



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

SEPTMBER 2020

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
September 30, 2020

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**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**
(amounts in millions)

EXHIBIT A

| | GENERAL | | SPECIAL REVENUE | | DEBT SERVICE | | CAPITAL PROJECTS | | TOTAL GOVERNMENTAL FUNDS | | | | YEAR OVER YEAR | |
|------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|--------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
| | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2019 | 6 MOS. ENDED SEP. 30, 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| RECEIPTS: | | | | | | | | | | | | | | |
| Personal Income Tax (3) | \$ 2,635.6 | \$ 13,429.4 | \$ 0.1 | \$ 0.1 | \$ 2,635.7 | \$ 13,429.5 | \$ - | \$ - | \$ 5,271.4 | \$ 26,859.0 | \$ 4,831.4 | \$ 27,981.4 | \$ (1,122.4) | -4.0% |
| Consumption/Use Taxes | 804.0 | 3,478.0 | 170.2 | 879.2 | 708.9 | 3,110.8 | 61.0 | 257.2 | 1,744.1 | 7,725.2 | 1,835.2 | 9,165.3 | (1,440.1) | -15.7% |
| Business Taxes | 1,281.5 | 2,930.7 | 273.5 | 828.7 | - | - | 57.4 | 265.9 | 1,612.4 | 4,025.3 | 1,618.4 | 4,238.6 | (213.3) | -5.0% |
| Other Taxes | 92.1 | 572.1 | - | - | 70.4 | 323.6 | 11.9 | 47.6 | 174.4 | 943.3 | 153.2 | 1,016.4 | (73.1) | -7.2% |
| Miscellaneous Receipts | 128.3 | 5,680.2 | 1,799.5 | 8,045.1 | 36.2 | 232.1 | 480.4 | 2,651.1 | 2,444.4 | 16,608.5 | 2,761.9 | 13,067.4 | 3,541.1 | 27.1% |
| Federal Receipts | 0.1 | 0.1 | 9,934.4 | 41,787.0 | 24.4 | 24.4 | 177.2 | 929.3 | 10,136.1 | 42,740.8 | 5,663.8 | 32,026.5 | 10,714.3 | 33.5% |
| Total Receipts | 4,941.6 | 26,090.5 | 12,177.7 | 51,540.1 | 3,475.6 | 17,120.4 | 787.9 | 4,151.1 | 21,382.8 | 98,902.1 | 16,863.9 | 87,495.6 | 11,406.5 | 13.0% |
| DISBURSEMENTS: | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | |
| Education | 1,571.5 | 10,887.5 | 2,584.4 | 4,366.0 | - | - | 1.4 | 20.3 | 4,157.3 | 15,273.8 | 5,084.1 | 16,568.8 | (1,295.0) | -7.8% |
| Environment and Recreation | - | - | 0.3 | 1.2 | - | - | 15.3 | 70.1 | 15.6 | 71.3 | 18.9 | 110.0 | (38.7) | -35.2% |
| General Government | 51.7 | 565.9 | 3,866.8 | 3,951.1 | - | - | 18.7 | 206.5 | 3,937.2 | 4,723.5 | 132.4 | 1,237.3 | 3,486.2 | 281.8% |
| Public Health: | | | | | | | | | | | | | | |
| Medicaid | 1,614.5 | 8,247.0 | 5,390.1 | 26,000.4 | - | - | - | - | 7,004.6 | 34,247.4 | 5,060.5 | 32,894.3 | 1,353.1 | 4.1% |
| Other Public Health | 247.3 | 1,104.9 | 805.5 | 3,666.8 | - | - | 25.7 | 258.6 | 1,078.5 | 5,030.3 | 954.2 | 5,107.8 | (77.5) | -1.5% |
| Public Safety | 14.1 | 29.3 | 136.2 | 773.8 | - | - | 0.7 | 6.5 | 151.0 | 809.6 | 103.7 | 696.9 | 112.7 | 16.2% |
| Public Welfare | 426.7 | 1,357.6 | 950.4 | 1,820.3 | - | - | 110.4 | 342.7 | 1,487.5 | 3,520.6 | 706.5 | 2,527.1 | 993.5 | 39.3% |
| Support and Regulate Business | 5.6 | 30.6 | 5.3 | 22.4 | - | - | 11.4 | 179.6 | 22.3 | 232.6 | 74.4 | 613.4 | (380.8) | -62.1% |
| Transportation | 2.0 | 39.3 | 246.8 | 1,438.9 | - | - | 369.3 | 728.5 | 618.1 | 2,206.7 | 839.1 | 2,749.2 | (542.5) | -19.7% |
| Total Local Assistance Grants | 3,933.4 | 22,262.1 | 13,985.8 | 42,040.9 | - | - | 552.9 | 1,812.8 | 18,472.1 | 66,115.8 | 12,973.8 | 62,504.8 | 3,611.0 | 5.8% |
| Departmental Operations: | | | | | | | | | | | | | | |
| Personal Service | 835.8 | 4,387.4 | 691.2 | 3,294.1 | - | - | - | - | 1,527.0 | 7,681.5 | 1,115.1 | 7,544.5 | 137.0 | 1.8% |
| Non-Personal Service | 260.7 | 649.8 | 488.1 | 2,765.8 | 7.9 | 29.6 | - | - | 756.7 | 3,445.2 | 593.0 | 3,257.0 | 188.2 | 5.8% |
| General State Charges | 476.3 | 4,385.9 | 139.8 | 667.8 | - | - | - | - | 616.1 | 5,053.7 | 572.7 | 5,343.5 | (289.8) | -5.4% |
| Debt Service, Including Payments on | | | | | | | | | | | | | | |
| Financing Agreements | - | - | - | - | 841.8 | 1,279.0 | - | - | 841.8 | 1,279.0 | 433.2 | 976.6 | 302.4 | 31.0% |
| Capital Projects (1) | - | - | - | 2.3 | - | - | 706.7 | 3,611.1 | 706.7 | 3,613.4 | 582.6 | 3,432.3 | 181.1 | 5.3% |
| Total Disbursements | 5,506.2 | 31,685.2 | 15,304.9 | 48,770.9 | 849.7 | 1,308.6 | 1,259.6 | 5,423.9 | 22,920.4 | 87,188.6 | 16,270.4 | 83,058.7 | 4,129.9 | 5.0% |
| Excess (Deficiency) of Receipts over Disbursements | (564.6) | (5,594.7) | (3,127.2) | 2,769.2 | 2,625.9 | 15,811.8 | (471.7) | (1,272.8) | (1,537.6) | 11,713.5 | 593.5 | 4,436.9 | 7,276.6 | 164.0% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | |
| Bond and Note Proceeds (net) | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Transfers from Other Funds (2) | 2,763.6 | 14,644.7 | 58.7 | 1,371.6 | 247.4 | 1,057.5 | 250.0 | 1,093.2 | 3,319.7 | 18,167.0 | 4,741.3 | 24,646.6 | (6,479.6) | -26.3% |
| Transfers to Other Funds (2) | (279.9) | (2,552.4) | (291.4) | (942.6) | (2,680.5) | (14,595.4) | (187.0) | (274.4) | (3,438.8) | (18,364.8) | (4,765.2) | (24,748.3) | (6,383.5) | -25.8% |
| Total Other Financing Sources (Uses) | 2,483.7 | 12,092.3 | (232.7) | 429.0 | (2,433.1) | (13,537.9) | 63.0 | 818.8 | (119.1) | (197.8) | (23.9) | (101.7) | (96.1) | -94.5% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 1,919.1 | 6,497.6 | (3,359.9) | 3,198.2 | 192.8 | 2,273.9 | (408.7) | (454.0) | (1,656.7) | 11,515.7 | 569.6 | 4,335.2 | 7,180.5 | 165.6% |
| Beginning Fund Balances (Deficits) | 13,522.7 | 8,944.2 | 12,870.2 | 6,312.1 | 2,144.5 | 63.4 | (1,080.2) | (1,034.9) | 27,457.2 | 14,284.8 | 13,740.6 | 9,975.0 | 4,309.8 | 43.2% |
| Ending Fund Balances (Deficits) | \$ 15,441.8 | \$ 15,441.8 | \$ 9,510.3 | \$ 9,510.3 | \$ 2,337.3 | \$ 2,337.3 | \$ (1,488.9) | \$ (1,488.9) | \$ 25,800.5 | \$ 25,800.5 | \$ 14,310.2 | \$ 14,310.2 | \$ 11,490.3 | 80.3% |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

| | GENERAL | | STATE SPECIAL REVENUE (**) | | DEBT SERVICE | | TOTAL STATE OPERATING FUNDS | | | | | |
|----------------------------------------------------------------------------------------------------------------|--------------------|--------------------|----------------------------|-------------------|-------------------|-------------------|-----------------------------|--------------------|--------------------|--------------------|----------------------------|-------------------------|
| | MONTH OF | 6 MOS. ENDED | MONTH OF | 6 MOS. ENDED | MONTH OF | 6 MOS. ENDED | MONTH OF | 6 MOS. ENDED | MONTH OF | 6 MOS. ENDED | \$ Increase/ (Decrease) | % Increase/ Decrease |
| | SEP. 2020 | SEP. 30, 2020 | SEP. 2020 | SEP. 30, 2020 | SEP. 2020 | SEP. 30, 2020 | SEP. 2020 | SEP. 30, 2020 | SEP. 2019 | SEP. 30, 2019 | | |
| RECEIPTS: | | | | | | | | | | | | |
| Personal Income Tax (3) | \$ 2,635.6 | \$ 13,429.4 | \$ 0.1 | \$ 0.1 | \$ 2,635.7 | \$ 13,429.5 | \$ 5,271.4 | \$ 26,859.0 | \$ 4,831.4 | \$ 27,981.4 | \$ (1,122.4) | -4.0% |
| Consumption/Use Taxes | 804.0 | 3,478.0 | 170.2 | 879.2 | 708.9 | 3,110.8 | 1,683.1 | 7,468.0 | 1,756.4 | 8,827.2 | (1,359.2) | -15.4% |
| Business Taxes | 1,281.5 | 2,930.7 | 273.5 | 828.7 | - | - | 1,555.0 | 3,759.4 | 1,558.5 | 3,890.6 | (131.2) | -3.4% |
| Other Taxes | 92.1 | 572.1 | - | - | 70.4 | 323.6 | 162.5 | 895.7 | 141.3 | 968.8 | (73.1) | -7.5% |
| Miscellaneous Receipts | 128.3 | 5,680.2 | 1,790.7 | 7,930.6 | 36.2 | 232.1 | 1,955.2 | 13,842.9 | 2,168.8 | 11,284.4 | 2,558.5 | 22.7% |
| Federal Receipts | 0.1 | 0.1 | 25.4 | 27.9 | 24.4 | 24.4 | 49.9 | 52.4 | 0.3 | 54.7 | (2.3) | -4.2% |
| Total Receipts | 4,941.6 | 26,090.5 | 2,259.9 | 9,666.5 | 3,475.6 | 17,120.4 | 10,677.1 | 52,877.4 | 10,456.7 | 53,007.1 | (129.7) | -0.2% |
| DISBURSEMENTS: | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | |
| Education | 1,571.5 | 10,887.5 | 2,290.3 | 2,622.6 | - | - | 3,861.8 | 13,510.1 | 4,854.3 | 14,583.2 | (1,073.1) | -7.4% |
| Environment and Recreation | - | - | 0.4 | 0.9 | - | - | 0.4 | 0.9 | 1.0 | 2.1 | (1.2) | -57.1% |
| General Government | 51.7 | 565.9 | 10.8 | 71.3 | - | - | 62.5 | 637.2 | 71.2 | 789.8 | (152.6) | -19.3% |
| Public Health: | | | | | | | | | | | | |
| Medicaid | 1,614.5 | 8,247.0 | 521.0 | 2,801.8 | - | - | 2,135.5 | 11,048.8 | 1,915.8 | 13,103.5 | (2,054.7) | -15.7% |
| Other Public Health | 247.3 | 1,104.9 | 190.8 | 410.8 | - | - | 438.1 | 1,515.7 | 267.2 | 1,609.3 | (93.6) | -5.8% |
| Public Safety | 14.1 | 29.3 | 20.5 | 88.1 | - | - | 34.6 | 117.4 | 41.8 | 189.9 | (72.5) | -38.2% |
| Public Welfare | 426.7 | 1,357.6 | 0.1 | 1.0 | - | - | 426.8 | 1,358.6 | 170.0 | 835.0 | 523.6 | 62.7% |
| Support and Regulate Business | 5.6 | 30.6 | 5.3 | 17.4 | - | - | 10.9 | 48.0 | 11.7 | 92.5 | (44.5) | -48.1% |
| Transportation | 2.0 | 39.3 | 241.1 | 1,409.9 | - | - | 243.1 | 1,449.2 | 327.1 | 1,764.1 | (314.9) | -17.9% |
| Total Local Assistance Grants | 3,933.4 | 22,262.1 | 3,280.3 | 7,423.8 | - | - | 7,213.7 | 29,685.9 | 7,660.1 | 32,969.4 | (3,283.5) | -10.0% |
| Departmental Operations: | | | | | | | | | | | | |
| Personal Service | 835.8 | 4,387.4 | 607.2 | 2,694.9 | - | - | 1,443.0 | 7,082.3 | 1,064.2 | 7,231.4 | (149.1) | -2.1% |
| Non-Personal Service | 260.7 | 649.8 | 260.1 | 1,177.6 | 7.9 | 29.6 | 528.7 | 1,857.0 | 406.6 | 2,640.7 | (783.7) | -29.7% |
| General State Charges | 476.3 | 4,385.9 | 116.3 | 403.6 | - | - | 592.6 | 4,789.5 | 548.2 | 5,179.4 | (389.9) | -7.5% |
| Debt Service, Including Payments on | | | | | | | | | | | | |
| Financing Agreements | - | - | - | - | 841.8 | 1,279.0 | 841.8 | 1,279.0 | 433.2 | 976.6 | 302.4 | 31.0% |
| Capital Projects | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Total Disbursements | 5,506.2 | 31,685.2 | 4,263.9 | 11,699.9 | 849.7 | 1,308.6 | 10,619.8 | 44,693.7 | 10,112.3 | 48,997.5 | (4,303.8) | -8.8% |
| Excess (Deficiency) of Receipts over Disbursements | (564.6) | (5,594.7) | (2,004.0) | (2,033.4) | 2,625.9 | 15,811.8 | 57.3 | 8,183.7 | 344.4 | 4,009.6 | 4,174.1 | 104.1% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | |
| Transfers from Other Funds (2) | 2,763.6 | 14,644.7 | 206.1 | 1,619.3 | 247.4 | 1,057.5 | 3,217.1 | 17,321.5 | 4,021.1 | 21,968.4 | (4,646.9) | -21.2% |
| Transfers to Other Funds (2) | (279.9) | (2,552.4) | (147.0) | (182.7) | (2,680.5) | (14,595.4) | (3,107.4) | (17,330.5) | (4,467.3) | (23,705.7) | (6,375.2) | -26.9% |
| Total Other Financing Sources (Uses) | 2,483.7 | 12,092.3 | 59.1 | 1,436.6 | (2,433.1) | (13,537.9) | 109.7 | (9.0) | (446.2) | (1,737.3) | 1,728.3 | 99.5% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 1,919.1 | 6,497.6 | (1,944.9) | (596.8) | 192.8 | 2,273.9 | 167.0 | 8,174.7 | (101.8) | 2,272.3 | 5,902.4 | 259.8% |
| Beginning Fund Balances (Deficits) | 13,522.7 | 8,944.2 | 6,748.8 | 5,400.7 | 2,144.5 | 63.4 | 22,416.0 | 14,408.3 | 14,735.4 | 12,361.3 | 2,047.0 | 16.6% |
| Ending Fund Balances (Deficits) | \$ 15,441.8 | \$ 15,441.8 | \$ 4,803.9 | \$ 4,803.9 | \$ 2,337.3 | \$ 2,337.3 | \$ 22,583.0 | \$ 22,583.0 | \$ 14,633.6 | \$ 14,633.6 | \$ 7,949.4 | 54.3% |

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

| | |
|---------------------------------------------------------|-----------------|
| Urban Development Corporation (Correctional Facilities) | \$212.5 million |
| Urban Development Corporation (Youth Facilities) | 15.8 |
| Housing Finance Agency (HFA) | 424.3 |
| Housing Assistance Fund | 12.9 |
| Dormitory Authority (Mental Hygiene) | 383.6 |
| Dormitory Authority and State University Income Fund | 273.1 |
| Federal Capital Projects | 553.3 |
| State bond and note proceeds | 169.8 |

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

| | |
|-----------------------------------------------------------|-----------------|
| State Capital Projects Fund | \$816.6 million |
| General Debt Service Fund | 91.1 |
| Banking Services Account | 14.4 |
| Building Administration Account | 8.0 |
| Business Services Center | 26.9 |
| Centralized Tech Services | 11.5 |
| Court Facilities Incentive Aid Fund | 62.6 |
| Dedicated Highway & Bridge Trust Fund | 33.0 |
| Dedicated Infrastructure Investment Fund | 204.0 |
| Dedicated Mass Transportation - Railroad Account | 4.4 |
| Dedicated Mass Transportation - Transit Authority Account | 24.4 |
| Dedicated Mass Transportation - (Non MTA) | 2.5 |
| Environmental Protection Fund | 14.0 |
| Health Insurance Revolving Fund | 12.0 |
| Housing Debt Service Fund | 1.8 |
| Mass Transportation Operating Assistance Fund | 24.4 |
| Mass Transportation Financial Assistance | 195.4 |
| New York Central Business District Trust Fund | 75.0 |
| New York City County Clerks' Operations Offset | 2.8 |
| State Fair Receipts | 3.0 |
| State University Income Fund | 865.0 |

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$4.0m), and the State University Income Fund (\$55.1m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2020 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$692.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$4.9m), Medicaid Management Information System Escrow Fund (\$122.3m), SUNY Capital Projects Fund (\$4.0m), and All Other Capital Projects (\$21.7m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

| | |
|---------------------------------------------------|----------------|
| Federal Department of Health Services Fund | \$51.2 million |
| Federal Operating Grants Fund | 5.3 |
| Federal USDA/Food and Nutrition Services Fund | 7.7 |
| SUNY Income Fund | 20.3 |
| Unemployment Insurance Administration Fund | 2.7 |
| Unemployment Insurance Interest & Penalty Account | 8.8 |

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

| | |
|--------------------------------------|--------------------|
| Revenue Bond Tax Fund | \$11,010.4 million |
| Local Government Assistance Tax Fund | 1,555.5 |
| Sales Tax Revenue Bond Tax Fund | 954.9 |
| Clean Water/Clean Air Fund | 298.6 |
| Mental Health Services Fund | 716.9 |

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$59.2m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$10.9m), the General Debt Service Fund - Lease Purchase (\$61.6m), and the Revenue Bond Tax Fund (\$201.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$0.1m) as of September 30, 2020.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

| | ENTERPRISE | | INTERNAL SERVICE | | TOTAL PROPRIETARY FUNDS | | | | YEAR OVER YEAR | |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
| | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2019 | 6 MOS. ENDED SEP. 30, 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| RECEIPTS: | | | | | | | | | | |
| Miscellaneous Receipts | \$ 7.0 | \$ 37.0 | \$ 34.1 | \$ 168.7 | \$ 41.1 | \$ 205.7 | \$ 78.9 | \$ 309.6 | \$ (103.9) | -33.6% |
| Federal Receipts | 2,491.7 | 33,873.9 | - | - | 2,491.7 | 33,873.9 | 0.9 | 5.8 | 33,868.1 | 583,932.8% |
| Unemployment Taxes | 1,396.4 | 11,925.9 | - | - | 1,396.4 | 11,925.9 | 150.3 | 958.5 | 10,967.4 | 1,144.2% |
| Total Receipts | 3,895.1 | 45,836.8 | 34.1 | 168.7 | 3,929.2 | 46,005.5 | 230.1 | 1,273.9 | 44,731.6 | 3,511.4% |
| DISBURSEMENTS: | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | |
| Personal Service | 1.7 | 7.5 | 14.6 | 73.4 | 16.3 | 80.9 | 10.0 | 64.8 | 16.1 | 24.8% |
| Non-Personal Service | 4.4 | 27.8 | 35.2 | 209.1 | 39.6 | 236.9 | 38.4 | 220.9 | 16.0 | 7.2% |
| General State Charges | 0.2 | 0.9 | 4.8 | 33.8 | 5.0 | 34.7 | 4.7 | 30.4 | 4.3 | 14.1% |
| Unemployment Benefits | 3,888.9 | 45,791.2 | - | - | 3,888.9 | 45,791.2 | 151.4 | 965.2 | 44,826.0 | 4,644.2% |
| Total Disbursements | 3,895.2 | 45,827.4 | 54.6 | 316.3 | 3,949.8 | 46,143.7 | 204.5 | 1,281.3 | 44,862.4 | 3,501.3% |
| Excess (Deficiency) of Receipts Over Disbursements | (0.1) | 9.4 | (20.5) | (147.6) | (20.6) | (138.2) | 25.6 | (7.4) | (130.8) | -1,767.6% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | |
| Transfers from Other Funds | - | 3.0 | 3.1 | 72.7 | 3.1 | 75.7 | 5.3 | 45.4 | 30.3 | 66.7% |
| Transfers to Other Funds | - | - | (0.1) | (0.3) | (0.1) | (0.3) | (0.5) | (0.9) | (0.6) | -66.7% |
| Total Other Financing Sources (Uses) | - | 3.0 | 3.0 | 72.4 | 3.0 | 75.4 | 4.8 | 44.5 | 30.9 | 69.4% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (0.1) | 12.4 | (17.5) | (75.2) | (17.6) | (62.8) | 30.4 | 37.1 | (99.9) | -269.3% |
| Beginning Fund Balances (Deficits) | 42.2 | 29.7 | (355.2) | (297.5) | (313.0) | (267.8) | (269.4) | (276.1) | 8.3 | 3.0% |
| Ending Fund Balances (Deficits) | \$ 42.1 | \$ 42.1 | \$ (372.7) | \$ (372.7) | \$ (330.6) | \$ (330.6) | \$ (239.0) | \$ (239.0) | \$ (91.6) | -38.3% |

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

| | PENSION | | PRIVATE PURPOSE | | TOTAL TRUST FUNDS | | | | YEAR OVER YEAR | |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
| | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2019 | 6 MOS. ENDED SEP. 30, 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| RECEIPTS: | | | | | | | | | | |
| Miscellaneous Receipts | \$ 14.3 | \$ 62.6 | \$ - | \$ - | \$ 14.3 | \$ 62.6 | \$ 5.3 | \$ 59.3 | \$ 3.3 | 5.6% |
| Total Receipts | 14.3 | 62.6 | - | - | 14.3 | 62.6 | 5.3 | 59.3 | 3.3 | 5.6% |
| DISBURSEMENTS: | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | |
| Personal Service | 8.3 | 38.7 | - | 0.1 | 8.3 | 38.8 | 5.3 | 33.9 | 4.9 | 14.5% |
| Non-Personal Service | 0.8 | 4.6 | - | - | 0.8 | 4.6 | 1.6 | 7.3 | (2.7) | -37.0% |
| General State Charges | 3.5 | 22.4 | - | 0.1 | 3.5 | 22.5 | 3.4 | 21.5 | 1.0 | 4.7% |
| Total Disbursements | 12.6 | 65.7 | - | 0.2 | 12.6 | 65.9 | 10.3 | 62.7 | 3.2 | 5.1% |
| Excess (Deficiency) of Receipts Over Disbursements | 1.7 | (3.1) | - | (0.2) | 1.7 | (3.3) | (5.0) | (3.4) | 0.1 | 2.9% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | |
| Transfers from Other Funds | - | - | - | - | - | - | - | - | - | 0.0% |
| Transfers to Other Funds | - | - | - | - | - | - | - | - | - | 0.0% |
| Total Other Financing Sources (Uses) | - | - | - | - | - | - | - | - | - | 0.0% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 1.7 | (3.1) | - | (0.2) | 1.7 | (3.3) | (5.0) | (3.4) | 0.1 | 2.9% |
| Beginning Fund Balances (Deficits) | (5.9) | (1.1) | 14.1 | 14.3 | 8.2 | 13.2 | 11.8 | 10.2 | 3.0 | 29.4% |
| Ending Fund Balances (Deficits) | \$ (4.2) | \$ (4.2) | \$ 14.1 | \$ 14.1 | \$ 9.9 | \$ 9.9 | \$ 6.8 | \$ 6.8 | \$ 3.1 | 45.6% |

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2020
 (amounts in millions)

EXHIBIT D

| ALL GOVERNMENTAL FUNDS | | | | | |
|------------------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------------|--------------------|---------------------------------------------------------|---------------------------------------------------------|
| | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan |
| RECEIPTS: | | | | | |
| Taxes: | | | | | |
| Personal Income | \$ 25,756.0 | \$ 26,364.0 | \$ 26,859.0 | \$ 1,103.0 | \$ 495.0 |
| Consumption/Use | 7,631.0 | 7,087.0 | 7,725.2 | 94.2 | 638.2 |
| Business | 4,191.0 | 3,958.0 | 4,025.3 | (165.7) | 67.3 |
| Other | 955.0 | 995.0 | 943.3 | (11.7) | (51.7) |
| Miscellaneous Receipts | 16,059.0 | 16,321.0 | 16,608.5 | 549.5 | 287.5 |
| Federal Receipts | 37,540.0 | 41,936.0 | 42,740.8 | 5,200.8 | 804.8 |
| Total Receipts | 92,132.0 | 96,661.0 | 98,902.1 | 6,770.1 | 2,241.1 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants | 65,361.0 | 62,752.0 | 66,115.8 | 754.8 | 3,363.8 |
| Departmental Operations | 10,876.0 | 11,321.0 | 11,126.7 | 250.7 | (194.3) |
| General State Charges | 5,130.0 | 5,075.0 | 5,053.7 | (76.3) | (21.3) |
| Debt Service | 1,321.0 | 1,304.0 | 1,279.0 | (42.0) | (25.0) |
| Capital Projects | 4,751.0 | 4,016.0 | 3,613.4 | (1,137.6) | (402.6) |
| Total Disbursements | 87,439.0 | 84,468.0 | 87,188.6 | (250.4) | 2,720.6 |
| Excess (Deficiency) of Receipts over Disbursements | 4,693.0 | 12,193.0 | 11,713.5 | 7,020.5 | (479.5) |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Bond and Note Proceeds, net | - | - | - | - | - |
| Transfers from Other Funds | 19,440.0 | 18,478.0 | 18,167.0 | (1,273.0) | (311.0) |
| Transfers to Other Funds | (19,521.0) | (18,572.0) | (18,364.8) | (1,156.2) | 207.2 |
| Total Other Financing Sources (Uses) | (81.0) | (94.0) | (197.8) | (116.8) | (103.8) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 4,612.0 | 12,099.0 | 11,515.7 | 6,903.7 | (583.3) |
| Fund Balances (Deficits) at April 1 | 14,284.0 | 14,283.0 | 14,284.8 | 0.8 | 1.8 |
| Fund Balances (Deficits) at September 30, 2020 | \$ 18,896.0 | \$ 26,382.0 | \$ 25,800.5 | \$ 6,904.5 | \$ (581.5) |

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 First Quarter Update dated August 13, 2020.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2020-2021
FOR SIX MONTHS ENDED SEPTEMBER 30, 2020
(amounts in millions)**

EXHIBIT D

| | STATE OPERATING FUNDS (***) | | | | |
|------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|--------------------------------------------|--------------------|--------------------------------------------------------------------|--------------------------------------------------------------------|
| | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan |
| RECEIPTS: | | | | | |
| Taxes: | | | | | |
| Personal Income | \$ 25,756.0 | \$ 26,364.0 | \$ 26,859.0 | \$ 1,103.0 | \$ 495.0 |
| Consumption/Use | 7,383.0 | 6,848.0 | 7,468.0 | 85.0 | 620.0 |
| Business | 3,944.0 | 3,715.0 | 3,759.4 | (184.6) | 44.4 |
| Other | 907.0 | 947.0 | 895.7 | (11.3) | (51.3) |
| Miscellaneous Receipts | 13,386.0 | 13,428.0 | 13,842.9 | 456.9 | 414.9 |
| Federal Receipts | 36.0 | 36.0 | 52.4 | 16.4 | 16.4 |
| Total Receipts | 51,412.0 | 51,338.0 | 52,877.4 | 1,465.4 | 1,539.4 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants | 31,617.0 | 29,264.0 | 29,685.9 | (1,931.1) | 421.9 |
| Departmental Operations | 9,814.0 | 8,682.0 | 8,939.3 | (874.7) | 257.3 |
| General State Charges | 4,952.0 | 4,762.0 | 4,789.5 | (162.5) | 27.5 |
| Debt Service | 1,321.0 | 1,304.0 | 1,279.0 | (42.0) | (25.0) |
| Capital Projects | - | - | - | - | - |
| Total Disbursements | 47,704.0 | 44,012.0 | 44,693.7 | (3,010.3) | 681.7 |
| Excess (Deficiency) of Receipts over Disbursements | 3,708.0 | 7,326.0 | 8,183.7 | 4,475.7 | 857.7 |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers from Other Funds | 17,279.0 | 16,936.0 | 17,321.5 (****) | 42.5 | 385.5 |
| Transfers to Other Funds | (18,330.0) | (17,399.0) | (17,330.5) (****) | (999.5) | 68.5 |
| Total Other Financing Sources (Uses) | (1,051.0) | (463.0) | (9.0) | 1,042.0 | 317.0 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 2,657.0 | 6,863.0 | 8,174.7 | 5,517.7 | 1,174.7 |
| Fund Balances (Deficits) at April 1 | 14,408.0 | 14,407.0 | 14,408.3 | 0.3 | 1.3 |
| Fund Balances (Deficits) at September 30, 2020 | \$ 17,065.0 | \$ 21,270.0 | \$ 22,583.0 | \$ 5,518.0 | \$ 1,176.0 |

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 First Quarter Update dated August 13, 2020.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2020
 (amounts in millions)

EXHIBIT D

| | GENERAL FUND | | | | |
|------------------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------------|--------------------|---------------------------------------------------------|---------------------------------------------------------|
| | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan |
| RECEIPTS: | | | | | |
| Taxes: | | | | | |
| Personal Income | \$ 12,878.0 | \$ 13,182.0 | \$ 13,429.4 | \$ 551.4 | \$ 247.4 |
| Consumption/Use | 3,414.0 | 3,165.0 | 3,478.0 | 64.0 | 313.0 |
| Business | 3,102.0 | 2,911.0 | 2,930.7 | (171.3) | 19.7 |
| Other | 592.0 | 614.0 | 572.1 | (19.9) | (41.9) |
| Miscellaneous Receipts | 5,193.0 | 5,639.0 | 5,680.2 | 487.2 | 41.2 |
| Federal Receipts | - | - | 0.1 | 0.1 | 0.1 |
| Transfers From: | | | | | |
| PIT / ECET in excess of Revenue Bond Debt Service | 10,938.0 | 10,777.0 | 11,010.4 | 72.4 | 233.4 |
| Sales Tax in excess of LGAC / STRBF Debt Service | 2,474.0 | 2,239.0 | 2,510.4 | 36.4 | 271.4 |
| Real Estate Taxes in excess of CW/CA Debt Service | 291.0 | 307.0 | 298.6 | 7.6 | (8.4) |
| All Other | 688.0 | 842.0 | 825.3 | 137.3 | (16.7) |
| Total Receipts and Other Financing Sources | 39,570.0 | 39,676.0 | 40,735.2 | 1,165.2 | 1,059.2 |
| DISBURSEMENTS: | | | | | |
| Local Assistance Grants | 24,249.0 | 22,350.0 | 22,262.1 | (1,986.9) | (87.9) |
| Departmental Operations | 5,968.0 | 4,870.0 | 5,037.2 | (930.8) | 167.2 |
| General State Charges | 4,476.0 | 4,383.0 | 4,385.9 | (90.1) | 2.9 |
| Transfers To: | | | | | |
| Debt Service | 103.0 | 79.0 | 91.1 | (11.9) | 12.1 |
| Capital Projects | 2,114.0 | 1,512.0 | 1,067.6 | (1,046.4) | (444.4) |
| State Share Medicaid | - | - | 59.1 | 59.1 | 59.1 |
| SUNY Operations | 1,107.0 | 939.0 | 865.0 | (242.0) | (74.0) |
| Other Purposes | 559.0 | 659.0 | 469.6 | (89.4) | (189.4) |
| Total Disbursements and Other Financing Uses | 38,576.0 | 34,792.0 | 34,237.6 | (4,338.4) | (554.4) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 994.0 | 4,884.0 | 6,497.6 | 5,503.6 | 1,613.6 |
| Fund Balances (Deficits) at April 1 | 8,944.0 | 8,944.0 | 8,944.2 | 0.2 | 0.2 |
| Fund Balances (Deficits) at September 30, 2020 | \$ 9,938.0 | \$ 13,828.0 | \$ 15,441.8 | \$ 5,503.8 | \$ 1,613.8 |

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 First Quarter Update dated August 13, 2020.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2020
 (amounts in millions)

EXHIBIT D

| SPECIAL REVENUE FUNDS | | | | | | | |
|----------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------------|-------------------|----------------|-------------------|---------------------------------------------------------|---------------------------------------------------------|
| | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Eliminations | Total | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan |
| RECEIPTS: | | | | | | | |
| Taxes: | | | | | | | |
| Personal Income | \$ - | \$ - | \$ 0.1 | \$ - | \$ 0.1 | \$ 0.1 | \$ 0.1 |
| Consumption/Use | 879.0 | 829.0 | 879.2 | - | 879.2 | 0.2 | 50.2 |
| Business | 842.0 | 804.0 | 828.7 | - | 828.7 | (13.3) | 24.7 |
| Miscellaneous Receipts | 8,116.0 | 7,713.0 | 8,045.1 | - | 8,045.1 | (70.9) | 332.1 |
| Federal Receipts | 36,507.0 | 40,966.0 | 41,787.0 | - | 41,787.0 | 5,280.0 | 821.0 |
| Transfers from Other Funds (***) | 1,887.0 | 1,728.0 | 1,619.3 | (247.7) | 1,371.6 | (515.4) | (356.4) |
| Total Receipts and Other Financing Sources | 48,231.0 | 52,040.0 | 53,159.4 | (247.7) | 52,911.7 | 4,680.7 | 871.7 |
| DISBURSEMENTS: | | | | | | | |
| Local Assistance Grants | 38,584.0 | 38,582.0 | 42,040.9 | - | 42,040.9 | 3,456.9 | 3,458.9 |
| Departmental Operations | 4,889.0 | 6,426.0 | 6,059.9 | - | 6,059.9 | 1,170.9 | (366.1) |
| General State Charges | 654.0 | 692.0 | 667.8 | - | 667.8 | 13.8 | (24.2) |
| Capital Projects | - | - | 2.3 | - | 2.3 | 2.3 | 2.3 |
| Transfers to Other Funds (***) | 1,003.0 | 974.0 | 1,190.3 | (247.7) | 942.6 | (60.4) | (31.4) |
| Total Disbursements and Other Financing Uses | 45,130.0 | 46,674.0 | 49,961.2 | (247.7) | 49,713.5 | 4,583.5 | 3,039.5 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 3,101.0 | 5,366.0 | 3,198.2 | - | 3,198.2 | 97.2 | (2,167.8) |
| Fund Balances (Deficits) at April 1 | 6,312.0 | 6,311.0 | 6,312.1 | - | 6,312.1 | 0.1 | 1.1 |
| Fund Balances (Deficits) at September 30, 2020 | \$ 9,413.0 | \$ 11,677.0 | \$ 9,510.3 | \$ - | \$ 9,510.3 | \$ 97.3 | \$ (2,166.7) |

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 First Quarter Update dated August 13, 2020.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2020
 (amounts in millions)

EXHIBIT D

| | STATE SPECIAL REVENUE FUNDS | | | | | FEDERAL SPECIAL REVENUE FUNDS | | | | |
|----------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------------|-------------------|---------------------------------------------------------|---------------------------------------------------------|----------------------------------|-----------------------------------|-------------------|---------------------------------------------------------|---------------------------------------------------------|
| | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan |
| RECEIPTS: | | | | | | | | | | |
| Taxes: | | | | | | | | | | |
| Personal Income | \$ - | \$ - | \$ 0.1 | \$ 0.1 | \$ 0.1 | \$ - | \$ - | \$ - | \$ - | \$ - |
| Consumption/Use | 879.0 | 829.0 | 879.2 | 0.2 | 50.2 | - | - | - | - | - |
| Business | 842.0 | 804.0 | 828.7 | (13.3) | 24.7 | - | - | - | - | - |
| Miscellaneous Receipts | 8,012.0 | 7,609.0 | 7,930.6 | (81.4) | 321.6 | 104.0 | 104.0 | 114.5 | 10.5 | 10.5 |
| Federal Receipts | - | - | 27.9 | 27.9 | 27.9 | 36,507.0 | 40,966.0 | 41,759.1 | 5,252.1 | 793.1 |
| Transfers from Other Funds | 1,887.0 | 1,728.0 | 1,619.3 | (267.7) | (108.7) | - | - | - | - | - |
| Total Receipts and Other Financing Sources | 11,620.0 | 10,970.0 | 11,285.8 | (334.2) | 315.8 | 36,611.0 | 41,070.0 | 41,873.6 | 5,262.6 | 803.6 |
| DISBURSEMENTS: | | | | | | | | | | |
| Local Assistance Grants | 7,368.0 | 6,914.0 | 7,423.8 | 55.8 | 509.8 | 31,216.0 | 31,668.0 | 34,617.1 | 3,401.1 | 2,949.1 |
| Departmental Operations | 3,827.0 | 3,787.0 | 3,872.5 | 45.5 | 85.5 | 1,062.0 | 2,639.0 | 2,187.4 | 1,125.4 | (451.6) |
| General State Charges | 476.0 | 379.0 | 403.6 | (72.4) | 24.6 | 178.0 | 313.0 | 264.2 | 86.2 | (48.8) |
| Capital Projects | - | - | - | - | - | - | - | 2.3 | 2.3 | 2.3 |
| Transfers to Other Funds | 97.0 | 85.0 | 182.7 | 85.7 | 97.7 | 906.0 | 889.0 | 1,007.6 | 101.6 | 118.6 |
| Total Disbursements and Other Financing Uses | 11,768.0 | 11,165.0 | 11,882.6 | 114.6 | 717.6 | 33,362.0 | 35,509.0 | 38,078.6 | 4,716.6 | 2,569.6 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (148.0) | (195.0) | (596.8) | (448.8) | (401.8) | 3,249.0 | 5,561.0 | 3,795.0 | 546.0 | (1,766.0) |
| Fund Balances (Deficits) at April 1 | 5,401.0 | 5,400.0 | 5,400.7 | (0.3) | 0.7 | 911.0 | 911.0 | 911.4 | 0.4 | 0.4 |
| Fund Balances (Deficits) at September 30, 2020 | \$ 5,253.0 | \$ 5,205.0 | \$ 4,803.9 | \$ (449.1) | \$ (401.1) | \$ 4,160.0 | \$ 6,472.0 | \$ 4,706.4 | \$ 546.4 | \$ (1,765.6) |

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
 (**) Source: 2020-21 First Quarter Update dated August 13, 2020.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2020
 (amounts in millions)

EXHIBIT D

DEBT SERVICE FUNDS

| | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan |
|------------------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------------|-------------------|---------------------------------------------------------|---------------------------------------------------------|
| RECEIPTS: | | | | | |
| Taxes: | | | | | |
| Personal Income | \$ 12,878.0 | \$ 13,182.0 | \$ 13,429.5 | \$ 551.5 | \$ 247.5 |
| Consumption/Use | 3,090.0 | 2,854.0 | 3,110.8 | 20.8 | 256.8 |
| Other | 315.0 | 333.0 | 323.6 | 8.6 | (9.4) |
| Miscellaneous Receipts | 181.0 | 180.0 | 232.1 | 51.1 | 52.1 |
| Federal Receipts | 36.0 | 36.0 | 24.4 | (11.6) | (11.6) |
| Transfers from Other Funds | 1,001.0 | 1,043.0 | 1,057.5 | 56.5 | 14.5 |
| Total Receipts and Other Financing Sources | 17,501.0 | 17,628.0 | 18,177.9 | 676.9 | 549.9 |
| DISBURSEMENTS: | | | | | |
| Departmental Operations | 19.0 | 25.0 | 29.6 | 10.6 | 4.6 |
| Debt Service | 1,321.0 | 1,304.0 | 1,279.0 | (42.0) | (25.0) |
| Transfers to Other Funds | 14,350.0 | 14,125.0 | 14,595.4 | 245.4 | 470.4 |
| Total Disbursements and Other Financing Uses | 15,690.0 | 15,454.0 | 15,904.0 | 214.0 | 450.0 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 1,811.0 | 2,174.0 | 2,273.9 | 462.9 | 99.9 |
| Fund Balances (Deficits) at April 1 | 63.0 | 63.0 | 63.4 | 0.4 | 0.4 |
| Fund Balances (Deficits) at September 30, 2020 | \$ 1,874.0 | \$ 2,237.0 | \$ 2,337.3 | \$ 463.3 | \$ 100.3 |

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 First Quarter Update dated August 13, 2020.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2020
 (amounts in millions)

EXHIBIT D

| CAPITAL PROJECTS FUNDS | | | | | | | |
|----------------------------------------------------------------------------------------------------------------|-----------------------------------|---------------------|---------------------|-------------|---------------------------------------------------------|---------------------------------------------------------|-------------------|
| Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Eliminations | Total | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan | |
| RECEIPTS: | | | | | | | |
| Taxes: | | | | | | | |
| Consumption/Use | \$ 248.0 | \$ 239.0 | \$ 257.2 | \$ - | \$ 257.2 | \$ 9.2 | \$ 18.2 |
| Business | 247.0 | 243.0 | 265.9 | - | 265.9 | 18.9 | 22.9 |
| Other | 48.0 | 48.0 | 47.6 | - | 47.6 | (0.4) | (0.4) |
| Miscellaneous Receipts | 2,569.0 | 2,789.0 | 2,651.1 | - | 2,651.1 | 82.1 | (137.9) |
| Federal Receipts | 997.0 | 934.0 | 929.3 | - | 929.3 | (67.7) | (4.7) |
| Bond and Note Proceeds, net | - | - | - | - | - | - | - |
| Transfers from Other Funds | 2,161.0 | 1,542.0 | 1,093.2 | - | 1,093.2 | (1,067.8) | (448.8) |
| Total Receipts and Other Financing Sources | 6,270.0 | 5,795.0 | 5,244.3 | - | 5,244.3 | (1,025.7) | (550.7) |
| DISBURSEMENTS: | | | | | | | |
| Local Assistance Grants | 2,528.0 | 1,820.0 | 1,812.8 | - | 1,812.8 | (715.2) | (7.2) |
| Capital Projects | 4,751.0 | 4,016.0 | 3,611.1 | - | 3,611.1 | (1,139.9) | (404.9) |
| Transfers to Other Funds | 285.0 | 284.0 | 274.4 | - | 274.4 | (10.6) | (9.6) |
| Total Disbursements and Other Financing Uses | 7,564.0 | 6,120.0 | 5,698.3 | - | 5,698.3 | (1,865.7) | (421.7) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (1,294.0) | (325.0) | (454.0) | - | (454.0) | 840.0 | (129.0) |
| Fund Balances (Deficits) at April 1 | (1,035.0) | (1,035.0) | (1,034.9) | - | (1,034.9) | 0.1 | 0.1 |
| Fund Balances (Deficits) at September 30, 2020 | \$ (2,329.0) | \$ (1,360.0) | \$ (1,488.9) | \$ - | \$ (1,488.9) | \$ 840.1 | \$ (128.9) |

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(**) Source: 2020-21 First Quarter Update dated August 13, 2020.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2020-2021
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2020
 (amounts in millions)

EXHIBIT D

| | STATE CAPITAL PROJECTS FUNDS | | | | | FEDERAL CAPITAL PROJECTS FUNDS | | | | |
|----------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------------|-------------------|---------------------------------------------------------|---------------------------------------------------------|----------------------------------|-----------------------------------|-------------------|---------------------------------------------------------|---------------------------------------------------------|
| | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan | Enacted Financial Plan (*) | Updated Financial Plan (**) | Actual | Actual Over/ (Under) Enacted Financial Plan | Actual Over/ (Under) Updated Financial Plan |
| RECEIPTS: | | | | | | | | | | |
| Taxes: | | | | | | | | | | |
| Consumption/Use | \$ 248.0 | \$ 239.0 | \$ 257.2 | \$ 9.2 | \$ 18.2 | \$ - | \$ - | \$ - | \$ - | \$ - |
| Business | 247.0 | 243.0 | 265.9 | 18.9 | 22.9 | - | - | - | - | - |
| Other | 48.0 | 48.0 | 47.6 | (0.4) | (0.4) | - | - | - | - | - |
| Miscellaneous Receipts | 2,569.0 | 2,789.0 | 2,650.6 | 81.6 | (138.4) | - | - | 0.5 | 0.5 | 0.5 |
| Federal Receipts | 2.0 | 2.0 | 2.1 | 0.1 | 0.1 | 995.0 | 932.0 | 927.2 | (67.8) | (4.8) |
| Bond and Note Proceeds, net | - | - | - | - | - | - | - | - | - | - |
| Transfers from Other Funds | 2,330.0 | 1,712.0 | 1,093.2 | (1,236.8) | (618.8) | (169.0) | (170.0) | - | 169.0 | 170.0 |
| Total Receipts and Other Financing Sources | 5,444.0 | 5,033.0 | 4,316.6 | (1,127.4) | (716.4) | 826.0 | 762.0 | 927.7 | 101.7 | 165.7 |
| DISBURSEMENTS: | | | | | | | | | | |
| Local Assistance Grants | 2,206.0 | 1,563.0 | 1,571.5 | (634.5) | 8.5 | 322.0 | 257.0 | 241.3 | (80.7) | (15.7) |
| Capital Projects | 4,139.0 | 3,376.0 | 2,934.1 | (1,204.9) | (441.9) | 612.0 | 640.0 | 677.0 | 65.0 | 37.0 |
| Transfers to Other Funds | 286.0 | 284.0 | 274.4 | (11.6) | (9.6) | (1.0) | - | - | 1.0 | - |
| Total Disbursements and Other Financing Uses | 6,631.0 | 5,223.0 | 4,780.0 | (1,851.0) | (443.0) | 933.0 | 897.0 | 918.3 | (14.7) | 21.3 |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (1,187.0) | (190.0) | (463.4) | 723.6 | (273.4) | (107.0) | (135.0) | 9.4 | 116.4 | 144.4 |
| Fund Balances (Deficits) at April 1 | (471.0) | (471.0) | (472.2) | (1.2) | (1.2) | (564.0) | (564.0) | (562.7) | 1.3 | 1.3 |
| Fund Balances (Deficits) at September 30, 2020 | \$ (1,658.0) | \$ (661.0) | \$ (935.6) | \$ 722.4 | \$ (274.6) | \$ (671.0) | \$ (699.0) | \$ (553.3) | \$ 117.7 | \$ 145.7 |

(*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.
 (**) Source: 2020-21 First Quarter Update dated August 13, 2020.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMPARATIVE SCHEDULE OF TAX RECEIPTS
 (amounts in millions)

EXHIBIT E

| | GENERAL | | SPECIAL REVENUE | | DEBT SERVICE | | CAPITAL PROJECTS | | TOTAL GOVERNMENTAL FUNDS | | | | YEAR OVER YEAR | |
|-------------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|--------------------------|-------------------------------|-----------------------|-------------------------------|----------------------------|-------------------------|
| | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2020 | 6 MOS. ENDED SEP. 30, 2020 | MONTH OF SEP. 2019 | 6 MOS. ENDED SEP. 30, 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| PERSONAL INCOME TAX | | | | | | | | | | | | | | |
| Withholding | \$ 3,147.2 | \$ 18,636.0 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 3,147.2 | \$ 18,636.0 | \$ 2,851.1 | \$ 18,530.1 | \$ 105.9 | 0.6% |
| Estimated Payments | 2,510.0 | 10,735.8 | - | - | - | - | - | - | 2,510.0 | 10,735.8 | 2,742.0 | 12,311.6 | (1,575.8) | -12.8% |
| Returns | 83.4 | 2,642.5 | - | - | - | - | - | - | 83.4 | 2,642.5 | 65.5 | 2,567.5 | 75.0 | 2.9% |
| State/City Offsets | (71.7) | (454.8) | - | - | - | - | - | - | (71.7) | (454.8) | (47.2) | (443.0) | 11.8 | 2.7% |
| Other (Assessments/LLC) | 97.9 | 506.5 | - | - | - | - | - | - | 97.9 | 506.5 | 91.7 | 648.4 | (141.9) | -21.9% |
| Gross Receipts | 5,766.8 | 32,066.0 | - | - | - | - | - | - | 5,766.8 | 32,066.0 | 5,703.1 | 33,614.6 | (1,548.6) | -4.6% |
| Transfers to School Tax Relief Fund | (0.1) | (0.1) | 0.1 | 0.1 | - | - | - | - | - | - | - | - | - | 0.0% |
| Transfers to Revenue Bond Tax Fund | (2,635.7) | (13,429.5) | - | - | 2,635.7 | 13,429.5 | - | - | - | - | - | - | - | 0.0% |
| Less: Refunds Issued | (495.4) | (5,207.0) | - | - | - | - | - | - | (495.4) | (5,207.0) | (871.7) | (5,633.2) | (426.2) | -7.6% |
| Total | 2,635.6 | 13,429.4 | 0.1 | 0.1 | 2,635.7 | 13,429.5 | - | - | 5,271.4 | 26,859.0 | 4,831.4 | 27,981.4 | (1,122.4) | -4.0% |
| CONSUMPTION/USE TAXES | | | | | | | | | | | | | | |
| Sales and Use | 748.0 | 3,151.7 | 83.3 | 426.7 | 708.9 | 3,110.8 | - | - | 1,540.2 | 6,689.2 | 1,628.2 | 8,079.9 | (1,390.7) | -17.2% |
| Auto Rental | - | - | (1.1) | 4.9 | - | - | 15.6 | 26.4 | 14.5 | 31.3 | 41.0 | 64.5 | (33.2) | -51.5% |
| Cigarette/Tobacco Products | 32.4 | 166.6 | 71.0 | 380.5 | - | - | - | - | 103.4 | 547.1 | 87.7 | 544.9 | 2.2 | 0.4% |
| Medical Marihuana | - | - | 0.7 | 3.9 | - | - | - | - | 0.7 | 3.9 | 0.5 | 2.8 | 1.1 | 39.3% |
| Motor Fuel | - | - | 8.9 | 44.3 | - | - | 32.7 | 162.7 | 41.6 | 207.0 | 43.2 | 266.6 | (59.6) | -22.4% |
| Alcoholic Beverage | 23.3 | 143.3 | - | - | - | - | - | - | 23.3 | 143.3 | 23.5 | 135.5 | 7.8 | 5.8% |
| Highway Use | - | - | - | 0.2 | - | - | 12.7 | 68.1 | 12.7 | 68.3 | 11.1 | 71.1 | (2.8) | -3.9% |
| Vapor Excise | - | - | 7.4 | 18.7 | - | - | - | - | 7.4 | 18.7 | - | - | 18.7 | 100.0% |
| Opioid Excise | 0.3 | 16.4 | - | - | - | - | - | - | 0.3 | 16.4 | - | - | 16.4 | 100.0% |
| Total | 804.0 | 3,478.0 | 170.2 | 879.2 | 708.9 | 3,110.8 | 61.0 | 257.2 | 1,744.1 | 7,725.2 | 1,835.2 | 9,165.3 | (1,440.1) | -15.7% |
| BUSINESS TAXES | | | | | | | | | | | | | | |
| Corporation Franchise | 835.0 | 1,815.5 | 165.7 | 455.3 | - | - | - | - | 1,000.7 | 2,270.8 | 941.3 | 2,296.5 | (25.7) | -1.1% |
| Corporation and Utilities | 79.7 | 180.5 | 24.4 | 55.8 | - | - | 2.2 | 5.1 | 106.3 | 241.4 | 141.0 | 293.4 | (52.0) | -17.7% |
| Insurance | 348.4 | 788.2 | 43.3 | 93.5 | - | - | - | - | 391.7 | 881.7 | 436.8 | 1,042.1 | (160.4) | -15.4% |
| Bank | 18.4 | 146.5 | (3.1) | 17.8 | - | - | - | - | 15.3 | 164.3 | (1.4) | 1.2 | 163.1 | 13,591.7% |
| Petroleum Business | - | - | 43.2 | 206.3 | - | - | 55.2 | 260.8 | 98.4 | 467.1 | 100.7 | 605.4 | (138.3) | -22.8% |
| Total | 1,281.5 | 2,930.7 | 273.5 | 828.7 | - | - | 57.4 | 265.9 | 1,612.4 | 4,025.3 | 1,618.4 | 4,238.6 | (213.3) | -5.0% |
| OTHER TAXES | | | | | | | | | | | | | | |
| Real Property Gains | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Estate and Gift | 91.3 | 566.8 | - | - | - | - | - | - | 91.3 | 566.8 | 53.8 | 419.5 | 147.3 | 35.1% |
| Pari-Mutuel | 0.7 | 4.8 | - | - | - | - | - | - | 0.7 | 4.8 | 2.1 | 9.1 | (4.3) | -47.3% |
| Real Estate Transfer | - | - | - | - | 70.3 | 323.2 | 11.9 | 47.6 | 82.2 | 370.8 | 97.2 | 586.2 | (215.4) | -36.7% |
| Racing and Exhibitions | - | 0.1 | - | - | - | - | - | - | - | 0.1 | - | 0.9 | (0.8) | -88.9% |
| Employer Compensation Expense Tax | 0.1 | 0.4 | - | - | 0.1 | 0.4 | - | - | 0.2 | 0.8 | 0.1 | 0.7 | 0.1 | 14.3% |
| Total | 92.1 | 572.1 | - | - | 70.4 | 323.6 | 11.9 | 47.6 | 174.4 | 943.3 | 153.2 | 1,016.4 | (73.1) | -7.2% |
| Total Tax Receipts | \$ 4,813.2 | \$ 20,410.2 | \$ 443.8 | \$ 1,708.0 | \$ 3,415.0 | \$ 16,863.9 | \$ 130.3 | \$ 570.7 | \$ 8,802.3 | \$ 39,552.8 | \$ 8,438.2 | \$ 42,401.7 | \$ (2,848.9) | -6.7% |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)**

| | 2020 | | | | | | 2021 | | | | | | 6 Months Ended September 30 | | | |
|-------------------------------------|----------------|----------------|----------------|-----------------|----------------|----------------|---------|----------|----------|---------|----------|-------|-----------------------------|-----------------|--------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ Decrease | % Increase/ Decrease |
| Beginning Fund Balance | \$ 14,284.8 | \$ 20,544.4 | \$ 17,650.3 | \$ 20,623.6 | \$ 28,288.0 | \$ 27,457.2 | | | | | | | \$ 14,284.8 | \$ 9,975.0 | \$ 4,309.8 | 43.2% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Taxes: | | | | | | | | | | | | | | | | |
| Personal Income Tax: | | | | | | | | | | | | | | | | |
| Withholdings | 3,187.3 | 2,928.3 | 3,096.3 | 3,400.3 | 2,876.6 | 3,147.2 | | | | | | | 18,636.0 | 18,530.1 | 105.9 | 0.6% |
| Estimated Payments | 211.6 | 70.9 | 1,493.0 | 6,329.0 | 121.3 | 2,510.0 | | | | | | | 10,735.8 | 12,311.6 | (1,575.8) | -12.8% |
| Returns | 339.1 | 124.7 | 260.9 | 1,765.1 | 69.3 | 83.4 | | | | | | | 2,642.5 | 2,567.5 | 75.0 | 2.9% |
| State/City Offsets | (69.8) | (39.8) | (58.4) | (187.0) | (28.1) | (71.7) | | | | | | | (454.8) | (443.0) | 11.8 | 2.7% |
| Other (Assessments/LLC) | 107.4 | 60.1 | 63.0 | 103.1 | 75.0 | 97.9 | | | | | | | 506.5 | 648.4 | (141.9) | -21.9% |
| Gross Receipts | 3,775.6 | 3,144.2 | 4,854.8 | 11,410.5 | 3,114.1 | 5,766.8 | - | - | - | - | - | - | 32,066.0 | 33,614.6 | (1,548.6) | -4.6% |
| Transfers to School Tax Relief Fund | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Transfers to Revenue Bond Tax Fund | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Refunds Issued | (1,709.4) | (945.0) | (486.3) | (1,179.8) | (391.1) | (495.4) | | | | | | | (5,207.0) | (5,633.2) | (426.2) | -7.6% |
| Total Personal Income Tax | 2,066.2 | 2,199.2 | 4,368.5 | 10,230.7 | 2,723.0 | 5,271.4 | - | - | - | - | - | - | 26,859.0 | 27,981.4 | (1,122.4) | -4.0% |
| Consumption/Use Taxes: | | | | | | | | | | | | | | | | |
| Sales and Use | 869.4 | 790.8 | 1,210.0 | 1,132.4 | 1,146.4 | 1,540.2 | | | | | | | 6,689.2 | 8,079.9 | (1,390.7) | -17.2% |
| Auto Rental | 0.5 | (1.4) | 13.5 | 2.1 | 2.1 | 14.5 | | | | | | | 31.3 | 64.5 | (33.2) | -51.5% |
| Cigarette/Tobacco Products | 98.8 | 74.0 | 86.1 | 97.8 | 87.0 | 103.4 | | | | | | | 547.1 | 544.9 | 2.2 | 0.4% |
| Medical Marijuana | 0.5 | 0.6 | 0.7 | 0.6 | 0.8 | 0.7 | | | | | | | 3.9 | 2.8 | 1.1 | 39.3% |
| Motor Fuel | 30.3 | 21.4 | 31.5 | 39.7 | 42.5 | 41.6 | | | | | | | 207.0 | 266.6 | (59.6) | -22.4% |
| Alcoholic Beverage | 26.7 | 21.4 | 22.8 | 26.0 | 23.1 | 23.3 | | | | | | | 143.3 | 135.5 | 7.8 | 5.8% |
| Highway Use | 11.6 | 8.9 | 12.4 | 12.4 | 10.3 | 12.7 | | | | | | | 68.3 | 71.1 | (2.8) | -3.9% |
| Vapor Excise | - | 0.1 | 11.7 | (0.4) | (0.1) | 7.4 | | | | | | | 18.7 | - | 18.7 | 100.0% |
| Opioid Excise | 7.2 | - | - | 8.9 | - | 0.3 | | | | | | | 16.4 | - | 16.4 | 100.0% |
| Total Consumption/Use Taxes | 1,045.0 | 915.8 | 1,388.7 | 1,319.5 | 1,312.1 | 1,744.1 | - | - | - | - | - | - | 7,725.2 | 9,165.3 | (1,440.1) | -15.7% |
| Business Taxes: | | | | | | | | | | | | | | | | |
| Corporation Franchise | 254.4 | (134.7) | 557.5 | 563.2 | 29.7 | 1,000.7 | | | | | | | 2,270.8 | 2,296.5 | (25.7) | -1.1% |
| Corporation and Utilities | 15.6 | (11.0) | 94.9 | 33.3 | 2.3 | 106.3 | | | | | | | 241.4 | 293.4 | (52.0) | -17.7% |
| Insurance | 70.2 | 6.5 | 364.0 | 33.3 | 16.0 | 391.7 | | | | | | | 881.7 | 1,042.1 | (160.4) | -15.4% |
| Bank | 7.4 | 2.6 | 91.8 | 0.7 | 46.5 | 15.3 | | | | | | | 164.3 | 1.2 | 163.1 | 13,591.7% |
| Petroleum Business | 68.3 | 39.8 | 85.7 | 87.9 | 87.0 | 98.4 | | | | | | | 467.1 | 605.4 | (138.3) | -22.8% |
| Total Business Taxes | 415.9 | (96.8) | 1,193.9 | 718.4 | 181.5 | 1,612.4 | - | - | - | - | - | - | 4,025.3 | 4,238.6 | (213.3) | -5.0% |
| Other Taxes: | | | | | | | | | | | | | | | | |
| Real Property Gains | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Estate and Gift | 72.7 | 52.0 | 147.3 | 147.7 | 55.8 | 91.3 | | | | | | | 566.8 | 419.5 | 147.3 | 35.1% |
| Pari-Mutuel | 0.7 | 0.2 | 0.8 | 1.0 | 1.4 | 0.7 | | | | | | | 4.8 | 9.1 | (4.3) | -47.3% |
| Real Estate Transfer | 57.2 | 48.4 | 49.8 | 64.9 | 68.3 | 82.2 | | | | | | | 370.8 | 586.2 | (215.4) | -36.7% |
| Racing and Exhibitions | 0.1 | - | - | - | - | - | | | | | | | 0.1 | 0.9 | (0.8) | -88.9% |
| Employer Compensation Expense Tax | 0.2 | (0.2) | 0.2 | 0.2 | 0.2 | 0.2 | | | | | | | 0.8 | 0.7 | 0.1 | 14.3% |
| Total Other Taxes | 130.9 | 100.4 | 198.1 | 213.8 | 125.7 | 174.4 | - | - | - | - | - | - | 943.3 | 1,016.4 | (73.1) | -7.2% |
| Total Taxes | 3,658.0 | 3,118.6 | 7,149.2 | 12,482.4 | 4,342.3 | 8,802.3 | - | - | - | - | - | - | 39,552.8 | 42,401.7 | (2,848.9) | -6.7% |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | |
| Abandoned Property | 1.6 | 0.7 | 0.8 | 0.9 | 25.9 | 86.0 | | | | | | | 115.9 | 41.9 | 74.0 | 176.6% |
| Bottle Bill | 0.7 | 0.3 | 20.6 | 18.4 | 0.1 | 43.2 | | | | | | | 83.3 | 71.2 | 12.1 | 17.0% |
| Assessments: | | | | | | | | | | | | | | | | |
| Business | 54.6 | 66.0 | 88.0 | 78.5 | 48.6 | 72.7 | | | | | | | 408.4 | 466.3 | (57.9) | -12.4% |
| Medical Care | 571.2 | 466.8 | 506.1 | 442.6 | 463.6 | 520.0 | | | | | | | 2,970.3 | 3,292.5 | (322.2) | -9.8% |
| Public Utilities | 0.1 | - | 0.4 | 4.4 | 0.4 | 45.4 | | | | | | | 50.7 | 50.9 | (0.2) | -0.4% |
| Other | - | 0.1 | - | - | - | - | | | | | | | 0.1 | 0.5 | (0.4) | -80.0% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | |
| Alcohol Beverage Control Licensing | 2.2 | 2.9 | 2.9 | 4.9 | 4.8 | 5.4 | | | | | | | 23.1 | 37.0 | (13.9) | -37.6% |
| Audit Fees | - | - | 0.1 | 0.2 | 0.7 | 0.7 | | | | | | | 1.7 | 2.6 | (0.9) | -34.6% |
| Business/Professional: | 69.0 | 71.9 | 116.0 | 55.6 | 55.3 | 128.6 | | | | | | | 496.4 | 496.5 | (0.1) | 0.0% |
| Civil | 4.3 | 3.3 | 5.5 | 3.1 | 88.8 | (9.8) | | | | | | | 95.2 | 144.4 | (49.2) | -34.1% |
| Criminal | 0.6 | 0.4 | 0.2 | 1.6 | - | 0.2 | | | | | | | 3.0 | 4.3 | (1.3) | -30.2% |
| Motor Vehicle | (29.8) | - | 187.3 | 200.9 | 103.6 | 128.9 | | | | | | | 590.9 | 693.4 | (102.5) | -14.8% |
| Recreational/Consumer | 43.0 | - | 36.6 | 34.2 | 43.3 | 155.0 | | | | | | | 312.1 | 467.1 | (155.0) | -33.2% |
| Fines, Penalties and Forfeitures | 100.9 | 233.7 | 18.3 | 201.3 | 6.9 | 16.3 | | | | | | | 577.4 | 1,111.2 | (533.8) | -48.0% |
| Gaming: | | | | | | | | | | | | | | | | |
| Casino | - | - | - | 20.8 | - | 8.0 | | | | | | | 28.8 | 142.9 | (114.1) | -79.8% |
| Lottery | 157.0 | 142.1 | 173.8 | 202.2 | 195.9 | 199.3 | | | | | | | 1,070.3 | 1,254.9 | (184.6) | -14.7% |
| Video Lottery | - | 0.6 | - | (0.4) | - | 33.8 | | | | | | | - | 471.8 | (437.8) | -92.8% |
| Interest Earnings | 32.9 | 18.2 | 9.2 | 5.2 | 6.7 | 6.2 | | | | | | | 78.4 | 238.9 | (160.5) | -67.2% |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | |
| Bond Proceeds | 1,122.1 | 1,019.1 | 3,842.4 | 269.9 | 32.4 | 384.7 | | | | | | | 6,670.6 | 1,083.6 | 5,587.0 | 515.6% |
| Cost Recovery Assessments | - | - | - | - | - | - | | | | | | | - | 33.2 | (33.2) | -100.0% |
| Issuance Fees | 0.5 | 1.4 | 25.5 | 24.7 | - | 1.8 | | | | | | | 53.9 | 25.9 | 28.0 | 108.1% |
| Non Bond Related | 9.0 | 0.3 | 0.5 | 4.3 | 2.3 | 0.1 | | | | | | | 16.5 | 53.8 | (37.3) | -69.3% |
| Receipts from Municipalities | 9.3 | 2.5 | 3.9 | 3.8 | 2.0 | 4.7 | | | | | | | 26.2 | 49.3 | (23.1) | -46.9% |
| Rentals | (4.6) | (41.7) | 1.8 | 4.2 | 7.8 | 35.9 | | | | | | | 3.4 | 112.6 | (109.2) | -97.0% |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)**

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------|-------------|-------------|-----------------|-------------|-------------|-----------------------------|--------------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Revenues of State Departments: | | | | | | | | | | | | | | | | |
| Administrative Recoveries | 25.0 | 8.8 | 25.4 | 9.1 | 8.9 | 25.6 | | | | | | | 102.8 | 73.6 | 29.2 | 39.7% |
| Commissions | 0.6 | (0.3) | 0.2 | 0.2 | - | 1.3 | | | | | | | 2.0 | 3.4 | (1.4) | -41.2% |
| Commissions - Asset Conversion | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Gifts, Grants and Donations | 0.6 | 2.2 | 27.9 | 0.7 | 1.9 | 2.1 | | | | | | | 35.4 | 17.6 | 17.8 | 101.1% |
| Indirect Cost Recoveries | 5.5 | 5.4 | 7.4 | 5.9 | 6.1 | 5.7 | | | | | | | 36.0 | 40.8 | (4.8) | -11.8% |
| Patient/Client Care Reimbursement | 526.5 | 372.8 | 350.6 | 227.5 | 221.8 | 79.0 | | | | | | | 1,778.2 | 1,346.0 | 432.2 | 32.1% |
| Rebates | 7.8 | 15.3 | 13.2 | 17.7 | 12.7 | 12.7 | | | | | | | 79.4 | 84.4 | (5.0) | -5.9% |
| Restitution and Settlements | 7.0 | 0.6 | 0.6 | 0.3 | 42.7 | 5.3 | | | | | | | 56.5 | 18.7 | 37.8 | 202.1% |
| Student Loans | 6.1 | 1.4 | 3.2 | 6.4 | 6.8 | 3.7 | | | | | | | 27.6 | 39.2 | (11.6) | -29.6% |
| All Other | (19.5) | 10.7 | 30.0 | 75.6 | 46.8 | 65.5 | | | | | | | 209.1 | 353.0 | (143.9) | -40.8% |
| Sales | 0.5 | 0.6 | 2.6 | 3.0 | 0.6 | 0.7 | | | | | | | 8.0 | 14.1 | (6.1) | -43.3% |
| Tuition | (67.5) | 33.6 | 56.9 | 50.9 | 113.3 | 375.7 | | | | | | | 562.9 | 729.9 | (167.0) | -22.9% |
| Total Miscellaneous Receipts | 2,637.2 | 2,439.7 | 5,557.9 | 1,978.6 | 1,550.7 | 2,444.4 | - | - | - | - | - | - | 16,608.5 | 13,067.4 | 3,541.1 | 27.1% |
| Federal Receipts | 10,863.1 | 4,207.0 | 7,520.2 | 5,423.2 | 4,591.2 | 10,136.1 | - | - | - | - | - | - | 42,740.8 | 32,026.5 | 10,714.3 | 33.5% |
| Total Receipts | 17,158.3 | 9,765.3 | 20,227.3 | 19,884.2 | 10,484.2 | 21,382.8 | - | - | - | - | - | - | 98,902.1 | 87,495.6 | 11,406.5 | 13.0% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | |
| Education | 1,149.2 | 4,132.7 | 3,964.9 | 799.2 | 1,070.5 | 4,157.3 | | | | | | | 15,273.8 | 16,568.8 | (1,295.0) | -7.8% |
| Environment and Recreation | 4.5 | 3.7 | 14.0 | 12.9 | 20.6 | 15.6 | | | | | | | 71.3 | 110.0 | (38.7) | -35.2% |
| General Government | 48.5 | 17.7 | 543.7 | 67.4 | 109.0 | 3,937.2 | | | | | | | 4,723.5 | 1,237.3 | 3,486.2 | 281.8% |
| Public Health: | | | | | | | | | | | | | | | | |
| Medicaid | 5,410.3 | 5,099.6 | 6,082.5 | 5,357.6 | 5,292.8 | 7,004.6 | | | | | | | 34,247.4 | 32,894.3 | 1,353.1 | 4.1% |
| Other Public Health | 602.5 | 638.5 | 980.4 | 1,028.7 | 701.7 | 1,028.5 | | | | | | | 5,030.3 | 5,107.8 | (77.5) | -1.5% |
| Public Safety | 95.3 | 63.6 | 163.3 | 265.2 | 71.2 | 151.0 | | | | | | | 809.6 | 696.9 | 112.7 | 16.2% |
| Public Welfare | 211.8 | 217.7 | 388.7 | 850.8 | 364.1 | 1,487.5 | | | | | | | 3,520.6 | 2,527.1 | 993.5 | 39.3% |
| Support and Regulate Business | 48.1 | 15.1 | 35.9 | 26.7 | 84.5 | 22.3 | | | | | | | 232.6 | 613.4 | (380.8) | -62.1% |
| Transportation | 90.0 | 90.9 | 72.6 | 825.5 | 509.6 | 618.1 | | | | | | | 2,206.7 | 2,749.2 | (542.5) | -19.7% |
| Total Local Assistance Grants | 7,660.2 | 10,279.5 | 12,246.0 | 9,234.0 | 8,224.0 | 18,472.1 | - | - | - | - | - | - | 66,115.8 | 62,504.8 | 3,611.0 | 5.8% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | 1,569.5 | 1,135.9 | 1,116.4 | 1,278.8 | 1,053.9 | 1,527.0 | | | | | | | 7,681.5 | 7,544.5 | 137.0 | 1.8% |
| Non-Personal Service | 584.1 | 417.0 | 504.4 | 577.1 | 605.9 | 756.7 | | | | | | | 3,445.2 | 3,257.0 | 188.2 | 5.8% |
| General State Charges | 535.2 | 395.0 | 2,621.5 | 471.9 | 414.0 | 616.1 | | | | | | | 5,053.7 | 5,343.5 | (289.8) | -5.4% |
| Debt Service, Including Payments on | | | | | | | | | | | | | | | | |
| Financing Agreements | 36.5 | 23.5 | 28.9 | 10.7 | 337.6 | 841.8 | | | | | | | 1,279.0 | 976.6 | 302.4 | 31.0% |
| Capital Projects | 509.8 | 406.0 | 681.8 | 641.4 | 667.7 | 706.7 | | | | | | | 3,613.4 | 3,432.3 | 181.1 | 5.3% |
| Total Disbursements | 10,895.3 | 12,656.9 | 17,199.0 | 12,213.9 | 11,303.1 | 22,920.4 | - | - | - | - | - | - | 87,188.6 | 83,058.7 | 4,129.9 | 5.0% |
| Excess (Deficiency) of Receipts over Disbursements | 6,263.0 | (2,891.6) | 3,028.3 | 7,670.3 | (818.9) | (1,537.6) | - | - | - | - | - | - | 11,713.5 | 4,436.9 | 7,276.6 | 164.0% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Bond and Note Proceeds (net) | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Transfers from Other Funds | 1,063.1 | 1,858.4 | 4,185.9 | 5,857.2 | 1,882.7 | 3,319.7 | | | | | | | 18,167.0 | 24,646.6 | (6,479.6) | -26.3% |
| Transfers to Other Funds | (1,066.5) | (1,860.9) | (4,240.9) | (5,863.1) | (1,894.6) | (3,438.8) | | | | | | | (18,364.8) | (24,748.3) | (6,383.5) | -25.8% |
| Total Other Financing Sources (Uses) | (3.4) | (2.5) | (55.0) | (5.9) | (11.9) | (119.1) | - | - | - | - | - | - | (197.8) | (101.7) | (96.1) | -94.5% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 6,259.6 | (2,894.1) | 2,973.3 | 7,664.4 | (830.8) | (1,656.7) | - | - | - | - | - | - | 11,515.7 | 4,335.2 | 7,180.5 | 165.6% |
| Ending Fund Balance | \$ 20,544.4 | \$ 17,650.3 | \$ 20,623.6 | \$ 28,288.0 | \$ 27,457.2 | \$ 25,800.5 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 25,800.5 | \$ 14,310.2 | \$ 11,490.3 | 80.3% |

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)**

| | 2020 | | | | | | 2021 | | | | | | 6 Months Ended September 30 | | | |
|-------------------------------------|----------------|----------------|----------------|-----------------|----------------|----------------|---------|----------|----------|---------|----------|-------|-----------------------------|-----------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ 14,408.3 | \$ 16,171.6 | \$ 13,542.7 | \$ 14,605.2 | \$ 22,665.9 | \$ 22,416.0 | | | | | | | \$ 14,408.3 | \$ 12,361.3 | \$ 2,047.0 | 16.6% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Taxes: | | | | | | | | | | | | | | | | |
| Personal Income Tax: | | | | | | | | | | | | | | | | |
| Withholdings | 3,187.3 | 2,928.3 | 3,096.3 | 3,400.3 | 2,876.6 | 3,147.2 | | | | | | | 18,636.0 | 18,530.1 | 105.9 | 0.6% |
| Estimated Payments | 211.6 | 70.9 | 1,493.0 | 6,329.0 | 121.3 | 2,510.0 | | | | | | | 10,735.8 | 12,311.6 | (1,575.8) | -12.8% |
| Returns | 339.1 | 124.7 | 260.9 | 1,765.1 | 69.3 | 83.4 | | | | | | | 2,642.5 | 2,567.5 | 75.0 | 2.9% |
| State/City Offsets | (69.8) | (39.8) | (58.4) | (187.0) | (28.1) | (71.7) | | | | | | | (454.8) | (443.0) | 11.8 | 2.7% |
| Other (Assessments/LLC) | 107.4 | 60.1 | 63.0 | 103.1 | 75.0 | 97.9 | | | | | | | 506.5 | 648.4 | (141.9) | -21.9% |
| Gross Receipts | 3,775.6 | 3,144.2 | 4,854.8 | 11,410.5 | 3,114.1 | 5,766.8 | | | | | | | 32,066.0 | 33,614.6 | (1,548.6) | -4.6% |
| Transfers to School Tax Relief Fund | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Transfers to Revenue Bond Tax Fund | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Refunds Issued | (1,709.4) | (945.0) | (486.3) | (1,179.8) | (391.1) | (495.4) | | | | | | | (5,207.0) | (5,633.2) | (426.2) | -7.6% |
| Total Personal Income Tax | 2,066.2 | 2,199.2 | 4,368.5 | 10,230.7 | 2,723.0 | 5,271.4 | | | | | | | 26,859.0 | 27,981.4 | (1,122.4) | -4.0% |
| Consumption/Use Taxes: | | | | | | | | | | | | | | | | |
| Sales and Use | 869.4 | 790.8 | 1,210.0 | 1,132.4 | 1,146.4 | 1,540.2 | | | | | | | 6,889.2 | 8,079.9 | (1,390.7) | -17.2% |
| Auto Rental | (0.1) | (1.5) | 3.4 | 2.1 | 2.1 | (1.1) | | | | | | | 4.9 | 7.3 | (2.4) | -32.9% |
| Cigarette/Tobacco Products | 98.8 | 74.0 | 86.1 | 97.8 | 87.0 | 103.4 | | | | | | | 547.1 | 544.9 | 2.2 | 0.4% |
| Medical Marijuana | 0.5 | 0.6 | 0.7 | 0.6 | 0.8 | 0.7 | | | | | | | 3.9 | 2.8 | 1.1 | 39.3% |
| Motor Fuel | 6.5 | 4.7 | 6.6 | 8.5 | 9.1 | 8.9 | | | | | | | 44.3 | 56.5 | (12.2) | -21.6% |
| Alcoholic Beverage | 26.7 | 21.4 | 22.8 | 26.0 | 23.1 | 23.3 | | | | | | | 143.3 | 135.5 | 7.8 | 5.8% |
| Highway Use | - | 0.1 | - | - | 0.1 | - | | | | | | | 0.2 | 0.3 | (0.1) | -33.3% |
| Vapor Excise | - | 0.1 | 11.7 | (0.4) | (0.1) | 7.4 | | | | | | | 18.7 | - | 18.7 | 100.0% |
| Opioid Excise | 7.2 | - | - | 8.9 | - | 0.3 | | | | | | | 16.4 | - | 16.4 | 100.0% |
| Total Consumption/Use Taxes | 1,009.0 | 890.2 | 1,341.3 | 1,275.9 | 1,268.5 | 1,683.1 | | | | | | | 7,468.0 | 8,827.2 | (1,359.2) | -15.4% |
| Business Taxes: | | | | | | | | | | | | | | | | |
| Corporation Franchise | 254.4 | (134.7) | 557.5 | 563.2 | 29.7 | 1,000.7 | | | | | | | 2,270.8 | 2,296.5 | (25.7) | -1.1% |
| Corporation and Utilities | 15.5 | (9.5) | 94.3 | 29.7 | 2.2 | 104.1 | | | | | | | 285.2 | 285.2 | (48.9) | -17.1% |
| Insurance | 70.2 | 6.5 | 364.0 | 33.3 | 16.0 | 391.7 | | | | | | | 881.7 | 1,042.1 | (160.4) | -15.4% |
| Bank | 7.4 | 2.6 | 91.8 | 0.7 | 46.5 | 15.3 | | | | | | | 164.3 | 1.2 | 163.1 | 13,591.7% |
| Petroleum Business | 30.3 | 17.6 | 37.7 | 38.8 | 38.7 | 43.2 | | | | | | | 206.3 | 265.6 | (59.3) | -22.3% |
| Total Business Taxes | 377.8 | (117.5) | 1,145.3 | 665.7 | 133.1 | 1,555.0 | | | | | | | 3,759.4 | 3,890.6 | (131.2) | -3.4% |
| Other Taxes: | | | | | | | | | | | | | | | | |
| Real Property Gains | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Estate and Gift | 72.7 | 52.0 | 147.3 | 147.7 | 55.8 | 91.3 | | | | | | | 566.8 | 419.5 | 147.3 | 35.1% |
| Pari-Mutuel | 0.7 | 0.2 | 0.8 | 1.0 | 1.4 | 0.7 | | | | | | | 4.8 | 9.1 | (4.3) | -47.3% |
| Real Estate Transfer | 57.2 | 48.4 | 37.9 | 53.0 | 56.4 | 70.3 | | | | | | | 323.2 | 538.6 | (215.4) | -40.0% |
| Racing and Exhibitions | 0.1 | - | - | - | - | - | | | | | | | 0.1 | 0.7 | (0.6) | -88.9% |
| Employer Compensation Expense Tax | 0.2 | (0.2) | 0.2 | 0.2 | 0.2 | 0.2 | | | | | | | 0.8 | 0.9 | 0.1 | 14.3% |
| Total Other Taxes | 130.9 | 100.4 | 186.2 | 201.9 | 113.8 | 162.5 | | | | | | | 895.7 | 968.8 | (73.1) | -7.5% |
| Total Taxes | 3,583.9 | 3,072.3 | 7,041.3 | 12,374.2 | 4,238.4 | 8,672.0 | | | | | | | 38,982.1 | 41,668.0 | (2,685.9) | -6.4% |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | |
| Abandoned Property | 1.6 | 0.7 | 0.8 | 0.9 | 25.9 | 86.0 | | | | | | | 115.9 | 41.9 | 74.0 | 176.6% |
| Bottle Bill | 0.7 | 0.3 | 20.6 | (4.6) | 0.1 | 43.2 | | | | | | | 60.3 | 48.2 | 12.1 | 25.1% |
| Assessments: | | | | | | | | | | | | | | | | |
| Business | 42.7 | 21.8 | 82.4 | 69.8 | 33.4 | 65.5 | | | | | | | 315.6 | 355.6 | (40.0) | -11.2% |
| Medical Care | 571.2 | 466.8 | 506.1 | 442.6 | 463.6 | 520.0 | | | | | | | 2,970.3 | 3,292.5 | (322.2) | -9.8% |
| Public Utilities | 0.1 | - | 0.4 | 4.4 | 0.4 | 45.4 | | | | | | | 50.7 | 50.9 | (0.2) | -0.4% |
| Other | - | 0.1 | - | - | - | - | | | | | | | 0.1 | 0.5 | (0.4) | -80.0% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | |
| Alcohol Beverage Control Licensing | 2.2 | 2.9 | 2.9 | 4.9 | 4.8 | 5.4 | | | | | | | 23.1 | 37.0 | (13.9) | -37.6% |
| Audit Fees | - | - | 0.1 | 0.2 | 0.7 | 0.7 | | | | | | | 1.7 | 2.6 | (0.9) | -34.6% |
| Business/Professional | 67.2 | 69.8 | 114.4 | 54.3 | 46.0 | 125.5 | | | | | | | 477.2 | 471.9 | 5.3 | 1.1% |
| Civil | 4.3 | 3.3 | 5.5 | 3.1 | 88.8 | (9.8) | | | | | | | 95.2 | 144.4 | (49.2) | -34.1% |
| Criminal | 0.6 | 0.4 | 0.2 | 1.6 | - | 0.2 | | | | | | | 3.0 | 4.3 | (1.3) | -30.2% |
| Motor Vehicle | (82.0) | (33.2) | 144.2 | 140.3 | 40.2 | 71.5 | | | | | | | 281.0 | 306.9 | (25.9) | -8.4% |
| Recreational/Consumer | 43.0 | - | 36.1 | 34.2 | 32.3 | 147.3 | | | | | | | 292.9 | 463.8 | (170.9) | -36.8% |
| Fines, Penalties and Forfeitures | 98.6 | 231.6 | 16.1 | 199.9 | 3.5 | 13.8 | | | | | | | 563.5 | 1,095.4 | (531.9) | -48.6% |
| Gaming: | | | | | | | | | | | | | | | | |
| Casino | - | - | - | 20.8 | - | 8.0 | | | | | | | 28.8 | 142.9 | (114.1) | -79.8% |
| Lottery | 157.0 | 142.1 | 173.8 | 202.2 | 195.9 | 199.3 | | | | | | | 1,070.3 | 1,254.9 | (184.6) | -14.7% |
| Video Lottery | - | 0.6 | - | (0.4) | - | 33.8 | | | | | | | 34.0 | 471.8 | (437.8) | -92.8% |
| Interest Earnings | 29.2 | 13.8 | 7.7 | 4.3 | 5.4 | 5.3 | | | | | | | 65.7 | 218.4 | (152.7) | -69.9% |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | |
| Bond Proceeds | - | 1,000.0 | 3,500.0 | - | - | - | | | | | | | 4,500.0 | - | 4,500.0 | 100.0% |
| Cost Recovery Assessments | - | - | - | - | - | - | | | | | | | - | 33.2 | (33.2) | -100.0% |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2020-2021
(amounts in millions)**

| | 2020 | | | | | | | | | | | | 2021 | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------|-------------|-------------|-------------|-------------|-------------|--------------------|--------------------|----------------------------|-------------------------|--|--|--|--|--|--|--|--|-----------------------------|--|--|--|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease | | | | | | | | | | | | |
| Issuance Fees | 0.5 | 1.4 | 25.5 | 24.7 | - | 1.8 | | | | | | | 53.9 | 25.9 | 28.0 | 108.1% | | | | | | | | | | | | |
| Non Bond Related | 8.9 | 0.3 | - | 4.2 | 1.8 | - | | | | | | | 15.2 | 49.9 | (34.7) | -69.5% | | | | | | | | | | | | |
| Receipts from Municipalities | 9.3 | 2.5 | 3.8 | 3.8 | 1.7 | 4.7 | | | | | | | 25.8 | 48.2 | (22.4) | -46.5% | | | | | | | | | | | | |
| Rentals | (5.1) | (42.8) | (0.3) | 2.0 | 4.0 | 34.7 | | | | | | | (7.5) | 107.5 | (115.0) | -107.0% | | | | | | | | | | | | |
| Revenues of State Departments: | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Administrative Recoveries | 25.0 | 8.8 | 25.4 | 9.1 | 8.9 | 25.6 | | | | | | | 102.8 | 73.6 | 29.2 | 39.7% | | | | | | | | | | | | |
| Commissions | 0.6 | (0.3) | 0.2 | 0.2 | - | 1.3 | | | | | | | 2.0 | 3.4 | (1.4) | -41.2% | | | | | | | | | | | | |
| Commissions - Asset Conversion | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | | | | | | | | | | | | |
| Gifts, Grants and Donations | 0.6 | 1.5 | 22.1 | 0.7 | 0.6 | 0.5 | | | | | | | 26.0 | 5.5 | 20.5 | 372.7% | | | | | | | | | | | | |
| Indirect Cost Recoveries | 5.5 | 5.4 | 7.4 | 5.9 | 6.1 | 5.7 | | | | | | | 36.0 | 41.7 | (5.7) | -13.7% | | | | | | | | | | | | |
| Patient/Client Care Reimbursement | 526.5 | 372.8 | 350.6 | 227.5 | 221.8 | 79.0 | | | | | | | 1,778.2 | 1,346.0 | 432.2 | 32.1% | | | | | | | | | | | | |
| Rebates | 0.1 | 8.6 | 5.7 | 10.2 | 5.3 | 5.0 | | | | | | | 32.9 | 34.2 | (1.3) | -3.8% | | | | | | | | | | | | |
| Restitution and Settlements | 3.9 | 0.4 | 0.6 | 0.2 | 41.8 | 3.9 | | | | | | | 50.8 | 17.2 | 33.6 | 195.3% | | | | | | | | | | | | |
| Student Loans | 6.1 | 1.4 | 3.2 | 6.4 | 6.8 | 3.7 | | | | | | | 27.6 | 39.2 | (11.6) | -29.6% | | | | | | | | | | | | |
| All Other | (20.1) | 8.6 | 18.0 | 75.3 | 45.5 | 51.9 | | | | | | | 179.2 | 315.2 | (136.0) | -43.1% | | | | | | | | | | | | |
| Sales | 0.5 | 0.6 | 2.6 | 3.0 | 0.5 | 0.6 | | | | | | | 7.8 | 9.9 | (2.1) | -21.2% | | | | | | | | | | | | |
| Tuition | (67.5) | 33.6 | 56.9 | 50.9 | 113.3 | 375.7 | | | | | | | 562.9 | 729.9 | (167.0) | -22.9% | | | | | | | | | | | | |
| Total Miscellaneous Receipts | 1,431.2 | 2,321.8 | 5,133.0 | 1,602.6 | 1,399.1 | 1,955.2 | | | | | | | 13,842.9 | 11,284.4 | 2,558.5 | 22.7% | | | | | | | | | | | | |
| Federal Receipts | - | - | 4.1 | (4.1) | 2.5 | 49.9 | | | | | | | 52.4 | 54.7 | (2.3) | -4.2% | | | | | | | | | | | | |
| Total Receipts | 5,015.1 | 5,394.1 | 12,178.4 | 13,972.7 | 5,640.0 | 10,677.1 | | | | | | | 52,877.4 | 53,007.1 | (129.7) | -0.2% | | | | | | | | | | | | |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Education | 754.3 | 4,065.1 | 3,409.1 | 563.6 | 856.2 | 3,861.8 | | | | | | | 13,510.1 | 14,583.2 | (1,073.1) | -7.4% | | | | | | | | | | | | |
| Environment and Recreation | 0.1 | 0.3 | - | 0.2 | (0.1) | 0.4 | | | | | | | 0.9 | 2.1 | (1.2) | -57.1% | | | | | | | | | | | | |
| General Government | 16.1 | 4.6 | 500.0 | 20.4 | 33.6 | 62.5 | | | | | | | 637.2 | 789.8 | (152.6) | -19.3% | | | | | | | | | | | | |
| Public Health: | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Medicaid | 757.7 | 1,757.8 | 2,497.4 | 2,043.9 | 1,856.5 | 2,135.5 | | | | | | | 11,048.8 | 13,103.5 | (2,054.7) | -15.7% | | | | | | | | | | | | |
| Other Public Health | 93.5 | 72.8 | 307.2 | 486.1 | 118.0 | 438.1 | | | | | | | 1,515.7 | 1,609.3 | (93.6) | -5.8% | | | | | | | | | | | | |
| Public Safety | 20.9 | 11.1 | 4.5 | 16.9 | 29.4 | 34.6 | | | | | | | 117.4 | 189.9 | (72.5) | -38.2% | | | | | | | | | | | | |
| Public Welfare | 77.0 | 158.2 | 61.4 | 578.1 | 57.1 | 428.8 | | | | | | | 1,358.6 | 835.0 | 523.6 | 62.7% | | | | | | | | | | | | |
| Support and Regulate Business | 4.6 | 7.1 | 9.0 | 5.4 | 11.0 | 10.9 | | | | | | | 48.0 | 92.5 | (44.5) | -48.1% | | | | | | | | | | | | |
| Transportation | 61.7 | 41.7 | 18.5 | 726.3 | 357.9 | 243.1 | | | | | | | 1,449.2 | 1,764.1 | (314.9) | -17.9% | | | | | | | | | | | | |
| Total Local Assistance Grants | 1,785.9 | 6,118.7 | 6,807.1 | 4,440.9 | 3,319.6 | 7,213.7 | | | | | | | 29,685.9 | 32,969.4 | (3,283.5) | -10.0% | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Personal Service | 1,494.8 | 1,084.9 | 955.5 | 1,114.0 | 990.1 | 1,443.0 | | | | | | | 7,082.3 | 7,231.4 | (149.1) | -2.1% | | | | | | | | | | | | |
| Non-Personal Service | 543.3 | 372.9 | 335.8 | (308.2) | 384.5 | 528.7 | | | | | | | 1,857.0 | 2,640.7 | (783.7) | -29.7% | | | | | | | | | | | | |
| General State Charges | 512.5 | 370.3 | 2,582.6 | 388.2 | 343.3 | 592.6 | | | | | | | 4,789.5 | 5,179.4 | (389.9) | -7.5% | | | | | | | | | | | | |
| Debt Service, Including Payments on Financing Agreements | 36.5 | 23.5 | 28.9 | 10.7 | 337.6 | 841.8 | | | | | | | 1,279.0 | 976.6 | 302.4 | 31.0% | | | | | | | | | | | | |
| Capital Projects | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | | | | | | | | | | | | |
| Total Disbursements | 4,373.0 | 7,970.3 | 10,709.9 | 5,645.6 | 5,375.1 | 10,619.8 | | | | | | | 44,693.7 | 48,997.5 | (4,303.8) | -8.8% | | | | | | | | | | | | |
| Excess (Deficiency) of Receipts over Disbursements | 642.1 | (2,576.2) | 1,468.5 | 8,327.1 | 264.9 | 57.3 | | | | | | | 8,183.7 | 4,009.6 | 4,174.1 | 104.1% | | | | | | | | | | | | |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Transfers from Other Funds (**) | 1,939.1 | 1,674.9 | 3,796.1 | 5,367.5 | 1,326.8 | 3,217.1 | | | | | | | 17,321.5 | 21,968.4 | (4,646.9) | -21.2% | | | | | | | | | | | | |
| Transfers to Other Funds (**) | (817.9) | (1,727.6) | (4,202.1) | (5,633.9) | (1,841.6) | (3,107.4) | | | | | | | (17,330.5) | (23,705.7) | (6,375.2) | -26.9% | | | | | | | | | | | | |
| Total Other Financing Sources (Uses) | 1,121.2 | (52.7) | (406.0) | (266.4) | (514.8) | 109.7 | | | | | | | (9.0) | (1,737.3) | 1,728.3 | 99.5% | | | | | | | | | | | | |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 1,763.3 | (2,628.9) | 1,062.5 | 8,060.7 | (249.9) | 167.0 | | | | | | | 8,174.7 | 2,272.3 | 5,902.4 | 259.8% | | | | | | | | | | | | |
| Ending Fund Balance | \$ 16,171.6 | \$ 13,542.7 | \$ 14,605.2 | \$ 22,665.9 | \$ 22,416.0 | \$ 22,583.0 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 22,583.0 | \$ 14,633.6 | \$ 7,949.4 | 54.3% | | | | | | | | | | | | |

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|-------------------------------------|----------------|----------------|----------------|-----------------|----------------|----------------|---------|----------|----------|-----------------|----------|-------|-----------------------------|-----------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ 8,944.2 | \$ 10,082.5 | \$ 7,310.2 | \$ 6,863.6 | \$ 14,383.0 | \$ 13,522.7 | | | | | | | \$ 8,944.2 | \$ 7,205.7 | \$ 1,738.5 | 24.1% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Taxes: | | | | | | | | | | | | | | | | |
| Personal Income Tax: | | | | | | | | | | | | | | | | |
| Withholdings | 3,187.3 | 2,928.3 | 3,096.3 | 3,400.3 | 2,876.6 | 3,147.2 | | | | | | | 18,636.0 | 18,530.1 | 105.9 | 0.6% |
| Estimated Payments | 211.6 | 70.9 | 1,493.0 | 6,329.0 | 121.3 | 2,510.0 | | | | | | | 10,735.8 | 12,311.6 | (1,575.8) | -12.8% |
| Returns | 339.1 | 124.7 | 260.9 | 1,765.1 | 69.3 | 83.4 | | | | | | | 2,642.5 | 2,567.5 | 75.0 | 2.9% |
| State/City Offsets | (69.8) | (39.8) | (58.4) | (187.0) | (28.1) | (71.7) | | | | | | | (454.8) | (443.0) | 11.8 | 2.7% |
| Other (Assessments/LLC) | 107.4 | 60.1 | 63.0 | 103.1 | 75.0 | 97.9 | | | | | | | 506.5 | 648.4 | (141.9) | -21.9% |
| Gross Receipts | 3,775.6 | 3,144.2 | 4,854.8 | 11,410.5 | 3,114.1 | 5,766.8 | - | - | - | - | - | - | 32,066.0 | 33,614.6 | (1,548.6) | -4.6% |
| Transfers to School Tax Relief Fund | - | - | - | - | - | (0.1) | | | | | | | (0.1) | - | 0.1 | 100.0% |
| Transfers to Revenue Bond Tax Fund | (1,033.1) | (1,099.6) | (2,184.2) | (5,115.4) | (1,361.5) | (2,635.7) | | | | | | | (13,429.5) | (13,990.7) | (561.2) | -4.0% |
| Refunds Issued | (1,709.4) | (945.0) | (486.3) | (1,179.8) | (391.1) | (495.4) | | | | | | | (5,207.0) | (5,633.2) | (426.2) | -7.6% |
| Total Personal Income Tax | 1,033.1 | 1,099.6 | 2,184.3 | 5,115.3 | 1,361.5 | 2,635.6 | - | - | - | - | - | - | 13,429.4 | 13,990.7 | (561.3) | -4.0% |
| Consumption/Use Taxes: | | | | | | | | | | | | | | | | |
| Sales and Use | 394.9 | 369.9 | 572.4 | 530.0 | 536.5 | 748.0 | | | | | | | 3,151.7 | 3,757.7 | (606.0) | -16.1% |
| Auto Rental | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Cigarette/Tobacco Products | 30.0 | 22.7 | 25.9 | 29.0 | 26.6 | 32.4 | | | | | | | 166.6 | 166.3 | 0.3 | 0.2% |
| Motor Fuel | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Alcoholic Beverage | 26.7 | 21.4 | 22.8 | 26.0 | 23.1 | 23.3 | | | | | | | 143.3 | 135.5 | 7.8 | 5.8% |
| Highway Use | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Vapor Excise | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Opioid Excise | 7.2 | - | - | 8.9 | - | 0.3 | | | | | | | 16.4 | - | 16.4 | 100.0% |
| Total Consumption/Use Taxes | 458.8 | 414.0 | 621.1 | 593.9 | 586.2 | 804.0 | - | - | - | - | - | - | 3,478.0 | 4,059.5 | (581.5) | -14.3% |
| Business Taxes: | | | | | | | | | | | | | | | | |
| Corporation Franchise | 197.4 | (131.5) | 447.4 | 449.2 | 18.0 | 835.0 | | | | | | | 1,815.5 | 1,804.2 | 11.3 | 0.6% |
| Corporation and Utilities | 13.3 | (2.6) | 73.8 | 12.7 | 3.6 | 79.7 | | | | | | | 180.5 | 207.1 | (26.6) | -12.8% |
| Insurance | 63.0 | 6.9 | 325.4 | 28.9 | 15.6 | 348.4 | | | | | | | 788.2 | 919.5 | (131.3) | -14.3% |
| Bank | 6.1 | 2.0 | 78.9 | 0.7 | 40.4 | 18.4 | | | | | | | 146.5 | (2.3) | 148.8 | 6,469.6% |
| Petroleum Business | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Total Business Taxes | 279.8 | (125.2) | 925.5 | 491.5 | 77.6 | 1,281.5 | - | - | - | - | - | - | 2,930.7 | 2,928.5 | 2.2 | 0.1% |
| Other Taxes: | | | | | | | | | | | | | | | | |
| Real Property Gains | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Estate and Gift | 72.7 | 52.0 | 147.3 | 147.7 | 55.8 | 91.3 | | | | | | | 566.8 | 419.5 | 147.3 | 35.1% |
| Pari-Mutuel | 0.7 | 0.2 | 0.8 | 1.0 | 1.4 | 0.7 | | | | | | | 4.8 | 9.1 | (4.3) | -47.3% |
| Real Estate Transfer | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Racing and Exhibitions | 0.1 | - | - | - | - | - | | | | | | | 0.1 | 0.9 | (0.8) | -88.9% |
| Employer Compensation Expense Tax | 0.1 | (0.1) | 0.1 | 0.1 | 0.1 | 0.1 | | | | | | | 0.4 | 0.3 | 0.1 | 33.3% |
| Total Other Taxes | 73.6 | 52.1 | 148.2 | 148.8 | 57.3 | 92.1 | - | - | - | - | - | - | 572.1 | 429.8 | 142.3 | 33.1% |
| Total Taxes | 1,845.3 | 1,440.5 | 3,879.1 | 6,349.5 | 2,082.6 | 4,813.2 | - | - | - | - | - | - | 20,410.2 | 21,408.5 | (998.3) | -4.7% |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | |
| Abandoned Property | 0.4 | - | - | - | 25.1 | 84.8 | | | | | | | 110.3 | 36.3 | 74.0 | 203.9% |
| Bottle Bill | 0.7 | 0.3 | 20.6 | (4.6) | 0.1 | 43.2 | | | | | | | 60.3 | 48.2 | 12.1 | 25.1% |
| Assessments: | | | | | | | | | | | | | | | | |
| Business | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Medical Care | 1.9 | 2.1 | 1.7 | 1.8 | 2.1 | 2.7 | | | | | | | 12.3 | 16.8 | (4.5) | -26.8% |
| Public Utilities | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Other | - | 0.1 | - | - | - | - | | | | | | | 0.1 | 0.3 | (0.2) | -66.7% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | |
| Alcohol Beverage Control Licensing | 2.2 | 2.9 | 2.9 | 4.9 | 4.8 | 5.4 | | | | | | | 23.1 | 37.0 | (13.9) | -37.6% |
| Audit Fees | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Business/Professional | 19.0 | (0.6) | 27.9 | 13.5 | 9.7 | 30.7 | | | | | | | 100.2 | 112.5 | (12.3) | -10.9% |
| Civil | 1.1 | 0.9 | 2.9 | 1.7 | 82.7 | (14.5) | | | | | | | 74.8 | 116.4 | (41.6) | -35.7% |
| Criminal | 0.1 | 0.1 | 0.1 | 0.1 | - | 0.2 | | | | | | | 0.6 | 0.9 | (0.3) | -33.3% |
| Motor Vehicle | (100.4) | (49.0) | 127.2 | 109.7 | 13.2 | 43.2 | | | | | | | 143.9 | 163.8 | (19.9) | -12.1% |
| Recreational/Consumer | - | - | 0.1 | (0.1) | 0.5 | 3.0 | | | | | | | 3.5 | 9.7 | (6.2) | -63.9% |
| Fines, Penalties and Forfeitures | 88.9 | 226.0 | 14.7 | 186.1 | 3.3 | 8.2 | | | | | | | 527.2 | 891.5 | (364.3) | -40.9% |
| Interest Earnings | 15.6 | 5.9 | 1.6 | 0.9 | 1.4 | 1.9 | | | | | | | 27.3 | 98.2 | (70.9) | -72.2% |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | |
| Bond Proceeds | - | 1,000.0 | 3,500.0 | - | - | - | | | | | | | 4,500.0 | - | 4,500.0 | 100.0% |
| Cost Recovery Assessments | - | - | - | - | - | - | | | | | | | - | 10.1 | (10.1) | -100.0% |
| Issuance Fees | - | - | 20.2 | 24.7 | - | 1.8 | | | | | | | 46.7 | 18.7 | 28.0 | 149.7% |
| Non Bond Related | - | - | - | - | - | - | | | | | | | - | 25.1 | (25.1) | -100.0% |
| Receipts from Municipalities | - | - | - | - | - | 0.1 | | | | | | | 0.1 | 16.7 | (16.6) | -99.4% |
| Rentals | 0.2 | 0.1 | 0.1 | 0.1 | 0.2 | 0.1 | | | | | | | 0.8 | 1.2 | (0.4) | -33.3% |
| Revenues of State Departments: | | | | | | | | | | | | | | | | |
| Administrative Recoveries | 0.4 | 0.3 | 16.7 | 0.4 | 0.1 | 15.5 | | | | | | | 33.4 | 40.2 | (6.8) | -16.9% |
| Commissions | 0.4 | (0.4) | 0.1 | - | (0.3) | 0.5 | | | | | | | 0.3 | 0.3 | - | 0.0% |
| Gifts, Grants and Donations | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Indirect Cost Recoveries | 5.5 | 5.4 | 7.4 | 5.9 | 6.1 | 5.7 | | | | | | | 36.0 | 40.8 | (4.8) | -11.8% |
| Patient/Client Care Reimbursement | (3.7) | 53.7 | 2.2 | (17.1) | 7.7 | (107.3) | | | | | | | (64.5) | (4.1) | (60.4) | -1,473.2% |
| Rebates | - | 1.7 | (0.9) | - | 3.2 | - | | | | | | | 4.0 | 2.8 | 1.2 | 42.9% |
| Restitution and Settlements | 0.2 | - | - | 0.1 | - | - | | | | | | | 0.3 | 0.3 | - | 0.0% |

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT F

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|--------------------|-------------------|-------------------|--------------------|--------------------|--------------------|-------------|-------------|-------------|-----------------|-------------|-------------|-----------------------------|-------------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Student Loans | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| All Other | 4.8 | 4.5 | 4.9 | 11.9 | 6.1 | 3.2 | - | - | - | - | - | - | 35.4 | 29.6 | 5.8 | 19.6% |
| Sales | - | - | 1.8 | 2.3 | - | - | - | - | - | - | - | - | 4.1 | - | 4.1 | 100.0% |
| Total Miscellaneous Receipts | 37.3 | 1,254.0 | 3,752.2 | 342.3 | 166.1 | 128.3 | - | - | - | - | - | - | 5,680.2 | 1,713.3 | 3,966.9 | 231.5% |
| Federal Receipts | - | - | - | - | - | - | - | - | - | - | - | - | 0.1 | 0.3 | (0.2) | -66.7% |
| Total Receipts | 1,882.6 | 2,694.5 | 7,631.3 | 6,691.8 | 2,248.7 | 4,941.6 | - | - | - | - | - | - | 26,090.5 | 23,122.1 | 2,968.4 | 12.8% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | |
| Education | 754.2 | 4,030.1 | 3,112.1 | 563.3 | 856.3 | 1,571.5 | - | - | - | - | - | - | 10,887.5 | 11,720.1 | (832.6) | -7.1% |
| Environment and Recreation | 0.1 | - | - | - | (0.1) | - | - | - | - | - | - | - | - | 1.3 | (1.3) | -100.0% |
| General Government | 6.8 | 0.7 | 493.7 | 12.4 | 0.6 | 51.7 | - | - | - | - | - | - | 565.9 | 688.3 | (122.4) | -17.8% |
| Public Health: | | | | | | | | | | | | | | | | |
| Medicaid | 229.4 | 1,283.6 | 2,466.9 | 1,220.8 | 1,431.8 | 1,614.5 | - | - | - | - | - | - | 8,247.0 | 9,980.9 | (1,733.9) | -17.4% |
| Other Public Health | 63.9 | 47.3 | 239.8 | 433.9 | 72.7 | 247.3 | - | - | - | - | - | - | 1,104.9 | 1,149.0 | (44.1) | -3.8% |
| Public Safety | 2.9 | 1.4 | 0.3 | 2.7 | 7.9 | 14.1 | - | - | - | - | - | - | 29.3 | 92.7 | (63.4) | -68.4% |
| Public Welfare | 78.9 | 158.0 | 61.4 | 577.5 | 57.1 | 426.7 | - | - | - | - | - | - | 1,357.6 | 832.1 | 525.5 | 63.2% |
| Support and Regulate Business | 4.6 | 6.7 | 4.6 | 4.8 | 4.3 | 5.6 | - | - | - | - | - | - | 30.6 | 69.8 | (39.2) | -56.2% |
| Transportation | 0.1 | - | - | 24.5 | 12.7 | 2.0 | - | - | - | - | - | - | 39.3 | 62.0 | (22.7) | -36.6% |
| Total Local Assistance Grants | 1,138.9 | 5,527.8 | 6,378.8 | 2,839.9 | 2,443.3 | 3,933.4 | - | - | - | - | - | - | 22,262.1 | 24,596.2 | (2,334.1) | -9.5% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | 893.7 | 691.3 | 564.6 | 738.8 | 663.2 | 835.8 | - | - | - | - | - | - | 4,387.4 | 4,666.1 | (278.7) | -6.0% |
| Non-Personal Service | 313.2 | 195.2 | 165.0 | (506.7) | 222.4 | 260.7 | - | - | - | - | - | - | 649.8 | 1,228.2 | (578.4) | -47.1% |
| General State Charges | 460.2 | 330.5 | 2,511.9 | 335.9 | 271.1 | 476.3 | - | - | - | - | - | - | 4,385.9 | 4,726.8 | (340.9) | -7.2% |
| Total Disbursements | 2,806.0 | 6,744.8 | 9,620.3 | 3,407.9 | 3,600.0 | 5,506.2 | - | - | - | - | - | - | 31,685.2 | 35,217.3 | (3,532.1) | -10.0% |
| Excess (Deficiency) of Receipts over Disbursements | (923.4) | (4,050.3) | (1,989.0) | 3,283.9 | (1,351.3) | (564.6) | - | - | - | - | - | - | (5,594.7) | (12,095.2) | 6,500.5 | 53.7% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Revenue Bond Tax Fund | 1,032.9 | 1,098.9 | 2,178.3 | 4,323.6 | 487.1 | 1,889.6 | - | - | - | - | - | - | 11,010.4 | 14,156.9 | (3,146.5) | -22.2% |
| Transfers from LGAC / STRBTF | 284.6 | 162.2 | 560.4 | 420.1 | 426.7 | 656.4 | - | - | - | - | - | - | 2,510.4 | 3,329.8 | (819.4) | -24.6% |
| Transfers from CW/CA Fund | 43.8 | 48.4 | 37.5 | 50.5 | 52.3 | 66.1 | - | - | - | - | - | - | 298.6 | 510.8 | (212.2) | -41.5% |
| Transfers from Other Funds | 74.9 | 193.6 | 83.5 | 126.5 | 195.3 | 151.5 | - | - | - | - | - | - | 825.3 | 715.3 | 110.0 | 15.4% |
| Transfers to State Capital Projects | 800.3 | (203.6) | (312.4) | (306.1) | (565.6) | (229.0) | - | - | - | - | - | - | (816.6) | (2,240.4) | (1,423.8) | -63.6% |
| Transfers to All Other Capital Projects | - | - | (30.5) | (204.0) | - | (16.5) | - | - | - | - | - | - | (251.0) | (703.0) | (452.0) | -64.3% |
| Transfers to General Debt Service | (32.0) | 1.7 | (3.7) | (82.7) | 4.0 | 21.6 | - | - | - | - | - | - | (91.1) | (225.7) | (134.6) | -59.6% |
| Transfers to All Other State Funds | (142.6) | (23.0) | (970.7) | (92.4) | (108.8) | (56.0) | - | - | - | - | - | - | (1,393.7) | (1,604.4) | (210.7) | -13.1% |
| Total Other Financing Sources (Uses) | 2,061.7 | 1,278.0 | 1,542.4 | 4,235.5 | 491.0 | 2,483.7 | - | - | - | - | - | - | 12,092.3 | 13,939.3 | (1,847.0) | -13.3% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 1,138.3 | (2,772.3) | (446.6) | 7,519.4 | (860.3) | 1,919.1 | - | - | - | - | - | - | 6,497.6 | 1,844.1 | 4,653.5 | 252.3% |
| Ending Fund Balance | \$ 10,082.5 | \$ 7,310.2 | \$ 6,863.6 | \$ 14,383.0 | \$ 13,522.7 | \$ 15,441.8 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 15,441.8 | \$ 9,049.8 | \$ 6,392.0 | 70.6% |

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

| | 2020 | | | | | | 2021 | | | | | | Intra-Fund Transfer Eliminations (*) | 6 Months Ended September 30 | | | |
|-------------------------------------|-----------------|----------------|----------------|----------------|----------------|-----------------|---------|----------|----------|---------|----------|-------|--------------------------------------------|-----------------------------|-----------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ 6,312.1 | \$ 11,224.0 | \$ 11,140.2 | \$ 14,472.7 | \$ 13,232.7 | \$ 12,870.2 | | | | | | | \$ - | \$ 6,312.1 | \$ 3,842.4 | \$ 2,469.7 | 64.3% |
| RECEIPTS: | | | | | | | | | | | | | | | | | |
| Taxes: | | | | | | | | | | | | | | | | | |
| Personal Income Tax | - | - | - | - | - | 0.1 | | | | | | | - | 0.1 | - | 0.1 | 100.0% |
| Consumption/Use Taxes: | | | | | | | | | | | | | | | | | |
| Sales and Use | 80.3 | 51.2 | 65.6 | 72.7 | 73.6 | 83.3 | | | | | | | - | 426.7 | 567.5 | (140.8) | -24.8% |
| Auto Rental | (0.1) | (1.5) | 3.4 | 2.1 | 2.1 | (1.1) | | | | | | | - | 4.9 | 7.3 | (2.4) | -32.9% |
| Cigarette/Tobacco Products | 68.8 | 51.3 | 60.2 | 68.8 | 60.4 | 71.0 | | | | | | | - | 380.5 | 378.6 | 1.9 | 0.5% |
| Medical Marijuana | 0.5 | 0.6 | 0.7 | 0.6 | 0.8 | 0.7 | | | | | | | - | 3.9 | 2.8 | 1.1 | 39.3% |
| Motor Fuel | 6.5 | 4.7 | 6.6 | 8.5 | 9.1 | 8.9 | | | | | | | - | 44.3 | 56.5 | (12.2) | -21.6% |
| Alcoholic Beverage | - | - | - | - | - | - | | | | | | | - | - | - | - | 0.0% |
| Highway Use | - | 0.1 | - | - | 0.1 | - | | | | | | | - | 0.2 | 0.3 | (0.1) | -33.3% |
| Vapor Excise | - | 0.1 | 11.7 | (0.4) | (0.1) | 7.4 | | | | | | | - | 18.7 | - | 18.7 | 100.0% |
| Total Consumption/Use Taxes | 156.0 | 106.5 | 148.2 | 152.3 | 146.0 | 170.2 | | | | | | | - | 879.2 | 1,013.0 | (133.8) | -13.2% |
| Business Taxes: | | | | | | | | | | | | | | | | | |
| Corporation Franchise | 57.0 | (3.2) | 110.1 | 114.0 | 11.7 | 165.7 | | | | | | | - | 455.3 | 492.3 | (37.0) | -7.5% |
| Corporation and Utilities | 2.2 | (6.9) | 20.5 | 17.0 | (1.4) | 24.4 | | | | | | | - | 55.8 | 78.1 | (22.3) | -28.6% |
| Insurance | 7.2 | (0.4) | 38.6 | 4.4 | 0.4 | 43.3 | | | | | | | - | 93.5 | 122.6 | (29.1) | -23.7% |
| Bank | 1.3 | 0.6 | 12.9 | - | 6.1 | (3.1) | | | | | | | - | 17.8 | 3.5 | 14.3 | 408.6% |
| Petroleum Business | 30.3 | 17.6 | 37.7 | 38.8 | 38.7 | 43.2 | | | | | | | - | 206.3 | 265.6 | (59.3) | -22.3% |
| Total Business Taxes | 98.0 | 7.7 | 219.8 | 174.2 | 65.5 | 273.5 | | | | | | | - | 828.7 | 962.1 | (133.4) | -13.9% |
| Total Taxes | 254.0 | 114.2 | 368.0 | 326.5 | 201.5 | 443.8 | | | | | | | - | 1,708.0 | 1,975.1 | (267.1) | -13.5% |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | | |
| Abandoned Property | 1.2 | 0.7 | 0.8 | 0.9 | 0.8 | 1.2 | | | | | | | - | 5.6 | 5.6 | - | 0.0% |
| Assessments: | | | | | | | | | | | | | | | | | |
| Business | 46.7 | 62.4 | 82.6 | 71.5 | 41.0 | 65.6 | | | | | | | - | 369.8 | 413.1 | (43.3) | -10.5% |
| Medical Care | 569.3 | 464.7 | 504.4 | 440.8 | 461.5 | 517.3 | | | | | | | - | 2,958.0 | 3,275.7 | (317.7) | -9.7% |
| Public Utilities | 0.1 | - | 0.4 | 4.4 | 0.4 | 45.4 | | | | | | | - | 50.7 | 50.9 | (0.2) | -0.4% |
| Other | - | - | - | - | - | - | | | | | | | - | - | 0.2 | (0.2) | -100.0% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | | |
| Audit Fees | - | - | 0.1 | 0.2 | 0.7 | 0.7 | | | | | | | - | 1.7 | 2.6 | (0.9) | -34.6% |
| Business/Professional | 48.2 | 70.4 | 86.5 | 40.8 | 36.3 | 94.8 | | | | | | | - | 377.0 | 359.4 | 17.6 | 4.9% |
| Civil | 3.2 | 2.4 | 2.6 | 1.4 | 6.1 | 4.7 | | | | | | | - | 20.4 | 28.0 | (7.6) | -27.1% |
| Criminal | 0.5 | 0.3 | 0.1 | 1.5 | - | - | | | | | | | - | 2.4 | 3.4 | (1.0) | -29.4% |
| Motor Vehicle | 18.4 | 15.8 | 17.0 | 30.6 | 27.0 | 28.3 | | | | | | | - | 137.1 | 143.1 | (6.0) | -4.2% |
| Recreational/Consumer | 43.0 | - | 36.0 | 34.3 | 31.8 | 144.3 | | | | | | | - | 289.4 | 454.1 | (164.7) | -36.3% |
| Fines, Penalties and Forfeitures | 10.0 | 5.8 | 1.6 | 14.0 | 0.4 | 5.9 | | | | | | | - | 37.7 | 207.4 | (169.7) | -81.8% |
| Gaming: | | | | | | | | | | | | | | | | | |
| Casino | - | - | - | 20.8 | - | 8.0 | | | | | | | - | 28.8 | 142.9 | (114.1) | -79.8% |
| Lottery | 157.0 | 142.1 | 173.8 | 202.2 | 195.9 | 199.3 | | | | | | | - | 1,070.3 | 1,254.9 | (184.6) | -14.7% |
| Video Lottery | - | 0.6 | - | (0.4) | - | 33.8 | | | | | | | - | 34.0 | 471.8 | (437.8) | -92.8% |
| Interest Earnings | 16.5 | 11.7 | 7.5 | 4.3 | 5.2 | 4.2 | | | | | | | - | 49.4 | 133.2 | (83.8) | -62.9% |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | | |
| Bond Proceeds | - | - | - | - | - | - | | | | | | | - | - | - | - | 0.0% |
| Cost Recovery Assessments | - | - | - | - | - | - | | | | | | | - | - | 23.1 | (23.1) | -100.0% |
| Issuance Fees | 0.5 | 1.4 | 5.3 | - | - | - | | | | | | | - | 7.2 | 7.2 | - | 0.0% |
| Non Bond Related | 8.9 | 0.3 | - | 4.2 | 1.8 | - | | | | | | | - | 15.2 | 24.8 | (9.6) | -38.7% |
| Receipts from Municipalities | 9.3 | 2.2 | 3.7 | 3.7 | 1.6 | 4.7 | | | | | | | - | 25.2 | 30.2 | (5.0) | -16.6% |
| Rentals | (5.3) | (42.9) | (0.4) | 1.9 | 3.8 | 34.6 | | | | | | | - | (8.3) | 106.3 | (114.6) | -107.8% |
| Revenues of State Departments: | | | | | | | | | | | | | | | | | |
| Administrative Recoveries | 24.6 | 8.5 | 8.7 | 8.7 | 8.8 | 10.1 | | | | | | | - | 69.4 | 33.4 | 36.0 | 107.8% |
| Commissions | 0.2 | 0.1 | 0.1 | 0.2 | 0.3 | 0.8 | | | | | | | - | 1.7 | 3.1 | (1.4) | -45.2% |
| Commissions - Asset Conversion | - | - | - | - | - | - | | | | | | | - | - | - | - | 0.0% |
| Gifts, Grants and Donations | 0.6 | 1.5 | 22.1 | 0.7 | 0.6 | 0.5 | | | | | | | - | 26.0 | 5.5 | 20.5 | 372.7% |
| Indirect Cost Recoveries | - | - | - | - | - | - | | | | | | | - | - | 0.9 | (0.9) | -100.0% |
| Patient/Client Care Reimbursement | 483.0 | 305.7 | 305.8 | 198.8 | 167.9 | 150.1 | | | | | | | - | 1,611.3 | 1,087.1 | 524.2 | 48.2% |
| Rebates | 7.8 | 13.6 | 14.1 | 17.7 | 9.5 | 12.7 | | | | | | | - | 75.4 | 81.4 | (6.0) | -7.4% |
| Restitution and Settlements | 3.7 | 0.4 | 0.6 | 0.1 | 41.8 | 3.9 | | | | | | | - | 50.5 | 16.9 | 33.6 | 198.8% |
| Student Loans | 6.1 | 1.4 | 3.2 | 6.4 | 6.8 | 3.7 | | | | | | | - | 27.6 | 39.2 | (11.6) | -29.6% |
| All Other | (24.6) | 4.4 | 13.1 | 63.5 | 40.0 | 48.6 | | | | | | | - | 145.0 | 286.2 | (141.2) | -49.3% |
| Sales | 0.5 | 0.6 | 0.8 | 0.7 | 0.5 | 0.6 | | | | | | | - | 3.7 | 9.9 | (6.2) | -62.6% |
| Tuition | (67.5) | 33.6 | 59.9 | 50.9 | 113.3 | 375.7 | | | | | | | - | 592.9 | 729.9 | (137.0) | -22.9% |
| Total Miscellaneous Receipts | 1,361.9 | 1,107.7 | 1,347.4 | 1,224.5 | 1,203.8 | 1,799.5 | | | | | | | - | 8,045.1 | 9,431.4 | (1,386.3) | -14.7% |
| Federal Receipts | 10,777.4 | 4,104.3 | 7,352.3 | 5,214.1 | 4,404.5 | 9,934.4 | | | | | | | - | 41,787.0 | 31,167.1 | 10,619.9 | 34.1% |
| Total Receipts | 12,393.3 | 5,326.2 | 9,067.7 | 6,765.4 | 5,809.8 | 12,177.7 | | | | | | | - | 51,540.1 | 42,573.6 | 8,966.5 | 21.1% |

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

| | 2020 | | | | | | | | | | | | Intra-Fund Transfer Eliminations (*) | 6 Months Ended September 30 | | | | |
|------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------------|-------------|-------------|-------------|-----------------|-------------|-------------|--------------------------------------------|-----------------------------|-------------------|----------------------------|-------------------------|--------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease | |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | | | |
| Education | 383.0 | 102.6 | 847.9 | 234.9 | 213.2 | 2,584.4 | - | - | - | - | - | - | - | 4,366.0 | 4,760.9 | (394.9) | -8.3% | |
| Environment and Recreation | - | 0.5 | - | 0.3 | 0.1 | 0.3 | - | - | - | - | - | - | - | 1.2 | 1.4 | (0.2) | -14.3% | |
| General Government | 11.3 | 6.1 | 8.5 | 19.0 | 39.4 | 3,866.8 | - | - | - | - | - | - | - | 3,951.1 | 130.7 | 3,820.4 | 2,923.0% | |
| Public Health: | | | | | | | | | | | | | | | | | | |
| Medicaid | 5,180.9 | 3,816.0 | 3,615.6 | 4,136.8 | 3,861.0 | 5,390.1 | - | - | - | - | - | - | - | 26,000.4 | 22,913.4 | 3,087.0 | 13.5% | |
| Other Public Health | 509.6 | 533.0 | 709.7 | 556.1 | 552.9 | 805.5 | - | - | - | - | - | - | - | 3,666.8 | 3,751.9 | (85.1) | -2.3% | |
| Public Safety | 92.4 | 62.2 | 159.2 | 261.6 | 62.2 | 136.2 | - | - | - | - | - | - | - | 773.8 | 582.6 | 191.2 | 32.8% | |
| Public Welfare | 134.9 | 25.9 | 253.6 | 201.6 | 253.9 | 950.4 | - | - | - | - | - | - | - | 1,820.3 | 1,540.1 | 280.2 | 18.2% | |
| Support and Regulate Business | 0.3 | 0.7 | 6.5 | 1.0 | 8.6 | 5.3 | - | - | - | - | - | - | - | 22.4 | 28.3 | (5.9) | -20.8% | |
| Transportation | 65.5 | 44.7 | 22.6 | 709.1 | 350.2 | 246.8 | - | - | - | - | - | - | - | 1,438.9 | 1,728.1 | (289.2) | -16.7% | |
| Total Local Assistance Grants | 6,377.9 | 4,591.7 | 5,623.6 | 6,120.4 | 5,341.5 | 13,965.8 | - | - | - | - | - | - | - | 42,040.9 | 35,437.4 | 6,603.5 | 18.6% | |
| Departmental Operations: | | | | | | | | | | | | | | | | | | |
| Personal Service | 675.8 | 444.6 | 551.8 | 540.0 | 390.7 | 691.2 | - | - | - | - | - | - | - | 3,294.1 | 2,878.4 | 415.7 | 14.4% | |
| Non-Personal Service | 270.9 | 220.9 | 327.3 | 1,078.0 | 380.6 | 488.1 | - | - | - | - | - | - | - | 2,765.8 | 2,006.3 | 759.5 | 37.9% | |
| General State Charges | 75.0 | 64.5 | 109.6 | 136.0 | 142.9 | 139.8 | - | - | - | - | - | - | - | 667.8 | 616.7 | 51.1 | 8.3% | |
| Capital Projects | - | - | - | - | 2.3 | - | - | - | - | - | - | - | - | 2.3 | - | 2.3 | 100.0% | |
| Total Disbursements | 7,399.6 | 5,321.7 | 6,612.3 | 7,874.4 | 6,258.0 | 15,304.9 | - | - | - | - | - | - | - | 48,770.9 | 40,938.8 | 7,832.1 | 19.1% | |
| Excess (Deficiency) of Receipts over Disbursements | 4,993.7 | 4.5 | 2,455.4 | (1,109.0) | (448.2) | (3,127.2) | - | - | - | - | - | - | - | 2,769.2 | 1,634.8 | 1,134.4 | 69.4% | |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 222.7 | 41.5 | 897.4 | 135.6 | 116.0 | 206.1 | - | - | - | - | - | - | - | (247.7) | 1,371.6 | 1,628.2 | (256.6) | -15.8% |
| Transfers to Other Funds | (304.5) | (129.8) | (20.3) | (266.6) | (30.3) | (438.8) | - | - | - | - | - | - | - | 247.7 | (942.6) | (863.9) | 78.7 | 9.1% |
| Total Other Financing Sources (Uses) | (81.8) | (88.3) | 877.1 | (131.0) | 85.7 | (232.7) | - | - | - | - | - | - | - | 429.0 | 764.3 | (335.3) | -43.9% | |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 4,911.9 | (83.8) | 3,332.5 | (1,240.0) | (362.5) | (3,359.9) | - | - | - | - | - | - | - | 3,198.2 | 2,399.1 | 799.1 | 33.3% | |
| Ending Fund Balance | \$ 11,224.0 | \$ 11,140.2 | \$ 14,472.7 | \$ 13,232.7 | \$ 12,870.2 | \$ 9,510.3 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 9,510.3 | \$ 6,241.5 | \$ 3,268.8 | 52.4% | |

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

| | 2020 | | | | | | 2021 | | | | | | 6 Months Ended September 30 | | | |
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|---------|----------|----------|---------|----------|-------|-----------------------------|-----------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ 5,400.7 | \$ 5,696.2 | \$ 5,710.4 | \$ 7,246.4 | \$ 6,679.9 | \$ 6,748.8 | | | | | | | \$ 5,400.7 | \$ 5,090.8 | \$ 309.9 | 6.1% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Taxes: | | | | | | | | | | | | | | | | |
| Personal Income Tax | - | - | - | - | - | 0.1 | | | | | | | 0.1 | - | 0.1 | 100.0% |
| Consumption/Use Taxes: | | | | | | | | | | | | | | | | |
| Sales and Use | 80.3 | 51.2 | 65.6 | 72.7 | 73.6 | 83.3 | | | | | | | 426.7 | 567.5 | (140.8) | -24.8% |
| Auto Rental | (0.1) | (1.5) | 3.4 | 2.1 | 2.1 | (1.1) | | | | | | | 4.9 | 7.3 | (2.4) | -32.9% |
| Cigarette/Tobacco Products | 68.8 | 51.3 | 60.2 | 68.8 | 60.4 | 71.0 | | | | | | | 380.5 | 378.6 | 1.9 | 0.5% |
| Medical Marijuana | 0.5 | 0.6 | 0.7 | 0.6 | 0.8 | 0.7 | | | | | | | 3.9 | 2.8 | 1.1 | 39.3% |
| Motor Fuel | 6.5 | 4.7 | 6.6 | 8.5 | 9.1 | 8.9 | | | | | | | 44.3 | 56.5 | (12.2) | -21.6% |
| Alcoholic Beverage | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Highway Use | - | 0.1 | - | - | 0.1 | - | | | | | | | 0.2 | 0.3 | (0.1) | -33.3% |
| Vapor Excise | - | 0.1 | 11.7 | (0.4) | (0.1) | 7.4 | | | | | | | 18.7 | - | 18.7 | 100.0% |
| Total Consumption/Use Taxes | 156.0 | 106.5 | 148.2 | 152.3 | 146.0 | 170.2 | | | | | | | 879.2 | 1,013.0 | (133.8) | -13.2% |
| Business Taxes | | | | | | | | | | | | | | | | |
| Corporation Franchise | 57.0 | (3.2) | 110.1 | 114.0 | 11.7 | 165.7 | | | | | | | 455.3 | 492.3 | (37.0) | -7.5% |
| Corporation and Utilities | 2.2 | (6.9) | 20.5 | 17.0 | (1.4) | 24.4 | | | | | | | 55.8 | 78.1 | (22.3) | -28.6% |
| Insurance | 7.2 | (0.4) | 38.6 | 4.4 | 0.4 | 43.3 | | | | | | | 93.5 | 122.6 | (29.1) | -23.7% |
| Bank | 1.3 | 0.6 | 12.9 | - | 6.1 | (3.1) | | | | | | | 17.8 | 3.5 | 14.3 | 408.6% |
| Petroleum Business | 30.3 | 17.6 | 37.7 | 38.8 | 38.7 | 43.2 | | | | | | | 206.3 | 265.6 | (59.3) | -22.3% |
| Total Business Taxes | 98.0 | 7.7 | 219.8 | 174.2 | 55.5 | 273.5 | | | | | | | 828.7 | 962.1 | (133.4) | -13.9% |
| Total Taxes | 254.0 | 114.2 | 368.0 | 326.5 | 201.5 | 443.8 | | | | | | | 1,708.0 | 1,975.1 | (267.1) | -13.5% |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | |
| Abandoned Property | - | 0.7 | 0.8 | 0.9 | 0.8 | 1.2 | | | | | | | 5.6 | 5.6 | - | 0.0% |
| Assessments: | | | | | | | | | | | | | | | | |
| Business | 42.7 | 21.8 | 82.4 | 69.8 | 33.4 | 65.5 | | | | | | | 315.6 | 355.6 | (40.0) | -11.2% |
| Medical Care | 569.3 | 464.7 | 504.4 | 440.8 | 461.5 | 517.3 | | | | | | | 2,958.0 | 3,275.7 | (317.7) | -9.7% |
| Public Utilities | 0.1 | - | 0.4 | 4.4 | 0.4 | 45.4 | | | | | | | 50.7 | 50.9 | (0.2) | -0.4% |
| Other | - | - | - | - | - | - | | | | | | | - | 0.2 | (0.2) | -100.0% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | |
| Audit Fees | - | - | 0.1 | 0.2 | 0.7 | 0.7 | | | | | | | 1.7 | 2.6 | (0.9) | -34.6% |
| Business/Professional | 48.2 | 70.4 | 86.5 | 40.8 | 36.3 | 94.8 | | | | | | | 377.0 | 359.4 | 17.6 | 4.9% |
| Civil | 3.2 | 2.4 | 2.6 | 1.4 | 6.1 | 4.7 | | | | | | | 20.4 | 28.0 | (7.6) | -27.1% |
| Criminal | 0.5 | 0.3 | 0.1 | 1.5 | - | - | | | | | | | 2.4 | 3.4 | (1.0) | -29.4% |
| Motor Vehicle | 18.4 | 15.8 | 17.0 | 30.6 | 27.0 | 28.3 | | | | | | | 137.1 | 143.1 | (6.0) | -4.2% |
| Recreational/Consumer | 43.0 | - | 36.0 | 34.3 | 31.8 | 144.3 | | | | | | | 289.4 | 454.1 | (164.7) | -36.3% |
| Fines, Penalties and Forfeitures | 9.7 | 5.6 | 1.4 | 13.8 | 0.2 | 5.6 | | | | | | | 36.3 | 203.9 | (167.6) | -82.2% |
| Gaming: | | | | | | | | | | | | | | | | |
| Casino | - | - | - | 20.8 | - | 8.0 | | | | | | | 28.8 | 142.9 | (114.1) | -79.8% |
| Lottery | 157.0 | 142.1 | 173.8 | 202.2 | 195.9 | 199.3 | | | | | | | 1,070.3 | 1,254.9 | (184.6) | -14.7% |
| Video Lottery | - | 0.6 | - | (0.4) | - | 33.8 | | | | | | | 34.0 | 471.8 | (437.8) | -92.8% |
| Interest Earnings | 13.5 | 7.8 | 6.1 | 3.4 | 4.0 | 3.4 | | | | | | | 38.2 | 118.9 | (80.7) | -67.9% |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | |
| Bond Proceeds | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Cost Recovery Assessments | - | - | - | - | - | - | | | | | | | - | 23.1 | (23.1) | -100.0% |
| Issuance Fees | 0.5 | 1.4 | 5.3 | - | - | - | | | | | | | 7.2 | 7.2 | - | 0.0% |
| Non Bond Related | 8.9 | 0.3 | - | 4.2 | 1.8 | - | | | | | | | 15.2 | 24.8 | (9.6) | -38.7% |
| Receipts from Municipalities | 9.3 | 2.2 | 3.7 | 3.7 | 1.6 | 4.7 | | | | | | | 25.2 | 30.2 | (5.0) | -16.6% |
| Rentals | (5.3) | (42.9) | (0.4) | 1.9 | 3.8 | 34.6 | | | | | | | (8.3) | 106.3 | (114.6) | -107.8% |
| Revenues of State Departments: | | | | | | | | | | | | | | | | |
| Administrative Recoveries | 24.6 | 8.5 | 8.7 | 8.7 | 8.8 | 10.1 | | | | | | | 69.4 | 33.4 | 36.0 | 107.8% |
| Commissions | 0.2 | 0.1 | 0.1 | 0.2 | 0.3 | 0.8 | | | | | | | 1.7 | 3.1 | (1.4) | -45.2% |
| Commissions - Asset Conversion | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Gifts, Grants and Donations | 0.6 | 1.5 | 22.1 | 0.7 | 0.6 | 0.5 | | | | | | | 26.0 | 5.5 | 20.5 | 372.7% |
| Indirect Cost Recoveries | - | - | - | - | - | - | | | | | | | - | 0.9 | (0.9) | -100.0% |
| Patient/Client Care Reimbursement | 483.0 | 305.7 | 305.8 | 198.8 | 167.9 | 150.1 | | | | | | | 1,611.3 | 1,087.1 | 524.2 | 48.2% |
| Rebates | 0.1 | 4.9 | 6.6 | 10.2 | 2.1 | 5.0 | | | | | | | 28.9 | 31.4 | (2.5) | -8.0% |
| Restitution and Settlements | 3.7 | 0.4 | 0.6 | 0.1 | 41.8 | 3.9 | | | | | | | 50.5 | 16.9 | 33.6 | 198.8% |
| Student Loans | 6.1 | 1.4 | 3.2 | 6.4 | 6.8 | 3.7 | | | | | | | 27.6 | 39.2 | (11.6) | -29.6% |
| All Other | (24.9) | 4.1 | 13.1 | 63.4 | 39.4 | 48.7 | | | | | | | 143.8 | 285.6 | (141.8) | -49.6% |
| Sales | 0.5 | 0.6 | 0.8 | 0.7 | 0.5 | 0.6 | | | | | | | 3.7 | 9.9 | (6.2) | -62.6% |
| Tuition | (67.5) | 33.6 | 56.9 | 50.9 | 113.3 | 375.7 | | | | | | | 562.9 | 729.9 | (167.0) | -22.9% |
| Total Miscellaneous Receipts | 1,346.6 | 1,054.0 | 1,338.1 | 1,214.4 | 1,186.8 | 1,790.7 | | | | | | | 7,930.6 | 9,305.5 | (1,374.9) | -14.8% |
| Federal Receipts | - | - | 4.1 | (4.1) | 2.5 | 25.4 | | | | | | | 27.9 | 17.6 | 10.3 | 58.5% |
| Total Receipts | 1,600.6 | 1,168.2 | 1,710.2 | 1,536.8 | 1,390.8 | 2,259.9 | | | | | | | 9,666.5 | 11,298.2 | (1,631.7) | -14.4% |

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------|-------------|-------------|-----------------|-------------|-------------|-----------------------------|-------------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | |
| Education | 0.1 | 35.0 | 297.0 | 0.3 | (0.1) | 2,290.3 | | | | | | | 2,622.6 | 2,863.1 | (240.5) | -8.4% |
| Environment and Recreation | - | 0.3 | | 0.2 | - | 0.4 | | | | | | | 0.9 | 0.8 | 0.1 | 12.5% |
| General Government | 9.3 | 3.9 | 6.3 | 8.0 | 33.0 | 10.8 | | | | | | | 71.3 | 101.5 | (30.2) | -29.8% |
| Public Health: | | | | | | | | | | | | | | | | |
| Medicaid | 528.3 | 474.2 | 30.5 | 823.1 | 424.7 | 521.0 | | | | | | | 2,801.8 | 3,122.6 | (320.8) | -10.3% |
| Other Public Health | 29.6 | 25.5 | 67.4 | 52.2 | 45.3 | 190.8 | | | | | | | 410.8 | 460.3 | (49.5) | -10.8% |
| Public Safety | 18.0 | 9.7 | 4.2 | 14.2 | 21.5 | 20.5 | | | | | | | 88.1 | 97.2 | (9.1) | -9.4% |
| Public Welfare | 0.1 | 0.2 | - | 0.6 | - | 0.1 | | | | | | | 1.0 | 2.9 | (1.9) | -65.5% |
| Support and Regulate Business | - | 0.4 | 4.4 | 0.6 | 6.7 | 5.3 | | | | | | | 17.4 | 22.7 | (5.3) | -23.3% |
| Transportation | 61.6 | 41.7 | 18.5 | 701.8 | 345.2 | 241.1 | | | | | | | 1,409.9 | 1,702.1 | (292.2) | -17.2% |
| Total Local Assistance Grants | 647.0 | 590.9 | 428.3 | 1,601.0 | 876.3 | 3,280.3 | - | - | - | - | - | - | 7,423.8 | 8,373.2 | (949.4) | -11.3% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | 601.1 | 393.6 | 390.9 | 375.2 | 326.9 | 607.2 | | | | | | | 2,694.9 | 2,565.3 | 129.6 | 5.1% |
| Non-Personal Service | 230.1 | 176.8 | 158.7 | 192.7 | 159.2 | 260.1 | | | | | | | 1,177.6 | 1,390.0 | (212.4) | -15.3% |
| General State Charges | 52.3 | 39.8 | 70.7 | 52.3 | 72.2 | 116.3 | | | | | | | 403.6 | 452.6 | (49.0) | -10.8% |
| Capital Projects | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Total Disbursements | 1,530.5 | 1,201.1 | 1,048.6 | 2,221.2 | 1,434.6 | 4,263.9 | - | - | - | - | - | - | 11,699.9 | 12,781.1 | (1,081.2) | -8.5% |
| Excess (Deficiency) of Receipts over Disbursements | 70.1 | (32.9) | 661.6 | (684.4) | (43.8) | (2,004.0) | - | - | - | - | - | - | (2,033.4) | (1,482.9) | (550.5) | -37.1% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 222.7 | 41.5 | 897.4 | 135.6 | 116.0 | 206.1 | | | | | | | 1,619.3 | 2,004.3 | (385.0) | -19.2% |
| Transfers to Other Funds | 2.7 | 5.6 | (23.0) | (17.7) | (3.3) | (147.0) | | | | | | | (182.7) | (335.4) | (152.7) | -45.5% |
| Total Other Financing Sources (Uses) | 225.4 | 47.1 | 874.4 | 117.9 | 112.7 | 59.1 | - | - | - | - | - | - | 1,436.6 | 1,668.9 | (232.3) | -13.9% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 295.5 | 14.2 | 1,536.0 | (566.5) | 68.9 | (1,944.9) | - | - | - | - | - | - | (596.8) | 186.0 | (782.8) | -420.9% |
| Ending Fund Balance | \$ 5,696.2 | \$ 5,710.4 | \$ 7,246.4 | \$ 6,679.9 | \$ 6,748.8 | \$ 4,803.9 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 4,803.9 | \$ 5,276.8 | \$ (472.9) | -9.0% |

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

| | 2020 | | | | | | 2021 | | | | | | 6 Months Ended September 30 | | | |
|-------------------------------------|-----------------|----------------|----------------|----------------|----------------|----------------|----------|----------|----------|----------|----------|----------|-----------------------------|-----------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ 911.4 | \$ 5,527.8 | \$ 5,429.8 | \$ 7,226.3 | \$ 6,552.8 | \$ 6,121.4 | | | | | | | \$ 911.4 | \$ (1,248.4) | \$ 2,159.8 | 173.0% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | |
| Abandoned Property | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Assessments: | | | | | | | | | | | | | | | | |
| Business | 4.0 | 40.6 | 0.2 | 1.7 | 7.6 | 0.1 | | | | | | | 54.2 | 57.5 | (3.3) | -5.7% |
| Medical Care | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Public Utilities | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Other | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | |
| Business/Professional | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Civil | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Criminal | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Motor Vehicle | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Recreational/Consumer | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Fines, Penalties and Forfeitures | 0.3 | 0.2 | 0.2 | 0.2 | 0.2 | 0.3 | | | | | | | 1.4 | 3.5 | (2.1) | -60.0% |
| Interest Earnings | 3.0 | 3.9 | 1.4 | 0.9 | 1.2 | 0.8 | | | | | | | 11.2 | 14.3 | (3.1) | -21.7% |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | |
| Bond Proceeds | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Cost Recovery Assessments | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Issuance Fees | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Non Bond Related | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Receipts from Municipalities | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Rentals | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Revenues of State Departments: | | | | | | | | | | | | | | | | |
| Administrative Recoveries | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Commissions | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Gifts, Grants and Donations | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Indirect Cost Recoveries | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Patient/Client Care Reimbursement | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Rebates | 7.7 | 8.7 | 7.5 | 7.5 | 7.4 | 7.7 | | | | | | | 46.5 | 50.0 | (3.5) | -7.0% |
| Restitution and Settlements | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Student Loans | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| All Other | 0.3 | 0.3 | - | 0.1 | 0.6 | (0.1) | | | | | | | 1.2 | 0.6 | 0.6 | 100.0% |
| Sales | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Tuition | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Total Miscellaneous Receipts | 15.3 | 53.7 | 9.3 | 10.4 | 17.0 | 8.8 | - | - | - | - | - | - | 114.5 | 125.9 | (11.4) | -9.1% |
| Federal Receipts | 10,777.4 | 4,104.3 | 7,348.2 | 5,218.2 | 4,402.0 | 9,909.0 | | | | | | | 41,759.1 | 31,149.5 | 10,609.6 | 34.1% |
| Total Receipts | 10,792.7 | 4,158.0 | 7,357.5 | 5,228.6 | 4,419.0 | 9,917.8 | - | - | - | - | - | - | 41,873.6 | 31,275.4 | 10,598.2 | 33.9% |

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT G

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------|-------------|-------------|-----------------|-------------|-------------|-----------------------------|-----------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | |
| Education | 382.9 | 67.6 | 550.9 | 234.6 | 213.3 | 294.1 | | | | | | | 1,743.4 | 1,897.8 | (154.4) | -8.1% |
| Environment and Recreation | - | 0.2 | - | 0.1 | 0.1 | (0.1) | | | | | | | 0.3 | 0.6 | (0.3) | -50.0% |
| General Government | 2.0 | 2.2 | 2.2 | 11.0 | 6.4 | 3,856.0 | | | | | | | 3,879.8 | 29.2 | 3,850.6 | 13,187.0% |
| Public Health: | | | | | | | | | | | | | | | | |
| Medicaid | 4,652.6 | 3,341.8 | 3,585.1 | 3,313.7 | 3,436.3 | 4,869.1 | | | | | | | 23,198.6 | 19,790.8 | 3,407.8 | 17.2% |
| Other Public Health | 480.0 | 507.5 | 642.3 | 503.9 | 507.6 | 614.7 | | | | | | | 3,256.0 | 3,291.6 | (35.6) | -1.1% |
| Public Safety | 74.4 | 52.5 | 155.0 | 247.4 | 40.7 | 115.7 | | | | | | | 685.7 | 485.4 | 200.3 | 41.3% |
| Public Welfare | 134.8 | 25.7 | 253.6 | 201.0 | 253.9 | 950.3 | | | | | | | 1,819.3 | 1,537.2 | 282.1 | 18.4% |
| Support and Regulate Business | 0.3 | 0.3 | 2.1 | 0.4 | 1.9 | - | | | | | | | 5.0 | 5.6 | (0.6) | -10.7% |
| Transportation | 3.9 | 3.0 | 4.1 | 7.3 | 5.0 | 5.7 | | | | | | | 29.0 | 26.0 | 3.0 | 11.5% |
| Total Local Assistance Grants | 5,730.9 | 4,000.8 | 5,195.3 | 4,519.4 | 4,465.2 | 10,705.5 | - | - | - | - | - | - | 34,617.1 | 27,064.2 | 7,552.9 | 27.9% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | 74.7 | 51.0 | 160.9 | 164.8 | 63.8 | 84.0 | | | | | | | 599.2 | 313.1 | 286.1 | 91.4% |
| Non-Personal Service | 40.8 | 44.1 | 168.6 | 885.3 | 221.4 | 228.0 | | | | | | | 1,588.2 | 616.3 | 971.9 | 157.7% |
| General State Charges | 22.7 | 24.7 | 38.9 | 83.7 | 70.7 | 23.5 | | | | | | | 264.2 | 164.1 | 100.1 | 61.0% |
| Capital Projects | - | - | - | - | 2.3 | - | | | | | | | 2.3 | - | 2.3 | 100.0% |
| Total Disbursements | 5,869.1 | 4,120.6 | 5,563.7 | 5,653.2 | 4,823.4 | 11,041.0 | - | - | - | - | - | - | 37,071.0 | 28,157.7 | 8,913.3 | 31.7% |
| Excess (Deficiency) of Receipts over Disbursements | 4,923.6 | 37.4 | 1,793.8 | (424.6) | (404.4) | (1,123.2) | - | - | - | - | - | - | 4,802.6 | 3,117.7 | 1,684.9 | 54.0% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Transfers to Other Funds | (307.2) | (135.4) | 2.7 | (248.9) | (27.0) | (291.8) | | | | | | | (1,007.6) | (904.6) | 103.0 | 11.4% |
| Total Other Financing Sources (Uses) | (307.2) | (135.4) | 2.7 | (248.9) | (27.0) | (291.8) | - | - | - | - | - | - | (1,007.6) | (904.6) | 103.0 | 11.4% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 4,616.4 | (98.0) | 1,796.5 | (673.5) | (431.4) | (1,415.0) | - | - | - | - | - | - | 3,795.0 | 2,213.1 | 1,581.9 | 71.5% |
| Ending Fund Balance | \$ 5,527.8 | \$ 5,429.8 | \$ 7,226.3 | \$ 6,552.8 | \$ 6,121.4 | \$ 4,706.4 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 4,706.4 | \$ 964.7 | \$ 3,741.7 | 387.9% |

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT H

| | 2020 | | | | | | 2021 | | | | | | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|---------|----------|----------|---------|----------|-------|-----------------------------|-------------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ 63.4 | \$ 392.9 | \$ 522.1 | \$ 495.2 | \$ 1,603.0 | \$ 2,144.5 | | | | | | | \$ 63.4 | \$ 64.8 | \$ (1.4) | -2.2% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Taxes: | | | | | | | | | | | | | | | | |
| Personal Income Tax | 1,033.1 | 1,099.6 | 2,184.2 | 5,115.4 | 1,361.5 | 2,635.7 | | | | | | | 13,429.5 | 13,990.7 | (561.2) | -4.0% |
| Consumption/Use Taxes: | | | | | | | | | | | | | | | | |
| Sales and Use | 394.2 | 369.7 | 572.0 | 529.7 | 536.3 | 708.9 | | | | | | | 3,110.8 | 3,754.7 | (643.9) | -17.1% |
| Total Consumption/Use Taxes | 394.2 | 369.7 | 572.0 | 529.7 | 536.3 | 708.9 | - | - | - | - | - | - | 3,110.8 | 3,754.7 | (643.9) | -17.1% |
| Other Taxes: | | | | | | | | | | | | | | | | |
| Real Estate Transfer | 57.2 | 48.4 | 37.9 | 53.0 | 56.4 | 70.3 | | | | | | | 323.2 | 538.6 | (215.4) | -40.0% |
| Employer Compensation Expense Tax | 0.1 | (0.1) | 0.1 | 0.1 | 0.1 | 0.1 | | | | | | | 0.4 | 0.4 | - | 0.0% |
| Total Other Taxes | 57.3 | 48.3 | 38.0 | 53.1 | 56.5 | 70.4 | - | - | - | - | - | - | 323.6 | 539.0 | (215.4) | -40.0% |
| Total Taxes | 1,484.6 | 1,517.6 | 2,794.2 | 5,698.2 | 1,954.3 | 3,415.0 | - | - | - | - | - | - | 16,863.9 | 18,284.4 | (1,420.5) | -7.8% |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | |
| Assessments: | | | | | | | | | | | | | | | | |
| Medical Care | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | |
| Alcohol Beverage Control Licensing | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Business/Professional | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Civil | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Criminal | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Motor Vehicle | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Recreational/Consumer | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Interest Earnings | 0.1 | 0.1 | - | - | - | - | | | | | | | 0.2 | 1.3 | (1.1) | -84.6% |
| Receipts from Municipalities | - | 0.3 | 0.1 | 0.1 | - | - | | | | | | | 0.5 | 1.3 | (0.8) | -61.5% |
| Rentals | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Revenues of State Departments: | | | | | | | | | | | | | | | | |
| Patient/Client Care Reimbursement | 47.2 | 13.4 | 42.6 | 45.8 | 46.2 | 36.2 | | | | | | | 231.4 | 263.0 | (31.6) | -12.0% |
| All Other | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Sales | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Total Miscellaneous Receipts | 47.3 | 13.8 | 42.7 | 45.9 | 46.2 | 36.2 | - | - | - | - | - | - | 232.1 | 265.6 | (33.5) | -12.6% |
| Federal Receipts | - | - | - | - | - | 24.4 | | | | | | | 24.4 | 36.8 | (12.4) | -33.7% |
| Total Receipts | 1,531.9 | 1,531.4 | 2,836.9 | 5,744.1 | 2,000.5 | 3,475.6 | - | - | - | - | - | - | 17,120.4 | 18,586.8 | (1,466.4) | -7.9% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Non-Personal Service | - | 0.9 | 12.1 | 5.8 | 2.9 | 7.9 | | | | | | | 29.6 | 22.5 | 7.1 | 31.6% |
| Debt Service, Including Payments on Financing Agreements | 36.5 | 23.5 | 28.9 | 10.7 | 337.6 | 841.8 | | | | | | | 1,279.0 | 976.6 | 302.4 | 31.0% |
| Total Disbursements | 36.5 | 24.4 | 41.0 | 16.5 | 340.5 | 849.7 | - | - | - | - | - | - | 1,308.6 | 999.1 | 309.5 | 31.0% |
| Excess (Deficiency) of Receipts over Disbursements | 1,495.4 | 1,507.0 | 2,795.9 | 5,727.6 | 1,660.0 | 2,625.9 | - | - | - | - | - | - | 15,811.8 | 17,587.7 | (1,775.9) | -10.1% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 280.2 | 130.3 | 39.0 | 311.2 | 49.4 | 247.4 | | | | | | | 1,057.5 | 1,251.3 | (193.8) | -15.5% |
| Transfers to Other Funds | (1,446.1) | (1,508.1) | (2,861.8) | (4,931.0) | (1,167.9) | (2,680.5) | | | | | | | (14,595.4) | (18,596.8) | (4,001.4) | -21.5% |
| Total Other Financing Sources (Uses) | (1,165.9) | (1,377.8) | (2,822.8) | (4,619.8) | (1,118.5) | (2,433.1) | - | - | - | - | - | - | (13,537.9) | (17,345.5) | 3,807.6 | 22.0% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 329.5 | 129.2 | (26.9) | 1,107.8 | 541.5 | 192.8 | - | - | - | - | - | - | 2,273.9 | 242.2 | 2,031.7 | 838.9% |
| Ending Fund Balance | \$ 392.9 | \$ 522.1 | \$ 495.2 | \$ 1,603.0 | \$ 2,144.5 | \$ 2,337.3 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 2,337.3 | \$ 307.0 | \$ 2,030.3 | 661.3% |

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

| | 2020 | | | | | | | | | | | | Intra-Fund Transfer Eliminations (*) | 6 Months Ended September 30 | | | |
|-------------------------------------|----------------|--------------|--------------|--------------|--------------|--------------|---------|----------|----------|-----------------|----------|-------|--------------------------------------------|-----------------------------|----------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ (1,034.9) | \$ (1,155.0) | \$ (1,322.2) | \$ (1,207.9) | \$ (930.7) | \$ (1,080.2) | | | | | | | \$ (1,034.9) | \$ (1,137.9) | \$ 103.0 | 9.1% | |
| RECEIPTS: | | | | | | | | | | | | | | | | | |
| Taxes: | | | | | | | | | | | | | | | | | |
| Consumption/Use Taxes: | | | | | | | | | | | | | | | | | |
| Auto Rental | 0.6 | 0.1 | 10.1 | - | - | 15.6 | | | | | | | 26.4 | 57.2 | (30.8) | -53.8% | |
| Motor Fuel | 23.8 | 16.7 | 24.9 | 31.2 | 33.4 | 32.7 | | | | | | | 162.7 | 210.1 | (47.4) | -22.6% | |
| Highway Use | 11.6 | 8.8 | 12.4 | 12.4 | 10.2 | 12.7 | | | | | | | 68.1 | 70.8 | (2.7) | -3.8% | |
| Total Consumption/Use Taxes | 36.0 | 25.6 | 47.4 | 43.6 | 43.6 | 61.0 | | | | | | | 257.2 | 338.1 | (80.9) | -23.9% | |
| Business Taxes: | | | | | | | | | | | | | | | | | |
| Corporation Franchise | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | |
| Corporation and Utilities | 0.1 | (1.5) | 0.6 | 3.6 | 0.1 | 2.2 | | | | | | | 5.1 | 8.2 | (3.1) | -37.8% | |
| Petroleum Business | 38.0 | 22.2 | 48.0 | 49.1 | 48.3 | 55.2 | | | | | | | 260.8 | 339.8 | (79.0) | -23.2% | |
| Total Business Taxes | 38.1 | 20.7 | 48.6 | 52.7 | 48.4 | 57.4 | | | | | | | 265.9 | 348.0 | (82.1) | -23.6% | |
| Other Taxes: | | | | | | | | | | | | | | | | | |
| Real Estate Transfer | - | - | 11.9 | 11.9 | 11.9 | 11.9 | | | | | | | 47.6 | 47.6 | - | 0.0% | |
| Total Other Taxes | - | - | 11.9 | 11.9 | 11.9 | 11.9 | | | | | | | 47.6 | 47.6 | - | 0.0% | |
| Total Taxes | 74.1 | 46.3 | 107.9 | 108.2 | 103.9 | 130.3 | | | | | | | 570.7 | 733.7 | (163.0) | -22.2% | |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | | |
| Bottle Bill | - | - | - | 23.0 | - | - | | | | | | | 23.0 | 23.0 | - | 0.0% | |
| Assessments: | | | | | | | | | | | | | | | | | |
| Business | 7.9 | 3.6 | 5.4 | 7.0 | 7.6 | 7.1 | | | | | | | 38.6 | 53.2 | (14.6) | -27.4% | |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | | |
| Business/Professional | 1.8 | 2.1 | 1.6 | 1.3 | 9.3 | 3.1 | | | | | | | 19.2 | 24.6 | (5.4) | -22.0% | |
| Civil | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | |
| Motor Vehicle | 52.2 | 33.2 | 43.1 | 60.6 | 63.4 | 57.4 | | | | | | | 309.9 | 386.5 | (76.6) | -19.8% | |
| Recreational/Consumer | - | - | 0.5 | - | 11.0 | 7.7 | | | | | | | 19.2 | 3.3 | 15.9 | 481.8% | |
| Fines, Penalties and Forfeitures | 2.0 | 1.9 | 2.0 | 1.2 | 3.2 | 2.2 | | | | | | | 12.5 | 12.3 | 0.2 | 1.6% | |
| Interest Earnings | 0.7 | 0.5 | 0.1 | - | 0.1 | 0.1 | | | | | | | 1.5 | 6.2 | (4.7) | -75.8% | |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | | |
| Bond Proceeds | 1,122.1 | 19.1 | 342.4 | 269.9 | 32.4 | 384.7 | | | | | | | 2,170.6 | 1,083.6 | 1,087.0 | 100.3% | |
| Issuance Fees | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | |
| Non Bond Related | 0.1 | - | 0.5 | 0.1 | 0.5 | 0.1 | | | | | | | 1.3 | 3.9 | (2.6) | -66.7% | |
| Receipts from Municipalities | - | - | 0.1 | - | 0.3 | - | | | | | | | 0.4 | 1.1 | (0.7) | -63.6% | |
| Rentals | 0.5 | 1.1 | 2.1 | 2.2 | 3.8 | 1.2 | | | | | | | 10.9 | 5.1 | 5.8 | 113.7% | |
| Revenues of State Departments: | | | | | | | | | | | | | | | | | |
| Administrative Recoveries | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | |
| Gifts, Grants and Donations | - | 0.7 | 5.8 | - | 1.3 | 1.6 | | | | | | | 9.4 | 12.1 | (2.7) | -22.3% | |
| Indirect Cost Recoveries | - | - | - | - | - | - | | | | | | | - | (0.9) | 0.9 | 100.0% | |
| Rebates | - | - | - | - | - | - | | | | | | | - | 0.2 | (0.2) | -100.0% | |
| Restitution and Settlements | 3.1 | 0.2 | - | 0.1 | 0.9 | 1.4 | | | | | | | 5.7 | 1.5 | 4.2 | 280.0% | |
| All Other | 0.3 | 1.8 | 12.0 | 0.2 | 0.7 | 13.7 | | | | | | | 28.7 | 37.2 | (8.5) | -22.8% | |
| Sales | - | - | - | - | 0.1 | 0.1 | | | | | | | 0.2 | 4.2 | (4.0) | -95.2% | |
| Total Miscellaneous Receipts | 1,190.7 | 64.2 | 415.6 | 365.6 | 134.6 | 480.4 | | | | | | | 2,651.1 | 1,657.1 | 994.0 | 60.0% | |
| Federal Receipts | 85.7 | 102.7 | 167.9 | 209.1 | 186.7 | 177.2 | | | | | | | 929.3 | 822.3 | 107.0 | 13.0% | |
| Total Receipts | 1,350.5 | 213.2 | 691.4 | 682.9 | 425.2 | 787.9 | | | | | | | 4,151.1 | 3,213.1 | 938.0 | 29.2% | |

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

| | 2020 | | | | | | | | | | | | Intra-Fund Transfer Eliminations (*) | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|-------------------|---------------------|---------------------|-------------|-------------|-------------|---------------------|-------------|-------------|--------------------------------------------|-----------------------------|---------------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | | |
| Education | 12.0 | - | 4.9 | 1.0 | 1.0 | 1.4 | - | - | - | - | - | - | - | 20.3 | 87.8 | (67.5) | -76.9% |
| Environment and Recreation | 4.4 | 3.2 | 14.0 | 12.6 | 20.6 | 15.3 | - | - | - | - | - | - | - | 70.1 | 107.3 | (37.2) | -34.7% |
| General Government | 30.4 | 10.9 | 41.5 | 36.0 | 69.0 | 18.7 | - | - | - | - | - | - | - | 206.5 | 418.3 | (211.8) | -50.6% |
| Public Health: | | | | | | | | | | | | | | | | | |
| Medicaid | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Other Public Health | 29.0 | 58.2 | 30.9 | 38.7 | 76.1 | 25.7 | - | - | - | - | - | - | - | 258.6 | 206.9 | 51.7 | 25.0% |
| Public Safety | - | - | 3.8 | 0.9 | 1.1 | 0.7 | - | - | - | - | - | - | - | 6.5 | 21.6 | (15.1) | -69.9% |
| Public Welfare | - | 33.8 | 73.7 | 71.7 | 53.1 | 110.4 | - | - | - | - | - | - | - | 342.7 | 154.9 | 187.8 | 121.2% |
| Support and Regulate Business | 43.2 | 7.7 | 24.8 | 20.9 | 71.6 | 11.4 | - | - | - | - | - | - | - | 179.6 | 515.3 | (335.7) | -65.1% |
| Transportation | 24.4 | 46.2 | 50.0 | 91.9 | 146.7 | 369.3 | - | - | - | - | - | - | - | 728.5 | 959.1 | (230.6) | -24.0% |
| Total Local Assistance Grants | 143.4 | 160.0 | 243.6 | 273.7 | 439.2 | 552.9 | - | - | - | - | - | - | - | 1,812.8 | 2,471.2 | (658.4) | -26.6% |
| Departmental Operations: | | | | | | | | | | | | | | | | | |
| Personal Service | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Non-Personal Service | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| General State Charges | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Capital Projects | 509.8 | 406.0 | 681.8 | 641.4 | 665.4 | 706.7 | - | - | - | - | - | - | - | 3,611.1 | 3,432.3 | 178.8 | 5.2% |
| Total Disbursements | 653.2 | 566.0 | 925.4 | 915.1 | 1,104.6 | 1,259.6 | - | - | - | - | - | - | - | 5,423.9 | 5,903.5 | (479.6) | -8.1% |
| Excess (Deficiency) of Receipts over Disbursements | 697.3 | (352.8) | (234.0) | (232.2) | (679.4) | (471.7) | - | - | - | - | - | - | - | (1,272.8) | (2,690.4) | 1,417.6 | 52.7% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | |
| Bond and Note Proceeds (net) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Transfers from Other Funds | (805.1) | 198.0 | 360.6 | 523.4 | 566.3 | 250.0 | - | - | - | - | - | - | - | 1,093.2 | 3,054.3 | (1,961.1) | -64.2% |
| Transfers to Other Funds | (12.3) | (12.4) | (12.3) | (14.0) | (36.4) | (187.0) | - | - | - | - | - | - | - | (274.4) | (514.1) | (239.7) | -46.6% |
| Total Other Financing Sources (Uses) | (817.4) | 185.6 | 348.3 | 509.4 | 529.9 | 63.0 | - | - | - | - | - | - | - | 818.8 | 2,540.2 | (1,721.4) | -67.8% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (120.1) | (167.2) | 114.3 | 277.2 | (149.5) | (408.7) | - | - | - | - | - | - | - | (454.0) | (150.2) | (303.8) | -202.3% |
| Ending Fund Balance | \$ (1,155.0) | \$ (1,322.2) | \$ (1,207.9) | \$ (930.7) | \$ (1,080.2) | \$ (1,488.9) | \$ - | \$ - | \$ - | \$ (1,080.2) | \$ - | \$ - | \$ - | \$ (1,488.9) | \$ (1,288.1) | \$ (200.8) | -15.6% |

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

| | 2020 | | | | | | 2021 | | | | | | 6 Months Ended September 30 | | | |
|-------------------------------------|----------------|--------------|--------------|--------------|--------------|--------------|---------|----------|----------|---------|----------|-------|-----------------------------|----------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ (472.2) | \$ (598.4) | \$ (754.3) | \$ (629.3) | \$ (389.5) | \$ (564.6) | | | | | | | \$ (472.2) | \$ (633.2) | \$ 161.0 | 25.4% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Taxes: | | | | | | | | | | | | | | | | |
| Consumption/Use Taxes | | | | | | | | | | | | | | | | |
| Auto Rental | 0.6 | 0.1 | 10.1 | - | - | 15.6 | | | | | | | 26.4 | 57.2 | (30.8) | -53.8% |
| Motor Fuel | 23.8 | 16.7 | 24.9 | 31.2 | 33.4 | 32.7 | | | | | | | 162.7 | 210.1 | (47.4) | -22.6% |
| Highway Use | 11.6 | 8.8 | 12.4 | 12.4 | 10.2 | 12.7 | | | | | | | 68.1 | 70.8 | (2.7) | -3.8% |
| Total Consumption/Use Taxes | 36.0 | 25.6 | 47.4 | 43.6 | 43.6 | 61.0 | - | - | - | - | - | - | 257.2 | 338.1 | (80.9) | -23.9% |
| Business Taxes | | | | | | | | | | | | | | | | |
| Corporation Franchise | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Corporation and Utilities | 0.1 | (1.5) | 0.6 | 3.6 | 0.1 | 2.2 | | | | | | | 5.1 | 8.2 | (3.1) | -37.8% |
| Petroleum Business | 38.0 | 22.2 | 48.0 | 49.1 | 48.3 | 55.2 | | | | | | | 260.8 | 339.8 | (79.0) | -23.2% |
| Total Business Taxes | 38.1 | 20.7 | 48.6 | 52.7 | 48.4 | 57.4 | - | - | - | - | - | - | 265.9 | 348.0 | (82.1) | -23.6% |
| Other Taxes | | | | | | | | | | | | | | | | |
| Real Estate Transfer | - | - | 11.9 | 11.9 | 11.9 | 11.9 | | | | | | | 47.6 | 47.6 | - | 0.0% |
| Total Other Taxes | - | - | 11.9 | 11.9 | 11.9 | 11.9 | - | - | - | - | - | - | 47.6 | 47.6 | - | 0.0% |
| Total Taxes | 74.1 | 46.3 | 107.9 | 108.2 | 103.9 | 130.3 | - | - | - | - | - | - | 570.7 | 733.7 | (163.0) | -22.2% |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | |
| Bottle Bill | - | - | - | 23.0 | - | - | | | | | | | 23.0 | 23.0 | - | 0.0% |
| Assessments: | | | | | | | | | | | | | | | | |
| Business | 7.9 | 3.6 | 5.4 | 7.0 | 7.6 | 7.1 | | | | | | | 38.6 | 53.2 | (14.6) | -27.4% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | |
| Business/Professional | 1.8 | 2.1 | 1.6 | 1.3 | 9.3 | 3.1 | | | | | | | 19.2 | 24.6 | (5.4) | -22.0% |
| Civil | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Motor Vehicle | 52.2 | 33.2 | 43.1 | 60.6 | 63.4 | 57.4 | | | | | | | 309.9 | 386.5 | (76.6) | -19.8% |
| Recreational/Consumer | - | - | 0.5 | - | 11.0 | 7.7 | | | | | | | 19.2 | 3.3 | 15.9 | 481.8% |
| Fines, Penalties and Forfeitures | 2.0 | 1.9 | 2.0 | 1.2 | 3.2 | 2.2 | | | | | | | 12.5 | 12.3 | 0.2 | 1.6% |
| Interest Earnings | 0.7 | 0.5 | 0.1 | - | 0.1 | 0.1 | | | | | | | 1.5 | 6.2 | (4.7) | -75.8% |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | |
| Bond Proceeds | 1,122.1 | 19.1 | 342.4 | 269.9 | 32.4 | 384.7 | | | | | | | 2,170.6 | 1,083.6 | 1,087.0 | 100.3% |
| Issuance Fees | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Non Bond Related | 0.1 | - | 0.5 | 0.1 | 0.5 | 0.1 | | | | | | | 1.3 | 3.9 | (2.6) | -66.7% |
| Receipts from Municipalities | - | - | 0.1 | - | 0.3 | - | | | | | | | 0.4 | 1.1 | (0.7) | -63.6% |
| Rentals | 0.4 | 1.1 | 2.0 | 2.2 | 3.7 | 1.1 | | | | | | | 10.5 | 4.7 | 5.8 | 123.4% |
| Revenues of State Departments: | | | | | | | | | | | | | | | | |
| Administrative Recoveries | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Gifts, Grants and Donations | - | 0.7 | 5.8 | - | 1.3 | 1.6 | | | | | | | 9.4 | 12.1 | (2.7) | -22.3% |
| Indirect Cost Recoveries | - | - | - | - | - | - | | | | | | | - | (0.9) | 0.9 | 100.0% |
| Rebates | - | - | - | - | - | - | | | | | | | - | 0.2 | (0.2) | -100.0% |
| Restitution and Settlements | 3.1 | 0.2 | - | 0.1 | 0.9 | 1.4 | | | | | | | 5.7 | 1.5 | 4.2 | 280.0% |
| All Other | 0.3 | 1.8 | 12.0 | 0.2 | 0.7 | 13.7 | | | | | | | 28.7 | 37.2 | (8.5) | -22.8% |
| Sales | - | - | - | - | 0.1 | - | | | | | | | 0.1 | 4.2 | (4.1) | -97.6% |
| Total Miscellaneous Receipts | 1,190.6 | 64.2 | 415.5 | 365.6 | 134.5 | 480.2 | - | - | - | - | - | - | 2,650.6 | 1,656.7 | 993.9 | 60.0% |
| Federal Receipts | - | - | - | - | - | 2.1 | | | | | | | 2.1 | 2.3 | (0.2) | -8.7% |
| Total Receipts | 1,264.7 | 110.5 | 523.4 | 473.8 | 238.4 | 612.6 | - | - | - | - | - | - | 3,223.4 | 2,392.7 | 830.7 | 34.7% |

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------|-------------|-------------|-----------------|-------------|-------------|-----------------------------|-------------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | |
| Education | 12.0 | - | 4.9 | 1.0 | 1.0 | 1.4 | | | | | | | 20.3 | 87.8 | (67.5) | -76.9% |
| Environment and Recreation | 4.4 | 3.2 | 14.0 | 12.6 | 20.6 | 15.3 | | | | | | | 70.1 | 107.3 | (37.2) | -34.7% |
| General Government | 30.4 | 10.9 | 41.5 | 36.0 | 69.0 | 18.7 | | | | | | | 206.5 | 418.3 | (211.8) | -50.6% |
| Public Health: | | | | | | | | | | | | | | | | |
| Medicaid | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Other Public Health | 29.0 | 58.2 | 30.9 | 37.5 | 76.1 | 25.1 | | | | | | | 256.8 | 205.7 | 51.1 | 24.8% |
| Public Safety | - | - | 0.7 | 0.9 | 1.1 | 0.3 | | | | | | | 3.0 | 1.4 | 1.6 | 114.3% |
| Public Welfare | - | 33.8 | 73.7 | 71.7 | 53.1 | 110.4 | | | | | | | 342.7 | 154.9 | 187.8 | 121.2% |
| Support and Regulate Business | 43.2 | 7.7 | 24.8 | 20.9 | 71.6 | 11.4 | | | | | | | 179.6 | 515.3 | (335.7) | -65.1% |
| Transportation | 2.4 | 4.7 | 14.4 | 50.4 | 104.0 | 316.6 | | | | | | | 492.5 | 724.4 | (231.9) | -32.0% |
| Total Local Assistance Grants | 121.4 | 118.5 | 204.9 | 231.0 | 396.5 | 499.2 | - | - | - | - | - | - | 1,571.5 | 2,215.1 | (643.6) | -29.1% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Non-Personal Service | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| General State Charges | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Capital Projects | 452.1 | 333.5 | 541.8 | 512.4 | 546.9 | 547.4 | | | | | | | 2,934.1 | 2,843.2 | 90.9 | 3.2% |
| Total Disbursements | 573.5 | 452.0 | 746.7 | 743.4 | 943.4 | 1,046.6 | - | - | - | - | - | - | 4,505.6 | 5,058.3 | (552.7) | -10.9% |
| Excess (Deficiency) of Receipts over Disbursements | 691.2 | (341.5) | (223.3) | (269.6) | (705.0) | (434.0) | - | - | - | - | - | - | (1,282.2) | (2,665.6) | 1,383.4 | 51.9% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Bond and Note Proceeds (net) | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Transfers from Other Funds | (805.1) | 198.0 | 360.6 | 523.4 | 566.3 | 250.0 | | | | | | | 1,093.2 | 3,054.3 | (1,961.1) | -64.2% |
| Transfers to Other Funds | (12.3) | (12.4) | (12.3) | (14.0) | (36.4) | (187.0) | | | | | | | (274.4) | (514.1) | (239.7) | -46.6% |
| Total Other Financing Sources (Uses) | (817.4) | 185.6 | 348.3 | 509.4 | 529.9 | 63.0 | - | - | - | - | - | - | 818.8 | 2,540.2 | (1,721.4) | -67.8% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (126.2) | (155.9) | 125.0 | 239.8 | (175.1) | (371.0) | - | - | - | - | - | - | (463.4) | (125.4) | (338.0) | -269.5% |
| Ending Fund Balance | \$ (598.4) | \$ (754.3) | \$ (629.3) | \$ (389.5) | \$ (564.6) | \$ (935.6) | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ (935.6) | \$ (758.6) | \$ (177.0) | -23.3% |

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2020-2021
 (amounts in millions)

EXHIBIT I

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|---------------------------------------------------------------------------------------------------------------|---------------|--------------|--------------|--------------|--------------|--------------|----------|----------|----------|-----------------|----------|----------|-----------------------------|--------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ (562.7) | \$ (556.6) | \$ (567.9) | \$ (578.6) | \$ (541.2) | \$ (515.6) | | | | | | | \$ (562.7) | \$ (504.7) | \$ (58.0) | -11.5% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Miscellaneous Receipts: | | | | | | | | | | | | | | | | |
| Abandoned Property: | | | | | | | | | | | | | | | | |
| Bottle Bill | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Assessments: | | | | | | | | | | | | | | | | |
| Business | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Fees, Licenses and Permits: | | | | | | | | | | | | | | | | |
| Business/Professional | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Civil | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Motor Vehicle | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Recreational/Consumer | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Fines, Penalties and Forfeitures | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Interest Earnings | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Receipts from Public Authorities: | | | | | | | | | | | | | | | | |
| Bond Proceeds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Issuance Fees | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Non Bond Related | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Receipts from Municipalities | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Rentals | 0.1 | - | 0.1 | - | 0.1 | 0.1 | - | - | - | - | - | - | 0.4 | 0.4 | - | 0.0% |
| Revenues of State Departments: | | | | | | | | | | | | | | | | |
| Administrative Recoveries | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Gifts, Grants and Donations | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Indirect Cost Recoveries | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Restitution and Settlements | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| All Other | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Sales | - | - | - | - | - | 0.1 | - | - | - | - | - | - | 0.1 | - | 0.1 | 100.0% |
| Total Miscellaneous Receipts | 0.1 | - | 0.1 | - | 0.1 | 0.2 | - | - | - | - | - | - | 0.5 | 0.4 | 0.1 | 25.0% |
| Federal Receipts | 85.7 | 102.7 | 167.9 | 209.1 | 186.7 | 175.1 | - | - | - | - | - | - | 927.2 | 820.0 | 107.2 | 13.1% |
| Total Receipts | 85.8 | 102.7 | 168.0 | 209.1 | 186.8 | 175.3 | - | - | - | - | - | - | 927.7 | 820.4 | 107.3 | 13.1% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Local Assistance Grants: | | | | | | | | | | | | | | | | |
| Education | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Environment and Recreation | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| General Government | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Public Health: | | | | | | | | | | | | | | | | |
| Medicaid | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Other Public Health | - | - | - | 1.2 | - | 0.6 | - | - | - | - | - | - | 1.8 | 1.2 | 0.6 | 50.0% |
| Public Safety | - | - | 3.1 | - | - | 0.4 | - | - | - | - | - | - | 3.5 | 20.2 | (16.7) | -82.7% |
| Public Welfare | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Support and Regulate Business | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Transportation | 22.0 | 41.5 | 35.6 | 41.5 | 42.7 | 52.7 | - | - | - | - | - | - | 236.0 | 234.7 | 1.3 | 0.6% |
| Total Local Assistance Grants | 22.0 | 41.5 | 38.7 | 42.7 | 42.7 | 53.7 | - | - | - | - | - | - | 241.3 | 256.1 | (14.8) | -5.8% |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Non-Personal Service | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| General State Charges | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Capital Projects | 57.7 | 72.5 | 140.0 | 129.0 | 118.5 | 159.3 | - | - | - | - | - | - | 677.0 | 589.1 | 87.9 | 14.9% |
| Total Disbursements | 79.7 | 114.0 | 178.7 | 171.7 | 161.2 | 213.0 | - | - | - | - | - | - | 918.3 | 845.2 | 73.1 | 8.6% |
| Excess (Deficiency) of Receipts over Disbursements | 6.1 | (11.3) | (10.7) | 37.4 | 25.6 | (37.7) | - | - | - | - | - | - | 9.4 | (24.8) | 34.2 | 137.9% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Transfers to Other Funds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Total Other Financing Sources (Uses) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | 6.1 | (11.3) | (10.7) | 37.4 | 25.6 | (37.7) | - | - | - | - | - | - | 9.4 | (24.8) | 34.2 | 137.9% |
| Ending Fund Balance | \$ (556.6) | \$ (567.9) | \$ (578.6) | \$ (541.2) | \$ (515.6) | \$ (553.3) | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ (553.3) | \$ (529.5) | \$ (23.8) | -4.5% |

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT J

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|----------------|----------------|-----------------|-----------------|----------------|----------------|---------|----------|----------|-----------------|----------|-------|-----------------------------|----------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ 29.7 | \$ 45.9 | \$ 35.9 | \$ 35.3 | \$ 40.4 | \$ 42.2 | | | | | | | \$ 29.7 | \$ 26.6 | \$ 3.1 | 11.7% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Miscellaneous Receipts | 4.2 | 4.8 | 6.4 | 6.9 | 7.7 | 7.0 | | | | | | | 37.0 | 45.4 | (8.4) | -18.5% |
| Federal Receipts | 2,584.0 | 5,993.3 | 10,834.5 | 8,949.5 | 3,020.9 | 2,491.7 | | | | | | | 33,873.9 | 5.8 | 33,868.1 | 583,932.8% |
| Unemployment Taxes | 1,823.9 | 2,261.7 | 2,631.7 | 1,980.9 | 1,831.3 | 1,396.4 | | | | | | | 11,925.9 | 958.5 | 10,967.4 | 1,144.2% |
| Total Receipts | 4,412.1 | 8,259.8 | 13,472.6 | 10,937.3 | 4,859.9 | 3,895.1 | - | - | - | - | - | - | 45,836.8 | 1,009.7 | 44,827.1 | 4,439.6% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | 1.4 | 0.9 | 1.1 | 1.1 | 1.3 | 1.7 | | | | | | | 7.5 | 2.3 | 5.2 | 226.1% |
| Non-Personal Service | 3.6 | 4.9 | 5.4 | 4.9 | 4.6 | 4.4 | | | | | | | 27.8 | 29.1 | (1.3) | -4.5% |
| General State Charges | 0.2 | 0.1 | 0.2 | 0.1 | 0.1 | 0.2 | | | | | | | 0.9 | 0.4 | 0.5 | 125.0% |
| Unemployment Benefits | 4,390.7 | 8,263.9 | 13,469.5 | 10,926.1 | 4,852.1 | 3,888.9 | | | | | | | 45,791.2 | 965.2 | 44,826.0 | 4,644.2% |
| Total Disbursements | 4,395.9 | 8,269.8 | 13,476.2 | 10,932.2 | 4,858.1 | 3,895.2 | - | - | - | - | - | - | 45,827.4 | 997.0 | 44,830.4 | 4,496.5% |
| Excess (Deficiency) of Receipts over Disbursements | 16.2 | (10.0) | (3.6) | 5.1 | 1.8 | (0.1) | - | - | - | - | - | - | 9.4 | 12.7 | (3.3) | -26.0% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | - | - | 3.0 | - | - | - | | | | | | | 3.0 | - | 3.0 | 100.0% |
| Transfers to Other Funds | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Total Other Financing Sources (Uses) | - | - | 3.0 | - | - | - | - | - | - | - | - | - | 3.0 | - | 3.0 | 100.0% |
| Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses | 16.2 | (10.0) | (0.6) | 5.1 | 1.8 | (0.1) | - | - | - | - | - | - | 12.4 | 12.7 | (0.3) | -2.4% |
| Ending Fund Balance | \$ 45.9 | \$ 35.9 | \$ 35.3 | \$ 40.4 | \$ 42.2 | \$ 42.1 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 42.1 | \$ 39.3 | \$ 2.8 | 7.1% |

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT K

| | | | | | | | | | | | | | 6 Months Ended September 30 | | | |
|---------------------------------------------------------------------------------------------------------------|---------------|-------------|--------------|-------------|-------------|-------------|---------|----------|----------|-----------------|----------|-------|-----------------------------|--------------|----------------------------|-------------------------|
| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ (297.5) | \$ (281.0) | \$ (299.2) | \$ (315.2) | \$ (342.3) | \$ (355.2) | | | | | | | \$ (297.5) | \$ (302.7) | \$ 5.2 | 1.7% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Miscellaneous Receipts | 25.2 | 22.3 | 34.9 | 19.8 | 32.4 | 34.1 | | | | | | | 168.7 | 264.2 | (95.5) | -36.1% |
| Total Receipts | 25.2 | 22.3 | 34.9 | 19.8 | 32.4 | 34.1 | - | - | - | - | - | - | 168.7 | 264.2 | (95.5) | -36.1% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | 14.5 | 11.1 | 11.0 | 12.3 | 9.9 | 14.6 | | | | | | | 73.4 | 62.5 | 10.9 | 17.4% |
| Non-Personal Service | (9.3) | 26.6 | 83.3 | 31.7 | 41.6 | 35.2 | | | | | | | 209.1 | 191.8 | 17.3 | 9.0% |
| General State Charges | 4.8 | 5.3 | 8.6 | 4.6 | 5.7 | 4.8 | | | | | | | 33.8 | 30.0 | 3.8 | 12.7% |
| Total Disbursements | 10.0 | 43.0 | 102.9 | 48.6 | 57.2 | 54.6 | - | - | - | - | - | - | 316.3 | 284.3 | 32.0 | 11.3% |
| Excess (Deficiency) of Receipts over Disbursements | 15.2 | (20.7) | (68.0) | (28.8) | (24.8) | (20.5) | - | - | - | - | - | - | (147.6) | (20.1) | (127.5) | -634.3% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 1.3 | 2.5 | 52.0 | 1.7 | 12.1 | 3.1 | | | | | | | 72.7 | 45.4 | 27.3 | 60.1% |
| Transfers to Other Funds | - | - | - | - | (0.2) | (0.1) | | | | | | | (0.3) | (0.9) | (0.6) | -66.7% |
| Total Other Financing Sources (Uses) | 1.3 | 2.5 | 52.0 | 1.7 | 11.9 | 3.0 | - | - | - | - | - | - | 72.4 | 44.5 | 27.9 | 62.7% |
| Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses | 16.5 | (18.2) | (16.0) | (27.1) | (12.9) | (17.5) | - | - | - | - | - | - | (75.2) | 24.4 | (99.6) | -408.2% |
| Ending Fund Balance | \$ (281.0) | \$ (299.2) | \$ (315.2) | \$ (342.3) | \$ (355.2) | \$ (372.7) | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ (372.7) | \$ (278.3) | \$ (94.4) | -33.9% |

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT L

| | 2020 | | | | | | 2021 | | | | | | 6 Months Ended September 30 | | | |
|---------------------------------------------------------------------------------------------------------------|-------------|------------|-------------|-------------|------------|-------------|---------|----------|----------|---------|----------|-------|-----------------------------|-------------|----------------------------|-------------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease |
| Beginning Fund Balance | \$ (1.1) | \$ (5.3) | \$ (9.3) | \$ (15.3) | \$ (1.7) | \$ (5.9) | | | | | | | \$ (1.1) | \$ (3.0) | \$ 1.9 | 63.3% |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Miscellaneous Receipts | 8.3 | 5.5 | 5.4 | 23.5 | 5.6 | 14.3 | | | | | | | 62.6 | 58.5 | 4.1 | 7.0% |
| Total Receipts | 8.3 | 5.5 | 5.4 | 23.5 | 5.6 | 14.3 | - | - | - | - | - | - | 62.6 | 58.5 | 4.1 | 7.0% |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | | | |
| Personal Service | 8.3 | 5.5 | 5.6 | 5.5 | 5.5 | 8.3 | | | | | | | 38.7 | 33.8 | 4.9 | 14.5% |
| Non-Personal Service | 0.7 | 0.6 | 0.6 | 1.0 | 0.9 | 0.8 | | | | | | | 4.6 | 7.3 | (2.7) | -37.0% |
| General State Charges | 3.5 | 3.4 | 5.2 | 3.4 | 3.4 | 3.5 | | | | | | | 22.4 | 21.4 | 1.0 | 4.7% |
| Total Disbursements | 12.5 | 9.5 | 11.4 | 9.9 | 9.8 | 12.6 | - | - | - | - | - | - | 65.7 | 62.5 | 3.2 | 5.1% |
| Excess (Deficiency) of Receipts over Disbursements | (4.2) | (4.0) | (6.0) | 13.6 | (4.2) | 1.7 | - | - | - | - | - | - | (3.1) | (4.0) | 0.9 | 22.5% |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Transfers to Other Funds | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% |
| Total Other Financing Sources (Uses) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% |
| Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses | (4.2) | (4.0) | (6.0) | 13.6 | (4.2) | 1.7 | - | - | - | - | - | - | (3.1) | (4.0) | 0.9 | 22.5% |
| Ending Fund Balance | \$ (5.3) | \$ (9.3) | \$ (15.3) | \$ (1.7) | \$ (5.9) | \$ (4.2) | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ (4.2) | \$ (7.0) | \$ 2.8 | 40.0% |

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2020-2021
(amounts in millions)

EXHIBIT M

| | 2020 | | | | | | | | | | | | 2021 | | 6 Months Ended September 30 | | | |
|----------------------------------------------------------------------------------------------------------------|------------|------------|------------|------------|--------------|-----------|----------|----------|----------|----------|----------|----------|--------------|------------|-----------------------------|-------------------------|--|--|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | 2020 | 2019 | \$ Increase/ (Decrease) | % Increase/ Decrease | | |
| Beginning Fund Balance | \$ 14.3 | \$ 14.4 | \$ 14.5 | \$ 14.6 | \$ 14.7 | \$ 14.1 | | | | | | | \$ 14.3 | \$ 13.2 | \$ 1.1 | 8.3% | | |
| RECEIPTS: | | | | | | | | | | | | | | | | | | |
| Miscellaneous Receipts | 0.2 | 0.1 | 0.1 | 0.2 | (0.6) | - | | | | | | | - | 0.8 | (0.8) | -100.0% | | |
| Total Receipts | 0.2 | 0.1 | 0.1 | 0.2 | (0.6) | - | - | - | - | - | - | - | - | 0.8 | (0.8) | -100.0% | | |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | | | |
| Departmental Operations: | | | | | | | | | | | | | | | | | | |
| Personal Service | - | - | - | 0.1 | - | - | | | | | | | 0.1 | 0.1 | - | 0.0% | | |
| Non-Personal Service | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | | |
| General State Charges | 0.1 | - | - | - | - | - | | | | | | | 0.1 | 0.1 | - | 0.0% | | |
| Total Disbursements | 0.1 | - | - | 0.1 | - | - | - | - | - | - | - | - | 0.2 | 0.2 | - | 0.0% | | |
| Excess (Deficiency) of Receipts over Disbursements | 0.1 | 0.1 | 0.1 | 0.1 | (0.6) | - | - | - | - | - | - | - | (0.2) | 0.6 | (0.8) | -133.3% | | |
| OTHER FINANCING SOURCES (USES): | | | | | | | | | | | | | | | | | | |
| Transfers from Other Funds | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | | |
| Transfers to Other Funds | - | - | - | - | - | - | | | | | | | - | - | - | 0.0% | | |
| Total Other Financing Sources (Uses) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 0.0% | | |
| Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses | 0.1 | 0.1 | 0.1 | 0.1 | (0.6) | - | - | - | - | - | - | - | (0.2) | 0.6 | (0.8) | -133.3% | | |
| Ending Fund Balance | \$ 14.4 | \$ 14.5 | \$ 14.6 | \$ 14.7 | \$ 14.1 | \$ 14.1 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 14.1 | \$ 13.8 | \$ 0.3 | 2.2% | | |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF SEPTEMBER 2020
(amounts in millions)**

SCHEDULE 1

| | BALANCE SEPTEMBER 1, 2020 | RECEIPTS | DISBURSEMENTS | OTHER FINANCING SOURCES (USES) | BALANCE SEPTEMBER 30, 2020 |
|-------------------------------------------------------------------------------|--------------------------------------|------------------|----------------------|-------------------------------------------|---------------------------------------|
| GENERAL FUND | | | | | |
| 10000-10049-Local Assistance Account | \$ - | \$ 0.002 | \$ 3,933.350 | \$ 3,933.348 | \$ - |
| 10050-10099-State Operations Account | 13,492.646 | 4,941.506 | 1,572.819 | (1,449.514) | 15,411.819 |
| 10100-10149-Tax Stabilization Reserve | - | - | - | - | - |
| 10150-10199-Contingency Reserve | - | - | - | - | - |
| 10200-10249-Universal Pre-K Reserve | - | - | - | - | - |
| 10250-10299-Community Projects | 30.022 | - | 0.046 | - | 29.976 |
| 10300-10349-Rainy Day Reserve Fund | - | - | - | - | - |
| 10400-10449-Refund Reserve Account | - | - | - | - | - |
| 10500-10549-Fringe Benefits Escrow | - | - | - | - | - |
| 10550-10599-Tobacco Revenue Guarantee | - | - | - | - | - |
| TOTAL GENERAL FUND | 13,522.668 | 4,941.508 | 5,506.215 | 2,483.834 | 15,441.795 |
| SPECIAL REVENUE FUNDS-STATE | | | | | |
| 20000-20099-Mental Health Gifts and Donations | 0.841 | - | - | - | 0.841 |
| 20100-20299-Combined Expendable Trust | 70.805 | (0.052) | 0.879 | - | 69.874 |
| 20300-20349-New York Interest on Lawyer Account | 115.750 | 2.180 | 3.543 | - | 114.387 |
| 20350-20399-NYS Archives Partnership Trust | 0.117 | - | 0.040 | - | 0.077 |
| 20400-20449-Child Performer's Protection | 0.503 | 0.004 | 0.034 | (0.003) | 0.470 |
| 20450-20499-Tuition Reimbursement | 7.745 | 0.985 | 0.301 | - | 8.429 |
| 20500-20549-New York State Local Government Records Management Improvement | 4.276 | 0.816 | 0.346 | - | 4.746 |
| 20550-20599-School Tax Relief | 0.006 | 0.115 | 0.112 | - | 0.009 |
| 20600-20649-Charter Schools Stimulus | 3.871 | - | 3.294 | - | 0.577 |
| 20650-20699-Not-For-Profit Short Term Revolving Loan | - | - | - | - | - |
| 20800-20849-HCRA Resources | 217.721 | 540.876 | 650.995 | (3.267) | 104.335 |
| 20850-20899-Dedicated Mass Transportation Trust | 56.176 | 52.556 | 33.953 | 15.665 | 90.444 |
| 20900-20949-State Lottery | 643.761 | 233.152 | 2,292.182 | - | (1,415.269) |
| 20950-20999-Combined Student Loan | 27.746 | 1.764 | 0.528 | - | 28.982 |
| 21000-21049-Sewage Treatment Program Mgmt. & Administration | (3.680) | - | 0.068 | - | (3.748) |
| 21050-21149-Encon Special Revenue | (6.443) | 9.259 | 8.687 | - | (5.871) |
| 21150-21199-Conservation | 86.316 | 11.982 | 3.195 | - | 95.103 |
| 21200-21249-Environmental Protection and Oil Spill Compensation | 20.944 | 2.741 | 1.998 | (1.404) | 20.283 |
| 21250-21299-Training and Education Program on OSHA | 6.987 | 7.001 | 3.232 | (0.224) | 10.532 |
| 21300-21349-Lawyers' Fund for Client Protection | 8.403 | 1.753 | 0.067 | - | 10.089 |
| 21350-21399-Equipment Loan for the Disabled | 0.545 | 0.004 | 0.006 | - | 0.543 |
| 21400-21449-Mass Transportation Operating Assistance | 305.722 | 326.647 | 148.466 | 2.343 | 486.246 |
| 21450-21499-Clean Air | (34.953) | 3.685 | 2.937 | - | (34.205) |
| 21500-21549-New York State Infrastructure Trust | 0.071 | - | - | - | 0.071 |
| 21550-21599-Legislative Computer Services | 12.300 | 0.070 | 0.042 | - | 12.328 |
| 21600-21649-Biodiversity Stewardship and Research | - | - | - | - | - |
| 21650-21699-Combined Non-Expendable Trust | 0.469 | - | - | - | 0.469 |
| 21700-21749-Winter Sports Education Trust | - | - | - | - | - |
| 21750-21799-Musical Instrument Revolving | - | - | - | - | - |
| 21850-21899-Arts Capital Grants | 0.989 | 0.001 | - | - | 0.990 |
| 21900-22499-Miscellaneous State Special Revenue | 1,545.738 | 279.493 | 254.999 | 35.501 | 1,605.733 |
| 22500-22549-Court Facilities Incentive Aid | 35.962 | 0.005 | 10.486 | - | 25.481 |

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF SEPTEMBER 2020
(amounts in millions)

SCHEDULE 1

| | BALANCE SEPTEMBER 1, 2020 | RECEIPTS | DISBURSEMENTS | OTHER FINANCING SOURCES (USES) | BALANCE SEPTEMBER 30, 2020 |
|------------------------------------------------------------------------------------------------------------------|------------------------------|-------------------|-------------------|-----------------------------------|-------------------------------|
| SPECIAL REVENUE FUNDS-STATE (CONTINUED) | | | | | |
| 22550-22599-Employment Training | 0.053 | - | - | - | 0.053 |
| 22650-22699-State University Income | 1,911.959 | 653.303 | 722.231 | 29.240 | 1,872.271 |
| 22700-22749-Chemical Dependence Service | 10.673 | 0.278 | 0.087 | - | 10.864 |
| 22750-22799-Lake George Park Trust | 0.451 | - | 0.211 | - | 0.240 |
| 22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention | 66.239 | 13.178 | 0.350 | - | 79.067 |
| 22850-22899-New York Great Lakes Protection | 0.497 | - | 0.015 | - | 0.482 |
| 22900-22949-Federal Revenue Maximization | 0.024 | - | - | - | 0.024 |
| 22950-22999-Housing Development | 10.644 | 0.001 | - | - | 10.645 |
| 23000-23049-NYS/DOT Highway Safety Program | (15.632) | 0.114 | 0.378 | - | (15.896) |
| 23050-23099-Vocational Rehabilitation | 0.059 | 0.016 | - | - | 0.075 |
| 23100-23149-Drinking Water Program Management and Administration | (5.351) | - | - | - | (5.351) |
| 23150-23199-NYC County Clerks' Operations Offset | (40.780) | - | 3.093 | - | (43.873) |
| 23200-23249-Judiciary Data Processing Offset | 47.484 | 9.164 | 3.292 | - | 53.356 |
| 23250-23449-IFR/CUTRA | 184.592 | 9.096 | 44.239 | - | 149.449 |
| 23500-23549-USOC Lake Placid Training | 0.336 | 0.005 | - | - | 0.341 |
| 23550-23599-Indigent Legal Services | 466.550 | 38.907 | 8.825 | - | 496.632 |
| 23600-23649-Unemployment Insurance Interest and Penalty | 32.665 | 0.436 | 0.107 | (8.728) | 24.266 |
| 23650-23699-MTA Financial Assistance Fund | 373.004 | 0.039 | 59.080 | 12.500 | 326.463 |
| 23700-23749-New York State Commercial Gaming Fund | 5.613 | 8.082 | 0.462 | - | 13.233 |
| 23750-23799-Medical Marihuana Trust Fund | 12.729 | 0.778 | 1.010 | (0.295) | 12.202 |
| 23800-23899-Dedicated Miscellaneous State Special Revenue | 3.772 | 0.103 | 0.041 | - | 3.834 |
| 24850-24899-Health Care Transformation | 316.380 | 0.039 | - | - | 316.419 |
| 24900-24949-Charitable Gifts Trust Fund | 95.886 | 0.012 | - | - | 95.898 |
| 24950-24999-Interactive Fantasy Sports | 20.188 | 0.567 | 0.015 | - | 20.740 |
| 40350-40399-State University Dormitory Income | 122.064 | 50.724 | - | (22.244) | 150.544 |
| TOTAL SPECIAL REVENUE FUNDS-STATE | 6,748.787 | 2,259.879 | 4,263.826 | 59.084 | 4,803.924 |
| SPECIAL REVENUE FUNDS-FEDERAL | | | | | |
| 25000-25099-Federal USDA/Food and Consumer Services | (113.984) | 267.319 | 144.973 | (7.724) | 0.638 |
| 25100-25199-Federal Health and Human Services | 2,439.881 | 5,220.173 | 6,516.435 | (275.249) | 868.370 |
| 25200-25249-Federal Education | (98.620) | 406.657 | 323.393 | (0.683) | (16.039) |
| 25300-25899-Federal Miscellaneous Operating Grants | 3,748.518 | 3,984.424 | 3,985.945 | (5.272) | 3,741.725 |
| 25900-25949-Unemployment Insurance Administration | 146.258 | 29.604 | 60.011 | (2.708) | 113.143 |
| 25950-25999-Unemployment Insurance Occupational Training | (0.460) | 0.058 | 0.101 | - | (0.503) |
| 26000-26049-Federal Employment and Training Grants | (0.234) | 9.680 | 10.192 | (0.180) | (0.926) |
| TOTAL SPECIAL REVENUE FUNDS-FEDERAL | 6,121.359 | 9,917.915 | 11,041.050 | (291.816) | 4,706.408 |
| TOTAL SPECIAL REVENUE FUNDS | 12,870.146 | 12,177.794 | 15,304.876 | (232.732) | 9,510.332 |
| DEBT SERVICE FUNDS | | | | | |
| 40000-40049-Debt Reduction Reserve | - | - | - | - | - |
| 40100-40149-Mental Health Services | 27.480 | 20.281 | - | 17.714 | 65.475 |
| 40150-40199-General Debt Service | 2,083.709 | 3,014.714 | 847.396 | (2,024.018) | 2,227.009 |
| 40250-40299-State Housing Debt Service | - | - | 2.321 | 2.321 | - |
| 40300-40349-Department of Health Income | 29.932 | 15.918 | - | (7.013) | 38.837 |
| 40400-40449-Clean Water/Clean Air | 3.427 | 70.218 | - | (67.615) | 6.030 |
| 40450-40499-Local Government Assistance Tax | - | 354.469 | - | (354.469) | - |
| TOTAL DEBT SERVICE FUNDS | 2,144.548 | 3,475.600 | 849.717 | (2,433.080) | 2,337.351 |

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2020-2021
FOR THE MONTH OF SEPTEMBER 2020
(amounts in millions)**

SCHEDULE 1

| | BALANCE SEPTEMBER 1, 2020 | RECEIPTS | DISBURSEMENTS | OTHER FINANCING SOURCES (USES) | BALANCE SEPTEMBER 30, 2020 |
|----------------------------------------------------------------------|--------------------------------------|----------------------|----------------------|-------------------------------------------|---------------------------------------|
| CAPITAL PROJECTS FUNDS | | | | | |
| 30000-30049-State Capital Projects | - | 376.216 | 605.239 | 229.023 | - |
| 30050-30099-Dedicated Highway and Bridge Trust | (155.105) | 208.654 | 205.997 | (168.284) | (320.732) |
| 30100-30299-SUNY Residence Halls Rehabilitation and Repair | 119.497 | 0.015 | 3.163 | - | 116.349 |
| 30300-30349-New York State Canal System Development | 14.094 | 0.002 | - | - | 14.096 |
| 30350-30399-Parks Infrastructure | (64.402) | 7.130 | 13.178 | - | (70.450) |
| 30400-30449-Passenger Facility Charge | 0.015 | - | - | - | 0.015 |
| 30450-30499-Environmental Protection | 105.261 | 13.530 | 20.751 | - | 98.040 |
| 30500-30549-Clean Water/Clean Air Implementation | - | - | - | - | - |
| 30600-30609-Energy Conservation Thru Improved Transportation Bond | 0.164 | - | - | - | 0.164 |
| 30610-30619-Park and Recreation Land Acquisition Bond | - | - | - | - | - |
| 30620-30629-Pure Waters Bond | 0.668 | - | - | - | 0.668 |
| 30630-30639-Transportation Capital Facilities Bond | 3.328 | - | - | - | 3.328 |
| 30640-30649-Environmental Quality Protection Bond | 1.419 | - | - | - | 1.419 |
| 30650-30659-Rebuild and Renew New York Transportation Bond | 17.219 | - | - | (0.018) | 17.201 |
| 30660-30669-Transportation Infrastructure Renewal Bond | 4.255 | - | - | - | 4.255 |
| 30670-30679-1986 Environmental Quality Bond Act | 5.551 | - | - | - | 5.551 |
| 30680-30689-Accelerated Capacity and Transportation Improvement Bond | 2.778 | - | - | - | 2.778 |
| 30690-30699-Clean Water/Clean Air Bond | 1.428 | - | - | - | 1.428 |
| 30700-30709-State Housing Bond | - | - | - | - | - |
| 30710-30719-Smart Schools Bond | - | - | - | - | - |
| 30750-30799-Outdoor Recreation Development Bond | - | - | - | - | - |
| 30900-30949-Rail Preservation and Development Bond | - | - | - | - | - |
| 31350-31449-Federal Capital Projects | (515.558) | 175.317 | 213.050 | - | (553.291) |
| 31450-31499-Forest Preserve Expansion | 1.082 | - | - | - | 1.082 |
| 31500-31549-Hazardous Waste Remedial | (56.815) | 2.082 | 5.896 | (1.603) | (62.232) |
| 31650-31699-Suburban Transportation | 0.539 | - | - | - | 0.539 |
| 31700-31749-Division for Youth Facilities Improvement | (14.936) | - | 0.823 | - | (15.759) |
| 31800-31849-Housing Assistance | (12.942) | - | - | - | (12.942) |
| 31850-31899-Housing Program | (314.279) | - | 110.008 | - | (424.287) |
| 31900-31949-Natural Resource Damage | 17.927 | 0.002 | 0.030 | - | 17.899 |
| 31950-31999-DOT Engineering Services | (11.969) | - | - | - | (11.969) |
| 32200-32249-Miscellaneous Capital Projects | 106.421 | 2.769 | 7.014 | 0.833 | 103.009 |
| 32250-32299-CUNY Capital Projects | 0.034 | 0.001 | - | - | 0.035 |
| 32300-32349-Mental Hygiene Facilities Capital Improvement | (354.914) | 2.150 | 30.800 | - | (383.564) |
| 32350-32399-Correction Facilities Capital Improvement | (184.990) | - | 27.516 | - | (212.506) |
| 32400-32999-State University Capital Projects | 157.102 | 0.015 | 6.599 | 2.998 | 153.516 |
| 33000-33049-NYS Storm Recovery Fund | (54.160) | - | (1.058) | - | (53.102) |
| 33050-33099-Dedicated Infrastructure Investment Fund | 101.117 | - | 10.598 | - | 90.519 |
| TOTAL CAPITAL PROJECTS FUNDS | (1,080.171) | 787.883 | 1,259.604 | 62.949 | (1,488.943) |
| TOTAL GOVERNMENTAL FUNDS | \$ 27,457.191 | \$ 21,382.785 | \$ 22,920.412 | \$ (119.029) | \$ 25,800.535 |

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF SEPTEMBER 2020
 (amounts in millions)

SCHEDULE 2

| <u>FUND TYPE</u> | <u>BALANCE SEPTEMBER 1, 2020</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>OTHER FINANCING SOURCES (USES)</u> | <u>BALANCE SEPTEMBER 30, 2020</u> |
|-------------------------------------------------------|--------------------------------------|---------------------|----------------------|-----------------------------------------------|---------------------------------------|
| <u>ENTERPRISE FUNDS</u> | | | | | |
| 50000-50049-Youth Commissary | \$ 0.110 | \$ 0.004 | \$ - | \$ - | \$ 0.114 |
| 50050-50099-State Exposition Special | 2.311 | 0.046 | 0.300 | - | 2.057 |
| 50100-50299-Correctional Services Commissary | 4.162 | 3.409 | 3.902 | - | 3.669 |
| 50300-50399-Agencies Enterprise | 9.672 | 3.369 | 2.037 | - | 11.004 |
| 50400-50449-Sheltered Workshop | 2.240 | 0.016 | 0.015 | - | 2.241 |
| 50450-50499-Patient Workshop | 1.885 | 0.002 | - | - | 1.887 |
| 50500-50599-Mental Hygiene Community Stores | 4.939 | 0.068 | 0.064 | - | 4.943 |
| 50650-50699-Unemployment Insurance | 16.923 | 3,888.102 | 3,888.882 | - | 16.143 |
| TOTAL ENTERPRISE FUNDS | 42.242 | 3,895.016 | 3,895.200 | - | 42.058 |
| <u>INTERNAL SERVICE FUNDS</u> | | | | | |
| 55000-55049-Centralized Services | (106.259) | 23.922 | 35.588 | 0.061 | (117.864) |
| 55050-55099-Agency Internal Service | (145.613) | 8.147 | 8.677 | 2.964 | (143.179) |
| 55100-55149-Mental Hygiene Revolving | (0.054) | 0.020 | 0.070 | - | (0.104) |
| 55150-55199-Youth Vocational Education | 0.079 | - | - | - | 0.079 |
| 55200-55249-Joint Labor and Management Administration | 0.183 | - | 0.093 | - | 0.090 |
| 55250-55299-Audit and Control Revolving | (53.320) | - | 3.885 | (0.010) | (57.215) |
| 55300-55349-Health Insurance Revolving | (8.778) | - | 1.599 | - | (10.377) |
| 55350-55399-Correctional Industries Revolving | (41.444) | 2.012 | 4.651 | - | (44.083) |
| TOTAL INTERNAL SERVICE FUNDS | (355.206) | 34.101 | 54.563 | 3.015 | (372.653) |
| TOTAL PROPRIETARY FUNDS | \$ (312.964) | \$ 3,929.117 | \$ 3,949.763 | \$ 3.015 | \$ (330.595) |

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2020-2021
 FOR THE MONTH OF SEPTEMBER 2020
 (amounts in millions)

SCHEDULE 3

| <u>FUND TYPE</u> | <u>BALANCE SEPTEMBER 1, 2020</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>OTHER FINANCING SOURCES (USES)</u> | <u>BALANCE SEPTEMBER 30, 2020</u> |
|---------------------------------------------------------------------|--------------------------------------|----------------------|----------------------|-----------------------------------------------|---------------------------------------|
| <u>PENSION TRUST FUNDS</u> | | | | | |
| 65000-65049-Common Retirement Administration | \$ (5.947) | \$ 14.355 | \$ 12.621 | \$ - | \$ (4.213) |
| TOTAL PENSION TRUST FUNDS | (5.947) | 14.355 | 12.621 | - | (4.213) |
| <u>PRIVATE PURPOSE TRUST FUNDS</u> | | | | | |
| 66000-66049-Agriculture Producers' Security | 3.071 | - | 0.012 | - | 3.059 |
| 66050-66099-Milk Producers' Security | 10.986 | 0.115 | 0.026 | - | 11.075 |
| TOTAL PRIVATE PURPOSE TRUST FUNDS | 14.057 | 0.115 | 0.038 | - | 14.134 |
| <u>AGENCY FUNDS</u> | | | | | |
| 60050-60149-School Capital Facilities Financing Reserve | 17.970 | 0.060 | - | - | 18.030 |
| 60150-60199-Child Performer's Holding | 0.538 | - | - | - | 0.538 |
| 60200-60249-Employees Health Insurance | 1,024.358 | 1,091.643 | 972.817 | - | 1,143.184 |
| 60250-60299-Social Security Contribution | 15.347 | 124.570 | 124.490 | - | 15.427 |
| 60300-60399-Employee Payroll Withholding | (0.993) | 457.316 | 394.762 | - | 61.561 |
| 60400-60449-Employees Dental Insurance | 19.177 | 6.199 | 5.793 | - | 19.583 |
| 60450-60499-Management Confidential Group Insurance | 0.576 | 0.985 | 0.767 | - | 0.794 |
| 60500-60549-Lottery Prize | 584.093 | 132.426 | 84.331 | - | 632.188 |
| 60550-60599-Health Insurance Reserve Receipts | 0.146 | - | - | - | 0.146 |
| 60600-60799-Miscellaneous New York State Agency | 935.781 | 729.881 | 739.644 | - | 926.018 |
| 60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow | 28.497 | 10.396 | 7.200 | - | 31.693 |
| 60850-60899-CUNY Senior College Operating | 67.888 | 180.213 | 224.003 | - | 24.098 |
| 60900-60949-Medicaid Management Information System (MMIS) Escrow | 156.589 | 7,824.491 | 5,022.270 | 116.014 | 3,074.824 |
| 60950-60999-Special Education | - | - | - | - | - |
| 61000-61099-State University of New York Revenue Collection | 278.884 | (42.758) | - | - | 236.126 |
| 61100-61999-State University Federal Direct Lending Program | (15.282) | 237.321 | 229.171 | - | (7.132) |
| 62000-62049-SSI SSP Payment Escrow | - | - | - | - | - |
| TOTAL AGENCY FUNDS | 3,113.569 | 10,752.743 | 7,805.248 | 116.014 | 6,177.078 |
| TOTAL FIDUCIARY FUNDS | \$ 3,121.679 | \$ 10,767.213 | \$ 7,817.907 | \$ 116.014 | \$ 6,186.999 |

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021
FOR THE MONTH OF SEPTEMBER 2020
(amounts in millions)

SCHEDULE 4

| <u>FUND TYPE</u> | <u>BALANCE SEPTEMBER 1, 2020</u> | <u>RECEIPTS</u> | <u>DISBURSEMENTS</u> | <u>BALANCE SEPTEMBER 30, 2020</u> |
|------------------------------------------------|--------------------------------------|----------------------------|----------------------------|---------------------------------------|
| <u>ACCOUNTS</u> | | | | |
| 70000-70049-Tobacco Settlement | \$ 2.887 | \$ - | \$ - | \$ 2.887 |
| 70093, 70095, 70300-70301-MTA State Assistance | 160.386 | 172.667 | 176.022 | 157.031 |
| 70050-70149-Sole Custody Investment (*) | 2,395.217 | 2,252.835 | 2,021.897 | 2,626.155 |
| 70200-Comptroller's Refund Account | - | 95.888 | 95.888 | - |
| TOTAL ACCOUNTS | <u>\$ 2,558.490</u> | <u>\$ 2,521.390</u> | <u>\$ 2,293.807</u> | <u>\$ 2,786.073</u> |

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2020, \$9,540,064.52 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2020-2021

SCHEDULE 5

| PURPOSE | DEBT OUTSTANDING APRIL 1, 2020 | DEBT ISSUED | | DEBT MATURED | | DEBT OUTSTANDING SEPTEMBER 30, 2020 | INTEREST DISBURSED | |
|---------------------------------------------------------|--------------------------------------|-----------------------|--------------------------------------|-----------------------|--------------------------------------|-------------------------------------------|-----------------------|--------------------------------------|
| | | MONTH OF SEPTEMBER | 6 MONTHS ENDED SEPTEMBER 30, 2020 | MONTH OF SEPTEMBER | 6 MONTHS ENDED SEPTEMBER 30, 2020 | | MONTH OF SEPTEMBER | 6 MONTHS ENDED SEPTEMBER 30, 2020 |
| GENERAL OBLIGATION BONDED DEBT: | | | | | | | | |
| Accelerated Capacity and Transportation Improvements | \$ 11,445,463 | \$ - | \$ - | \$ - | \$ 720,219 | \$ 10,725,244 | \$ - | \$ 182,955 |
| Clean Water/Clean Air: | | | | | | | | |
| Air Quality | 1,795,354 | - | - | - | - | 1,795,354 | - | 25,936 |
| Safe Drinking Water | - | - | - | - | - | - | - | - |
| Clean Water | 298,595,491 | - | - | - | 10,184,660 | 288,410,831 | 1,078,451 | 5,388,977 |
| Solid Waste | 16,287,590 | - | - | - | 1,659,267 | 14,628,323 | 3,439 | 332,649 |
| Environmental Restoration | 40,070,447 | - | - | - | 160,000 | 39,910,447 | 402,543 | 742,587 |
| Energy Conservation Through Improved Transportation: | | | | | | | | |
| Rapid Transit and Rail Freight | 1,198,754 | - | - | 60,000 | 176,298 | 1,022,456 | 900 | 26,358 |
| Environmental Quality (1972): | | | | | | | | |
| Air | 3,184 | - | - | - | - | 3,184 | - | 64 |
| Land and Wetlands | 4,939,861 | - | - | - | 25,000 | 4,914,861 | 110,896 | 120,391 |
| Water | 6,370,803 | - | - | - | 715,000 | 5,655,803 | 36,841 | 125,999 |
| Environmental Quality (1986): | | | | | | | | |
| Land Acquisition/Development/Restoration/Forests | 5,309,545 | - | - | - | 486,025 | 4,823,520 | 23,401 | 114,670 |
| Solid Waste Management | 91,992,747 | - | - | - | 7,260,923 | 84,731,824 | 292,228 | 1,735,176 |
| Housing: | | | | | | | | |
| Low Income | 5,840,000 | - | - | - | - | 5,840,000 | - | - |
| Middle Income | 4,035,000 | - | - | 2,240,000 | 2,240,000 | 1,795,000 | 80,718 | 80,718 |
| Park and Recreation Land Acquisition | - | - | - | - | - | - | - | - |
| Pure Waters | 15,498,329 | - | - | 900,000 | 1,846,959 | 13,651,370 | 28,129 | 282,252 |
| Rail Preservation Development | - | - | - | - | - | - | - | - |
| Rebuild and Renew New York Transportation: | | | | | | | | |
| Highway Facilities | 600,658,226 | - | - | - | - | 600,658,226 | 6,050,131 | 10,411,922 |
| Canals and Waterways | 9,419,680 | - | - | - | - | 9,419,680 | 97,042 | 189,325 |
| Aviation | 41,089,448 | - | - | - | - | 41,089,448 | 244,688 | 643,951 |
| Rail and Port | 92,824,245 | - | - | - | - | 92,824,245 | 338,685 | 1,413,133 |
| Mass Transit - Dept. of Transportation | 12,168,734 | - | - | - | - | 12,168,734 | 232,697 | 286,617 |
| Mass Transit - Metropolitan Transportation Authority | 705,163,311 | - | - | - | - | 705,163,311 | 4,425,814 | 12,342,743 |
| Rebuild New York-Transportation Infrastructure Renewal: | | | | | | | | |
| Highways, Parkways, and Bridges | 553,992 | - | - | - | - | 553,992 | 11,544 | 12,621 |
| Rapid Transit, Rail and Aviation | 2,042,563 | - | - | - | 479,171 | 1,563,392 | - | 49,370 |
| Smart Schools Bond Act | 161,307,133 | - | - | - | - | 161,307,133 | 2,015,541 | 4,031,427 |
| Transportation Capital Facilities: | | | | | | | | |
| Aviation | 2,090,099 | - | - | 195,000 | 441,478 | 1,648,621 | 2,925 | 49,749 |
| Mass Transportation | - | - | - | - | - | - | - | - |
| Total General Obligation Bonded Debt | \$ 2,130,699,999 | \$ - | \$ - | \$ 3,395,000 | \$ 26,395,000 | \$ 2,104,304,999 | \$ 15,476,613 | \$ 38,589,589 |

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2020

SCHEDULE 5a

| Special Contractual Financing Obligations: | DEBT | GENERAL | DEPARTMENT | LOCAL | MENTAL | REVENUE | SALES TAX | COMBINED TOTALS | | \$ INCREASE/ (DECREASE) |
|--------------------------------------------------------------------------|-------------|----------------------|----------------------|---------------|---------------------|-----------------------|-----------------------|-----------------------------|-----------------------|----------------------------|
| | REDUCTION | DEBT | OF HEALTH | GOVERNMENT | HEALTH | BOND | REVENUE BOND | 6 MONTHS ENDED SEPTEMBER 30 | | |
| | RESERVE | SERVICE | INCOME | ASSISTANCE | SERVICES | TAX | TAX | 2020 | 2019 | |
| (40000-40049) | (40151) | (40300-40349) | (40450-40499) | (40100-40149) | (40152) | (40154) | | | | |
| Payments to Public Authorities: | | | | | | | | | | |
| City University Construction | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 54,720,301 | \$ (54,720,301) |
| Dormitory Authority: | | | | | | | | | | |
| Consolidated Service Contract Refunding | - | - | - | - | - | - | - | - | 54,430,525 | (54,430,525) |
| DASNY Revenue Bond | - | - | - | - | - | 573,821,449 | 223,441,555 | 797,263,004 | 375,408,510 | 421,854,494 |
| Department of Health Facilities | - | - | 12,802,026 | - | - | - | - | 12,802,026 | 13,080,876 | (278,850) |
| Mental Health Facilities | - | - | - | - | 8,615,353 | - | - | 8,615,353 | 7,064,797 | 1,550,556 |
| Secured Hospital Program | - | 2,495,165 | - | - | - | - | - | 2,495,165 | 3,829,093 | (1,333,928) |
| SUNY Community Colleges | - | 8,347,200 | - | - | - | - | - | 8,347,200 | 5,928,700 | 2,418,500 |
| SUNY Educational Facilities | - | - | - | - | - | - | - | - | 18,022,938 | (18,022,938) |
| Environmental Facilities Corporation | - | - | - | - | - | 430,631 | - | 430,631 | 839,859 | (409,228) |
| Housing Finance Agency | - | 15,828,552 | - | - | - | - | - | 15,828,552 | 17,642,733 | (1,814,181) |
| Local Government Assistance Corporation | - | - | - | - | - | - | - | - | 21,302,971 | (21,302,971) |
| Metropolitan Transportation Authority: | | | | | | | | | | |
| Transit and Commuter Rail Projects | - | - | - | - | - | - | - | - | - | - |
| Thruway Authority: | | | | | | | | | | |
| Dedicated Highway and Bridge | - | 59,613,177 | - | - | - | - | - | 59,613,177 | 263,973,915 | (204,360,738) |
| Local Highway and Bridge | - | - | - | - | - | - | - | - | 21,772,000 | (21,772,000) |
| Transportation | - | - | - | - | - | 17,821,175 | - | 17,821,175 | 27,320,113 | (9,498,938) |
| Urban Development Corporation: | | | | | | | | | | |
| Clarkson University | - | - | - | - | - | - | - | - | 26,675 | (26,675) |
| Columbia Univer. Telecommunications Center | - | - | - | - | - | - | - | - | - | - |
| Consolidated Service Contract Refunding | - | 4,297,108 | - | - | - | - | - | 4,297,108 | 4,100,254 | 196,854 |
| Cornell Univer. Supercomputer Center | - | - | - | - | - | - | - | - | - | - |
| Correctional Facilities | - | - | - | - | - | - | - | - | 555,750 | (555,750) |
| Debt Reduction Reserve | - | - | - | - | - | - | - | - | - | - |
| UDC Revenue Bond | - | - | - | - | - | 286,525,473 | - | 286,525,473 | 5,105,575 | 281,419,898 |
| University Facilities Grant 95 Refunding | - | 11,603 | - | - | - | - | - | 11,603 | 60,072 | (48,469) |
| Total Disbursements for Special Contractual Financing Obligations | \$ - | \$ 90,592,805 | \$ 12,802,026 | \$ - | \$ 8,615,353 | \$ 878,598,728 | \$ 223,441,555 | \$ 1,214,050,467 | \$ 895,185,657 | \$ 318,864,810 |

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2020
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)**

SCHEDULE 6

| | <u>MONTH OF SEPTEMBER 2020</u> | <u>FISCAL YEAR TO DATE</u> | <u>PRIOR FISCAL YEAR TO DATE</u> |
|---------------------------------------|------------------------------------|--------------------------------|--------------------------------------|
| SHORT TERM INVESTMENT POOL (*) | | | |
| AVERAGE DAILY INVESTMENT BALANCE (**) | \$ 34,385.1 | \$ 28,024.7 | \$ 18,557.2 |
| AVERAGE YIELD (**) | 0.133% | 0.264% | 2.367% |
| TOTAL INVESTMENT EARNINGS | \$ 3.735 | \$ 37.316 | \$ 230.905 |

| Month-End Portfolio Balances | | |
|-------------------------------------|--------------------------------------|--------------------------------------|
| <u>DESCRIPTION</u> | <u>SEPTEMBER 2020 PAR AMOUNT</u> | <u>SEPTEMBER 2019 PAR AMOUNT</u> |
| GOVT. AGENCY BILLS/NOTES | \$ 18,192.3 | \$ 2,696.3 |
| REPURCHASE AGREEMENTS | 20.9 | 26.4 |
| GOVT. SPONSORED AGENCIES | 143.1 | - |
| COMMERCIAL PAPER | 14,061.2 | 15,688.3 |
| CERTIFICATES OF DEPOSIT/SAVINGS | 2,902.9 | 2,932.8 |
| 0% COMPENSATING BALANCE CDs | 48.0 | 8.0 |
| | <u>\$ 35,368.4</u> | <u>\$ 21,351.8</u> |

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2020-2021

APPENDIX A

| | 2020 | | | | | | | | | | | | 2021 | | 6 Months Ended | |
|--------------------------------------------------|----------------------|----------------------|-----------------------|-----------------------|-----------------------|-----------------------|---------|----------|----------|---------|----------|-------|--------------------|------|----------------|-----------------------|
| | APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | JANUARY | FEBRUARY | MARCH | September 30, 2020 | | | |
| OPENING CASH BALANCE | \$ 15,704,540 | \$ 95,764,658 | \$ 80,082,746 | \$ 490,512,199 | \$ 186,132,186 | \$ 217,721,195 | | | | | | | | | \$ | 15,704,540 |
| RECEIPTS: | | | | | | | | | | | | | | | | |
| Cigarette Tax | 68,786,104 | 51,352,065 | 60,187,824 | 68,798,997 | 60,405,723 | 70,952,125 | | | | | | | | | | 380,482,838 |
| State Share of NYC Cigarette Tax | 2,160,000 | 1,133,000 | 1,346,000 | 1,945,000 | 1,308,000 | 2,075,000 | | | | | | | | | | 9,967,000 |
| Vapor Excise Tax | 25,877 | 69,976 | 11,670,725 | (348,272) | (123,922) | 7,357,137 | | | | | | | | | | 18,651,521 |
| STIP Interest | 382,848 | 258,771 | 58,513 | 43,854 | 53,717 | 52,869 | | | | | | | | | | 850,572 |
| Public Asset Transfers | - | - | - | - | - | - | | | | | | | | | | - |
| Assessments | 478,443,458 | 390,720,867 | 437,012,587 | 376,078,217 | 389,691,001 | 454,153,792 | | | | | | | | | | 2,526,099,922 |
| Fees | 327,000 | 46,000 | 561,000 | 930,000 | 776,000 | 1,404,000 | | | | | | | | | | 4,044,000 |
| Rebates | 12,000 | 4,545,140 | 5,975,618 | 9,916,208 | 2,000,366 | 4,881,029 | | | | | | | | | | 27,330,361 |
| Restitution and Settlements | - | - | - | - | - | - | | | | | | | | | | - |
| Miscellaneous | - | - | 297,248 | - | 1,148 | - | | | | | | | | | | 298,396 |
| Total Receipts | 550,137,287 | 448,125,819 | 517,109,515 | 457,364,004 | 454,112,033 | 540,875,952 | - | - | - | - | - | - | - | - | - | 2,967,724,610 |
| DISBURSEMENTS: | | | | | | | | | | | | | | | | |
| Grants | 466,021,724 | 462,778,011 | 97,733,246 | 756,144,082 | 414,416,738 | 642,214,484 | | | | | | | | | | 2,839,308,285 |
| Interest - Late Payments | 36 | 22 | 26,224 | 11,452 | (5,834) | (3,803) | | | | | | | | | | 28,097 |
| Personal Service | 1,509,162 | 534,992 | 1,290,941 | 270,686 | 1,523,044 | 750,054 | | | | | | | | | | 5,878,879 |
| Non-Personal Service | 55,956 | (291,867) | 5,284,609 | 4,077,923 | 3,349,084 | 7,839,793 | | | | | | | | | | 20,315,498 |
| Employee Benefits/Indirect Costs | 612,447 | 299,051 | 1,164,130 | 638,546 | 568,694 | 194,836 | | | | | | | | | | 3,477,704 |
| Total Disbursements | 468,199,325 | 463,320,209 | 105,499,150 | 761,142,689 | 419,851,726 | 650,995,364 | - | - | - | - | - | - | - | - | - | 2,869,008,463 |
| OPERATING TRANSFERS: | | | | | | | | | | | | | | | | |
| Transfers to Capital Projects Fund | - | - | - | - | - | - | | | | | | | | | | - |
| Transfers to General Fund | - | - | 297,248 | - | 1,147 | - | | | | | | | | | | 298,395 |
| Transfers to Revenue Bond Tax Fund | - | - | - | - | 2,276,000 | 2,650,324 | | | | | | | | | | 4,926,324 |
| Transfers to Miscellaneous Special Revenue Fund: | | | | | | | | | | | | | | | | |
| Administration Program Account | 989,254 | - | - | - | - | 222,807 | | | | | | | | | | 1,212,061 |
| Empire State Stem Cell Trust Account | - | - | - | - | - | - | | | | | | | | | | - |
| Transfers to SUNY Income Fund | 888,590 | 487,522 | 883,664 | 601,328 | 394,151 | 394,151 | | | | | | | | | | 3,649,406 |
| Total Operating Transfers | 1,877,844 | 487,522 | 1,180,912 | 601,328 | 2,671,298 | 3,267,282 | - | - | - | - | - | - | - | - | - | 10,086,186 |
| Total Disbursements and Transfers | 470,077,169 | 463,807,731 | 106,680,062 | 761,744,017 | 422,523,024 | 654,262,646 | - | - | - | - | - | - | - | - | - | 2,879,094,649 |
| CLOSING CASH BALANCE | \$ 95,764,658 | \$ 80,082,746 | \$ 490,512,199 | \$ 186,132,186 | \$ 217,721,195 | \$ 104,334,501 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 104,334,501 |

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2020-21

APPENDIX B

| Program/Purpose | Appropriation Amount (*) | September | 6 Months Ended |
|---------------------------------------------------------------------------|-----------------------------|--------------------------|----------------------------|
| | | | September 30, 2020 (**) |
| CENTER FOR COMMUNITY HEALTH PROGRAM | \$ 8,752,000.00 | \$ 243,328.96 | \$ 1,317,485.20 |
| CENTER FOR COMMUNITY HLTH | 8,752,000.00 | 243,328.96 | 1,317,485.20 |
| CHILD HEALTH INSURANCE PROGRAM | 2,134,768,000.00 | 75,603,379.29 | 242,248,774.99 |
| CHILD HEALTH INSURANCE | 2,134,768,000.00 | 75,603,379.29 | 242,248,774.99 |
| COMMUNITY SUPPORT PROGRAM | 120,000.00 | - | - |
| COMMUNITY SUPPORT | 120,000.00 | - | - |
| ELDERLY PHARMACEUTICAL INS COVERAGE PRG | 384,850,000.00 | 15,484,030.13 | 47,198,684.55 |
| ELDERLY PHARMACEUTICAL INSURANCE COVERAGE | 384,850,000.00 | 15,484,030.13 | 47,198,684.55 |
| HEALTH CARE REFORM ACT PROGRAM | 1,490,325,059.03 | 103,364,208.65 | 124,435,807.58 |
| AIDS DRUG ASSISTANCE | 123,150,000.00 | - | - |
| AMBULATORY CARE TRAINING | 3,600,000.00 | 33,374.75 | 448,825.77 |
| AREA HEALTH EDUCATION CENTER | 3,324,000.00 | 142,833.85 | 583,185.44 |
| COMMISSIONER EMERGENCY DISTRIBUTIONS | 24,700,000.00 | - | (0.01) |
| DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE | 163,200,000.00 | - | - |
| DIVERSITY IN MEDICINE | 4,732,000.00 | - | - |
| EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP) | 10,335,000.00 | - | - |
| HCRA PAYOR / PROVIDER AUDITS | 9,440,000.00 | - | 411,213.15 |
| HEALTH FACILITY RESTRUCTURING DASNY | 39,200,000.00 | - | - |
| HEALTH WORKFORCE RETRAINING | 18,320,000.00 | 559,437.02 | 2,497,978.80 |
| INFERTILITY SERVICES GRANTS | 5,733,000.00 | 41,617.99 | 91,169.72 |
| MEDICAL INDEMNITY FUND | 52,000,000.00 | - | - |
| PART 405.4 HOSPITAL AUDITS NYCRR | 2,200,000.00 | - | - |
| PHYSICIAN EXCESS MEDICAL MALPRACTICE | 359,900,000.00 | 102,100,000.00 | 102,100,000.00 |
| PHYSICIAN LOAN REPAYMENT | 27,195,000.00 | 167,000.00 | 1,104,368.01 |
| PHYSICIAN WORKFORCE STUDIES | 974,000.00 | 124,000.00 | 124,000.00 |
| POISON CONTROL CENTERS | 6,320,000.00 | - | - |
| POOL ADMINISTRATION | 5,300,000.00 | - | 316,061.47 |
| ROSWELL PARK CANCER INSTITUTE | 89,266,000.00 | - | 15,185,200.00 |
| ROSWELL PARK COMPREHENSIVE CANCER CENTER | 50,000.00 | - | - |
| RURAL HEALTH CARE ACCESS | 17,050,000.00 | - | 202,953.67 |
| RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT | 9,410,000.00 | 173,625.77 | 173,625.77 |
| RURAL HEALTH CARE GRANTS | 1,100,000.00 | - | - |
| RURAL HEALTH NETWORK | 11,610,000.00 | 22,319.27 | 1,197,225.79 |
| SCHOOL BASED HEALTH CENTERS | 4,230,000.00 | - | - |
| SCHOOL BASED HEALTH CLINICS-POOL ADMN | 8,460,000.00 | - | - |
| TRANSITION ACCT - PRIOR YEAR ALLOCATION | 489,526,059.03 | - | - |
| MEDICAL ASSISTANCE PROGRAM | 28,631,301,000.00 | 452,703,490.61 | 2,439,222,055.74 |
| HOME HEALTH RATE INCREASE | 300,000,000.00 | - | - |
| MEDICAID INDIGENT CARE | 4,999,000,000.00 | 152,703,490.61 | 414,222,055.74 |
| MEDICAL ASSISTANCE | 22,349,101,000.00 | 300,000,000.00 | 2,025,000,000.00 |
| PSNL CRE WRKR RECR & RETEN NYC (***) | 916,000,000.00 | - | - |
| PSNL CRE WRKR RECR & RETEN ROS (****) | 67,200,000.00 | - | - |
| NEW YORK STATE OF HEALTH | 102,431,000.00 | 3,090,131.14 | 12,931,420.30 |
| NEW YORK STATE OF HEALTH ADMINISTRATION | 102,431,000.00 | 3,090,131.14 | 12,931,420.30 |
| OFFICE OF HEALTH INSURANCE PROGRAM | 1,834,000.00 | - | - |
| OFFICE OF HEALTH INSURANCE | 1,834,000.00 | - | - |
| OFFICE OF HEALTH SYSTEMS MANAGEMENT | 68,317,000.00 | 901,212.32 | 3,642,392.29 |
| OFFICE HEALTH SYSTEMS MANAGEMENT | 68,317,000.00 | 901,212.32 | 3,642,392.29 |
| OFFICE OF LONG TERM CARE | 2,477,800.00 | - | - |
| ADULT HOME INITIATIVE | 2,477,800.00 | - | - |
| REVENUE, PROCESSING & RECONCILIATION | 8,190,000.00 | - | 1,661,966.82 |
| REVENUE, PROCESSING & RECONCILIATION | 8,190,000.00 | - | 1,661,966.82 |
| TOTAL | 32,833,365,859.03 | 651,389,781.10 | 2,872,658,587.47 |
| Reclass of SUNY Hospital Disprop Share to Transfer | | (394,150.88) | (3,649,406.22) |
| Reclass of SUNY Hospital Poison Control Centers to Transfer | | - | - |
| Reclass of SUNY Empire Clinical Research Investigator Program to Transfer | | - | - |
| Reconciling Adjustment (P-Card and T-Card) | | (265.87) | (717.87) |
| TOTAL REPORTED AMOUNT | \$ 32,833,365,859.03 | \$ 650,995,364.35 | \$ 2,869,008,463.38 |

(*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2020-21

| | 1st Quarter APRIL - JUNE | 2020 JULY | 2020 AUGUST | 2020 SEPTEMBER | 2020-21 |
|----------------------------------------------------------------------------------------------------------------|-----------------------------|--------------------------|--------------------------|--------------------------|---------------------------|
| OPENING CASH BALANCE | \$ 350,947,309.06 | \$ 126,897,506.61 | \$ 242,749,583.26 | \$ 172,960,526.49 | \$ 350,947,309.06 |
| RECEIPTS: | | | | | |
| Patient Services | 722,415,689.44 | 321,259,755.18 | 227,531,330.50 | 426,583,813.50 | 1,697,790,588.62 |
| Covered Lives | 224,564,997.99 | 108,532,515.12 | 63,977,634.43 | 122,402,934.48 | 519,478,082.02 |
| Provider Assessments | 19,621,242.87 | 8,041,524.61 | 4,581,813.36 | 9,398,559.83 | 41,643,140.67 |
| 1% Assessments | 103,739,180.00 | 36,128,456.00 | 34,034,479.00 | 37,117,129.00 | 211,019,244.00 |
| DASNY- MOE/Recast receivables | - | - | - | - | - |
| Interest Income | 13,893.95 | 5,991.85 | 4,529.35 | 5,624.43 | 30,039.58 |
| Unassigned | (1,563,049.32) | 13,497,032.37 | (14,915,365.41) | 439,446.19 | (2,541,936.17) |
| Total Receipts | 1,068,791,954.93 | 487,465,275.13 | 315,214,421.23 | 595,947,507.43 | 2,467,419,158.72 |
| PROGRAM DISBURSEMENTS: | | | | | |
| Poison Control Centers | - | - | - | - | - |
| School Based Health Center Grants | - | - | - | - | - |
| ECRIP Distributions | - | - | - | - | - |
| Total Program Disbursements | - | - | - | - | - |
| Excess (Deficiency) of Receipts over Disbursements | 1,068,791,954.93 | 487,465,275.13 | 315,214,421.23 | 595,947,507.43 | 2,467,419,158.72 |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers From Other Pools: | | | | | |
| Medicaid Disproportionate Share | - | - | - | - | - |
| Health Facility Assessment Fund - Hospital Quality Contribution | 13,334,232.00 | 4,464,850.00 | 4,687,523.00 | 4,049,587.00 | 26,536,192.00 |
| Transfers From State Funds: | | | | | |
| HCRA Resources Fund | - | - | - | - | - |
| Total Other Financing Sources | 13,334,232.00 | 4,464,850.00 | 4,687,523.00 | 4,049,587.00 | 26,536,192.00 |
| Transfers To Other Pools: | | | | | |
| Medicaid Disproportionate Share | - | - | - | - | - |
| Health Facility Assessment Fund | - | - | - | - | - |
| Transfers To State Funds: | | | | | |
| HCRA Resources Fund | (1,306,175,989.38) | (376,078,048.48) | (389,691,001.00) | (454,153,529.16) | (2,526,098,568.02) |
| Indigent Care Fund - Matched | - | - | - | - | - |
| Indigent Care Fund - Unmatched | - | - | - | - | - |
| Total Other Financing Uses | (1,306,175,989.38) | (376,078,048.48) | (389,691,001.00) | (454,153,529.16) | (2,526,098,568.02) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (224,049,802.45) | 115,852,076.65 | (69,789,056.77) | 145,843,565.27 | (32,143,217.30) |
| CLOSING CASH BALANCE | \$ 126,897,506.61 | \$ 242,749,583.26 | \$ 172,960,526.49 | \$ 318,804,091.76 | \$ 318,804,091.76 |

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2020-21

| | 1st Quarter APRIL - JUNE | 2020 JULY | 2020 AUGUST | 2020 SEPTEMBER | 2020-21 |
|----------------------------------------------------------------------------------------------------------------|-----------------------------|-----------------|------------------------|-------------------------|-------------------------|
| OPENING CASH BALANCE | \$ 668.63 | \$ 169.31 | \$ - | \$ 262.24 | \$ 668.63 |
| RECEIPTS: | | | | | |
| Interest Income | 422.98 | - | 262.24 | 1,198.54 | 1,883.76 |
| Total Receipts | 422.98 | - | 262.24 | 1,198.54 | 1,883.76 |
| PROGRAM DISBURSEMENTS: | | | | | |
| Indigent Care | (188,629,665.12) | - | (98,098,027.59) | (52,701,751.37) | (339,429,444.08) |
| High Need Indigent Care | - | - | - | - | - |
| Other | 506,867.55 | - | 239,151.68 | (90,939,190.28) | (90,193,171.05) |
| Total Program Disbursements | (188,122,797.57) | - | (97,858,875.91) | (143,640,941.65) | (429,622,615.13) |
| Excess (Deficiency) of Receipts over Disbursements | (188,122,374.59) | - | (97,858,613.67) | (143,639,743.11) | (429,620,731.37) |
| OTHER FINANCING SOURCES (USES): | | | | | |
| Transfers From Other Pools: | | | | | |
| Public Goods Pool | - | - | - | - | - |
| Health Facility Assessment Fund | - | - | - | - | - |
| Transfers From State Funds: | | | | | |
| HCRA Resources Indigent Care - Matched | 94,314,832.56 | - | 49,049,013.80 | 64,901,102.37 | 208,264,948.73 |
| HCRA Resources Indigent Care - Unmatched | (506,129.55) | - | (239,151.68) | 16,767,388.24 | 16,022,107.01 |
| HCRA Resources Indigent Care - ATB | - | - | - | - | - |
| Federal DHHS Fund | 94,314,832.56 | - | 49,049,013.79 | 64,901,102.36 | 208,264,948.71 |
| Other | - | - | - | - | - |
| Total Other Financing Sources | 188,123,535.57 | - | 97,858,875.91 | 146,569,592.97 | 432,552,004.45 |
| Transfers To Other Pools: | | | | | |
| Public Goods Pool | - | - | - | - | - |
| Health Facility Assessment Fund | - | - | - | - | - |
| Transfers To State Funds: | | | | | |
| HCRA Resources Fund Indigent Care Acct | (1,660.30) | (169.31) | - | (2,596,984.65) | (2,598,814.26) |
| Total Other Financing Uses | (1,660.30) | (169.31) | - | (2,596,984.65) | (2,598,814.26) |
| Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses | (499.32) | (169.31) | 262.24 | 332,865.21 | 332,458.82 |
| CLOSING CASH BALANCE | \$ 169.31 | \$ - | \$ 262.24 | \$ 333,127.45 | \$ 333,127.45 |

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2020-2021
(amounts in thousands)**

APPENDIX E

| | 2020 APRIL | 2020 MAY | 2020 JUNE | 2020 JULY | 2020 AUGUST | 2020 SEPTEMBER | 2020 OCTOBER | 2020 NOVEMBER | 2020 DECEMBER | 2021 JANUARY | 2021 FEBRUARY | 2021 MARCH | 2020-2021 TOTAL |
|-------------------------------------------------------------|------------------|------------------|------------------|------------------|------------------|-------------------|-----------------|------------------|------------------|-----------------|------------------|---------------|--------------------|
| DORMITORY AUTHORITY: | | | | | | | | | | | | | |
| Education - All Other | \$ - | \$ - | \$ - | \$ - | \$ 15 | \$ - | | | | | | | \$ 15 |
| Education - EXCEL | 427 | 2,157 | 1,567 | 17 | - | 649 | | | | | | | 4,817 |
| Department of Health - All Other | (1) | - | 59 | - | - | - | | | | | | | 58 |
| Community Enhancement Facilities Assistance Program (CEFAP) | - | - | - | - | - | - | | | | | | | - |
| Regional Development: | | | | | | | | | | | | | |
| Community Capital Assistance Program (CCAP)/RESTORE | 525 | - | 454 | 444 | 323 | 148 | | | | | | | 1,894 |
| Multi-modal | - | - | 24 | - | - | 20 | | | | | | | 44 |
| GenNYsis | - | - | - | - | - | - | | | | | | | - |
| CUNY Senior Colleges | 24,128 | 11,443 | 24,631 | 18,581 | 19,061 | 18,031 | | | | | | | 115,875 |
| CUNY Community Colleges | 4,766 | 1,358 | 5,403 | 2,217 | 1,052 | 2,094 | | | | | | | 16,890 |
| Brooklyn Court Officer Training Academy | 26 | - | - | 1,153 | - | - | | | | | | | 1,179 |
| TOTAL DORMITORY AUTHORITY | 29,871 | 14,958 | 32,138 | 22,412 | 20,451 | 20,942 | - | - | - | - | - | - | 140,772 |
| EMPIRE STATE DEVELOPMENT CORP: | | | | | | | | | | | | | |
| Regional Development: | | | | | | | | | | | | | |
| Centers of Excellence | - | - | - | - | - | - | | | | | | | - |
| Community Capital Assistance Program (CCAP) | - | - | - | - | - | - | | | | | | | - |
| Empire Opportunity | - | - | - | - | - | - | | | | | | | - |
| Community Enhancement Facilities Assistance Program (CEFAP) | - | - | - | - | - | - | | | | | | | - |
| State Facilities and Equipment | - | - | - | - | - | - | | | | | | | - |
| TOTAL EMPIRE STATE DEVELOPMENT CORP | - | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL OFF-BUDGET | \$ 29,871 | \$ 14,958 | \$ 32,138 | \$ 22,412 | \$ 20,451 | \$ 20,942 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 140,772 |

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

| SFS Fund | ACCOUNT TITLE | June 30, 2020 | July 31, 2020 | August 31, 2020 | Change | September 30, 2020 |
|----------|----------------------------------------------------|----------------|----------------|-----------------|----------------|--------------------|
| | GENERAL FUND | | | | | |
| 10050 | STATE OPERATIONS AND LOCAL ASSISTANCE | \$ - | \$ - | \$ - | \$ - | \$ - (***) |
| | TOTAL GENERAL FUND | - | - | - | - | - |
| | CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS | | | | | |
| 30051 | HIGHWAY AND BRIDGE CAPITAL | 160,658,281.67 | 137,006,631.01 | 184,652,658.88 | 178,200,584.25 | 362,853,243.13 |
| 30053 | AVIATION PURPOSE ACCOUNT | - | - | - | - | - |
| 30101 | REHAB/REPAIR MARITIME | - | - | - | - | - |
| 30102 | D21RVE- MARITIME | - | - | - | - | - |
| 30103 | D36RVE- CENTRAL ADMIN | - | - | - | - | - |
| 30104 | RESIDENCE HALL CAMPUS LET BOND PROCEEDS | - | - | - | - | - |
| 30105 | REHAB/REPAIR ALBANY | - | - | - | - | - |
| 30106 | D01RVE- ALBANY | - | - | - | - | - |
| 30107 | REHAB/REPAIR BINGHAMTON | - | - | - | - | - |
| 30108 | D07RVE- BINGHAMTON | - | - | - | - | - |
| 30109 | REHAB/REPAIR BUFFALO UNIVERSITY | - | - | - | - | - |
| 30110 | D28RVE- SUNY BUFFALO | - | - | - | - | - |
| 30111 | REHAB/REPAIR STONYBROOK | - | - | - | - | - |
| 30112 | D13RVE- STONYBROOK | - | - | - | - | - |
| 30113 | REHAB/REPAIR BROOKLYN | - | - | - | - | - |
| 30114 | D14RVE - HSC BROOKLYN | - | - | - | - | - |
| 30115 | REHAB/REPAIR SYRACUSE | - | - | - | - | - |
| 30116 | D15RVE- HSC SYRACUSE | - | - | - | - | - |
| 30117 | REHAB/REPAIR BROCKPORT | - | - | - | - | - |
| 30118 | D02RVE- BROCKPORT | - | - | - | - | - |
| 30119 | REHAB/REPAIR BUFFALO COLLEGE | - | - | - | - | - |
| 30120 | D03RVE -SUB BUFFALO | - | - | - | - | - |
| 30121 | REHAB/REPAIR CORTLAND | - | - | - | - | - |
| 30122 | D04RVE- CORTLAND | - | - | - | - | - |
| 30123 | REHAB/REPAIR FREDONIA | - | - | - | - | - |
| 30124 | D05RVE- FREDONIA | - | - | - | - | - |
| 30125 | REHAB/REPAIR GENESEO | - | - | - | - | - |
| 30126 | D06RVE- GENESEO | - | - | - | - | - |
| 30127 | REHAB/REPAIR OLD WESTBURY | - | - | - | - | - |
| 30128 | D31RVE- OLD WESTBURY | - | - | - | - | - |
| 30129 | REHAB/REPAIR NEW PALTZ | - | - | - | - | - |
| 30130 | D08RVE- NEW PALTZ | - | - | - | - | - |
| 30131 | REHAB/REPAIR ONEONTA | - | - | - | - | - |
| 30132 | D09RVE- ONEONTA | - | - | - | - | - |
| 30133 | REHAB/REPAIR OSWEGO | - | - | - | - | - |
| 30134 | D10RVE- OSWEGO | - | - | - | - | - |
| 30135 | REHAB/REPAIR PLATTSBURGH | - | - | - | - | - |
| 30136 | D11RVE- PLATTSBURGH | - | - | - | - | - |
| 30137 | REHAB/REPAIR POTSDAM | - | - | - | - | - |
| 30138 | D12RVE- POTSDAM | - | - | - | - | - |
| 30139 | REHAB/REPAIR PURCHASE | - | - | - | - | - |
| 30140 | D29RVE- PURCHASE | - | - | - | - | - |
| 30141 | REHAB/REPAIR FOR UTICA/ROME | - | - | - | - | - |
| 30142 | D27RVE- CAMPUS RESERVE | - | - | - | - | - |
| 30143 | REHAB/REPAIR ALFRED | - | - | - | - | - |
| 30144 | D22RVE- ALFRED | - | - | - | - | - |
| 30145 | REHAB/REPAIR CANTON | - | - | - | - | - |
| 30146 | D23RVE- CANTON | - | - | - | - | - |
| 30147 | REHAB/REPAIR COBLESKILL | - | - | - | 582,031.54 | 582,031.54 |
| 30148 | D24RVE- COBLESKILL | - | - | - | - | - |
| 30149 | REHAB/REPAIR DELHI | - | - | - | - | - |
| 30150 | D25RVE- DELHI | - | - | - | - | - |
| 30151 | REHAB/REPAIR FARMINGDALE | - | - | - | - | - |
| 30152 | D26RVE- FARMINGDALE | - | - | - | - | - |
| 30153 | REHAB/REPAIR MORRISVILLE | - | - | - | - | - |
| 30154 | D27RVE- MORRISVILLE | - | - | - | - | - |
| 30351 | STATE PARK INFRASTRUCTURE | 89,467,068.18 | 64,137,330.12 | 64,402,407.96 | 6,047,489.46 | 70,449,897.42 |
| 30501 | CW/CA IMPLEMENTATION DEC | - | - | - | - | - |
| 30502 | CW/CA IMPLEMENTATION STATE | - | - | - | - | - |
| 30503 | CW/CA IMPLEMENTATION ERDA | - | - | - | - | - |
| 30504 | CW/CA IMPLEMENTATION EFC | - | - | - | - | - |
| 31506 | HAZARDOUS WASTE CLEAN UP | 121,445,518.22 | 92,674,807.49 | 98,228,303.32 | 5,248,357.77 | 103,476,661.09 |
| 31701 | YOUTH FACILITIES IMPROVEMENT | 15,626,002.01 | 13,168,047.62 | 14,936,253.28 | 822,335.69 | 15,758,588.97 |
| 31801 | HOUSING ASSISTANCE | 12,941,967.06 | 12,941,967.06 | 12,941,967.06 | - | 12,941,967.06 |
| 31851 | HOUSING PROG FD-HSG TR FD CORP | 106,086,805.04 | 135,008,846.41 | 182,373,910.06 | 103,091,532.76 | 285,465,442.82 |
| 31852 | HOUSING PROG FD AFFORD HSG CORP | 41,964,789.81 | 37,999,676.38 | 39,958,056.81 | 2,115,966.00 | 42,074,022.81 |
| 31853 | HOUSING PROG FD-DEPT OF SOCIAL SERVICES | 96,072,095.02 | 88,489,684.37 | 92,239,684.37 | 4,800,000.00 | 97,039,684.37 |
| 31854 | HOUSING PROG FD-HFA | - | - | - | - | - |
| 31951 | HIGHWAY FAC PURPOSE | 11,969,463.99 | 11,969,463.99 | 11,969,463.99 | - | 11,969,463.99 |

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

| SFS Fund | ACCOUNT TITLE | June 30, 2020 | July 31, 2020 | August 31, 2020 | Change | September 30, 2020 |
|----------|--------------------------------------------------|-------------------------|-------------------------|-------------------------|-----------------------|-------------------------|
| 32213 | NY RACING ACCOUNT | 153,750.00 | 153,750.00 | 153,750.00 | - | 153,750.00 |
| 32214 | CAPITAL PROJECT MISC GIFTS | - | - | - | - | - |
| 32215 | IT CAPITAL FINANCING ACCT | 1,619,301.49 | 1,915,529.83 | 2,682,076.92 | 201,589.88 | 2,883,666.80 |
| 32219 | NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION | - | - | - | - | - |
| 32301 | OPWDD-STATE FACILITIES PRE 12/99 | - | - | - | - | - |
| 32302 | DSAS-COMMUNITY FACILITIES | - | - | - | - | - |
| 32303 | OMH-COMMUNITY FACILITIES | 82,010,826.38 | 82,591,601.44 | 70,136,299.13 | (899,094.62) | 69,237,204.51 |
| 32304 | OPWDD-COMMUNITY FACILITIES | - | - | - | - | - |
| 32305 | OASAS-COMMUNITY FACILITIES | 176,272,809.92 | 176,272,809.92 | 180,739,076.13 | 1,501,000.00 | 182,240,076.13 |
| 32306 | DASNY - OMH ADMIN | - | - | - | - | - |
| 32307 | DASNY - OPWDD ADMIN | 7,828,273.39 | 7,828,273.39 | 7,828,273.39 | - | 7,828,273.39 |
| 32308 | DASNY - OASAS ADMIN | 1,732,406.20 | 1,732,406.20 | 1,732,406.20 | - | 1,732,406.20 |
| 32309 | OMH -STATE FACILITIES | 88,324,488.18 | 97,577,181.06 | 105,079,828.74 | 11,302,465.05 | 116,382,293.79 |
| 32310 | OPWDD -STATE FACILITIES | 17,831,809.86 | 17,831,809.86 | 19,107,109.86 | (936,085.58) | 18,171,024.28 |
| 32311 | OASAS -STATE FACILITIES | 1,983,616.94 | 1,983,616.94 | 2,404,768.40 | (298,948.22) | 2,105,820.18 |
| 32351 | CORR. FACILITIES CAPITAL IMPROVEMENT | - | - | - | - | - |
| 32352 | DOCS-REHABILITATION PROJECTS | 227,694,118.63 | 159,272,923.68 | 185,043,996.73 | 27,462,051.17 | 212,506,047.90 |
| 32353 | CORR. FACILITIES CAPITAL CLOSURE | - | - | - | 0.55 | 0.55 |
| 33001 | STORM RECOVERY ACCOUNT | 53,515,590.30 | 53,737,776.12 | 54,159,323.63 | 252,145.46 | 54,411,469.09 |
| | TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS | 1,315,198,982.29 | 1,194,294,132.89 | 1,330,769,614.86 | 339,493,421.16 | 1,670,263,036.02 |
| | STATE SPECIAL REVENUE FUNDS | | | | | |
| 20401 | DOL-CHILD PERFORMER PROTECTION ACCOUNT | - | - | - | - | - |
| 20452 | VOCATIONAL SCHOOL SUPERVISION | - | - | - | - | - |
| 20501 | LOCAL GOVERNMENT RECORDS MGMT | - | - | - | - | - |
| 20810 | CHILD HEALTH INSURANCE | 101,456,029.18 | 54,766,440.58 | 84,283,757.87 | (14,396,620.71) | 69,887,137.16 |
| 20818 | EPIC PREMIUM ACCOUNT | 7,324,399.12 | - | - | 277,647.70 | 277,647.70 |
| 20901 | LOTTERY-EDUCATION | - | - | - | 1,562,212,831.94 | 1,562,212,831.94 |
| 20904 | VLT EDUCATION | - | - | - | 79,973,663.25 | 79,973,663.25 |
| 21001 | ENVIR FAC CORP ADM ACCT | - | - | - | - | - |
| 21002 | ENCON ADMIN ACCT | 3,542,178.37 | 3,605,844.31 | 3,679,618.69 | 68,040.96 | 3,747,659.65 |
| 21061 | HAZARDOUS BULK STORAGE | - | - | - | - | - |
| 21064 | UTILITY ENVIRONMENTAL REGULATORY ACCOUNT | 1,672,099.99 | 1,672,099.99 | 1,672,099.99 | - | 1,672,099.99 |
| 21065 | FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT | 1,878,368.17 | 2,544,578.01 | 3,211,820.14 | 981,479.33 | 4,193,299.47 |
| 21066 | ENCON-LOW LEVEL RADIOACTIVE WASTE SITING | 3,941,524.69 | 4,099,364.93 | 4,256,939.81 | (478,519.35) | 3,778,420.46 |
| 21067 | ENCON-RECREATION | - | - | - | - | - |
| 21077 | PUBLIC SAFETY RECOVERY ACCOUNT | - | - | - | - | - |
| 21081 | ENVIRONMENTAL REGULATORY | 64,768,454.17 | 65,652,808.84 | 65,386,949.81 | 613,303.02 | 66,000,252.83 |
| 21082 | NATURAL RESOURCES ACCOUNT | 14,485,513.32 | 14,397,873.37 | 14,551,154.81 | (26,428.24) | 14,524,726.57 |
| 21084 | MINED LAND RECLAMATION ACCT | - | - | - | - | - |
| 21087 | GREAT LAKES RESTORATION INITIATIVE | - | - | - | - | - |
| 21201 | AUDIT AND CONTROL OIL SPILL | - | - | - | 17,340.72 | 17,340.72 |
| 21202 | HEALTH DEPT OIL SPILL | - | - | - | 3,555.33 | 3,555.33 |
| 21203 | DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL | 26,427.14 | 95.03 | - | 451,031.84 | 451,031.84 |
| 21204 | OIL SPILL COMPENSATION | - | - | - | - | - |
| 21205 | LICENSE FEE SURCHARGES | - | - | - | - | - |
| 21401 | PUBLIC TRANSPORTATION SYSTEMS | - | - | - | - | - |
| 21402 | METROPOLITAN MASS TRANSPORTATION | - | - | - | - | - |
| 21451 | OPERATING PERMIT PROGRAM | 32,937,755.62 | 33,477,460.79 | 34,006,513.90 | (23,005.57) | 33,983,508.33 |
| 21452 | MOBILE SOURCE | 3,315,812.80 | 2,330,414.87 | 946,982.11 | (725,706.57) | 221,275.54 |
| 21902 | HEALTH-SPARC'S | - | - | - | - | - |
| 21905 | THRUWAY AUTHORITY ACCT | 16,450,079.53 | 7,003,953.56 | 6,067,878.28 | 5,473,584.11 | 11,541,462.39 |
| 21907 | MENTAL HYGIENE PROGRAM | - | - | - | - | - |
| 21909 | MENTAL HYGIENE PATIENT INCOME ACCOUNT | - | - | - | - | - |
| 21911 | FINANCIAL CONTROL BOARD | 706,936.73 | 202,852.50 | 368,167.98 | 241,691.61 | 609,859.59 |
| 21912 | RACING REGULATION ACCOUNT | 2,832,223.50 | 2,028,062.32 | 1,885,102.73 | 107,152.54 | 1,992,255.27 |
| 21937 | SU DORM INCOME REIMBURSE | 320,127.42 | 196,888.87 | 90,178.97 | 5,622,966.56 | 5,713,145.53 |
| 21945 | CRIMINAL JUSTICE IMPROVEMENT | - | - | - | - | - |
| 21959 | ENV LAB REF FEE | - | - | - | - | - |
| 21961 | TRAINING, MANAGEMENT AND EVALUATION ACCOUNT | 590,377.37 | 647,700.58 | 659,525.08 | 26,386.10 | 685,911.18 |
| 21962 | CLINICAL LAB FEE | 11,729,245.15 | 11,661,405.33 | 11,883,640.37 | 2,134,384.41 | 14,018,024.78 |
| 21978 | INDIRECT COST RECOVERY | 3,350,190.59 | - | - | - | - |
| 21979 | HIGH SCHOOL EQUIVALENCY PROGRAM | - | - | - | - | - |
| 21989 | MULTI - AGENCY TRAINING ACCOUNT | - | - | - | - | - |
| 22003 | BELL JAR COLLECTION ACCOUNT | - | - | - | - | - |
| 22004 | INDUSTRY AND UTILITY SERVICE | - | - | - | - | - |
| 22006 | REAL PROPERTY DISPOSITION | - | - | - | - | - |
| 22007 | PARKING ACCOUNT | - | - | - | - | - |
| 22008 | COURTS SPECIAL GRANTS | - | - | - | - | - |
| 22009 | ASBESTOS SAFETY TRAINING | 32,921.28 | 40,558.87 | 43,090.63 | 4,365.15 | 47,455.78 |
| 22017 | CAMP SMITH BILLETING ACCOUNT | - | - | - | - | - |
| 22032 | BATAVIA SCHOOL FOR THE BLIND | 9,221,734.60 | 9,243,397.88 | 10,053,270.59 | (2,157,389.12) | 7,895,881.47 |
| 22034 | INVESTMENT SERVICES | - | - | - | - | - |
| 22036 | SURPLUS PROPERTY ACCOUNT | - | - | - | - | - |

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

| SFS Fund | ACCOUNT TITLE | June 30, 2020 | July 31, 2020 | August 31, 2020 | Change | September 30, 2020 |
|-------------|------------------------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|------------------------------|
| 22039 | FINANCIAL OVERSIGHT | 941,372.35 | 268,954.28 | 555,728.17 | 372,536.46 | 928,264.63 |
| 22046 | REGULATION INDIAN GAMING | 93,769,436.68 | 94,851,180.94 | 92,899,966.99 | 907,591.72 | 93,807,558.71 |
| 22053 | ROME SCHOOL FOR THE DEAF | 3,330,301.50 | 3,830,663.92 | 4,557,350.11 | (1,250,319.37) | 3,307,030.74 |
| 22054 | DSP-SEIZED ASSETS | 1,272,138.16 | 1,227,999.63 | 1,018,972.60 | (67,025.75) | 951,946.85 |
| 22055 | ADMINISTRATIVE ADJUDICATION | 23,272,448.49 | 20,677,781.17 | 23,606,581.03 | 3,890,090.49 | 27,496,671.52 |
| 22056 | FEDERAL SALARY SHARING | 422,168.37 | 639,956.47 | 854,157.69 | 150,322.14 | 1,004,479.83 |
| 22062 | NYC ASSESSMENT ACCT | - | - | - | - | - |
| 22063 | CULTURAL EDUCATION ACCOUNT | 6,772,749.59 | 8,155,159.90 | 6,506,966.31 | (552,480.09) | 5,954,486.22 |
| 22078 | LOCAL SERVICE ACCOUNT | - | - | - | - | - |
| 22085 | DHCR MORTGAGE SERVICES | 15,982,860.55 | 16,125,064.82 | 16,430,442.22 | 214,050.09 | 16,644,492.31 |
| 22090 | HOUSING INDIRECT COST RECOVERY | - | - | - | - | - |
| 22100 | DHCR-HOUSING CREDIT AGENCY APPLY FEE | 8,646,721.74 | 9,073,223.01 | 9,652,893.52 | 106,730.56 | 9,759,624.08 |
| 22130 | LOW INCOME HOUSING CREDIT MONITORING | - | - | - | - | - |
| 22135 | EFC-CORPORATION ADMINISTRATION | - | - | - | - | - |
| 22144 | MONTRORSE VETERAN'S HOME | - | - | - | - | - |
| 22151 | DEFERRED COMPENSATION ADMIN | 133,843.96 | 186,214.42 | 238,166.66 | (114,015.33) | 124,151.33 |
| 22156 | RENT REVENUE OTHER - NYC | - | - | - | 591,253.80 | 591,253.80 |
| 22158 | RENT REVENUE | - | - | - | - | - |
| 22168 | TAX REVENUE ARREARAGE ACCOUNT | - | - | - | - | - |
| 22240 | NYS MEDICAL INDEMNITY FUND ACCOUNT | 893,808.12 | 984,850.06 | 1,083,976.25 | 101,114.48 | 1,185,090.73 |
| 22654 | S.U. NON-RESIDENT REV. OFFSET | 20,657,057.09 | 20,659,528.61 | 20,662,290.36 | 2,518.81 | 20,664,809.17 |
| 22751 | LAKE GEORGE PARK TRUST FUND | 144,864.67 | 247,138.52 | - | - | - |
| 22802 | STATE POLICE MV ENFORCE | - | - | - | - | - |
| 23001 | DOT - HIGHWAY SAFETY PRGM | 15,199,258.53 | 15,366,088.88 | 15,631,992.02 | 263,644.25 | 15,895,636.27 |
| 23102 | DOH DRINKING WATER PROGRAM | 5,350,949.70 | 5,350,949.70 | 5,350,949.70 | - | 5,350,949.70 |
| 23151 | NYCCC OPERATING OFFSET | 35,975,980.05 | 38,503,815.68 | 40,779,914.98 | 3,093,001.02 | 43,872,916.00 |
| 23701 | COMMERCIAL GAMING REVENUE | - | - | - | - | - |
| 23702 | COMMERCIAL GAMING REGULATION | 19,120,288.63 | 19,423,617.53 | 19,727,468.18 | 462,360.27 | 20,189,828.45 |
| 23801 | HIGHWAY USE TAX ADMIN | - | - | - | - | - |
| 23806 | NYS SECURE CHOICE ADMIN | - | - | - | - | - |
| 24951 | FANTASY SPORTS ADMINISTRATION | 34,948.58 | 34,948.58 | 34,948.58 | 15,220.75 | 50,169.33 |
| | TOTAL STATE SPECIAL REVENUE FUNDS | 532,503,595.50 | 469,178,936.75 | 502,639,457.13 | 1,648,588,349.31 | 2,151,227,806.44 |
| | FEDERAL FUNDS | | | | | |
| 25000-25099 | FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND | 53,113,377.13 | 4,861,559.56 | 118,710,736.93 | (114,258,997.45) | 4,451,739.48 |
| 25100-25199 | FEDERAL HEALTH AND HUMAN SERVICES FUND | 981,080,416.03 | 120,502,674.30 | 185,715,291.63 | 2,562,625,533.18 | 2,748,340,824.81 |
| 25200-25249 | FEDERAL EDUCATION GRANTS FUND | 31,456,748.85 | 29,827,487.57 | 101,592,275.68 | (82,623,790.67) | 18,968,485.01 |
| 25250-25299 | FEDERAL DHHS BLOCK GRANTS | - | - | - | - | - |
| 25300-25899 | FEDERAL OPERATING GRANTS FUND | 471,256,489.93 | 682,171,490.90 | 467,988,759.37 | 15,322,701.65 | 483,311,461.02 |
| 31351 | MILITARY AND NAVAL AFFAIRS | 8,753,932.66 | 8,753,932.66 | 8,753,932.66 | - | 8,753,932.66 |
| 31354 | DEPARTMENT OF TRANSPORTATION | 487,360,142.92 | 447,377,872.95 | 418,981,740.11 | 22,910,976.86 | 441,892,716.97 |
| 31350-31449 | FEDERAL CAPITAL PROJECTS FUND (ALL OTHER) | 112,399,567.02 | 115,023,670.81 | 117,669,446.07 | 14,822,651.30 | 132,492,097.37 |
| 25900-25949 | UNEMPLOYMENT INSURANCE ADMINISTRATION | 25,711,197.65 | 33,519,545.80 | 31,789,946.69 | 28,165,058.57 | 59,955,005.26 |
| 25950 | FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING | 496,610.50 | 416,583.50 | 450,110.00 | 67,488.00 | 517,598.00 |
| 26001-26049 | DOL EMPLOYMENT AND TRAINING GRANTS | 5,215,849.27 | 12,725,671.70 | 234,476.17 | 691,559.01 | 926,035.18 |
| | TOTAL FEDERAL FUNDS | 2,176,844,331.96 | 1,455,180,489.75 | 1,451,886,715.31 | 2,447,723,180.45 | 3,899,609,895.76 (**) |
| | AGENCY FUNDS | | | | | |
| 60201 | EMPLOYEES HEALTH INSURANCE ACCT | - | - | - | - | - |
| 60901 | MMIS - STATE AND FEDERAL | - | - | - | - | - |
| | TOTAL AGENCY FUNDS | - | - | - | - | - |
| | ENTERPRISE FUND | | | | | |
| 50318 | OCS CONVENTION CENTER ACCOUNT | 498,925.57 | 586,266.50 | 648,917.48 | 64,302.84 | 713,220.32 |
| 50327 | EMPIRE PLAZA GIFT SHOP | 216,211.92 | 228,262.18 | 240,989.79 | 13,239.99 | 254,229.78 |
| | TOTAL ENTERPRISE FUND | 715,137.49 | 814,528.68 | 889,907.27 | 77,542.83 | 967,450.10 |
| | INTERNAL SERVICE FUNDS | | | | | |
| 55001 | CENTRALIZED SERVICES-FLEET MGMT | - | - | - | - | - |
| 55002 | CENTRALIZED SERVICES-DATA PROCESSING | - | - | - | - | - |
| 55003 | CENTRALIZED SERVICES-PRINTING | 1,496,350.49 | 1,446,232.57 | 1,442,837.96 | (48,340.24) | 1,394,497.72 |
| 55004 | CENTRALIZED SERVICES-REAL PROPERTY-LABOR | - | - | - | - | - |
| 55005 | CENTRALIZED SERVICES-DONATED FOODS | - | - | - | - | - |
| 55006 | CENTRALIZED SERVICES-PERSONAL PROPERTY | - | - | - | - | - |
| 55007 | CENTRALIZED SERVICES-CONSTRUCTION SERVICES | 2,933,431.43 | 2,891,489.38 | 2,314,098.70 | 54,590.02 | 2,368,688.72 |
| 55008 | CENTRALIZED SERVICES-PASNY | 12,585,092.43 | 13,357,977.47 | 15,387,079.38 | 778,470.38 | 16,165,549.76 |
| 55009 | CENTRALIZED SERVICES-ADMIN SUPPORT | - | - | - | - | - |
| 55010 | CENTRALIZED SERVICES-DESIGN AND CONSTR | 16,414,005.80 | 16,784,151.78 | 15,127,023.47 | 528,331.80 | 15,655,355.27 |
| 55011 | CENTRALIZED SERVICES-INSURANCE | 2,460,273.27 | 4,577,187.96 | 7,590,357.34 | (139,860.70) | 7,450,496.64 |
| 55012 | CENTRALIZED SERVICES-SECURITY CARD ACCESS | 221,823.30 | 207,459.30 | 188,661.30 | (8,226.00) | 180,435.30 |
| 55013 | CENTRALIZED SERVICES-COP'S | - | - | - | - | - |
| 55014 | CENTRALIZED SERVICES-FOOD SERVICES | - | - | - | - | - |
| 55015 | CENTRALIZED SERVICES-HOMER FOLKS | - | - | - | - | - |

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

| SFS Fund | ACCOUNT TITLE | June 30, 2020 | July 31, 2020 | August 31, 2020 | Change | September 30, 2020 |
|----------|--------------------------------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| 55016 | CENTRALIZED SERVICES-IMMICS | 1,772,738.94 | 1,514,610.99 | 1,521,357.13 | 66,993.37 | 1,588,350.50 |
| 55017 | DOWNSTATE WAREHOUSE | 711,223.47 | 662,969.71 | 521,118.50 | (18,843.91) | 502,274.59 |
| 55018 | BUILDING ADMINISTRATION | - | - | - | - | - |
| 55019 | LEASE SPACE INITIATIVE | - | - | - | - | - |
| 55020 | OGS ENTERPRISE CONTRACTING ACCT | 64,688,929.15 | 73,597,486.69 | 76,569,652.79 | 4,712,745.85 | 81,282,398.64 |
| 55021 | NYS MEDIA CENTER | 8,528,434.62 | 9,055,539.70 | 9,439,780.85 | 514,149.52 | 9,953,930.37 |
| 55022 | BUSINESS SERVICES CENTER | 10,971,557.60 | 12,933,909.60 | 14,919,494.12 | 3,107,353.60 | 18,026,847.72 |
| 55052 | ARCHIVES RECORD MGMT I.S. | - | 73,519.17 | - | - | - |
| 55053 | FEDERAL SINGLE AUDIT | - | - | - | - | - |
| 55056 | CIVIL SERVICE EHS OCCUP HEALTH PROG | - | - | - | - | - |
| 55057 | BANKING SERVICES ACCOUNT | 123,821.85 | 18,460.34 | - | 475,146.08 | 475,146.08 |
| 55058 | CULTURAL RESOURCE SURVEY | 1,451,777.47 | 1,674,062.39 | 2,087,246.37 | 346,029.22 | 2,433,275.59 |
| 55059 | NEIGHBOR WORK PROJECT | 11,272,972.62 | 11,275,561.47 | 11,251,663.72 | 86,978.26 | 11,338,641.98 |
| 55060 | AUTOMATIC/PRINT CHARGBACKS | 4,475,588.10 | 5,319,415.94 | 7,185,342.64 | (2,282,248.59) | 4,903,094.05 |
| 55061 | OFT NYT ACCT | 1,445,258.44 | 1,445,258.44 | 1,445,258.44 | (198,278.10) | 1,246,980.34 |
| 55062 | DATA CENTER ACCOUNT | 44,909,722.53 | 43,326,143.01 | 49,321,855.09 | - | 49,321,855.09 |
| 55066 | CYBER SECURITY INTRUSION ACCT | 1,261,584.27 | 1,261,584.27 | 1,261,584.27 | - | 1,261,584.27 |
| 55067 | DOMESTIC VIOLENCE GRANT | 145,481.62 | 170,986.11 | 166,342.91 | 15,798.42 | 182,141.33 |
| 55069 | CENTRALIZED TECHNOLOGY SERVICES | 78,081,800.66 | 74,973,272.59 | 69,365,379.43 | (2,983,617.47) | 66,381,761.96 |
| 55071 | LABOR CONTACT CENTER ACCT | 3,486,640.87 | 3,663,586.73 | 4,169,821.77 | 1,020,729.56 | 5,190,551.33 |
| 55072 | HUMAN SERVICES CONTACT CNTR ACCT | 1,244,122.41 | 1,752,511.26 | 2,628,593.18 | 1,566,789.25 | 4,195,382.43 |
| 55073 | TAX CONTACT CENTER ACCT | - | - | - | - | - |
| 55074 | CIVIL RECOVERIES ACCT | - | 75,398.42 | 1,167,591.84 | 333,099.37 | 1,500,691.21 |
| 55251 | EXECUTIVE DIRECTION INTERNAL AUDIT | 9,548,378.43 | 9,778,854.76 | 10,004,846.93 | 297,105.20 | 10,301,952.13 |
| 55252 | CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES | 37,389,000.24 | 41,456,353.95 | 43,315,717.18 | 3,597,620.12 | 46,913,337.30 |
| 55300 | HEALTH INSURANCE INTERNAL SERVICE | 2,026,206.73 | 3,145,790.06 | 4,178,409.64 | 1,474,616.05 | 5,653,025.69 |
| 55301 | CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM | 4,409,764.34 | 4,504,814.98 | 4,599,865.62 | 123,817.25 | 4,723,682.87 |
| 55350 | CORR INDUSTRIES INTERNAL SERVICE | 35,032,506.26 | 40,232,673.85 | 41,443,662.37 | 2,639,735.78 | 44,083,398.15 |
| | TOTAL INTERNAL SERVICE FUNDS | 359,088,487.34 | 381,177,262.89 | 398,614,642.94 | 16,060,684.09 | 414,675,327.03 |
| | GRAND TOTAL - TEMPORARY LOANS OUTSTANDING | \$ 4,384,350,534.58 | \$ 3,500,645,350.96 | \$ 3,684,800,337.51 | \$ 4,451,943,177.84 | \$ 8,136,743,515.35 |

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2020-2021

APPENDIX G

| | 2020 APRIL | MAY | JUNE | JULY | AUGUST | SEPTEMBER | OCTOBER | NOVEMBER | DECEMBER | 2021 JANUARY | FEBRUARY | MARCH | 6 Months Ended September 30, 2020 |
|---------------------------------------------------------------|----------------------|----------------------|---------------------|-----------------------|-----------------------|----------------------|-------------|-------------|-------------|-----------------|-------------|-------------|--------------------------------------|
| OPENING CASH BALANCE | \$ 86,513,214 | \$ 49,126,483 | \$ 42,662,065 | \$ 7,636,110 | \$ 165,822,096 | \$ 101,117,004 | | | | | | | \$ 86,513,214 |
| RECEIPTS: | | | | | | | | | | | | | |
| Transfers from General Fund (**) | - | - | - | 204,000,000 | - | - | | | | | | | 204,000,000 |
| Other | - | - | - | - | - | - | | | | | | | - |
| Total Receipts | - | - | - | 204,000,000 | - | - | - | - | - | - | - | - | 204,000,000 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| Affordable and Homeless Housing | - | - | 9,481 | 533,024 | - | 415,671 | | | | | | | 958,176 |
| Broadband Initiative | 1,735,855 | 1,420,080 | - | 6,989,621 | - | 47,334 | | | | | | | 10,192,890 |
| Downtown Revitalization | - | - | - | - | - | 250,000 | | | | | | | 250,000 |
| Empire State Poverty Reduction Initiatives | 2,457,343 | 88,175 | - | 1,071,138 | 565,275 | 2,517,997 | | | | | | | 6,699,928 |
| Health Care / Hospital Initiatives | 2,586,638 | 3,634,367 | 1,781,021 | 298,010 | 2,275,903 | 643,795 | | | | | | | 11,219,734 |
| Information Technology/Infrastructure for Behavioral Sciences | - | - | - | - | - | - | | | | | | | - |
| Infrastructure Improvements | - | - | 5,540,794 | 1,292,017 | 2,270,353 | 144,374 | | | | | | | 9,247,538 |
| Jacob Javits Center Expansion | - | - | - | - | 55,700,000 | - | | | | | | | 55,700,000 |
| Life Sciences Initiative | 2,500,000 | 1,500,000 | - | - | - | - | | | | | | | 4,000,000 |
| Municipal Restructuring / Consolidation Competition | 3,054,840 | (2,778,292) | - | 562,372 | - | 830,000 | | | | | | | 1,668,920 |
| Penn Station Access | - | - | - | - | - | - | | | | | | | - |
| Resiliency, Mitigation, Security and Emergency Response | - | - | - | - | (6,035) | - | | | | | | | (6,035) |
| Southern Tier / Hudson Valley Farm Initiative | - | - | - | 30,000 | 55,274 | (14,282) | | | | | | | 70,992 |
| Thruway Stabilization Program | - | - | 22,587,449 | 24,055,020 | 2,944,322 | 4,284,912 | | | | | | | 53,871,703 |
| Transformative Economic Development Projects | 10,440,876 | 79,325 | 4,746,161 | 282,274 | - | 1,478,166 | | | | | | | 17,026,602 |
| Transportation Capital Plan | - | - | - | - | - | - | | | | | | | - |
| Upstate Revitalization Program | 14,611,179 | 2,520,763 | 361,049 | 10,700,538 | 900,000 | - | | | | | | | 29,093,529 |
| Total Disbursements | 37,386,731 | 6,464,418 | 35,025,955 | 45,814,014 | 64,705,092 | 10,597,967 | - | - | - | - | - | - | 199,994,177 |
| OPERATING TRANSFERS: | | | | | | | | | | | | | |
| Transfers to General Fund | - | - | - | - | - | - | | | | | | | - |
| Total Operating Transfers | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total Disbursements and Transfers | 37,386,731 | 6,464,418 | 35,025,955 | 45,814,014 | 64,705,092 | 10,597,967 | - | - | - | - | - | - | 199,994,177 |
| CLOSING CASH BALANCE | \$ 49,126,483 | \$ 42,662,065 | \$ 7,636,110 | \$ 165,822,096 | \$ 101,117,004 | \$ 90,519,037 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 90,519,037 |

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

**STATE OF NEW YORK
MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾
FISCAL YEAR 2020-2021**

APPENDIX H

| | SEPTEMBER 2020 | | | 6 MONTHS ENDED SEPTEMBER 30 | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------|----------------------------|-----------------------------|--------------------------|-----------------------------|
| | Department of Health | Other State Agencies | September | Department of Health | Other State Agencies | Year to Date |
| Adult State Share Medicaid | \$ - | \$ 8,804,307.00 | \$ 8,804,307.00 | \$ - | \$ 41,821,523.00 | \$ 41,821,523.00 |
| State Share Medicaid | - | 1,282,320.00 | 1,282,320.00 | 47,867,305.00 | (4,852,558.22) | 43,014,746.78 |
| Medical Assistance (OPWDD) | - | 171,294,520.00 | 171,294,520.00 | - | 171,294,520.00 | 171,294,520.00 |
| Medical Assistance Administration | 16,507,124.04 | 133,646,971.00 | 150,154,095.04 | 26,996,969.44 | 145,062,864.00 | 172,059,833.44 |
| Population Health Improvement | 234,484.20 | - | 234,484.20 | 1,208,244.99 | - | 1,208,244.99 |
| Traumatic Brain Injury Services | 1,691,532.89 | - | 1,691,532.89 | 5,478,425.41 | - | 5,478,425.41 |
| Nursing Home Transition & Diversion | - | - | - | - | - | - |
| Reducing Maternal Mortality | 282,224.92 | - | 282,224.92 | 623,203.42 | - | 623,203.42 |
| New York Connects | - | 1,438,706.51 | 1,438,706.51 | - | 4,914,664.20 | 4,914,664.20 |
| Facilitated Enrollment | 39,957.59 | - | 39,957.59 | 1,204,830.50 | - | 1,204,830.50 |
| Emergency Medical Transportation | - | - | - | 750,000.00 | - | 750,000.00 |
| Managed Long-Term Care Ombudsman | 373,729.52 | - | 373,729.52 | 2,851,067.25 | - | 2,851,067.25 |
| Major Academic Pool | - | - | - | - | - | - |
| Women's Health & Multiple Births | - | - | - | - | - | - |
| Vital Access Program (OASAS) | - | - | - | - | - | - |
| Vital Access Program (OMH) | - | - | - | - | - | - |
| Vital Access Provider Services | - | - | - | - | - | - |
| General Hospitals Safety-Net Providers | - | - | - | 35,239,490.00 | - | 35,239,490.00 |
| Rural Transportation | - | - | - | - | - | - |
| AIDS Epidemic | 2,388,754.45 | - | 2,388,754.45 | 3,626,698.50 | - | 3,626,698.50 |
| Fluoridation Systems | - | - | - | 750,709.02 | - | 750,709.02 |
| Expanding Caregiver Support Services | 2,736,032.31 | - | 2,736,032.31 | 13,636,413.83 | - | 13,636,413.83 |
| Provide Affordable Housing | 2,521,009.53 | 1,272,971.34 | 3,793,980.87 | 12,220,627.09 | 3,855,336.38 | 16,075,963.47 |
| Health Homes Establishment | - | - | - | 376,446.03 | - | 376,446.03 |
| Community Provider Network | - | - | - | (1,320,927.55) | - | (1,320,927.55) |
| Inpatient Services | 19,335,646.64 | - | 19,335,646.64 | 277,832,700.61 | - | 277,832,700.61 |
| Patient Centered Medical Homes | - | - | - | 46,974,452.85 | - | 46,974,452.85 |
| Outpatient & Emergency Room Services | 4,665,652.23 | - | 4,665,652.23 | 71,878,811.01 | - | 71,878,811.01 |
| Clinic Services | 18,926,290.11 | - | 18,926,290.11 | 81,752,944.61 | - | 81,752,944.61 |
| Nursing Home Services | 97,520,547.99 | - | 97,520,547.99 | 448,904,655.00 | - | 448,904,655.00 |
| Other Long Term Care Services | 505,074,115.35 | - | 505,074,115.35 | 3,786,071,363.41 | - | 3,786,071,363.41 |
| Managed Care Services | 449,766,819.55 | - | 449,766,819.55 | 2,503,290,821.98 | - | 2,503,290,821.98 |
| Pharmacy Services | 16,346,402.93 | - | 16,346,402.93 | 76,192,112.94 | - | 76,192,112.94 |
| Transportation Services | 13,642,026.79 | - | 13,642,026.79 | 50,462,519.99 | - | 50,462,519.99 |
| Dental Services | 412,797.62 | - | 412,797.62 | 1,287,780.71 | - | 1,287,780.71 |
| Non-Institutional & Other | 16,187,231.56 | 10,662,500.00 | 26,849,731.56 | 318,550,041.60 | 11,215,262.00 | 329,765,303.60 |
| Medical Services State Facilities | 135,546,029.46 | - | 135,546,029.46 | 693,904,779.83 | - | 693,904,779.83 |
| CSEA Family Health Plus Buy In | - | - | - | 128,310.97 | - | 128,310.97 |
| DC37 & Teamster Local 858 | - | - | - | - | - | - |
| Medical Assistance (HCRA) | 300,000,000.00 | - | 300,000,000.00 | 2,025,000,000.00 | - | 2,025,000,000.00 |
| Indigent Care | 152,703,490.61 | - | 152,703,490.61 | 414,222,055.74 | - | 414,222,055.74 |
| Provider Assessments | 68,702,000.00 | - | 68,702,000.00 | 366,247,000.00 | - | 366,247,000.00 |
| NYC Personal Care Workforce Recruitment and Retention Rates (HCRA) | - | - | - | - | - | - |
| Personal Care Workforce Recruitment and Retention Rates (HCRA) | - | - | - | - | - | - |
| Home Health Rate Increase (HCRA) | - | - | - | - | - | - |
| Additional DSH Payments SUNY | 170,950,773.20 | - | 170,950,773.20 | 170,950,773.20 | - | 170,950,773.20 |
| TOTAL⁽²⁾ | 1,996,554,673.49 | 328,402,295.85 | 2,324,956,969.34 | 11,485,160,627.38 | 373,311,611.36 | 11,858,472,238.74 |
| Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers. | (189,460,800.18) | - | (189,460,800.18) | (809,689,015.61) | - | (809,689,015.61) |
| TOTAL REPORTED MEDICAID | \$ 1,807,093,873.31 | \$ 328,402,295.85 | \$ 2,135,496,169.16 | \$ 10,675,471,611.77 | \$ 373,311,611.36 | \$ 11,048,783,223.13 |

⁽¹⁾ General Fund and State Special Revenue Funds only.
These amounts do not include Medical Assistance spending for State Operations.
These amounts are not comparable to Medicaid Global Cap spending.
Department of Health regularly reclassifies spending between programs,
and therefore amounts for any individual program may be restated by DOH.

⁽²⁾Source: Statewide Financial System

STATE OF NEW YORK

APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS⁽¹⁾

FISCAL YEAR 2020-2021

| | SEPTEMBER 2020 | | | 6 MONTHS ENDED SEPTEMBER 30 | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------|----------------------------|-----------------------------|--------------------------|-----------------------------|
| | Department of Health | Other State Agencies | September | Department of Health | Other State Agencies | Year to Date |
| Medical Assistance & Survey Certification Program | \$ 20,992,294.03 | \$ - | \$ 20,992,294.03 | \$ 67,929,671.04 | \$ - | \$ 67,929,671.04 |
| Medical Assistance Administration | 98,649.00 | 140,616,878.00 | 140,715,527.00 | 17,751,867.50 | 140,749,652.00 | 158,501,519.50 |
| Partnership Plan | 704,381,503.57 | - | 704,381,503.57 | 733,712,458.80 | - | 733,712,458.80 |
| Inpatient Services | 450,731,313.32 | - | 450,731,313.32 | 2,061,157,583.82 | - | 2,061,157,583.82 |
| Outpatient & Emergency Room Services | 37,070,776.95 | - | 37,070,776.95 | 371,596,095.52 | - | 371,596,095.52 |
| Clinic Services | 61,623,935.86 | - | 61,623,935.86 | 311,510,073.82 | - | 311,510,073.82 |
| Nursing Home Services | 135,035,317.56 | - | 135,035,317.56 | 685,261,795.69 | - | 685,261,795.69 |
| Other Long Term Care Services | 1,721,523,964.20 | - | 1,721,523,964.20 | 6,871,600,184.66 | - | 6,871,600,184.66 |
| Managed Care Services | 1,505,689,005.83 | - | 1,505,689,005.83 | 10,787,655,140.65 | - | 10,787,655,140.65 |
| Pharmacy Services | 37,184,636.13 | - | 37,184,636.13 | 210,709,713.94 | - | 210,709,713.94 |
| Transportation Services | 47,909,205.90 | - | 47,909,205.90 | 236,454,239.26 | - | 236,454,239.26 |
| Dental Services | 1,185,731.14 | - | 1,185,731.14 | 4,816,581.20 | - | 4,816,581.20 |
| Non-Institutional & Other | (30,682,658.75) | - | (30,682,658.75) | 101,691,884.20 | - | 101,691,884.20 |
| Medical Services State Facilities | - | - | - | 451,796,474.84 | - | 451,796,474.84 |
| Additional DSH Payments SUNY | 219,347,795.80 | - | 219,347,795.80 | 219,347,795.80 | - | 219,347,795.80 |
| TOTAL⁽²⁾ | 4,912,091,470.54 | 140,616,878.00 | 5,052,708,348.54 | 23,132,991,560.74 | 140,749,652.00 | 23,273,741,212.74 |
| Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end. | (183,594,169.06) | - | (183,594,169.06) | (75,155,813.62) | - | (75,155,813.62) |
| TOTAL REPORTED MEDICAID⁽³⁾ | \$ 4,728,497,301.48 | \$ 140,616,878.00 | \$ 4,869,114,179.48 | \$ 23,057,835,747.12 | \$ 140,749,652.00 | \$ 23,198,585,399.12 |

⁽¹⁾ Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

⁽²⁾ Source: Statewide Financial System⁽³⁾ Reported Medicaid spending does not include the Basic Health Plan.