(1.380)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	181.410	4,578.931	4,973.184	(144.365)	(357.208)
TOTAL SPECIAL REVENUE FUNDS	6,012.135	6,452.367	6,981.043	9.262	5,492.721
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	81.517	12.734	0.272	25.174	119.153
40150-40199-General Debt Service	205.444	1,556.591	45.996	(1,229.769)	486.270
40250-40299-State Housing Debt Service	-	1.175	1.203	0.028	-
40300-40349-Department of Health Income	34.632	19.351	-	(16.025)	37.958
40400-40449-Clean Water/Clean Air	10.214	75.994	-	(72.858)	13.350
40450-40499-Local Government Assistance Tax	1.870	269.209	0.367	(268.781)	1.931
TOTAL DEBT SERVICE FUNDS	333.677	1,935.054	47.838	(1,562.231)	658.662

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)**

SCHEDULE 1

	BALANCE OCTOBER 1, 2018	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2018
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	1,055.421	468.410	(587.011)	-
30050-30099-Dedicated Highway and Bridge Trust	(166.623)	309.920	178.734	167.761	132.324
30100-30299-SUNY Residence Halls Rehabilitation and Repair	149.146	0.253	2.579	-	146.820
30300-30349-New York State Canal System Development	9.639	0.016	-	-	9.655
30350-30399-Parks Infrastructure	(73.653)	-	11.548	-	(85.201)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	148.896	12.813	11.757	-	149.952
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	18.959	-	-	(0.012)	18.947
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(359.076)	179.736	190.956	(184.188)	(554.484)
31450-31499-Forest Preserve Expansion	0.926	0.002	-	-	0.928
31500-31549-Hazardous Waste Remedial	(119.074)	1.840	9.982	(0.549)	(127.765)
31650-31699-Suburban Transportation	0.521	0.001	-	-	0.522
31700-31749-Division for Youth Facilities Improvement	(59.460)	-	2.645	-	(62.105)
31800-31849-Housing Assistance	(13.109)	-	-	-	(13.109)
31850-31899-Housing Program	(348.942)	-	-	-	(348.942)
31900-31949-Natural Resource Damage	17.371	0.040	0.043	-	17.368
31950-31999-DOT Engineering Services	(12.367)	-	0.013	-	(12.380)
32200-32249-Miscellaneous Capital Projects	67.746	1.753	5.822	1.768	65.445
32250-32299-CUNY Capital Projects	(0.027)	(0.001)	-	-	(0.028)
32300-32349-Mental Hygiene Facilities Capital Improvement	(653.967)	71.451	22.784	-	(605.300)
32350-32399-Correction Facilities Capital Improvement	(355.463)	-	33.334	-	(388.797)
32400-32999-State University Capital Projects	112.496	0.517	7.302	1.167	106.878
33000-33049-NYS Storm Recovery Fund	(84.316)	-	0.326	-	(84.642)
33050-33099-Dedicated Infrastructure Investment Fund	238.468	-	159.967	-	78.501
TOTAL CAPITAL PROJECTS FUNDS	(1,462.303)	1,633.762	1,106.202	(601.064)	(1,535.807)
TOTAL GOVERNMENTAL FUNDS	\$ 11,334.399	\$ 12,248.994	\$ 12,435.683	\$ 33.344	\$ 11,181.054

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2018-2019
 FOR THE MONTH OF OCTOBER 2018
 (Amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE OCTOBER 1, 2018</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE OCTOBER 31, 2018</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.096	\$ 0.003	\$ 0.005	\$ -	\$ 0.094
50050-50099-State Exposition Special	4.935	1.273	3.780	-	2.428
50100-50299-Correctional Services Commissary	4.050	3.609	3.910	-	3.749
50300-50399-Agencies Enterprise	3.065	0.283	0.253	-	3.095
50400-50449-Sheltered Workshop	2.121	0.026	0.033	-	2.114
50450-50499-Patient Workshop	1.800	0.114	0.098	-	1.816
50500-50599-Mental Hygiene Community Stores	4.558	0.101	0.099	-	4.560
50650-50699-Unemployment Insurance Benefit	8.384	149.920	150.025	-	8.279
TOTAL ENTERPRISE FUNDS	<u>29.009</u>	<u>155.329</u>	<u>158.203</u>	<u>-</u>	<u>26.135</u>
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(68.042)	25.580	32.437	0.593	(74.306)
55050-55099-Agency Internal Service	(137.734)	1.115	12.246	5.037	(143.828)
55100-55149-Mental Hygiene Revolving	0.008	0.007	0.096	-	(0.081)
55150-55199-Youth Vocational Education	0.069	0.001	0.001	-	0.069
55200-55249-Joint Labor and Management Administration	1.134	0.002	0.103	-	1.033
55250-55299-Audit and Control Revolving	(44.914)	-	2.969	-	(47.883)
55300-55349-Health Insurance Revolving	(16.007)	1.002	1.540	-	(16.545)
55350-55399-Correctional Industries Revolving	(30.931)	4.923	6.584	-	(32.592)
TOTAL INTERNAL SERVICE FUNDS	<u>(296.417)</u>	<u>32.630</u>	<u>55.976</u>	<u>5.630</u>	<u>(314.133)</u>
TOTAL PROPRIETARY FUNDS	<u>\$ (267.408)</u>	<u>\$ 187.959</u>	<u>\$ 214.179</u>	<u>\$ 5.630</u>	<u>\$ (287.998)</u>

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2018-2019
 FOR THE MONTH OF OCTOBER 2018
 (Amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE OCTOBER 1, 2018</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE OCTOBER 31, 2018</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (5.550)	\$ 7.658	\$ 11.682	\$ -	\$ (9.574)
TOTAL PENSION TRUST FUNDS	(5.550)	7.658	11.682	-	(9.574)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.772	0.005	-	-	2.777
66050-66099-Milk Producers' Security	9.801	0.065	0.022	-	9.844
TOTAL PRIVATE PURPOSE TRUST FUNDS	12.573	0.070	0.022	-	12.621
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	23.057	0.134	-	-	23.191
60150-60199-Child Performer's Holding	0.490	0.001	-	-	0.491
60200-60249-Employees Health Insurance	822.255	953.112	871.432	-	903.935
60250-60299-Social Security Contribution	15.184	120.868	120.561	-	15.491
60300-60399-Employee Payroll Withholding	39.000	444.753	418.315	-	65.438
60400-60449-Employees Dental Insurance	20.959	6.633	5.701	-	21.891
60450-60499-Management Confidential Group Insurance	0.470	0.879	0.698	-	0.651
60500-60549-Lottery Prize	742.040	179.475	208.808	-	712.707
60550-60599-Health Insurance Reserve Receipts	0.140	0.001	-	-	0.141
60600-60799-Miscellaneous New York State Agency	1,113.658	891.402	879.004	-	1,126.056
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	23.663	8.422	8.504	-	23.581
60850-60899-CUNY Senior College Operating	79.843	120.188	171.281	-	28.750
60900-60949-Medicaid Management Information System (MMIS) Escrow	326.901	6,817.033	5,966.754	(38.974)	1,138.206
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	315.596	(130.805)	-	-	184.791
61100-61999-State University Federal Direct Lending Program	(19.346)	55.327	39.643	-	(3.662)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,503.910	9,467.423	8,690.701	(38.974)	4,241.658
TOTAL FIDUCIARY FUNDS	\$ 3,510.933	\$ 9,475.151	\$ 8,702.405	\$ (38.974)	\$ 4,244.705

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2018-2019
FOR THE MONTH OF OCTOBER 2018
(Amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE OCTOBER 1, 2018</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE OCTOBER 31, 2018</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.787	\$ 0.005	\$ -	\$ 2.792
70093-Mobility Tax Trust Account (*)	105.254	124.646	105.254	124.646
70050-70149-Sole Custody Investment (**)	2,855.636	7,716.750	8,195.585	2,376.801
70200-Comptroller's Refund Account	-	231.628	231.628	-
TOTAL ACCOUNTS	<u>\$ 2,963.677</u>	<u>\$ 8,073.029</u>	<u>\$ 8,532.467</u>	<u>\$ 2,504.239</u>

(*) See Footnotes - Note #4

(**) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of October 31, 2018, \$9,225,401.23 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2018-2019

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2018	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCTOBER 31, 2018	INTEREST DISBURSED		
		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2018	MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2018		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2018	
GENERAL OBLIGATION BONDED DEBT:									
Accelerated Capacity and Transportation Improvements	\$ 32,275,100.89	\$ -	\$ -	\$ -	\$ 10,919,265.60	\$ 21,355,835.29	\$ 117,372.08	\$ 880,056.23	
Clean Water/Clean Air:									
Air Quality	3,117,448.29	-	-	-	155,950.14	2,961,498.15	4,066.94	52,830.69	
Safe Drinking Water	-	-	-	-	-	-	-	-	
Clean Water	346,341,865.67	-	-	-	8,964,855.81	337,377,009.86	1,632,784.94	8,300,009.56	
Solid Waste	27,878,354.94	-	-	-	1,745,370.35	26,132,984.59	117,986.19	561,561.00	
Environmental Restoration	56,923,093.56	-	-	-	155,000.00	56,768,093.56	17,625.00	1,180,038.12	
Energy Conservation Through Improved Transportation:									
Rapid Transit and Rail Freight	1,617,602.67	-	-	-	264,787.74	1,352,814.93	29,433.99	64,313.19	
Environmental Quality (1972):									
Air	169,207.37	-	-	-	160,000.00	9,207.37	-	4,178.26	
Land and Wetlands	3,324,942.21	-	-	-	395,830.42	2,929,111.79	2,500.00	80,468.89	
Water	15,647,986.47	-	-	-	4,632,503.04	11,015,483.43	101,469.46	393,032.19	
Environmental Quality (1986):									
Land Acquisition/Development/Restoration/Forests	8,471,182.46	-	-	-	877,240.43	7,593,942.03	42,470.57	206,677.54	
Solid Waste Management	116,058,318.43	-	-	-	6,896,891.29	109,161,427.14	1,023,513.17	3,552,194.66	
Housing:									
Low Income	10,360,000.00	-	-	1,060,000.00	1,860,000.00	8,500,000.00	143,400.00	302,800.00	
Middle Income	8,410,000.00	-	-	-	2,185,000.00	6,225,000.00	-	155,643.75	
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-	
Pure Waters	20,989,840.04	-	-	-	2,943,010.07	18,046,829.97	162,604.94	570,625.38	
Rail Preservation Development	-	-	-	-	-	-	-	-	
Rebuild and Renew New York Transportation:									
Highway Facilities	690,922,411.06	-	-	-	-	690,922,411.06	-	15,485,661.54	
Canals and Waterways	15,195,330.58	-	-	-	-	15,195,330.58	-	298,786.38	
Aviation	45,220,784.83	-	-	-	-	45,220,784.83	-	954,802.82	
Rail and Port	95,856,947.16	-	-	-	-	95,856,947.16	-	1,929,636.30	
Mass Transit - Dept. of Transportation	5,412,943.09	-	-	-	-	5,412,943.09	-	109,924.06	
Mass Transit - Metropolitan Transportation Authority	759,341,045.05	-	-	-	-	759,341,045.05	-	16,876,972.53	
Rebuild New York-Transportation Infrastructure Renewal:									
Highways, Parkways, and Bridges	919,698.60	-	-	-	-	919,698.60	-	22,151.56	
Rapid Transit, Rail and Aviation	3,686,569.96	-	-	-	1,088,952.61	2,597,617.35	63,488.74	146,600.34	
Smart Schools Bond Act	99,505,289.03	-	-	-	-	99,505,289.03	-	2,071,669.57	
Transportation Capital Facilities:									
Aviation	3,739,037.10	-	-	-	720,342.50	3,018,694.60	55,818.37	128,327.46	
Mass Transportation	-	-	-	-	-	-	-	-	
Total General Obligation Bonded Debt	\$ 2,371,384,999.46	\$ -	\$ -	\$ 1,060,000.00	\$ 43,965,000.00	\$ 2,327,419,999.46	\$ 3,514,534.39	\$ 54,328,962.02	

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN MONTHS ENDED OCTOBER 31, 2018

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	REVENUE BOND	7 MONTHS ENDED OCTOBER 31		
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	TAX	2018	2017	
(40000-40049)	(40151)	(40300-40349)	(40450-40499)	(40100-40149)	(40152)	(40154)	(40154)			
Payments to Public Authorities:										
City University Construction	\$ -	\$ 88,753,984	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 88,753,984	\$ 106,676,912	\$ (17,922,928)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	57,690,325	-	-	-	-	-	57,690,325	73,976,300	(16,285,975)
DASNY Revenue Bond	-	-	-	-	-	206,143,948	199,128,519	405,272,467	520,712,769	(115,440,302)
Department of Health Facilities	-	-	13,085,126	-	-	-	-	13,085,126	13,470,076	(384,950)
Mental Health Facilities	-	-	-	-	53,484,242	-	-	53,484,242	64,015,090	(10,530,848)
Secured Hospital Program	-	603,759	-	-	-	-	-	603,759	-	603,759
SUNY Community Colleges	-	4,586,528	-	-	-	-	-	4,586,528	2,904,962	1,681,566
SUNY Educational Facilities	-	17,145,625	-	-	-	-	-	17,145,625	-	17,145,625
Environmental Facilities Corporation	-	-	-	-	-	1,671,869	-	1,671,869	2,303,044	(631,175)
Housing Finance Agency	-	14,702,473	-	-	-	2,516,710	-	17,219,183	33,338,536	(16,119,353)
Local Government Assistance Corporation	-	-	-	25,603,275	-	-	-	25,603,275	49,997,782	(24,394,507)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	35,457,621	-	-	-	-	-	35,457,621	42,043,163	(6,585,542)
Thruway Authority:										
Dedicated Highway and Bridge	-	143,272,498	-	-	-	-	-	143,272,498	178,163,517	(34,891,019)
Local Highway and Bridge	-	36,892,075	-	-	-	-	-	36,892,075	53,845,175	(16,953,100)
Transportation	-	-	-	-	-	30,877,100	-	30,877,100	33,752,675	(2,875,575)
Urban Development Corporation:										
Clarkson University	-	51,975	-	-	-	-	-	51,975	-	51,975
Columbia Univer. Telecommunications Center	-	2,777,000	-	-	-	-	-	2,777,000	-	2,777,000
Consolidated Service Contract Refunding	-	27,121,943	-	-	-	-	-	27,121,943	1,695,175	25,426,768
Cornell Univer. Supercomputer Center	-	362,000	-	-	-	-	-	362,000	-	362,000
Correctional Facilities	-	1,081,433	-	-	-	-	-	1,081,433	1,578,900	(497,467)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	295,089,574	-	295,089,574	283,606,001	11,483,573
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 430,499,239	\$ 13,085,126	\$ 25,603,275	\$ 53,484,242	\$ 536,299,201	\$ 199,128,519	\$ 1,258,099,602	\$ 1,462,080,077	\$ (203,980,475)

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2018
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)**

SCHEDULE 6

	<u>MONTH OF OCTOBER 2018</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE</u>
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 17,590.7	\$ 16,504.0	\$ 10,904.7
AVERAGE YIELD (**)	2.210%	2.028%	1.132%
TOTAL INVESTMENT EARNINGS	\$ 32.083	\$ 189.141	\$ 71.763

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>OCTOBER 2018 PAR AMOUNT</u>	<u>OCTOBER 2017 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ 1,861.3	\$ -
REPURCHASE AGREEMENTS	27.3	21.9
COMMERCIAL PAPER	12,787.7	10,904.7
CERTIFICATES OF DEPOSIT/SAVINGS	2,945.8	3,154.3
0% COMPENSATING BALANCE CDs	175.0	1,480.0
	<u>\$ 17,797.1</u>	<u>\$ 15,560.9</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2018-2019

	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31, 2018
OPENING CASH BALANCE	\$ 14,572,292	\$ 173,364,071	\$ 212,183,278	\$ 273,964,603	\$ 278,244,191	\$ 169,311,958	\$ 269,542,770						\$ 14,572,292
RECEIPTS:													
Cigarette Tax	63,521,980	69,369,871	72,916,067	77,734,147	70,553,809	64,401,440	70,223,496						488,720,810
State Share of NYC Cigarette Tax	2,365,000	2,743,000	2,812,000	2,266,000	2,540,000	2,658,000	2,167,000						17,551,000
STIP Interest	293,039	473,413	649,724	847,128	646,640	844,914	702,926						4,457,784
Public Asset Transfers	-	-	-	-	-	-	-						-
Assessments	447,767,954	397,979,961	466,725,584	427,994,749	414,982,969	441,393,516	412,713,278						3,009,558,011
Fees	469,000	407,000	2,659,000	579,000	104,000	925,000	301,000						5,444,000
Rebates	5,097,831	2,853,191	1,309,536	4,043,093	12,260,603	158,431	7,584,106						33,306,791
Restitution and Settlements	-	-	-	-	-	-	-						-
Miscellaneous	45,460	-	-	7,475	49,315	-	-						102,250
Total Receipts	519,560,264	473,826,436	547,071,911	513,471,592	501,137,336	510,381,301	493,691,806	-	-	-	-	-	3,559,140,646
DISBURSEMENTS:													
Grants	342,597,291	431,476,254	479,603,225	497,415,435	602,142,217	401,700,557	519,088,050						3,274,023,029
Interest - Late Payments	1,347	36	113	30	493	139	630						2,788
Personal Service	573,967	731,320	1,126,266	500,110	381,341	580,162	769,845						4,663,011
Non-Personal Service	1,786,723	1,631,242	3,050,142	2,396,131	1,953,226	1,478,370	4,079,514						16,375,348
Employee Benefits/Indirect Costs	482,663	379,315	1,083,476	756,913	1,065,917	298,488	329,102						4,395,874
Total Disbursements	345,441,991	434,218,167	484,863,222	501,068,619	605,543,194	404,057,716	524,267,141	-	-	-	-	-	3,299,460,050
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-						-
Transfers to General Fund	-	-	-	-	156,106	-	-						156,106
Transfers to Revenue Bond Tax Fund	-	-	-	-	3,582,200	4,849,704	-						8,431,904
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	110,000	-	-	-	455,000	-						565,000
Empire State Stem Cell Trust Account	14,237,000	-	-	7,000,000	-	-	-						21,237,000
Transfers to SUNY Income Fund	1,089,494	679,062	427,364	1,123,385	788,069	788,069	788,069						5,683,512
Total Operating Transfers	15,326,494	789,062	427,364	8,123,385	4,526,375	6,092,773	788,069	-	-	-	-	-	36,073,522
Total Disbursements and Transfers	360,768,485	435,007,229	485,290,586	509,192,004	610,069,569	410,150,489	525,055,210	-	-	-	-	-	3,335,533,572
CLOSING CASH BALANCE	\$ 173,364,071	\$ 212,183,278	\$ 273,964,603	\$ 278,244,191	\$ 169,311,958	\$ 269,542,770	\$ 238,179,366	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 238,179,366

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2018-19

APPENDIX B

Program/Purpose	Appropriation Amount (*)	7 Months Ended October 31, 2018	
		October	(**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,313,000.00	365,020.29	2,210,921.46
CENTER FOR COMMUNITY HLTH	8,313,000.00	365,020.29	2,210,921.46
CHILD HEALTH INSURANCE PROGRAM	983,260,000.00	27,743,793.70	172,719,203.78
CHILD HEALTH INSURANCE	983,260,000.00	27,743,793.70	172,719,203.78
COMMUNITY SUPPORT PROGRAM	120,000.00	-	90,000.00
COMMUNITY SUPPORT	120,000.00	-	90,000.00
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	291,636,064.37	11,832,173.47	77,618,504.21
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	291,636,064.37	11,832,173.47	77,618,504.21
HEALTH CARE REFORM ACT PROGRAM	1,916,478,605.03	21,525,431.86	274,146,229.39
AIDS DRUG ASSISTANCE	164,200,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	11,720,000.00	124,329.45	513,128.24
AREA HEALTH EDUCATION CENTER	7,478,000.00	-	1,075,139.01
COMMISSIONER EMERGENCY DISTRIBUTIONS	5,800,000.00	-	108,073.22
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CAI	272,000,000.00	-	-
DIVERSITY IN MEDICINE	6,698,000.00	-	245,830.00
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	18,947,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	14,720,000.00	2,721,666.00	3,866,779.89
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	19,600,000.00
HEALTH WORKFORCE RETRAINING	200,850,300.00	289,146.97	2,926,960.39
INFERTILITY SERVICES GRANTS	22,870,746.00	78,795.18	385,444.62
MEDICAL INDEMNITY FUND	156,000,000.00	-	52,000,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	97,770.06	390,955.70
PHYSICIAN EXCESS MEDICAL MALPRACTICE	254,800,000.00	-	117,400,000.00
PHYSICIAN LOAN REPAYMENT	25,400,000.00	101,339.51	2,291,983.44
PHYSICIAN LOAN REPAYMENT AND PRACTICE SUPPORT	1,000,000.00	-	-
PHYSICIAN PRACTICE SUPPORT	31,885,300.00	50,000.00	4,356,732.50
PHYSICIAN WORKFORCE STUDIES	3,954,200.00	-	165,073.33
POISON CONTROL CENTERS	6,040,000.00	-	-
POOL ADMINISTRATION	6,850,000.00	-	1,344,715.30
ROSWELL PARK CANCER INSTITUTE	117,889,000.00	12,825,750.00	38,477,250.00
RURAL HEALTH CARE ACCESS	26,300,000.00	396,487.70	2,552,139.78
RURAL HEALTH NETWORK	17,460,000.00	610,146.99	2,216,023.97
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	4,230,000.00	4,230,000.00
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,136,329,000.00	461,917,197.41	2,766,315,380.05
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	5,409,000,000.00	77,000,197.41	471,100,380.05
MEDICAL ASSISTANCE	21,544,129,000.00	384,917,000.00	2,295,215,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	816,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	48,413,000.00	1,672,371.23	10,250,122.94
OFFICE HEALTH SYSTEMS MANAGEMENT	48,413,000.00	1,672,371.23	10,250,122.94
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	1,793,550.56
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	1,793,550.56
TOTAL	31,397,051,469.40	525,055,987.96	3,305,143,912.39
Reclass of SUNY Hospital Disprop Share to Transfer		(788,069.26)	(5,683,512.71)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	-
Reclass of SUNY Empire Clinical Research Investigator		-	-
Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		(777.55)	(349.42)
TOTAL REPORTED AMOUNT	31,397,051,469.40	524,267,141.15	3,299,460,050.26

(*) Includes amounts appropriated in SFY 2018-19, as well as prior year appropriations that were reappropriated.
 (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2018-19

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2018 OCTOBER	2018-19
OPENING CASH BALANCE	\$ 388,501,821.91	\$ 199,663,741.18	\$ 187,539,195.10	\$ 388,501,821.91
RECEIPTS:				
Patient Services	760,924,062.46	851,497,139.29	420,912,764.14	2,033,333,965.89
Covered Lives	223,281,927.40	242,320,798.61	127,632,826.06	593,235,552.07
Provider Assessments	28,949,536.79	32,729,406.94	12,200,601.29	73,879,545.02
1% Assessments	95,435,692.00	107,723,215.00	38,047,555.00	241,206,462.00
DASNY- MOE/Recast receivables	-	-	-	-
Interest Income	118,537.48	172,802.56	66,265.13	357,605.17
Unassigned	2,798,776.73	25,406,797.36	(28,212,343.24)	(6,769.15)
Total Receipts	1,111,508,532.86	1,259,850,159.76	570,647,668.38	2,942,006,361.00
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	(4,230,000.00)	(4,230,000.00)
ECRIP Distributions	-	-	-	-
Total Program Disbursements	-	-	(4,230,000.00)	(4,230,000.00)
Excess (Deficiency) of Receipts over Disbursements	1,111,508,532.86	1,259,850,159.76	566,417,668.38	2,937,776,361.00
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,122,154.68	12,389,384.00	8,081,419.00	32,592,957.68
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	12,122,154.68	12,389,384.00	8,081,419.00	32,592,957.68
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(1,122,837,438.09)	(1,079,790,159.87)	(334,324,361.12)	(2,536,951,959.08)
Indigent Care Fund - Matched	(183,242,126.90)	(196,509,466.18)	(78,767,084.75)	(458,518,677.83)
Indigent Care Fund - Unmatched	(6,389,203.28)	(8,064,463.79)	383,344.97	(14,070,322.10)
Total Other Financing Uses	(1,312,468,768.27)	(1,284,364,089.84)	(412,708,100.90)	(3,009,540,959.01)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(188,838,080.73)	(12,124,546.08)	161,790,986.48	(39,171,640.33)
CLOSING CASH BALANCE	\$ 199,663,741.18	\$ 187,539,195.10	\$ 349,330,181.58	\$ 349,330,181.58

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2018-19

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2018 OCTOBER	2018-19
OPENING CASH BALANCE	\$ 1,881.16	\$ 5,434.72	\$ 5,176.53	\$ 1,881.16
RECEIPTS:				
Interest Income	8,284.56	6,885.88	2,132.60	17,303.04
Total Receipts	8,284.56	6,885.88	2,132.60	17,303.04
PROGRAM DISBURSEMENTS:				
Indigent Care	(190,699,555.68)	(190,150,479.12)	(78,767,084.75)	(459,617,119.55)
High Need Indigent Care	-	-	-	-
Other	3,105,175.24	(8,250,698.21)	18,907,578.91	13,762,055.94
Total Program Disbursements	(187,594,380.44)	(198,401,177.33)	(59,859,505.84)	(445,855,063.61)
Excess (Deficiency) of Receipts over Disbursements	(187,586,095.88)	(198,394,291.45)	(59,857,373.24)	(445,837,760.57)
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRA Resources Indigent Care - Matched	91,621,063.45	98,254,733.09	39,383,542.38	229,259,338.92
HCRA Resources Indigent Care - Unmatched	7,457,428.78	11,243,957.32	(383,344.97)	18,318,041.13
HCRA Resources Indigent Care - ATB	-	-	-	-
Federal DHHS Fund	91,621,063.45	98,254,733.09	39,383,542.37	229,259,338.91
Other	-	-	-	-
Total Other Financing Sources	190,699,555.68	207,753,423.50	78,383,739.78	476,836,718.96
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund Indigent Care Acct	(3,109,906.24)	(9,359,390.24)	(18,529,410.47)	(30,998,706.95)
Total Other Financing Uses	(3,109,906.24)	(9,359,390.24)	(18,529,410.47)	(30,998,706.95)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,553.56	(258.19)	(3,043.93)	251.44
CLOSING CASH BALANCE	\$ 5,434.72	\$ 5,176.53	\$ 2,132.60	\$ 2,132.60

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2018-2019
(Amounts in thousands)

APPENDIX E

	2018 APRIL	2018 MAY	2018 JUNE	2018 JULY	2018 AUGUST	2018 SEPTEMBER	2018 OCTOBER	2018 NOVEMBER	2018 DECEMBER	2019 JANUARY	2019 FEBRUARY	2019 MARCH	2018-2019 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -					\$ 1
Education - EXCEL	1,178	443	3,263	899	80	-	1,875						7,738
Department of Health - All Other	-	-	-	-	-	-	-						-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-						-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	860	725	343	410	715	226	1,485						4,764
Multi-modal	-	-	-	-	-	-	-						-
GenNYsis	-	-	-	-	-	-	-						-
CUNY Senior Colleges	19,515	21,647	7,451	20,771	21,444	8,672	37,956						137,456
CUNY Community Colleges	5,072	1,787	622	4,677	3,619	623	7,983						24,383
SUNY Dormitories	-	-	-	-	-	-	-						-
Upstate Community Colleges	6,444	2,235	2,014	3,558	7,048	7,295	6,825						35,419
Mental Health	9,662	8,727	-	17,898	11,418	2,985	16,342						67,032
Developmental Disabilities	547	1,047	281	1,345	1,475	1,167	3,415						9,277
Alcoholism and Substance Abuse	266	826	-	1,115	484	123	393						3,207
Brooklyn Court Officer Training Academy	424	595	217	1,286	840	400	188						3,950
TOTAL DORMITORY AUTHORITY	43,968	38,033	14,191	51,959	47,123	21,491	76,462	-	-	-	-	-	293,227
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-						-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-						-
Empire Opportunity	-	-	-	-	-	-	-						-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	75	-	-						75
State Facilities and Equipment	-	-	-	-	-	-	-						-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	75	-	-	-	-	-	-	-	75
TOTAL OFF-BUDGET	\$ 43,968	\$ 38,033	\$ 14,191	\$ 51,959	\$ 47,198	\$ 21,491	\$ 76,462	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 293,302

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2018	August 31, 2018	September 30, 2018	Change	October 31, 2018
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	TOTAL GENERAL FUND	-	-	-	-	-
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	-	22,400,820.72	226,348,104.46	(226,348,104.46)	-
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	24,101.51	64,073.99	(64,073.99)	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	60,236,200.21	64,880,325.64	73,653,103.41	11,547,768.86	85,200,872.27
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	134,364,396.78	142,360,077.05	148,531,435.53	8,683,582.73	157,215,018.26
31701	YOUTH FACILITIES IMPROVEMENT	48,481,855.88	55,561,287.79	59,460,060.71	2,644,786.40	62,104,847.11
31801	HOUSING ASSISTANCE	13,108,506.19	13,108,506.19	13,108,506.19	-	13,108,506.19
31851	HOUSING PROG FD-HSG TR FD CORP	145,865,586.15	145,865,586.15	149,855,136.15	-	149,855,136.15
31852	HOUSING PROG FD AFFORD HSG CORP	57,911,177.48	57,911,177.48	65,116,000.11	-	65,116,000.11
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	118,513,306.94	118,513,306.94	134,263,306.94	-	134,263,306.94
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	12,366,984.78	12,366,984.78	12,366,984.78	13,315.46	12,380,300.24

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2018	August 31, 2018	September 30, 2018	Change	October 31, 2018
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	5,809,415.20	767,873.85	775,143.16	8,922.61	784,065.77
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	165,393,405.80	169,029,275.96	161,865,853.21	1,677,091.46	163,542,944.67
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	273,217,080.02	274,865,310.02	278,124,610.18	(22,408,741.42)	255,715,868.76
32306	DASNY - OMH ADMIN	19,122,565.71	18,009,874.30	14,809,191.46	(2,167,428.55)	12,641,762.91
32307	DASNY - OPWDD ADMIN	11,814,711.01	10,302,117.20	10,302,117.20	(7,733,952.33)	2,568,164.87
32308	DASNY - OASAS ADMIN	2,255,583.90	1,952,946.02	1,952,946.02	(1,220,268.60)	732,677.42
32309	OMH -STATE FACILITIES	197,211,106.13	207,942,082.22	205,262,892.31	(17,418,064.48)	187,844,827.83
32310	OPWDD -STATE FACILITIES	-	-	-	-	-
32311	OASAS -STATE FACILITIES	49,496.38	53,606.38	53,606.38	616,805.66	670,412.04
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	283,175,776.34	322,132,004.70	355,462,648.11	33,334,435.15	388,797,083.26
33001	STORM RECOVERY ACCOUNT	80,641,650.58	83,522,578.30	84,316,106.09	325,707.15	84,641,813.24
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,629,692,555.48	1,721,723,593.20	1,995,845,576.39	(218,508,218.35)	1,777,337,358.04
	STATE SPECIAL REVENUE FUNDS					
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	18,815,836.25	45,123,170.34	(45,123,170.34)	-
20818	EPIC PREMIUM ACCOUNT	-	319,495.25	11,830,683.30	(11,830,683.30)	-
20901	LOTTERY-EDUCATION	-	-	1,335,956,045.23	(254,278,645.97)	1,081,677,399.26
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,671,681.10	3,753,517.21	3,815,781.29	80,198.04	3,895,979.33
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	2,340,111.64	-	502,131.45	949,019.56	1,451,151.01
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,091,848.26	3,831,224.96	4,002,371.89	187,520.48	4,189,892.37
21067	ENCON-RECREATION	203,567.50	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	47,035,817.88	48,293,147.72	43,303,518.17	(1,341,800.67)	41,961,717.50
21082	NATURAL RESOURCES ACCOUNT	13,964,109.99	13,905,143.11	13,388,009.95	(152,653.61)	13,235,356.34
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	289,265.07	470,862.66	521,474.68	80,020.81	601,495.49
21202	HEALTH DEPT OIL SPILL	100,890.34	165,229.16	190,882.09	37,816.97	228,699.06
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	8,175,931.46	9,960,630.03	11,437,109.92	1,895,258.13	13,332,368.05
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	47,735,804.77	20,280,452.03	(20,280,452.03)	-
21451	OPERATING PERMIT PROGRAM	26,179,368.44	26,976,949.51	27,315,858.78	(2,609,921.29)	24,705,937.49
21452	MOBILE SOURCE	938,596.62	804,697.49	5,066,732.61	(3,124,953.37)	1,941,779.24
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	-	-	-	-	-
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	512,002.31	787,197.42	966,842.85	(626,188.84)	340,654.01
21912	RACING REGULATION ACCOUNT	3,052,059.37	3,631,399.23	3,169,491.22	(262,802.07)	2,906,689.15
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	18,292,577.21	18,292,577.21	18,292,577.21	-	18,292,577.21
21937	SU DORM INCOME REIMBURSE	156,597.50	908,643.51	517,630.68	5,113,237.36	5,630,868.04
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	CLINICAL LAB FEE	11,246,508.18	10,867,197.87	12,051,694.82	(2,072,088.44)	9,979,606.38
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	143,382.18	168,846.63	161,553.07	(12,694.92)	148,858.15
22032	BATAVIA SCHOOL FOR THE BLIND	7,068,496.06	8,852,710.48	8,669,942.58	455,361.26	9,125,303.84
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	242,596.81	951,967.82	1,237,810.02	(884,143.71)	353,666.31
22046	REGULATION INDIAN GAMING	75,797,458.06	76,233,525.31	76,435,334.04	1,467,429.66	77,902,763.70
22053	ROME SCHOOL FOR THE DEAF	-	1,523,589.23	1,259,444.64	644,392.29	1,903,836.93

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2018	August 31, 2018	September 30, 2018	Change	October 31, 2018
22054	DSP-SEIZED ASSETS	5,224,254.65	5,175,239.36	5,071,414.80	(23,730.97)	5,047,683.83
22055	ADMINISTRATIVE ADJUDICATION	7,632,177.87	11,480,901.67	18,398,252.43	(2,234,576.73)	16,163,675.70
22056	FEDERAL SALARY SHARING	1,766,955.31	2,046,519.13	2,176,990.23	265,745.71	2,442,735.94
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	1,704,557.01	4,662,329.86	4,182,439.10	695,200.48	4,877,639.58
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	11,611,529.06	11,863,827.72	12,105,889.22	336,421.54	12,442,310.76
22090	HOUSING INDIRECT COST RECOVERY	508,329.17	766,505.95	1,016,500.62	357,846.36	1,374,346.98
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	3,796,625.46	4,800,436.64	5,383,285.40	413,766.03	5,797,051.43
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	234,613.46	293,684.14	350,193.77	72,794.33	422,988.10
22156	RENT REVENUE OTHER - NYC	-	4,941,767.90	16,262,015.39	4,560,076.49	20,822,091.88
22158	RENT REVENUE	40,491.69	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	19,880,903.49	19,913,275.02	19,949,684.15	33,548.50	19,983,232.65
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	11,271,846.73	11,181,409.77	11,435,858.09	329,974.23	11,765,832.32
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	37,839,433.03	40,309,535.66	42,776,722.91	3,471,440.49	46,248,163.40
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	10,489,121.37	10,928,723.70	11,284,717.09	471,546.84	11,756,263.93
23800	INTERSTATE RECIPROCITY FOR POST SEC DIST ED	-	-	-	-	-
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	-	-	-	-	-
	TOTAL STATE SPECIAL REVENUE FUNDS	340,854,653.98	430,965,299.05	1,801,241,455.76	(322,939,890.70)	1,478,301,565.06
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	8,313,562.81	8,808,884.60	5,304,239.37	4,808,050.24	10,112,289.61
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	670,179,946.70	481,228,563.29	483,007,222.93	177,395,273.96	660,402,496.89
25200-25249	FEDERAL EDUCATION GRANTS FUND	15,890,667.11	58,830,191.63	148,693,136.60	28,013,868.28	176,707,004.88
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	471,449,621.70	450,317,635.89	453,711,934.08	9,213,703.94	462,925,638.02
31351	MILITARY AND NAVAL AFFAIRS	8,583,528.84	8,583,528.84	8,661,821.31	286,739.07	8,948,560.38
31354	DEPARTMENT OF TRANSPORTATION	430,608,908.81	383,017,093.31	288,605,546.65	180,534,726.18	469,140,272.83
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	118,643,882.24	77,907,972.51	93,467,777.90	16,202,476.69	109,670,254.59
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	9,778,291.06	9,876,800.00	6,245,805.25	7,980,320.60	14,226,125.85
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	423,522.87	348,658.12	457,895.25	(65,507.00)	392,388.25
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	9,349,927.71	1,128,261.39	500,310.76	860,174.29	1,380,485.05
	TOTAL FEDERAL FUNDS	1,743,221,859.85	1,480,046,869.58	1,488,655,690.10	425,249,826.25	1,913,905,516.35
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	-	148,512.25	240,354.11	95,631.84	335,985.95
50327	EMPIRE PLAZA GIFT SHOP	137,921.02	172,522.79	195,148.23	26,283.34	221,431.57
	TOTAL ENTERPRISE FUND	137,921.02	321,035.04	435,502.34	121,915.18	557,417.52
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	109,704.24	205,298.95	192,479.78	397,778.73
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,269,125.38	1,372,727.11	1,300,275.54	(11,738.32)	1,288,537.22
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	1,631,379.93	1,711,913.46	2,269,932.36	283,596.98	2,553,529.34
55008	CENTRALIZED SERVICES-PASNY	13,515,830.14	13,450,535.79	14,593,520.78	610,235.50	15,203,756.28
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	9,182,412.87	6,690,630.21	9,915,313.25	(777,924.61)	9,137,388.64
55011	CENTRALIZED SERVICES-INSURANCE	1,307,095.90	-	-	-	-
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	99,892.66	88,946.34	169,610.34	12,269.00	181,879.34
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	1,239,761.97	1,989,176.56	1,946,265.72	88,569.91	2,034,835.63
55017	DOWNSTATE WAREHOUSE	289,965.40	326,644.61	342,869.81	80,407.94	423,277.75
55018	BUILDING ADMINISTRATION	5,154,788.45	6,876,095.25	6,314,966.09	466,218.41	6,781,184.50

(**)

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2018	August 31, 2018	September 30, 2018	Change	October 31, 2018
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	28,177,431.59	28,344,086.01	36,574,550.38	4,218,589.07	40,793,139.45
55021	NYS MEDIA CENTER	4,545,079.26	4,953,638.09	4,911,510.10	123,859.16	5,035,369.26
55022	BUSINESS SERVICES CENTER	988,013.55	2,838,046.20	3,464,448.54	932,777.84	4,397,226.38
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	24,003.53	128,115.60	152,119.13
55057	BANKING SERVICES ACCOUNT	14,072.04	37,066.01	4,391.50	37,533.98	41,925.48
55058	CULTURAL RESOURCE SURVEY	1,913,408.14	2,371,919.75	2,740,065.32	255,693.21	2,995,758.53
55059	NEIGHBOR WORK PROJECT	10,317,622.89	10,610,712.93	10,108,519.75	(42,719.25)	10,065,800.50
55060	AUTOMATIC/PRINT CHARGBACKS	1,445,768.43	1,542,811.47	-	325,535.26	325,535.26
55061	OFT NYT ACCT	2,073,791.51	2,048,108.24	2,048,108.24	(5,590.00)	2,042,518.24
55062	DATA CENTER ACCOUNT	45,448,438.77	45,448,438.77	45,448,438.77	-	45,448,438.77
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	25,836.39	59,599.92	53,662.08	21,177.36	74,839.44
55069	CENTRALIZED TECHNOLOGY SERVICES	64,043,031.43	65,030,746.28	64,677,556.18	1,636,856.43	66,314,412.61
55071	LABOR CONTACT CENTER ACCT	115,929.13	499,082.65	648,542.60	197,539.22	846,081.82
55072	HUMAN SERVICES CONTACT CNTR ACCT	1,600,699.80	-	1,722,758.08	1,201,318.72	2,924,076.80
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	13,967,911.29	15,889,182.52	17,463,527.93	642,223.16	18,105,751.09
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	8,435,473.90	8,882,295.72	9,293,809.61	485,265.13	9,779,074.74
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	31,243,061.64	33,495,311.20	35,620,226.00	2,483,516.70	38,103,742.70
55300	HEALTH INSURANCE INTERNAL SERVICE	8,543,887.82	11,252,413.79	12,224,302.62	1,257,285.85	13,481,588.47
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	3,774,957.78	3,626,101.63	3,783,004.77	(719,622.37)	3,063,382.40
55350	CORR INDUSTRIES INTERNAL SERVICE	27,906,736.42	29,184,676.70	30,931,174.05	1,661,249.15	32,592,423.20
	TOTAL INTERNAL SERVICE FUNDS	289,532,988.75	299,992,195.72	320,062,237.16	15,784,718.81	335,846,955.97
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 4,003,439,979.08	\$ 3,933,048,992.59	\$ 5,606,240,461.75	\$ (100,291,648.81)	\$ 5,505,948,812.94

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part BBB, Section 1, of the Laws of 2018-19. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
 DEDICATED INFRASTRUCTURE INVESTMENT FUND (*)
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2018-2019

	2018 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2019 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31, 2018
OPENING CASH BALANCE	\$ 61,655,957	\$ 135,765,684	\$ 191,206,988	\$ 266,815,434	\$ 212,303,783	\$ 328,687,237	\$ 238,468,147						\$ 61,655,957
RECEIPTS:													
Transfers from General Fund (**)	100,000,000	200,000,000	170,000,000	80,000,000	200,000,000	-	-	-	-	-	-	-	750,000,000
Total Receipts	100,000,000	200,000,000	170,000,000	80,000,000	200,000,000	-	-	-	-	-	-	-	750,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	2,000,000	28,512,817	-	-	-	1,899,681	-	-	-	-	-	-	32,412,498
Broadband Initiative	6,122,914	4,460,310	6,175,544	4,566,866	3,667,643	13,503,057	2,773,263	-	-	-	-	-	41,269,597
Downtown Revitalization	513,141	42,196	896,108	71,083	-	23,638	-	-	-	-	-	-	1,546,166
Health Care / Hospital Initiatives	2,834,953	2,747,912	2,602,340	2,756,547	2,643,273	3,828,458	5,975,088	-	-	-	-	-	23,388,571
Empire State Poverty Reduction Initiatives	531,642	608,224	928,214	888,906	428,319	1,416,327	2,328,758	-	-	-	-	-	7,130,390
Information Technology/Infrastructure for Behavioral Sciences	-	-	(59,639)	-	78,627	-	6,945	-	-	-	-	-	25,933
Infrastructure Improvements	15,989	-	2,662,996	697,012	1,175,379	1,100,985	183,134	-	-	-	-	-	5,835,495
Jacob Javits Center Expansion	-	68,411,544	-	82,763,713	-	-	84,750,385	-	-	-	-	-	235,925,642
Life Sciences Initiative	-	7,500,000	2,108,000	-	-	1,266,435	-	-	-	-	-	-	10,874,435
Municipal Restructuring / Consolidation Competition	372,477	-	391,037	-	-	-	1,332,784	-	-	-	-	-	2,096,298
Penn Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Resiliency, Mitigation, Security and Emergency Response	3,622,682	11,881,706	(3,152,844)	12,837,911	7,646,835	3,623,435	3,547,942	-	-	-	-	-	40,007,667
Southern Tier / Hudson Valley Farm Initiative	134,401	49,376	1,948,902	87,164	1,379,076	29,700	-	-	-	-	-	-	3,628,619
Thruway Stabilization Program	4,291,738	19,143,308	67,699,706	19,710,005	44,072,974	28,282,067	43,427,892	-	-	-	-	-	226,627,690
Transformative Economic Development Projects	4,200	775,821	8,590,634	2,515,440	101,500	7,744,336	10,705,370	-	-	-	-	-	30,437,301
Transportation Capital Plan	-	-	3,008,528	15,186	-	17,166,414	-	-	-	-	-	-	20,190,128
Upstate Revitalization Program	5,446,136	425,482	592,028	7,601,818	22,422,920	10,334,557	4,935,634	-	-	-	-	-	51,758,575
Total Disbursements	25,890,273	144,558,696	94,391,554	134,511,651	83,616,546	90,219,090	159,967,195	-	-	-	-	-	733,155,005
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	25,890,273	144,558,696	94,391,554	134,511,651	83,616,546	90,219,090	159,967,195	-	-	-	-	-	733,155,005
CLOSING CASH BALANCE	\$ 135,765,684	\$ 191,206,988	\$ 266,815,434	\$ 212,303,783	\$ 328,687,237	\$ 238,468,147	\$ 78,500,952	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 78,500,952

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFLS 93-b

(**) Pursuant to Section 93(b) of the State Finance Law