



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

SEPTMBER 2021

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
September 30, 2021

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**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**
(amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax	\$ 3,224.9	\$ 17,897.6	\$ -	\$ -	\$ 3,224.9	\$ 17,897.6	\$ -	\$ -	\$ 6,449.8	\$ 35,795.2	\$ 5,271.4	\$ 26,859.0	\$ 8,936.2	33.3%
Consumption/Use Taxes	460.0	2,353.2	191.9	1,024.6	1,222.7	6,120.1	74.5	322.8	1,949.1	9,820.7	1,744.1	7,725.2	2,095.5	27.1%
Business Taxes	1,707.9	4,424.2	358.9	1,163.3	-	-	44.9	297.3	2,111.7	5,884.8	1,612.4	4,025.3	1,859.5	46.2%
Other Taxes	110.8	667.6	-	-	133.4	728.6	12.0	47.7	256.2	1,443.9	174.4	943.3	500.6	53.1%
Miscellaneous Receipts	256.5	976.6	1,881.9	9,033.4	43.1	222.1	436.7	1,262.6	2,618.2	11,494.7	2,444.4	16,608.5	(5,113.8)	-30.8%
Federal Receipts	(0.3)	-	7,398.1	51,678.7	-	30.2	139.9	591.5	7,537.7	52,300.4	10,136.1	42,740.8	9,559.6	22.4%
Total Receipts	5,759.8	26,319.2	9,830.8	62,900.0	4,624.1	24,998.6	708.0	2,521.9	20,922.7	116,739.7	21,382.8	98,902.1	17,837.6	18.0%
DISBURSEMENTS:														
Local Assistance Grants:														
Education	1,656.9	11,143.6	2,704.5	5,720.4	-	-	8.3	115.7	4,369.7	16,979.7	4,157.3	15,273.8	1,705.9	11.2%
Environment and Recreation	-	5.9	0.2	2.4	-	-	15.6	115.0	15.8	123.3	15.6	71.3	52.0	72.9%
General Government	109.4	608.9	26.0	593.9	-	-	59.9	205.2	195.3	1,408.0	3,937.2	4,723.5	(3,315.5)	-70.2%
Public Health:														
Medicaid	1,322.6	9,783.7	4,182.8	25,041.1	-	-	-	-	5,505.4	34,824.8	7,004.6	34,247.4	577.4	1.7%
Other Public Health	317.5	1,138.3	864.3	4,359.9	-	-	36.8	269.2	1,218.6	5,767.4	1,078.5	5,030.3	737.1	14.7%
Public Safety	19.4	79.7	205.2	889.0	-	-	0.7	38.8	225.3	1,007.5	151.0	809.6	197.9	24.4%
Public Welfare	943.2	2,032.6	1,389.6	3,568.6	-	-	39.4	315.1	2,372.2	5,916.3	1,487.5	3,520.6	2,395.7	68.0%
Support and Regulate Business	13.1	272.5	14.9	28.3	-	-	104.8	400.2	132.8	701.0	22.3	232.6	468.4	201.4%
Transportation	0.1	72.4	277.5	1,896.2	-	-	346.2	1,315.2	623.8	3,283.8	618.1	2,206.7	1,077.1	48.8%
Total Local Assistance Grants	4,382.2	25,137.6	9,665.0	42,099.8	-	-	611.7	2,774.4	14,658.9	70,011.8	18,472.1	66,115.8	3,896.0	5.9%
Departmental Operations:														
Personal Service	820.2	4,172.0	666.1	3,272.3	-	-	-	-	1,486.3	7,444.3	1,527.0	7,681.5	(237.2)	-3.1%
Non-Personal Service	248.1	1,161.8	440.3	2,705.4	2.2	0.8	-	-	690.6	3,868.0	756.7	3,445.2	422.8	12.3%
General State Charges	611.9	4,912.7	120.0	861.3	-	-	-	-	731.9	5,774.0	616.1	5,053.7	720.3	14.3%
Debt Service, Including Payments on Financing Agreements	-	-	-	42.3	742.2	1,198.2	-	-	742.2	1,240.5	841.8	1,279.0	(38.5)	-3.0%
Capital Projects	-	-	-	-	-	-	710.1	3,507.6	710.1	3,507.6	706.7	3,613.4	(105.8)	-2.9%
Total Disbursements	6,062.4	35,384.1	10,891.4	48,981.1	744.4	1,199.0	1,321.8	6,282.0	19,020.0	91,846.2	22,920.4	87,188.6	4,657.6	5.3%
Excess (Deficiency) of Receipts over Disbursements	(302.6)	(9,064.9)	(1,060.6)	13,918.9	3,879.7	23,799.6	(613.8)	(3,760.1)	1,902.7	24,893.5	(1,537.6)	11,713.5	13,180.0	112.5%
OTHER FINANCING SOURCES (USES):														
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	5,073.4	24,799.4	168.2	1,788.5	94.6	767.4	434.1	2,983.7	5,770.3	30,339.0	3,319.7	18,167.0	12,172.0	67.0%
Transfers to Other Funds	(606.4)	(4,941.6)	(185.0)	(754.1)	(4,772.2)	(24,447.8)	(211.4)	(269.3)	(5,775.0)	(30,412.8)	(3,438.8)	(18,364.8)	12,048.0	65.6%
Total Other Financing Sources (Uses)	4,467.0	19,857.8	(16.8)	1,034.4	(4,677.6)	(23,680.4)	222.7	2,714.4	(4.7)	(73.8)	(119.1)	(197.8)	124.0	62.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,164.4	10,792.9	(1,077.4)	14,953.3	(797.9)	119.2	(391.1)	(1,045.7)	1,898.0	24,819.7	(1,656.7)	11,515.7	13,304.0	115.5%
Beginning Fund Balances (Deficits)	15,789.3	9,160.8	26,700.0	10,669.3	982.1	65.0	(1,798.6)	(1,144.0)	41,672.8	18,751.1	27,457.2	14,284.8	4,466.3	31.3%
Ending Fund Balances (Deficits)	\$ 19,953.7	\$ 19,953.7	\$ 25,622.6	\$ 25,622.6	\$ 184.2	\$ 184.2	\$ (2,189.7)	\$ (2,189.7)	\$ 43,570.8	\$ 43,570.8	\$ 25,800.5	\$ 25,800.5	\$ 17,770.3	68.9%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:												
Personal Income Tax	\$ 3,224.9	\$ 17,897.6	\$ -	\$ -	\$ 3,224.9	\$ 17,897.6	\$ 6,449.8	\$ 35,795.2	\$ 5,271.4	\$ 26,859.0	\$ 8,936.2	33.3%
Consumption/Use Taxes	460.0	2,353.2	191.9	1,024.6	1,222.7	6,120.1	1,874.6	9,497.9	1,683.1	7,468.0	2,029.9	27.2%
Business Taxes	1,707.9	4,424.2	358.9	1,163.3	-	-	2,066.8	5,587.5	1,555.0	3,759.4	1,828.1	48.6%
Other Taxes	110.8	667.6	-	-	133.4	728.6	244.2	1,396.2	162.5	895.7	500.5	55.9%
Miscellaneous Receipts	256.5	976.6	1,873.5	8,925.4	43.1	222.1	2,173.1	10,124.1	1,955.2	13,842.9	(3,718.8)	-26.9%
Federal Receipts	(0.3)	-	-	0.1	-	30.2	(0.3)	30.3	49.9	52.4	(22.1)	-42.2%
Total Receipts	5,759.8	26,319.2	2,424.3	11,113.4	4,624.1	24,998.6	12,808.2	62,431.2	10,677.1	52,877.4	9,553.8	18.1%
DISBURSEMENTS:												
Local Assistance Grants:												
Education	1,656.9	11,143.6	2,353.3	2,679.5	-	-	4,010.2	13,823.1	3,861.8	13,510.1	313.0	2.3%
Environment and Recreation	-	5.9	0.2	1.8	-	-	0.2	7.7	0.4	0.9	6.8	755.6%
General Government	109.4	608.9	9.9	85.5	-	-	119.3	694.4	62.5	637.2	57.2	9.0%
Public Health:												
Medicaid	1,322.6	9,783.7	472.2	2,647.0	-	-	1,794.8	12,430.7	2,135.5	11,048.8	1,381.9	12.5%
Other Public Health	317.5	1,138.3	118.0	576.0	-	-	435.5	1,714.3	438.1	1,515.7	198.6	13.1%
Public Safety	19.4	79.7	21.2	125.1	-	-	40.6	204.8	34.6	117.4	87.4	74.4%
Public Welfare	943.2	2,032.6	0.2	1.3	-	-	943.4	2,033.9	426.8	1,358.6	675.3	49.7%
Support and Regulate Business	13.1	272.5	14.8	22.2	-	-	27.9	294.7	10.9	48.0	246.7	514.0%
Transportation	0.1	72.4	271.8	1,871.4	-	-	271.9	1,943.8	243.1	1,449.2	494.6	34.1%
Total Local Assistance Grants	4,382.2	25,137.6	3,261.6	8,009.8	-	-	7,643.8	33,147.4	7,213.7	29,685.9	3,461.5	11.7%
Departmental Operations:												
Personal Service	820.2	4,172.0	595.4	2,525.4	-	-	1,415.6	6,697.4	1,443.0	7,082.3	(384.9)	-5.4%
Non-Personal Service	248.1	1,161.8	235.3	1,406.5	2.2	0.8	485.6	2,569.1	528.7	1,857.0	712.1	38.3%
General State Charges	611.9	4,912.7	88.9	472.7	-	-	700.8	5,385.4	592.6	4,789.5	595.9	12.4%
Debt Service, Including Payments on												
Financing Agreements	-	-	-	-	742.2	1,198.2	742.2	1,198.2	841.8	1,279.0	(80.8)	-6.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	6,062.4	35,384.1	4,181.2	12,414.4	744.4	1,199.0	10,988.0	48,997.5	10,619.8	44,693.7	4,303.8	9.6%
Excess (Deficiency) of Receipts over Disbursements	(302.6)	(9,064.9)	(1,756.9)	(1,301.0)	3,879.7	23,799.6	1,820.2	13,433.7	57.3	8,183.7	5,250.0	64.2%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds (2)	5,073.4	24,799.4	183.0	2,208.4	94.6	767.4	5,351.0	27,775.2	3,217.1	17,321.5	10,453.7	60.4%
Transfers to Other Funds (2)	(606.4)	(4,941.6)	(36.0)	(117.6)	(4,772.2)	(24,447.8)	(5,414.6)	(29,507.0)	(3,107.4)	(17,330.5)	12,176.5	70.3%
Total Other Financing Sources (Uses)	4,467.0	19,857.8	147.0	2,090.8	(4,677.6)	(23,680.4)	(63.6)	(1,731.8)	109.7	(9.0)	(1,722.8)	-19,142.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,164.4	10,792.9	(1,609.9)	789.8	(797.9)	119.2	1,756.6	11,701.9	167.0	8,174.7	3,527.2	43.1%
Beginning Fund Balances (Deficits) (3)	15,789.3	9,160.8	8,108.3	5,708.6	982.1	65.0	24,879.7	14,934.4	22,416.0	14,408.3	526.1	3.7%
Ending Fund Balances (Deficits)	\$ 19,953.7	\$ 19,953.7	\$ 6,498.4	\$ 6,498.4	\$ 184.2	\$ 184.2	\$ 26,636.3	\$ 26,636.3	\$ 22,583.0	\$ 22,583.0	\$ 4,053.3	17.9%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$337.0 million
Urban Development Corporation (Youth Facilities)	18.9
Housing Finance Agency (HFA)	682.0
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	448.3
Dormitory Authority and State University Income Fund	570.6
Federal Capital Projects	1,005.2
State bond and note proceeds	119.8

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$2,826.2 million
General Debt Service Fund	179.9
Banking Services Account	20.5
Building Administration Account	8.0
Business Services Center	30.0
Centralized Tech Services	11.5
Charter School Stimulus	4.8
Court Facilities Incentive Aid Fund	38.4
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	70.0
Dedicated Mass Transportation (Non MTA)	2.5
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Environmental Protection Fund	14.0
Health Insurance Revolving Fund	12.0
Mass Transportation Financial Assistance	146.6
Mass Transportation Operating Assistance Fund	29.7
New York Central Business District Trust Fund	75.8
New York City County Clerks' Operations Offset	2.1
Recruitment Incentive	2.6
Spinal Cord Injury Account	5.7
State Fair Receipts	7.0
State University Income Fund	1,185.4

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$3.4m), and the State University Income Fund (\$202.9m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2021 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$544.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, SUNY Capital Projects Fund (\$1.7m) and All Other Capital Projects (\$27.6m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Administration Adjudication Account	\$1.4 million
Clean Air	3.1
Encon Special Revenue	1.7
Federal Health and Human Services Fund	58.7
Federal USDA/Food and Nutrition	18.3
Fingerprint Identification Technology Account	9.4
Health Care Reform Act Resources Fund	6.8
HESC Insurance Premium Account	5.0
Miscellaneous State Special Revenue Fund	5.0
Nursing Home Receivership Account	1.0
Professional Medical Conduct Account	1.1
Public Service Account	2.1
Statewide Public Safety Communications	8.8
System and Technology Account	1.9
SUNY Income Fund	20.9
Unemployment Insurance Administration	13.9
Unemployment Insurance, Interest & Penalty	11.3
Workers' Compensation Board	6.4

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$17,005.7 million
Local Government Assistance Tax Fund	2,038.3
Sales Tax Revenue Bond Tax Fund	3,935.8
Clean Water/Clean Air Fund	707.0
Mental Health Services Fund	699.2

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$61.8m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$228.6m) and the General Debt Service Fund - Lease Purchase (\$40.7m).

3. Pursuant to FY 2022 Enacted Budget Legislation (Chapter 50), IFR/CUTRA (City University Tuition Reimbursement Account) Fund and CUNY Senior College Operating Fund have both been reclassified from Special Revenue State Funds and Agency Funds, respectively, to Enterprise Funds. As a result of this change, the beginning cash balances in the Special Revenue State Funds and the Agency Funds have been reduced by \$171.8m and \$10.6m, respectively, and the Enterprise Funds have been increased by \$182.4m

Additionally, the College Savings Account within the Miscellaneous State Special Revenue Funds was reclassified to the Private Purpose Trust Funds. As a result of this change, the beginning balance of the Special Revenue State Funds has been reduced by an additional \$25.7m and Private Purpose Trust Funds increased by \$25.7m.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 307.7	\$ 1,435.1	\$ 50.1	\$ 245.0	\$ 357.8	\$ 1,680.1	\$ 41.1	\$ 205.7	\$ 1,474.4	716.8%
Federal Receipts	1,186.4	23,694.1	-	-	1,186.4	23,694.1	2,491.7	33,873.9	(10,179.8)	-30.1%
Unemployment Taxes	239.4	1,827.8	-	-	239.4	1,827.8	1,396.4	11,925.9	(10,098.1)	-84.7%
Total Receipts	1,733.5	26,957.0	50.1	245.0	1,783.6	27,202.0	3,929.2	46,005.5	(18,803.5)	-40.9%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	131.0	798.4	14.3	61.3	145.3	859.7	16.3	80.9	778.8	962.7%
Non-Personal Service	91.7	243.6	47.3	216.2	139.0	459.8	39.6	236.9	222.9	94.1%
General State Charges	51.0	355.3	4.4	29.0	55.4	384.3	5.0	34.7	349.6	1,007.5%
Unemployment Benefits	1,421.4	25,625.6	-	-	1,421.4	25,625.6	3,888.9	45,791.2	(20,165.6)	-44.0%
Total Disbursements	1,695.1	27,022.9	66.0	306.5	1,761.1	27,329.4	3,949.8	46,143.7	(18,814.3)	-40.8%
Excess (Deficiency) of Receipts Over Disbursements	38.4	(65.9)	(15.9)	(61.5)	22.5	(127.4)	(20.6)	(138.2)	10.8	7.8%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	7.0	4.7	82.0	4.7	89.0	3.1	75.7	13.3	17.6%
Transfers to Other Funds	-	-	-	(4.5)	-	(4.5)	(0.1)	(0.3)	4.2	1,400.0%
Total Other Financing Sources (Uses)	-	7.0	4.7	77.5	4.7	84.5	3.0	75.4	9.1	12.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	38.4	(58.9)	(11.2)	16.0	27.2	(42.9)	(17.6)	(62.8)	19.9	31.7%
Beginning Fund Balances (Deficits)	230.7	328.0	(336.3)	(363.5)	(105.6)	(35.5)	(313.0)	(267.8)	232.3	86.7%
Ending Fund Balances (Deficits)	\$ 269.1	\$ 269.1	\$ (347.5)	\$ (347.5)	\$ (78.4)	\$ (78.4)	\$ (330.6)	\$ (330.6)	\$ 252.2	76.3%

STATE OF NEW YORK
 TRUST FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2021	6 MOS. ENDED SEP. 30, 2021	MONTH OF SEP. 2020	6 MOS. ENDED SEP. 30, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 14.4	\$ 66.9	\$ 0.6	\$ 2.7	\$ 15.0	\$ 69.6	\$ 14.3	\$ 62.6	\$ 7.0	11.2%
Total Receipts	14.4	66.9	0.6	2.7	15.0	69.6	14.3	62.6	7.0	11.2%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	8.3	37.2	0.1	0.2	8.4	37.4	8.3	38.8	(1.4)	-3.6%
Non-Personal Service	0.9	6.4	-	-	0.9	6.4	0.8	4.6	1.8	39.1%
General State Charges	3.5	23.3	-	0.1	3.5	23.4	3.5	22.5	0.9	4.0%
Total Disbursements	12.7	66.9	0.1	0.3	12.8	67.2	12.6	65.9	1.3	2.0%
Excess (Deficiency) of Receipts Over Disbursements	1.7	-	0.5	2.4	2.2	2.4	1.7	(3.3)	5.7	172.7%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.7	-	0.5	2.4	2.2	2.4	1.7	(3.3)	5.7	172.7%
Beginning Fund Balances (Deficits)	(1.7)	-	42.1	40.2	40.4	40.2	8.2	13.2	27.0	204.5%
Ending Fund Balances (Deficits)	\$ -	\$ -	\$ 42.6	\$ 42.6	\$ 42.6	\$ 42.6	\$ 9.9	\$ 9.9	\$ 32.7	330.3%

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2021
 (amounts in millions)

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 31,006.0	\$ 35,212.0	\$ 35,795.2	\$ 4,789.2	\$ 583.2
Consumption/Use	8,972.0	9,564.0	9,820.7	848.7	256.7
Business	4,547.0	4,980.0	5,884.8	1,337.8	904.8
Other	1,202.0	1,358.0	1,443.9	241.9	85.9
Miscellaneous Receipts	10,864.0	11,670.0	11,494.7	630.7	(175.3)
Federal Receipts	55,679.0	53,470.0	52,300.4	(3,378.6)	(1,169.6)
Total Receipts	112,270.0	116,254.0	116,739.7	4,469.7	485.7
DISBURSEMENTS:					
Local Assistance Grants	73,771.0	71,148.0	70,011.8	(3,759.2)	(1,136.2)
Departmental Operations	11,957.0	11,388.0	11,312.3	(644.7)	(75.7)
General State Charges	5,751.0	5,730.0	5,774.0	23.0	44.0
Debt Service	1,310.0	1,257.0	1,240.5	(69.5)	(16.5)
Capital Projects	4,148.0	3,796.0	3,507.6	(640.4)	(288.4)
Total Disbursements	96,937.0	93,319.0	91,846.2	(5,090.8)	(1,472.8)
Excess (Deficiency) of Receipts over Disbursements	15,333.0	22,935.0	24,893.5	9,560.5	1,958.5
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	26,611.0	29,912.0	30,339.0	3,728.0	427.0
Transfers to Other Funds	(26,687.0)	(29,987.0)	(30,412.8)	3,725.8	425.8
Total Other Financing Sources (Uses)	(76.0)	(75.0)	(73.8)	2.2	1.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	15,257.0	22,860.0	24,819.7	9,562.7	1,959.7
Fund Balances (Deficits) at April 1	18,751.0	18,751.0	18,751.1	0.1	0.1
Fund Balances (Deficits) at September 30, 2021	\$ 34,008.0	\$ 41,611.0	\$ 43,570.8	\$ 9,562.8	\$ 1,959.8

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 First Quarter Update dated September 15, 2021.

**STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2021-2022
FOR SIX MONTHS ENDED SEPTEMBER 30, 2021
(amounts in millions)**

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 31,006.0	\$ 35,212.0	\$ 35,795.2	\$ 4,789.2	\$ 583.2
Consumption/Use	8,666.0	9,249.0	9,497.9	831.9	248.9
Business	4,244.0	4,667.0	5,587.5	1,343.5	920.5
Other	1,154.0	1,310.0	1,396.2	242.2	86.2
Miscellaneous Receipts	9,104.0	9,909.0	10,124.1	1,020.1	215.1
Federal Receipts	206.0	88.0	30.3	(175.7)	(57.7)
Total Receipts	54,380.0	60,435.0	62,431.2	8,051.2	1,996.2
DISBURSEMENTS:					
Local Assistance Grants	35,279.0	33,758.0	33,147.4	(2,131.6)	(610.6)
Departmental Operations	9,608.0	9,309.0	9,266.5	(341.5)	(42.5)
General State Charges	5,495.0	5,335.0	5,385.4	(109.6)	50.4
Debt Service	1,268.0	1,215.0	1,198.2	(69.8)	(16.8)
Capital Projects	-	-	-	-	-
Total Disbursements	51,650.0	49,617.0	48,997.5	(2,652.5)	(619.5)
Excess (Deficiency) of Receipts over Disbursements	2,730.0	10,818.0	13,433.7	10,703.7	2,615.7
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	24,330.0	27,208.0	27,775.2 (****)	3,445.2	567.2
Transfers to Other Funds	(25,409.0)	(28,632.0)	(29,507.0) (****)	4,098.0	875.0
Total Other Financing Sources (Uses)	(1,079.0)	(1,424.0)	(1,731.8)	(652.8)	(307.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,651.0	9,394.0	11,701.9	10,050.9	2,307.9
Fund Balances (Deficits) at April 1	14,934.0	14,934.0	14,934.4	0.4	0.4
Fund Balances (Deficits) at September 30, 2021	\$ 16,585.0	\$ 24,328.0	\$ 26,636.3	\$ 10,051.3	\$ 2,308.3

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 First Quarter Update dated September 15, 2021.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2021
 (amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 15,503.0	\$ 17,606.0	\$ 17,897.6	\$ 2,394.6	\$ 291.6
Consumption/Use	2,169.0	2,303.0	2,353.2	184.2	50.2
Business	3,268.0	3,629.0	4,424.2	1,156.2	795.2
Other	636.0	658.0	667.6	31.6	9.6
Miscellaneous Receipts	766.0	827.0	976.6	210.6	149.6
Federal Receipts	-	-	-	-	-
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	14,535.0	16,668.0	17,005.7	2,470.7	337.7
Sales Tax in excess of LGAC / STRBF Debt Service	5,402.0	5,843.0	5,974.1	572.1	131.1
Real Estate Taxes in excess of CW/CA Debt Service	488.0	631.0	707.0	219.0	76.0
All Other	1,279.0	1,184.0	1,112.6	(166.4)	(71.4)
Total Receipts and Other Financing Sources	44,046.0	49,349.0	51,118.6	7,072.6	1,769.6
DISBURSEMENTS:					
Local Assistance Grants	27,026.0	25,627.0	25,137.6	(1,888.4)	(489.4)
Departmental Operations	5,780.0	5,422.0	5,333.8	(446.2)	(88.2)
General State Charges	5,037.0	4,849.0	4,912.7	(124.3)	63.7
Transfers To:					
Debt Service	199.0	174.0	179.9	(19.1)	5.9
Capital Projects	2,250.0	2,649.0	2,943.2	693.2	294.2
State Share Medicaid	-	-	206.3 (***)	206.3	206.3
SUNY Operations	959.0	1,070.0	1,185.4	226.4	115.4
Other Purposes	518.0	632.0	426.8	(91.2)	(205.2)
Total Disbursements and Other Financing Uses	41,769.0	40,423.0	40,325.7	(1,443.3)	(97.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,277.0	8,926.0	10,792.9	8,515.9	1,866.9
Fund Balances (Deficits) at April 1	9,161.0	9,161.0	9,160.8	(0.2)	(0.2)
Fund Balances (Deficits) at September 30, 2021	\$ 11,438.0	\$ 18,087.0	\$ 19,953.7	\$ 8,515.7	\$ 1,866.7

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 First Quarter Update dated September 15, 2021.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2021
 (amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	950.0	1,003.0	1,024.6	-	1,024.6	74.6	21.6
Business	976.0	1,038.0	1,163.3	-	1,163.3	187.3	125.3
Miscellaneous Receipts	8,247.0	8,993.0	9,033.4	-	9,033.4	786.4	40.4
Federal Receipts	54,618.0	52,729.0	51,678.7	-	51,678.7	(2,939.3)	(1,050.3)
Transfers from Other Funds (***)	1,682.0	2,087.0	2,208.4	(419.9)	1,788.5	106.5	(298.5)
Total Receipts and Other Financing Sources	66,473.0	65,850.0	65,108.4	(419.9)	64,688.5	(1,784.5)	(1,161.5)
DISBURSEMENTS:							
Local Assistance Grants	44,419.0	42,912.0	42,099.8	-	42,099.8	(2,319.2)	(812.2)
Departmental Operations	6,169.0	5,966.0	5,977.7	-	5,977.7	(191.3)	11.7
General State Charges	714.0	881.0	861.3	-	861.3	147.3	(19.7)
Debt Service	42.0	42.0	42.3	-	42.3	0.3	0.3
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds (***)	1,170.0	1,251.0	1,174.0	(419.9)	754.1	(415.9)	(496.9)
Total Disbursements and Other Financing Uses	52,514.0	51,052.0	50,155.1	(419.9)	49,735.2	(2,778.8)	(1,316.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	13,959.0	14,798.0	14,953.3	-	14,953.3	994.3	155.3
Fund Balances (Deficits) at April 1	10,669.0	10,669.0	10,669.3	-	10,669.3	0.3	0.3
Fund Balances (Deficits) at September 30, 2021	\$ 24,628.0	\$ 25,467.0	\$ 25,622.6	\$ -	\$ 25,622.6	\$ 994.6	\$ 155.6

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 First Quarter Update dated September 15, 2021.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2021
 (amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	950.0	1,003.0	1,024.6	74.6	21.6	-	-	-	-	-
Business	976.0	1,038.0	1,163.3	187.3	125.3	-	-	-	-	-
Miscellaneous Receipts	8,107.0	8,877.0	8,925.4	818.4	48.4	140.0	116.0	108.0	(32.0)	(8.0)
Federal Receipts	170.0	52.0	0.1	(169.9)	(51.9)	54,448.0	52,677.0	51,678.6	(2,769.4)	(998.4)
Transfers from Other Funds	1,682.0	2,087.0	2,208.4	526.4	121.4	-	-	-	-	-
Total Receipts and Other Financing Sources	11,885.0	13,057.0	13,321.8	1,436.8	264.8	54,588.0	52,793.0	51,786.6	(2,801.4)	(1,006.4)
DISBURSEMENTS:										
Local Assistance Grants	8,253.0	8,131.0	8,009.8	(243.2)	(121.2)	36,166.0	34,781.0	34,090.0	(2,076.0)	(691.0)
Departmental Operations	3,820.0	3,887.0	3,931.9	111.9	44.9	2,349.0	2,079.0	2,045.8	(303.2)	(33.2)
General State Charges	458.0	486.0	472.7	14.7	(13.3)	256.0	395.0	388.6	132.6	(6.4)
Debt Service	-	-	-	-	-	42.0	42.0	42.3	0.3	0.3
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	169.0	166.0	117.6	(51.4)	(48.4)	1,001.0	1,085.0	1,056.4	55.4	(28.6)
Total Disbursements and Other Financing Uses	12,700.0	12,670.0	12,532.0	(168.0)	(138.0)	39,814.0	38,382.0	37,623.1	(2,190.9)	(758.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(815.0)	387.0	789.8	1,604.8	402.8	14,774.0	14,411.0	14,163.5	(610.5)	(247.5)
Fund Balances (Deficits) at April 1	5,708.0	5,708.0	5,708.6	0.6	0.6	4,961.0	4,961.0	4,960.7	(0.3)	(0.3)
Fund Balances (Deficits) at September 30, 2021	\$ 4,893.0	\$ 6,095.0	\$ 6,498.4	\$ 1,605.4	\$ 403.4	\$ 19,735.0	\$ 19,372.0	\$ 19,124.2	\$ (610.8)	\$ (247.8)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
 (**) Source: 2021-22 First Quarter Update dated September 15, 2021.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2021
 (amounts in millions)

EXHIBIT D

DEBT SERVICE FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 15,503.0	\$ 17,606.0	\$ 17,897.6	\$ 2,394.6	\$ 291.6
Consumption/Use	5,547.0	5,943.0	6,120.1	573.1	177.1
Other	518.0	652.0	728.6	210.6	76.6
Miscellaneous Receipts	231.0	205.0	222.1	(8.9)	17.1
Federal Receipts	36.0	36.0	30.2	(5.8)	(5.8)
Transfers from Other Funds	944.0	795.0	767.4	(176.6)	(27.6)
Total Receipts and Other Financing Sources	22,779.0	25,237.0	25,766.0	2,987.0	529.0
DISBURSEMENTS:					
Departmental Operations	8.0	-	0.8	(7.2)	0.8
Debt Service	1,268.0	1,215.0	1,198.2	(69.8)	(16.8)
Transfers to Other Funds	21,314.0	23,941.0	24,447.8	3,133.8	506.8
Total Disbursements and Other Financing Uses	22,590.0	25,156.0	25,646.8	3,056.8	490.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	189.0	81.0	119.2	(69.8)	38.2
Fund Balances (Deficits) at April 1	65.0	65.0	65.0	-	-
Fund Balances (Deficits) at September 30, 2021	\$ 254.0	\$ 146.0	\$ 184.2	\$ (69.8)	\$ 38.2

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 First Quarter Update dated September 15, 2021.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2021
 (amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS							Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Enacted Financial Plan	Updated Financial Plan		
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 306.0	\$ 315.0	\$ 322.8	\$ -	\$ 322.8	\$ 16.8	\$ 7.8	
Business	303.0	313.0	297.3	-	297.3	(5.7)	(15.7)	
Other	48.0	48.0	47.7	-	47.7	(0.3)	(0.3)	
Miscellaneous Receipts	1,620.0	1,645.0	1,262.6	-	1,262.6	(357.4)	(382.4)	
Federal Receipts	1,025.0	705.0	591.5	-	591.5	(433.5)	(113.5)	
Bond and Note Proceeds, net	-	-	-	-	-	-	-	
Transfers from Other Funds	2,281.0	2,704.0	2,983.7	-	2,983.7	702.7	279.7	
Total Receipts and Other Financing Sources	5,583.0	5,730.0	5,505.6	-	5,505.6	(77.4)	(224.4)	
DISBURSEMENTS:								
Local Assistance Grants	2,326.0	2,609.0	2,774.4	-	2,774.4	448.4	165.4	
Capital Projects	4,148.0	3,796.0	3,507.6	-	3,507.6	(640.4)	(288.4)	
Transfers to Other Funds	277.0	270.0	269.3	-	269.3	(7.7)	(0.7)	
Total Disbursements and Other Financing Uses	6,751.0	6,675.0	6,551.3	-	6,551.3	(199.7)	(123.7)	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,168.0)	(945.0)	(1,045.7)	-	(1,045.7)	122.3	(100.7)	
Fund Balances (Deficits) at April 1	(1,144.0)	(1,144.0)	(1,144.0)	-	(1,144.0)	-	-	
Fund Balances (Deficits) at September 30, 2021	\$ (2,312.0)	\$ (2,089.0)	\$ (2,189.7)	\$ -	\$ (2,189.7)	\$ 122.3	\$ (100.7)	

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.

(**) Source: 2021-22 First Quarter Update dated September 15, 2021.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2021-2022
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2021
 (amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 306.0	\$ 315.0	\$ 322.8	\$ 16.8	\$ 7.8	\$ -	\$ -	\$ -	\$ -	\$ -
Business	303.0	313.0	297.3	(5.7)	(15.7)	-	-	-	-	-
Other	48.0	48.0	47.7	(0.3)	(0.3)	-	-	-	-	-
Miscellaneous Receipts	1,620.0	1,645.0	1,262.7	(357.3)	(382.3)	-	-	(0.1)	(0.1)	(0.1)
Federal Receipts	2.0	4.0	19.3	17.3	15.3	1,023.0	701.0	572.2	(450.8)	(128.8)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	2,267.0	2,691.0	2,983.7	716.7	292.7	14.0	13.0	-	(14.0)	(13.0)
Total Receipts and Other Financing Sources	4,546.0	5,016.0	4,933.5	387.5	(82.5)	1,037.0	714.0	572.1	(464.9)	(141.9)
DISBURSEMENTS:										
Local Assistance Grants	2,039.0	2,387.0	2,539.6	500.6	152.6	287.0	222.0	234.8	(52.2)	12.8
Capital Projects	3,396.0	3,034.0	2,745.7	(650.3)	(288.3)	752.0	762.0	761.9	9.9	(0.1)
Transfers to Other Funds	278.0	270.0	269.0	(9.0)	(1.0)	(1.0)	-	0.3	1.3	0.3
Total Disbursements and Other Financing Uses	5,713.0	5,691.0	5,554.3	(158.7)	(136.7)	1,038.0	984.0	997.0	(41.0)	13.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,167.0)	(675.0)	(620.8)	546.2	54.2	(1.0)	(270.0)	(424.9)	(423.9)	(154.9)
Fund Balances (Deficits) at April 1	(562.0)	(562.0)	(563.7)	(1.7)	(1.7)	(582.0)	(582.0)	(580.3)	1.7	1.7
Fund Balances (Deficits) at September 30, 2021	\$ (1,729.0)	\$ (1,237.0)	\$ (1,184.5)	\$ 544.5	\$ 52.5	\$ (583.0)	\$ (852.0)	\$ (1,005.2)	\$ (422.2)	\$ (153.2)

(*) Source: 2021-22 Enacted Financial Plan dated May 25, 2021.
 (**) Source: 2021-22 First Quarter Update dated September 15, 2021.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMPARATIVE SCHEDULE OF TAX RECEIPTS
 (amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	SEP. 2021	SEP. 30, 2021	SEP. 2021	SEP. 30, 2021	SEP. 2021	SEP. 30, 2021	SEP. 2021	SEP. 30, 2021	SEP. 2021	SEP. 30, 2021	SEP. 2020	SEP. 30, 2020		
PERSONAL INCOME TAX														
Withholding	\$ 3,634.8	\$ 21,343.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,634.8	\$ 21,343.5	\$ 3,147.2	\$ 18,636.0	\$ 2,707.5	14.5%
Estimated Payments	3,241.9	15,731.7	-	-	-	-	-	-	3,241.9	15,731.7	2,510.0	10,735.8	4,995.9	46.5%
Returns	77.5	3,439.9	-	-	-	-	-	-	77.5	3,439.9	83.4	2,642.5	797.4	30.2%
State/City Offsets	(28.1)	(568.3)	-	-	-	-	-	-	(28.1)	(568.3)	(71.7)	(454.8)	113.5	25.0%
Other (Assessments/LLC)	104.2	661.1	-	-	-	-	-	-	104.2	661.1	97.9	506.5	154.6	30.5%
Gross Receipts	7,030.3	40,607.9	-	-	-	-	-	-	7,030.3	40,607.9	5,766.8	32,066.0	8,541.9	26.6%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(3,224.9)	(17,897.6)	-	-	3,224.9	17,897.6	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(580.5)	(4,812.7)	-	-	-	-	-	-	(580.5)	(4,812.7)	(495.4)	(5,207.0)	(394.3)	-7.6%
Total	3,224.9	17,897.6	-	-	3,224.9	17,897.6	-	-	6,449.8	35,795.2	5,271.4	26,859.0	8,936.2	33.3%
CONSUMPTION/USE TAXES														
Sales and Use	408.0	2,037.3	109.0	570.5	1,222.7	6,120.1	-	-	1,739.7	8,727.9	1,540.2	6,689.2	2,038.7	30.5%
Auto Rental	-	-	7.7	12.6	-	-	26.3	45.9	34.0	58.5	14.5	31.3	27.2	86.9%
Cigarette/Tobacco Products	26.7	157.4	56.1	364.6	-	-	-	-	82.8	522.0	103.4	547.1	(25.1)	-4.6%
Medical Marihuana	-	-	1.0	6.7	-	-	-	-	1.0	6.7	0.7	3.9	2.8	71.8%
Motor Fuel	-	-	10.2	55.1	-	-	37.6	204.3	47.8	259.4	41.6	207.0	52.4	25.3%
Alcoholic Beverage	25.3	144.2	-	-	-	-	-	-	25.3	144.2	23.3	143.3	0.9	0.6%
Highway Use	-	-	0.1	0.3	-	-	10.6	72.6	10.7	72.9	12.7	68.3	4.6	6.7%
Vapor Excise	-	-	7.8	14.8	-	-	-	-	7.8	14.8	7.4	18.7	(3.9)	-20.9%
Opioid Excise	-	14.3	-	-	-	-	-	-	-	14.3	0.3	16.4	(2.1)	-12.8%
Total	460.0	2,353.2	191.9	1,024.6	1,222.7	6,120.1	74.5	322.8	1,949.1	9,820.7	1,744.1	7,725.2	2,095.5	27.1%
BUSINESS TAXES														
Corporation Franchise	1,216.4	3,317.7	260.7	788.4	-	-	-	-	1,477.1	4,106.1	1,000.7	2,270.8	1,835.3	80.8%
Corporation and Utilities	84.1	177.7	18.9	49.0	-	-	1.2	4.1	104.2	230.8	106.3	241.4	(10.6)	-4.4%
Insurance	403.5	921.1	50.1	95.9	-	-	-	-	453.6	1,017.0	391.7	881.7	135.3	15.3%
Bank	3.9	7.7	(4.6)	(0.8)	-	-	-	-	(0.7)	6.9	15.3	164.3	(157.4)	-95.8%
Petroleum Business	-	-	33.8	230.8	-	-	43.7	293.2	77.5	524.0	98.4	467.1	56.9	12.2%
Total	1,707.9	4,424.2	358.9	1,163.3	-	-	44.9	297.3	2,111.7	5,884.8	1,612.4	4,025.3	1,859.5	46.2%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	108.7	658.1	-	-	-	-	-	-	108.7	658.1	91.3	566.8	91.3	16.1%
Pari-Mutuel	1.7	8.4	-	-	-	-	-	-	1.7	8.4	0.7	4.8	3.6	75.0%
Real Estate Transfer	-	-	-	-	133.3	727.8	12.0	47.7	145.3	775.5	82.2	370.8	404.7	109.1%
Racing and Exhibitions	0.2	0.3	-	-	-	-	-	-	0.2	0.3	-	0.1	0.2	200.0%
Employer Compensation Expense Tax	0.2	0.8	-	-	0.1	0.8	-	-	0.3	1.6	0.2	0.8	0.8	100.0%
Total	110.8	667.6	-	-	133.4	728.6	12.0	47.7	256.2	1,443.9	174.4	943.3	500.6	53.1%
Total Tax Receipts	\$ 5,503.6	\$ 25,342.6	\$ 550.8	\$ 2,187.9	\$ 4,581.0	\$ 24,746.3	\$ 131.4	\$ 667.8	\$ 10,766.8	\$ 52,944.6	\$ 8,802.3	\$ 39,552.8	\$ 13,391.8	33.9%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)**

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 18,751.1	\$ 24,932.2	\$ 39,815.2	\$ 41,256.4	\$ 41,722.0	\$ 41,672.8							\$ 18,751.1	\$ 14,284.8	\$ 4,466.3	31.3%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,601.8	3,217.7	3,702.6	3,408.2	3,778.4	3,634.8							21,343.5	18,636.0	2,707.5	14.5%
Estimated Payments	3,342.2	6,128.7	2,740.7	147.1	131.1	3,241.9							15,731.7	10,735.8	4,995.9	46.5%
Returns	913.6	2,184.3	139.6	65.0	59.9	77.5							3,439.9	2,642.5	797.4	30.2%
State/City Offsets	(203.0)	(264.7)	(21.6)	(31.8)	(19.1)	(28.1)							(568.3)	(454.8)	113.5	25.0%
Other (Assessments/LLC)	154.1	87.2	111.3	91.9	112.4	104.2							661.1	506.5	154.6	30.5%
Gross Receipts	7,808.7	11,353.2	6,672.6	3,680.4	4,062.7	7,030.3	-	-	-	-	-	-	40,607.9	32,066.0	8,541.9	26.6%
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds Issued	(1,282.9)	(1,520.2)	(852.0)	(315.8)	(261.3)	(580.5)							(4,812.7)	(5,207.0)	(394.3)	-7.6%
Total Personal Income Tax	6,525.8	9,833.0	5,820.6	3,364.6	3,801.4	6,449.8	-	-	-	-	-	-	35,795.2	26,859.0	8,936.2	33.3%
Consumption/Use Taxes:																
Sales and Use	1,297.4	1,261.4	1,711.7	1,382.9	1,334.8	1,739.7							8,727.9	6,689.2	2,038.7	30.5%
Auto Rental	1.9	-	22.6	0.1	(0.1)	34.0							58.5	31.3	27.2	86.9%
Cigarette/Tobacco Products	98.3	76.6	90.6	85.4	88.3	82.8							522.0	547.1	(25.1)	-4.6%
Medical Marijuana	1.5	1.1	1.1	0.9	1.1	1.0							6.7	3.9	2.8	71.8%
Motor Fuel	34.1	40.3	46.6	44.5	46.1	47.8							259.4	207.0	52.4	25.3%
Alcoholic Beverage	23.0	21.8	21.7	29.7	22.7	25.3							144.2	143.3	0.9	0.6%
Highway Use	14.6	10.5	11.6	12.2	13.3	10.7							72.9	68.3	4.6	6.7%
Vapor Excise	0.2	-	6.6	0.2	-	7.8							14.8	18.7	(3.9)	-20.9%
Opioid Excise	6.7	0.1	-	7.5	-	-							14.3	16.4	(2.1)	-12.8%
Total Consumption/Use Taxes	1,477.7	1,411.8	1,912.5	1,563.4	1,506.2	1,949.1	-	-	-	-	-	-	9,820.7	7,725.2	2,095.5	27.1%
Business Taxes:																
Corporation Franchise	768.4	106.0	1,452.1	274.0	28.5	1,477.1							4,106.1	2,270.8	1,835.3	80.8%
Corporation and Utilities	51.0	1.1	83.2	(7.7)	(1.0)	104.2							230.8	241.4	(10.6)	-4.4%
Insurance	64.2	57.3	373.2	15.9	52.8	453.6							1,017.0	881.7	135.3	15.3%
Bank	17.3	(20.1)	10.2	0.2	-	(0.7)							6.9	164.3	(157.4)	-95.8%
Petroleum Business	68.9	90.2	92.6	99.0	95.8	77.5							524.0	467.1	56.9	12.2%
Total Business Taxes	969.8	234.5	2,011.3	381.4	176.1	2,111.7	-	-	-	-	-	-	5,864.8	4,025.3	1,859.5	46.2%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	119.8	117.0	108.9	103.1	100.6	108.7							658.1	566.8	91.3	16.1%
Pari-Mutuel	1.3	1.1	1.1	1.1	2.1	1.7							8.4	4.8	3.6	75.0%
Real Estate Transfer	97.4	110.0	127.0	143.9	151.9	145.3							775.5	370.8	404.7	109.1%
Racing and Exhibitions	-	-	-	-	0.1	0.2							0.3	0.1	0.2	200.0%
Employer Compensation Expense Tax	0.2	0.2	0.2	0.4	0.3	0.3							1.6	0.8	0.8	100.0%
Total Other Taxes	216.7	228.3	237.2	248.5	255.0	256.2	-	-	-	-	-	-	1,443.9	943.3	500.6	53.1%
Total Taxes	9,192.0	11,707.6	9,981.6	5,557.9	5,738.7	10,766.8	-	-	-	-	-	-	52,944.6	39,552.8	13,391.8	33.9%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.3	1.0	0.8	0.9	10.9	101.2							116.1	115.9	0.2	0.2%
Bottle Bill	1.0	0.3	31.1	2.0	0.2	34.9							69.5	83.3	(13.8)	-16.6%
Assessments:																
Business	79.2	48.3	67.4	101.9	37.2	35.8							369.8	408.4	(38.6)	-9.5%
Medical Care	484.5	533.9	544.9	526.3	536.1	530.4							3,156.1	2,970.3	185.8	6.3%
Public Utilities	1.5	-	0.7	-	0.1	44.4							46.7	50.7	(4.0)	-7.9%
Other	-	0.1	-	0.1	0.1	0.1							0.4	0.1	0.3	300.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.3	5.5	7.0	6.2	6.2	5.0							35.2	23.1	12.1	52.4%
Audit Fees	-	-	-	0.7	1.5	0.1							2.3	1.7	0.6	35.3%
Business/Professional:	53.9	49.1	127.1	52.2	65.4	131.7							479.4	496.4	(17.0)	-3.4%
Civil	25.9	28.2	19.9	18.9	26.0	23.4							142.3	95.2	47.1	49.5%
Criminal	1.0	0.3	0.9	0.3	0.5	0.3							3.3	3.0	0.3	10.0%
Motor Vehicle	129.0	112.5	139.8	103.6	120.6	131.1							736.6	590.9	145.7	24.7%
Recreational/Consumer	68.4	53.3	87.5	58.3	93.0	155.3							515.8	312.1	203.7	65.3%
Fines, Penalties and Forfeitures	50.4	42.6	52.2	11.9	26.4	27.9							211.4	577.4	(366.0)	-63.4%
Gaming:																
Casino	34.2	14.2	17.1	35.7	15.2	19.3							135.7	28.8	106.9	371.2%
Lottery	199.5	213.5	238.9	181.9	186.8	240.9							1,261.5	1,070.3	191.2	17.9%
Video Lottery	75.9	77.9	101.9	82.4	81.5	99.2							518.8	34.0	484.8	1,425.9%
Interest Earnings	5.6	4.4	4.3	4.5	4.7	4.2							27.7	78.4	(50.7)	-64.7%
Receipts from Municipalities	6.7	2.2	4.9	3.3	1.5	6.9							25.5	26.2	(0.7)	-2.7%
Receipts from Public Authorities:																
Bond Proceeds	78.4	0.5	3.0	237.0	27.6	328.1							674.6	6,670.6	(5,996.0)	-89.9%
Cost Recovery Assessments	-	-	-	5.9	-	-							5.9	-	5.9	100.0%
Issuance Fees	2.7	2.3	1.7	22.0	0.6	-							29.3	53.9	(24.6)	-45.6%
Non Bond Related	0.7	2.5	0.8	4.6	0.3	4.3							13.2	16.5	(3.3)	-20.0%
Rentals	45.3	2.8	10.5	3.8	17.7	86.5							166.6	3.4	163.2	4,800.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)**

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Revenues of State Departments:																
Administrative Recoveries	45.8	9.2	36.0	8.6	9.1	23.5							132.2	102.8	29.4	28.6%
Commissions	0.9	0.8	0.2	0.6	0.4	1.3							4.2	2.0	2.2	110.0%
Commissions - Asset Conversion	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	2.5	2.1	3.4	0.7	0.5	2.9							12.1	35.4	(23.3)	-65.8%
Indirect Cost Recoveries	4.9	5.7	6.3	5.0	6.0	20.3							48.2	36.0	12.2	33.9%
Patient/Client Care Reimbursement	295.8	223.6	214.5	293.3	205.9	251.4							1,484.5	1,778.2	(293.7)	-16.5%
Rebates	11.3	9.5	13.8	12.0	10.2	14.5							71.3	79.4	(8.1)	-10.2%
Restitution and Settlements	1.5	11.1	9.0	6.5	2.8	8.0							38.9	56.5	(17.6)	-31.2%
Student Loans	2.4	5.6	1.3	1.9	1.4	1.2							13.8	27.6	(13.8)	-50.0%
All Other	87.3	63.6	71.8	71.4	82.9	37.7							414.7	209.1	205.6	98.3%
Sales	2.4	0.5	1.7	1.3	1.0	3.1							10.0	8.0	2.0	25.0%
Tuition	(75.7)	41.6	51.3	56.6	204.0	243.3							521.1	562.9	(41.8)	-7.4%
Total Miscellaneous Receipts	1,729.5	1,568.7	1,870.7	1,923.3	1,784.3	2,618.2	-	-	-	-	-	-	11,494.7	16,608.5	(5,113.8)	-30.8%
Federal Receipts	7,164.2	18,246.2	7,485.1	5,880.5	5,986.7	7,537.7	-	-	-	-	-	-	52,300.4	42,740.8	9,559.6	22.4%
Total Receipts	18,085.7	31,522.5	19,337.4	13,361.7	13,509.7	20,922.7	-	-	-	-	-	-	116,739.7	98,902.1	17,837.6	18.0%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	810.6	4,402.4	4,076.2	1,902.5	1,418.3	4,369.7							16,979.7	15,273.8	1,705.9	11.2%
Environment and Recreation	29.4	9.6	19.8	16.0	32.7	15.8							123.3	71.3	52.0	72.9%
General Government	36.9	130.2	504.4	440.4	100.8	195.3							1,408.0	4,723.5	(3,315.5)	-70.2%
Public Health:																
Medicaid	6,499.1	5,695.1	6,549.9	4,699.1	5,876.2	5,505.4							34,824.8	34,247.4	577.4	1.7%
Other Public Health	651.9	688.7	1,395.4	906.1	1,218.6	1,218.6							5,767.4	5,030.3	737.1	14.7%
Public Safety	128.1	139.4	196.1	220.6	98.0	225.3							1,007.5	809.6	197.9	24.4%
Public Welfare	230.2	356.7	905.0	1,005.5	1,046.7	2,372.2							5,916.3	3,520.6	2,395.7	68.0%
Support and Regulate Business	26.7	63.5	359.4	68.4	50.2	132.8							701.0	232.6	468.4	201.4%
Transportation	392.6	471.3	571.7	570.3	654.1	623.8							3,283.8	2,206.7	1,077.1	48.8%
Total Local Assistance Grants	8,805.5	11,956.9	14,577.9	9,828.9	10,183.7	14,658.9	-	-	-	-	-	-	70,011.8	66,115.8	3,896.0	5.9%
Departmental Operations:																
Personal Service	1,158.2	1,182.3	1,167.5	1,336.7	1,113.3	1,486.3							7,444.3	7,681.5	(237.2)	-3.1%
Non-Personal Service	519.4	576.0	839.1	516.0	726.9	690.6							3,868.0	3,445.2	422.8	12.3%
General State Charges	895.5	2,367.0	625.3	645.8	508.5	731.9							5,774.0	5,053.7	720.3	14.3%
Debt Service, Including Payments on																
Financing Agreements	122.4	40.5	20.5	7.2	307.7	742.2							1,240.5	1,279.0	(38.5)	-3.0%
Capital Projects	398.2	514.1	631.4	554.2	699.6	710.1							3,507.6	3,613.4	(105.8)	-2.9%
Total Disbursements	11,899.2	16,636.8	17,861.7	12,888.8	13,539.7	19,020.0	-	-	-	-	-	-	91,846.2	87,188.6	4,657.6	5.3%
Excess (Deficiency) of Receipts over Disbursements	6,186.5	14,885.7	1,475.7	472.9	(30.0)	1,902.7	-	-	-	-	-	-	24,893.5	11,713.5	13,180.0	112.5%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%
Transfers from Other Funds	5,344.8	6,200.6	6,454.2	3,531.7	3,037.4	5,770.3							30,339.0	18,167.0	12,172.0	67.0%
Transfers to Other Funds	(5,350.2)	(6,203.3)	(6,488.7)	(3,539.0)	(3,056.6)	(5,775.0)							(30,412.8)	(18,364.8)	12,048.0	65.6%
Total Other Financing Sources (Uses)	(5.4)	(2.7)	(34.5)	(7.3)	(19.2)	(4.7)	-	-	-	-	-	-	(73.8)	(197.8)	124.0	62.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,181.1	14,883.0	1,441.2	465.6	(49.2)	1,898.0	-	-	-	-	-	-	24,819.7	11,515.7	13,304.0	115.5%
Ending Fund Balance	\$ 24,932.2	\$ 39,815.2	\$ 41,256.4	\$ 41,722.0	\$ 41,672.8	\$ 43,570.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 43,570.8	\$ 25,800.5	\$ 17,770.3	68.9%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2021-2022
(amounts in millions)**

												6 Months Ended September 30				
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 14,934.4	\$ 18,753.4	\$ 20,954.3	\$ 23,094.9	\$ 24,196.6	\$ 24,879.7							\$ 14,934.4	\$ 14,408.3	\$ 526.1	3.7%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,601.8	3,217.7	3,702.6	3,408.2	3,778.4	3,634.8							21,343.5	18,636.0	2,707.5	14.5%
Estimated Payments	3,342.2	6,128.7	2,740.7	147.1	131.1	3,241.9							15,731.7	10,735.8	4,995.9	46.5%
Returns	913.6	2,184.3	139.6	65.0	59.9	77.5							3,439.9	2,642.5	797.4	30.2%
State/City Offsets	(203.0)	(264.7)	(21.6)	(31.8)	(19.1)	(28.1)							(568.3)	(454.8)	113.5	25.0%
Other (Assessments/LLC)	154.1	87.2	111.3	91.9	112.4	104.2							661.1	506.5	154.6	30.5%
Gross Receipts	7,808.7	11,353.2	6,672.6	3,680.4	4,062.7	7,030.3							40,607.9	32,066.0	8,541.9	26.6%
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds Issued	(1,282.9)	(1,520.2)	(852.0)	(315.8)	(261.3)	(580.5)							(4,812.7)	(5,207.0)	(394.3)	-7.6%
Total Personal Income Tax	6,525.8	9,833.0	5,820.6	3,364.6	3,801.4	6,449.8							35,795.2	26,859.0	8,936.2	33.3%
Consumption/Use Taxes:																
Sales and Use	1,297.4	1,261.4	1,711.7	1,382.9	1,334.8	1,739.7							8,727.9	6,689.2	2,038.7	30.5%
Auto Rental	(0.2)	-	5.1	-	-	7.7							12.6	4.9	7.7	157.1%
Cigarette/Tobacco Products	98.3	76.6	90.6	85.4	88.3	82.8							522.0	547.1	(25.1)	-4.6%
Medical Marijuana	1.5	1.1	1.1	0.9	1.1	1.0							6.7	3.9	2.8	71.8%
Motor Fuel	7.2	8.6	9.9	9.5	9.7	10.2							55.1	44.3	10.8	24.4%
Alcoholic Beverage	23.0	21.8	21.7	29.7	22.7	25.3							144.2	143.3	0.9	0.6%
Highway Use	0.1	-	-	0.1	-	0.1							0.3	0.2	0.1	50.0%
Vapor Excise	0.2	-	6.6	0.2	-	7.8							14.8	18.7	(3.9)	-20.9%
Opioid Excise	6.7	0.1	-	7.5	-	-							14.3	16.4	(2.1)	-12.8%
Total Consumption/Use Taxes	1,434.2	1,369.6	1,846.7	1,516.2	1,456.6	1,874.6							9,497.9	7,468.0	2,029.9	27.2%
Business Taxes:																
Corporation Franchise	768.4	106.0	1,452.1	274.0	28.5	1,477.1							4,106.1	2,270.8	1,835.3	80.8%
Corporation and Utilities	47.9	1.1	81.8	(6.2)	103.0	(0.9)							236.7	236.3	(0.6)	-0.2%
Insurance	64.2	57.3	373.2	15.9	52.8	453.6							1,017.0	881.7	135.3	15.3%
Bank	17.3	(20.1)	10.2	0.2	-	(0.7)							6.9	164.3	(157.4)	-95.8%
Petroleum Business	30.7	39.8	40.6	43.7	42.2	33.8							230.8	206.3	24.5	11.9%
Total Business Taxes	928.5	184.1	1,957.9	327.6	122.6	2,066.8							5,587.5	3,759.4	1,828.1	48.6%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	119.8	117.0	109.9	103.1	100.6	108.7							658.1	566.8	91.3	16.1%
Pari-Mutuel	1.3	1.1	1.1	1.1	2.1	1.7							8.4	4.8	3.6	75.0%
Real Estate Transfer	97.4	110.0	115.1	132.0	140.0	133.3							727.8	323.2	404.6	125.2%
Racing and Exhibitions	-	-	-	-	0.1	0.2							0.3	0.1	0.2	200.0%
Employer Compensation Expense Tax	0.2	0.2	0.2	0.4	0.3	0.3							1.6	0.8	0.8	100.0%
Total Other Taxes	218.7	228.3	225.3	236.6	243.1	244.2							1,396.2	895.7	500.5	55.9%
Total Taxes	9,107.2	11,615.0	9,850.5	5,445.0	5,623.7	10,635.4							52,276.8	38,982.1	13,294.7	34.1%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.3	1.0	0.8	0.9	10.9	101.2							116.1	115.9	0.2	0.2%
Bottle Bill	1.0	0.3	8.1	2.0	0.2	34.9							46.5	60.3	(13.8)	-22.9%
Assessments:																
Business	63.7	5.1	61.3	93.5	20.1	29.7							273.4	315.6	(42.2)	-13.4%
Medical Care	484.5	533.9	544.9	526.3	536.1	530.4							3,156.1	2,970.3	185.8	6.3%
Public Utilities	1.5	-	0.7	-	0.1	44.4							46.7	50.7	(4.0)	-7.9%
Other	-	0.1	-	0.1	0.1	0.1							0.4	0.1	0.3	300.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.3	5.5	7.0	6.2	6.2	5.0							35.2	23.1	12.1	52.4%
Audit Fees	-	-	-	0.7	1.5	0.1							2.3	1.7	0.6	35.3%
Business/Professional	52.6	47.4	125.0	50.8	56.9	124.2							456.9	477.2	(20.3)	-4.3%
Civil	25.9	28.2	18.9	19.9	26.0	23.4							142.3	95.2	47.1	49.5%
Criminal	1.0	0.3	0.9	0.3	0.5	0.3							3.3	3.0	0.3	10.0%
Motor Vehicle	49.8	43.4	79.2	37.6	61.6	71.6							343.2	281.0	62.2	22.1%
Recreational/Consumer	66.9	50.4	86.0	57.9	92.6	145.1							498.9	292.9	206.0	70.3%
Fines, Penalties and Forfeitures	47.5	41.4	49.1	9.6	23.0	26.1							196.7	563.5	(366.8)	-65.1%
Gaming:																
Casino	34.2	14.2	17.1	35.7	15.2	19.3							135.7	28.8	106.9	371.2%
Lottery	199.5	213.5	238.9	181.9	186.8	240.9							1,261.5	1,070.3	191.2	17.9%
Video Lottery	75.9	77.9	101.9	82.4	81.5	99.2							518.8	34.0	484.8	1,425.9%
Interest Earnings	5.0	3.8	3.6	3.7	3.7	3.4							23.2	65.7	(42.5)	-64.7%
Receipts from Municipalities	6.7	2.2	4.9	3.3	1.4	6.9							25.4	25.8	(0.4)	-1.6%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	4,500.0	(4,500.0)	-100.0%
Cost Recovery Assessments	-	-	-	5.9	-	-							5.9	-	5.9	100.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2021-2022
(amounts in millions)**

													6 Months Ended September 30						
	2021		2021		2021		2021		2021		2021		2022		2022		\$ Increase/ (Decrease)		% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020					
Issuance Fees	2.7	2.3	1.7	22.0	0.6	-	-	-	-	-	-	-	29.3	53.9	(24.6)	-45.6%			
Non Bond Related	0.2	-	-	4.6	0.3	4.3	-	-	-	-	-	-	9.4	15.2	(5.8)	-38.2%			
Rentals	42.0	2.0	9.1	2.2	16.5	85.0	-	-	-	-	-	-	156.8	(7.5)	164.3	2,190.7%			
Revenues of State Departments:																			
Administrative Recoveries	45.8	9.2	36.0	8.6	9.1	23.5	-	-	-	-	-	-	132.2	102.8	29.4	28.6%			
Commissions	0.9	0.8	0.2	0.6	0.4	1.3	-	-	-	-	-	-	4.2	2.0	2.2	110.0%			
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Gifts, Grants and Donations	0.7	0.8	0.5	0.3	0.5	1.7	-	-	-	-	-	-	4.5	26.0	(21.5)	-82.7%			
Indirect Cost Recoveries	4.9	5.7	6.3	5.0	6.0	7.6	-	-	-	-	-	-	36.5	36.0	(0.5)	-1.4%			
Patient/Client Care Reimbursement	295.8	223.6	214.5	293.3	205.9	251.4	-	-	-	-	-	-	1,484.5	1,778.2	(293.7)	-16.5%			
Rebates	4.7	2.1	6.8	4.8	3.5	7.5	-	-	-	-	-	-	29.4	32.9	(3.5)	-10.6%			
Restitution and Settlements	1.2	9.8	7.4	0.6	0.9	3.9	-	-	-	-	-	-	23.8	50.8	(27.0)	-53.1%			
Student Loans	2.4	5.6	1.3	1.9	1.4	1.2	-	-	-	-	-	-	13.8	27.6	(13.8)	-50.0%			
All Other	84.7	60.2	59.0	69.9	74.4	33.2	-	-	-	-	-	-	381.4	179.2	202.2	112.8%			
Sales	2.3	0.5	1.7	1.3	0.9	3.0	-	-	-	-	-	-	9.7	7.8	1.9	24.4%			
Tuition	(75.7)	41.6	51.3	56.6	204.0	243.3	-	-	-	-	-	-	521.1	562.9	(41.8)	-7.4%			
Total Miscellaneous Receipts	1,534.9	1,432.8	1,744.1	1,590.4	1,648.8	2,173.1	-	-	-	-	-	-	10,124.1	13,842.9	(3,718.8)	-26.9%			
Federal Receipts	0.2	-	-	1.6	28.8	(0.3)	-	-	-	-	-	-	30.3	52.4	(22.1)	-42.2%			
Total Receipts	10,642.3	13,047.8	11,594.6	7,037.0	7,301.3	12,808.2	-	-	-	-	-	-	62,431.2	52,877.4	9,553.8	18.1%			
DISBURSEMENTS:																			
Local Assistance Grants:																			
Education	524.3	3,896.3	3,163.3	1,505.5	723.5	4,010.2	-	-	-	-	-	-	13,823.1	13,510.1	313.0	2.3%			
Environment and Recreation	0.1	1.9	4.1	0.6	0.8	0.2	-	-	-	-	-	-	7.7	0.9	6.8	755.6%			
General Government	13.0	66.6	456.5	(20.3)	59.3	119.3	-	-	-	-	-	-	694.4	637.2	57.2	9.0%			
Public Health:																			
Medicaid	3,127.9	1,954.6	2,221.1	1,436.8	1,895.5	1,794.8	-	-	-	-	-	-	12,430.7	11,048.8	1,381.9	12.5%			
Other Public Health	85.2	149.3	587.8	212.2	244.3	435.5	-	-	-	-	-	-	1,714.3	1,515.7	198.6	13.1%			
Public Safety	23.8	49.6	39.4	18.0	33.4	40.6	-	-	-	-	-	-	204.8	117.4	87.4	74.4%			
Public Welfare	43.5	100.5	284.3	318.8	343.4	943.4	-	-	-	-	-	-	2,033.9	1,358.6	675.3	49.7%			
Support and Regulate Business	5.3	9.2	211.8	26.7	13.8	27.9	-	-	-	-	-	-	294.7	48.0	246.7	514.0%			
Transportation	208.5	430.1	298.6	299.8	434.9	271.9	-	-	-	-	-	-	1,943.8	1,449.2	494.6	34.1%			
Total Local Assistance Grants	4,031.6	6,658.1	7,266.9	3,798.1	3,748.9	7,643.8	-	-	-	-	-	-	33,147.4	29,685.9	3,461.5	11.7%			
Departmental Operations:																			
Personal Service	1,107.2	1,131.4	709.8	1,272.6	1,060.8	1,415.6	-	-	-	-	-	-	6,697.4	7,082.3	(384.9)	-5.4%			
Non-Personal Service	362.5	470.3	374.0	364.9	511.8	485.6	-	-	-	-	-	-	2,569.1	1,857.0	712.1	38.3%			
General State Charges	870.1	2,340.0	468.9	537.2	468.4	700.8	-	-	-	-	-	-	5,385.4	4,789.5	595.9	12.4%			
Debt Service, Including Payments on Financing Agreements	122.4	40.5	(21.8)	7.2	307.7	742.2	-	-	-	-	-	-	1,198.2	1,279.0	(80.8)	-6.3%			
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%		
Total Disbursements	6,493.8	10,640.3	8,797.8	5,980.0	6,097.6	10,988.0	-	-	-	-	-	-	48,997.5	44,693.7	4,303.8	9.6%			
Excess (Deficiency) of Receipts over Disbursements	4,148.5	2,407.5	2,796.8	1,057.0	1,203.7	1,820.2	-	-	-	-	-	-	13,433.7	8,183.7	5,250.0	64.2%			
OTHER FINANCING SOURCES (USES):																			
Transfers from Other Funds (**)	4,886.9	5,968.8	5,640.2	3,452.7	2,475.6	5,351.0	-	-	-	-	-	-	27,775.2	17,321.5	10,453.7	60.4%			
Transfers to Other Funds (**)	(5,216.4)	(6,175.4)	(6,296.4)	(3,408.0)	(2,996.2)	(5,414.6)	-	-	-	-	-	-	(29,507.0)	(17,330.5)	12,176.5	70.3%			
Total Other Financing Sources (Uses)	(329.5)	(206.6)	(656.2)	44.7	(520.6)	(63.6)	-	-	-	-	-	-	(1,731.8)	(9.0)	(1,722.8)	-19,142.2%			
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,819.0	2,200.9	2,140.6	1,101.7	683.1	1,756.6	-	-	-	-	-	-	11,701.9	8,174.7	3,527.2	43.1%			
Ending Fund Balance	\$ 18,753.4	\$ 20,954.3	\$ 23,094.9	\$ 24,196.6	\$ 24,879.7	\$ 26,636.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26,636.3	\$ 22,583.0	\$ 4,053.3	17.9%			

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT F

	2021						2022						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 9,160.8	\$ 12,217.6	\$ 14,356.5	\$ 15,464.4	\$ 15,601.1	\$ 15,789.3							\$ 9,160.8	\$ 8,944.2	\$ 216.6	2.4%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,601.8	3,217.7	3,702.6	3,408.2	3,778.4	3,634.8							21,343.5	18,636.0	2,707.5	14.5%
Estimated Payments	3,342.2	6,128.7	2,740.7	147.1	131.1	3,241.9							15,731.7	10,735.8	4,995.9	46.5%
Returns	913.6	2,184.3	139.6	65.0	59.9	77.5							3,439.9	2,642.5	797.4	30.2%
State/City Offsets	(203.0)	(264.7)	(21.6)	(31.8)	(19.1)	(28.1)							(568.3)	(454.8)	113.5	25.0%
Other (Assessments/LLC)	154.1	87.2	111.3	91.9	112.4	104.2							661.1	506.5	154.6	30.5%
Gross Receipts	7,808.7	11,353.2	6,672.6	3,680.4	4,062.7	7,030.3							40,607.9	32,066.0	8,541.9	26.6%
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	(0.1)	(0.1)	-100.0%
Transfers to Revenue Bond Tax Fund	(3,262.9)	(4,916.5)	(2,910.3)	(1,682.3)	(1,900.7)	(3,224.9)							(17,897.6)	(13,429.5)	4,468.1	33.3%
Refunds Issued	(1,282.9)	(1,520.2)	(852.0)	(315.8)	(261.3)	(580.5)							(4,812.7)	(5,207.0)	(394.3)	-7.6%
Total Personal Income Tax	3,262.9	4,916.5	2,910.3	1,682.3	1,900.7	3,224.9							17,897.6	13,429.4	4,468.2	33.3%
Consumption/Use Taxes:																
Sales and Use	292.8	295.6	403.0	324.5	313.4	408.0							2,037.3	3,151.7	(1,114.4)	-35.4%
Auto Rental	-	-	-	-	-	-							-	-	-	0.0%
Cigarette/Tobacco Products	28.6	24.8	25.7	25.6	26.0	26.7							157.4	166.6	(9.2)	-5.5%
Motor Fuel	-	-	-	-	-	-							-	-	-	0.0%
Alcoholic Beverage	23.0	21.8	21.7	29.7	22.7	25.3							144.2	143.3	0.9	0.6%
Highway Use	-	-	-	-	-	-							-	-	-	0.0%
Vapor Excise	-	-	-	-	-	-							-	-	-	0.0%
Opioid Excise	6.7	0.1	-	7.5	-	-							14.3	16.4	(2.1)	-12.8%
Total Consumption/Use Taxes	351.1	342.3	450.4	387.3	362.1	460.0							2,353.2	3,478.0	(1,124.8)	-32.3%
Business Taxes:																
Corporation Franchise	613.8	66.2	1,187.8	213.6	19.9	1,216.4							3,317.7	1,815.5	1,502.2	82.7%
Corporation and Utilities	28.6	0.6	63.7	1.5	(0.8)	84.1							177.7	180.5	(2.8)	-1.6%
Insurance	73.0	54.8	328.4	13.4	48.0	403.5							921.1	788.2	132.9	16.9%
Bank	14.2	(17.1)	6.5	0.2	-	3.9							7.7	146.5	(138.8)	-94.7%
Petroleum Business	-	-	-	-	-	-							-	-	-	0.0%
Total Business Taxes	729.6	104.5	1,586.4	228.7	67.1	1,707.9							4,424.2	2,930.7	1,493.5	51.0%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	119.8	117.0	108.9	103.1	100.6	108.7							658.1	566.8	91.3	16.1%
Pari-Mutuel	1.3	1.1	1.1	1.1	2.1	1.7							8.4	4.8	3.6	75.0%
Real Estate Transfer	-	-	-	-	-	-							-	-	-	0.0%
Racing and Exhibitions	-	-	-	-	0.1	0.2							0.3	0.1	0.2	200.0%
Employer Compensation Expense Tax	0.1	0.1	0.1	0.2	0.1	0.2							0.8	0.4	0.4	100.0%
Total Other Taxes	121.2	118.2	110.1	104.4	102.9	110.8							667.6	572.1	95.5	16.7%
Total Taxes	4,464.8	5,481.5	5,057.2	2,402.7	2,432.8	5,503.6							25,342.6	20,410.2	4,932.4	24.2%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.4	-	-	-	10.0	100.0							110.4	110.3	0.1	0.1%
Bottle Bill	1.0	0.3	8.1	2.0	0.2	34.9							46.5	60.3	(13.8)	-22.9%
Assessments:																
Business	-	-	-	-	-	-							-	-	-	0.0%
Medical Care	1.8	3.8	4.2	-	6.1	2.7							18.6	12.3	6.3	51.2%
Public Utilities	-	-	-	-	-	-							-	-	-	0.0%
Other	-	0.1	-	0.1	0.1	-							0.3	0.1	0.2	200.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.3	5.5	7.0	6.2	6.2	5.0							35.2	23.1	12.1	52.4%
Audit Fees	-	-	-	-	-	-							-	-	-	0.0%
Business/Professional	14.0	20.7	33.9	12.2	4.4	28.6							113.8	100.2	13.6	13.6%
Civil	20.8	22.8	13.9	15.9	19.5	17.7							110.6	74.8	35.8	47.9%
Criminal	0.1	0.1	0.1	0.1	0.1	0.2							0.7	0.6	0.1	16.7%
Motor Vehicle	20.2	15.6	54.9	20.7	32.0	29.7							173.1	143.9	29.2	20.3%
Recreational/Consumer	1.2	0.8	3.0	1.4	2.7	2.0							11.1	3.5	7.6	217.1%
Fines, Penalties and Forfeitures	41.4	32.7	37.2	3.8	12.0	20.1							147.2	527.2	(380.0)	-72.1%
Interest Earnings	1.5	0.6	0.5	0.5	0.5	0.6							4.2	27.3	(23.1)	-84.6%
Receipts from Municipalities	-	-	-	-	-	0.1							0.1	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	4,500.0	(4,500.0)	-100.0%
Cost Recovery Assessments	-	-	-	-	-	-							-	-	-	0.0%
Issuance Fees	-	-	-	21.5	0.6	-							22.1	46.7	(24.6)	-52.7%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Rentals	0.2	0.1	0.1	0.1	0.1	0.1							0.7	0.8	(0.1)	-12.5%
Revenues of State Departments:																
Administrative Recoveries	0.8	0.3	15.2	0.2	0.5	15.2							32.2	33.4	(1.2)	-3.6%
Commissions	0.4	0.2	(0.4)	-	-	0.9							1.1	0.3	0.8	266.7%
Gifts, Grants and Donations	-	-	-	-	0.3	-							0.3	-	0.3	100.0%
Indirect Cost Recoveries	4.9	5.7	6.3	5.0	6.0	7.5							35.4	36.0	(0.6)	-1.7%
Patient/Client Care Reimbursement	38.3	6.1	(54.4)	54.6	(4.0)	5.8							46.4	(64.5)	110.9	171.9%
Rebates	-	1.6	(0.4)	(0.4)	2.3	(0.2)							2.9	4.0	(1.1)	-27.5%
Restitution and Settlements	-	-	0.2	-	-	-							0.2	0.3	(0.1)	-33.3%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT F

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	20.1	23.1	1.6	10.0	22.9	(14.4)	-	-	-	-	-	-	63.3	35.4	27.9	78.8%
Sales	0.1	-	-	0.1	-	-	-	-	-	-	-	-	0.2	4.1	(3.9)	-95.1%
Total Miscellaneous Receipts	172.5	140.1	131.0	154.0	122.5	256.5	-	-	-	-	-	-	976.6	5,680.2	(4,703.6)	-82.8%
Federal Receipts	0.2	-	-	0.1	-	(0.3)	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Total Receipts	4,637.5	5,621.6	5,188.2	2,556.8	2,555.3	5,759.8	-	-	-	-	-	-	26,319.2	26,090.5	228.7	0.9%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	523.4	3,899.2	2,835.3	1,505.5	723.3	1,656.9	-	-	-	-	-	-	11,143.6	10,887.5	256.1	2.4%
Environment and Recreation	-	1.7	4.0	0.1	0.1	-	-	-	-	-	-	-	5.9	-	5.9	100.0%
General Government	2.9	48.6	450.8	(37.0)	34.2	109.4	-	-	-	-	-	-	608.9	565.9	43.0	7.6%
Public Health:																
Medicaid	2,741.0	1,493.2	1,799.2	995.7	1,432.0	1,322.6	-	-	-	-	-	-	9,783.7	8,247.0	1,536.7	18.6%
Other Public Health	47.9	102.1	400.6	156.4	113.6	317.5	-	-	-	-	-	-	1,138.3	1,104.9	33.4	3.0%
Public Safety	4.5	29.6	9.2	6.5	10.5	19.4	-	-	-	-	-	-	79.7	29.3	50.4	172.0%
Public Welfare	43.3	100.3	283.8	318.8	343.2	943.2	-	-	-	-	-	-	2,032.6	1,357.6	675.0	49.7%
Support and Regulate Business	5.0	7.0	210.4	25.3	11.7	13.1	-	-	-	-	-	-	272.5	30.6	241.9	790.5%
Transportation	9.5	22.4	15.3	-	25.1	0.1	-	-	-	-	-	-	72.4	39.3	33.1	84.2%
Total Local Assistance Grants	3,377.5	5,704.1	6,008.8	2,971.3	2,693.7	4,382.2	-	-	-	-	-	-	25,137.6	22,262.1	2,875.5	12.9%
Departmental Operations:																
Personal Service	707.6	725.4	382.2	863.2	673.4	820.2	-	-	-	-	-	-	4,172.0	4,387.4	(215.4)	-4.9%
Non-Personal Service	136.7	234.2	183.0	118.9	240.9	248.1	-	-	-	-	-	-	1,161.8	649.8	512.0	78.8%
General State Charges	810.3	2,276.6	392.3	419.2	402.4	611.9	-	-	-	-	-	-	4,912.7	4,385.9	526.8	12.0%
Total Disbursements	5,032.1	8,940.3	6,966.3	4,372.6	4,010.4	6,062.4	-	-	-	-	-	-	35,384.1	31,685.2	3,698.9	11.7%
Excess (Deficiency) of Receipts over Disbursements	(394.6)	(3,318.7)	(1,778.1)	(1,815.8)	(1,455.1)	(302.6)	-	-	-	-	-	-	(9,064.9)	(5,594.7)	(3,470.2)	-62.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	3,262.7	4,916.9	2,958.2	1,411.3	1,149.9	3,306.7	-	-	-	-	-	-	17,005.7	11,010.4	5,995.3	54.5%
Transfers from LGAC / STRBTF	870.0	690.1	1,351.7	946.3	912.8	1,203.2	-	-	-	-	-	-	5,974.1	2,510.4	3,463.7	138.0%
Transfers from CW/CA Fund	86.8	110.0	115.1	128.7	136.6	129.8	-	-	-	-	-	-	707.0	298.6	408.4	136.8%
Transfers from Other Funds	108.0	94.8	173.1	187.7	115.3	433.7	-	-	-	-	-	-	1,112.6	825.3	287.3	34.8%
Transfers to State Capital Projects	(485.7)	(341.4)	(715.6)	(296.3)	(573.0)	(414.2)	-	-	-	-	-	-	(2,826.2)	(816.6)	2,009.6	246.1%
Transfers to All Other Capital Projects	-	-	(100.5)	-	-	(16.5)	-	-	-	-	-	-	(117.0)	(251.0)	(134.0)	-53.4%
Transfers to General Debt Service	(162.6)	21.3	21.2	(55.7)	7.7	(11.8)	-	-	-	-	-	-	(179.9)	(91.1)	88.8	97.5%
Transfers to All Other State Funds	(227.8)	(34.1)	(917.2)	(369.5)	(106.0)	(163.9)	-	-	-	-	-	-	(1,818.5)	(1,393.7)	424.8	30.5%
Total Other Financing Sources (Uses)	3,451.4	5,457.6	2,886.0	1,952.5	1,643.3	4,467.0	-	-	-	-	-	-	19,857.8	12,092.3	7,765.5	64.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,056.8	2,138.9	1,107.9	136.7	188.2	4,164.4	-	-	-	-	-	-	10,792.9	6,497.6	4,295.3	66.1%
Ending Fund Balance	\$ 12,217.6	\$ 14,356.5	\$ 15,464.4	\$ 15,601.1	\$ 15,789.3	\$ 19,953.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 19,953.7	\$ 15,441.8	\$ 4,511.9	29.2%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021							2022					Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 10,669.3	\$ 13,629.9	\$ 26,502.7	\$ 27,116.0	\$ 27,281.0	\$ 26,700.0							\$ -	\$ 10,669.3	\$ 6,312.1	\$ 4,357.2	69.0%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%	
Consumption/Use Taxes:																	
Sales and Use	109.2	80.5	101.6	86.9	83.3	109.0							-	570.5	426.7	143.8	33.7%
Auto Rental	(0.2)	-	5.1	-	-	7.7							-	12.6	4.9	7.7	157.1%
Cigarette/Tobacco Products	69.7	51.8	64.9	59.8	62.3	56.1							-	364.6	380.5	(15.9)	-4.2%
Medical Marijuana	1.5	1.1	1.1	0.9	1.1	1.0							-	6.7	3.9	2.8	71.8%
Motor Fuel	7.2	8.6	9.9	9.5	9.7	10.2							-	55.1	44.3	10.8	24.4%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	-	0.0%
Highway Use	0.1	-	-	0.1	-	0.1							-	0.3	0.2	0.1	50.0%
Vapor Excise	0.2	-	6.6	0.2	-	7.8							-	14.8	18.7	(3.9)	-20.9%
Total Consumption/Use Taxes	187.7	142.0	189.2	157.4	156.4	191.9								1,024.6	879.2	145.4	16.5%
Business Taxes:																	
Corporation Franchise	154.6	39.8	264.3	60.4	8.6	260.7							-	788.4	455.3	333.1	73.2%
Corporation and Utilities	19.3	0.5	18.1	(0.1)	(0.1)	18.9							-	49.0	55.8	(6.8)	-12.2%
Insurance	(8.8)	2.5	44.8	2.5	4.8	50.1							-	95.9	93.5	2.4	2.6%
Bank	3.1	(3.0)	3.7	-	-	(4.6)							-	(0.8)	17.8	(18.6)	-104.5%
Petroleum Business	30.7	39.8	40.6	43.7	42.2	33.8							-	230.8	206.3	24.5	11.9%
Total Business Taxes	198.9	79.6	371.5	98.9	55.5	358.9								1,163.3	826.7	336.6	40.4%
Total Taxes	386.6	221.6	560.7	256.3	211.9	550.8								2,187.9	1,708.0	479.9	28.1%
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.9	1.0	0.8	0.9	0.9	1.2							-	5.7	5.6	0.1	1.8%
Assessments:																	
Business	69.2	40.7	61.4	95.5	31.1	29.8							-	327.7	369.8	(42.1)	-11.4%
Medical Care	482.7	530.1	540.7	526.3	530.0	527.7							-	3,137.5	2,938.0	179.5	6.1%
Public Utilities	1.5	-	0.7	-	0.1	44.4							-	46.7	50.7	(4.0)	-7.9%
Other	-	-	-	-	-	0.1							-	0.1	-	0.1	100.0%
Fees, Licenses and Permits:																	
Audit Fees	-	-	-	0.7	1.5	0.1							-	2.3	1.7	0.6	35.3%
Business/Professional	38.6	26.7	91.1	38.6	52.5	95.6							-	343.1	377.0	(33.9)	-9.0%
Civil	5.1	5.4	5.0	4.0	6.5	5.7							-	31.7	20.4	11.3	55.4%
Criminal	0.9	0.2	0.8	0.2	0.4	0.1							-	2.6	2.4	0.2	8.3%
Motor Vehicle	29.6	27.8	24.3	16.9	29.6	41.9							-	170.1	137.1	33.0	24.1%
Recreational/Consumer	65.7	49.6	83.0	56.5	89.9	143.1							-	487.8	289.4	198.4	68.6%
Fines, Penalties and Forfeitures	6.5	9.1	12.2	6.1	11.3	6.4							-	51.6	37.7	13.9	36.9%
Gaming:																	
Casino	34.2	14.2	17.1	35.7	15.2	19.3							-	135.7	28.8	106.9	371.2%
Lottery	199.5	213.5	238.9	181.9	186.8	240.9							-	1,261.5	1,070.3	191.2	17.9%
Video Lottery	75.9	77.9	101.9	82.4	81.5	99.2							-	518.8	34.0	484.8	1,425.9%
Interest Earnings	4.1	3.7	3.8	4.0	4.1	3.6							-	23.3	49.4	(26.1)	-52.8%
Receipts from Municipalities	6.7	1.9	4.9	3.2	1.4	5.1							-	23.2	25.2	(2.0)	-7.9%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-							-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	5.9	-	-							-	5.9	-	5.9	100.0%
Issuance Fees	2.7	2.3	1.7	0.5	-	-							-	7.2	7.2	-	0.0%
Non Bond Related	0.2	-	4.6	0.3	0.3	4.3							-	9.4	15.2	(5.8)	-38.2%
Rentals	41.8	1.9	9.0	2.1	16.4	84.9							-	156.1	(8.3)	164.4	1,980.7%
Revenues of State Departments:																	
Administrative Recoveries	45.0	8.9	20.8	8.4	8.6	8.3							-	100.0	69.4	30.6	44.1%
Commissions	0.5	0.6	0.6	0.6	0.4	0.4							-	3.1	1.7	1.4	82.4%
Commissions - Asset Conversion	-	-	-	-	-	-							-	-	-	-	0.0%
Gifts, Grants and Donations	0.7	0.8	0.5	0.3	0.2	1.7							-	4.2	26.0	(21.8)	-83.8%
Indirect Cost Recoveries	-	-	-	-	-	0.1							-	0.1	-	0.1	100.0%
Patient/Client Care Reimbursement	214.3	178.7	221.1	204.1	195.8	204.2							-	1,218.2	1,611.3	(393.1)	-24.4%
Rebates	11.3	7.9	14.2	12.3	8.0	14.7							-	68.4	75.4	(7.0)	-9.3%
Restitution and Settlements	1.2	9.8	7.2	0.6	0.9	3.9							-	23.6	50.5	(26.9)	-53.3%
Student Loans	2.4	5.6	1.3	1.9	1.4	1.2							-	13.8	27.6	(13.8)	-50.0%
All Other	64.8	38.6	58.5	60.4	53.4	47.7							-	323.4	145.0	178.4	123.0%
Sales	2.2	0.5	1.7	1.2	0.9	3.0							-	9.5	3.7	5.8	156.8%
Tuition	(75.7)	41.6	51.3	56.6	204.0	243.3							-	521.1	529.9	(41.8)	-7.4%
Total Miscellaneous Receipts	1,332.5	1,299.0	1,574.5	1,412.4	1,533.1	1,881.9								9,033.4	8,045.1	988.3	12.3%
Federal Receipts	7,158.4	18,222.9	7,375.0	5,735.7	5,788.6	7,398.1							-	51,678.7	41,787.0	9,891.7	23.7%
Total Receipts	8,877.5	19,743.5	9,510.2	7,404.4	7,533.6	9,830.8								62,900.0	51,540.1	11,359.9	22.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease	
DISBURSEMENTS:																		
Local Assistance Grants:																		
Education	273.7	478.2	1,195.4	384.0	684.6	2,704.5	-	-	-	-	-	-	-	5,720.4	4,366.0	1,354.4	31.0%	
Environment and Recreation	0.4	0.3	0.1	0.6	0.8	0.2	-	-	-	-	-	-	-	2.4	1.2	1.2	100.0%	
General Government	21.3	39.9	15.7	455.2	35.8	26.0	-	-	-	-	-	-	-	593.9	3,951.1	(3,357.2)	-85.0%	
Public Health:																		
Medicaid	3,758.1	4,201.9	4,750.7	3,703.4	4,444.2	4,182.8	-	-	-	-	-	-	-	25,041.1	26,000.4	(959.3)	-3.7%	
Other Public Health	558.9	556.9	913.7	708.0	758.1	864.3	-	-	-	-	-	-	-	4,359.9	3,666.8	693.1	18.9%	
Public Safety	123.2	108.0	158.6	208.7	85.3	205.2	-	-	-	-	-	-	-	889.0	773.8	115.2	14.9%	
Public Welfare	158.7	200.6	496.6	632.1	691.0	1,389.6	-	-	-	-	-	-	-	3,568.6	1,820.3	1,748.3	96.0%	
Support and Regulate Business	0.5	2.2	1.8	3.6	5.3	14.9	-	-	-	-	-	-	-	28.3	22.4	5.9	26.3%	
Transportation	201.4	411.2	287.4	303.2	415.5	277.5	-	-	-	-	-	-	-	1,896.2	1,438.9	457.3	31.8%	
Total Local Assistance Grants	5,096.2	5,999.2	7,820.0	6,398.8	7,120.6	9,665.0	-	-	-	-	-	-	-	42,099.8	42,040.9	58.9	0.1%	
Departmental Operations:																		
Personal Service	450.6	456.9	785.3	473.5	439.9	666.1	-	-	-	-	-	-	-	3,272.3	3,294.1	(21.8)	-0.7%	
Non-Personal Service	382.5	339.6	681.9	382.4	478.7	440.3	-	-	-	-	-	-	-	2,705.4	2,765.8	(60.4)	-2.2%	
General State Charges	85.2	90.4	233.0	226.6	106.1	120.0	-	-	-	-	-	-	-	861.3	667.8	193.5	29.0%	
Debt Service, Including Payments on																		
Financing Agreements	-	-	42.3	-	-	-	-	-	-	-	-	-	-	42.3	-	42.3	100.0%	
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2.3	(2.3)	-100.0%	
Total Disbursements	6,014.5	6,886.1	9,562.5	7,481.3	8,145.3	10,891.4	-	-	-	-	-	-	-	48,981.1	48,770.9	210.2	0.4%	
Excess (Deficiency) of Receipts over Disbursements	2,863.0	12,857.4	(52.3)	(76.9)	(611.7)	(1,060.6)	-	-	-	-	-	-	-	13,918.9	2,769.2	11,149.7	402.6%	
OTHER FINANCING SOURCES (USES):																		
Transfers from Other Funds	263.5	151.0	908.3	593.2	109.4	183.0	-	-	-	-	-	-	-	(419.9)	1,788.5	1,371.6	416.9	30.4%
Transfers to Other Funds	(165.9)	(135.6)	(242.7)	(351.3)	(78.7)	(199.8)	-	-	-	-	-	-	-	419.9	(754.1)	(942.6)	(188.5)	-20.0%
Total Other Financing Sources (Uses)	97.6	15.4	665.6	241.9	30.7	(16.8)	-	-	-	-	-	-	-	1,034.4	429.0	605.4	141.1%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,960.6	12,872.8	613.3	165.0	(581.0)	(1,077.4)	-	-	-	-	-	-	-	14,953.3	3,198.2	11,755.1	367.6%	
Ending Fund Balance	\$ 13,629.9	\$ 26,502.7	\$ 27,116.0	\$ 27,281.0	\$ 26,700.0	\$ 25,622.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,622.6	\$ 9,510.3	\$ 16,112.3	169.4%	

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 5,708.6	\$ 6,329.3	\$ 6,294.9	\$ 7,392.8	\$ 8,049.4	\$ 8,108.3							\$ 5,708.6	\$ 5,400.7	\$ 307.9	5.7%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Consumption/Use Taxes:																
Sales and Use	109.2	80.5	101.6	86.9	83.3	109.0							570.5	426.7	143.8	33.7%
Auto Rental	(0.2)	-	5.1	-	-	7.7							12.6	4.9	7.7	157.1%
Cigarette/Tobacco Products	69.7	51.8	64.9	59.8	62.3	56.1							364.6	380.5	(15.9)	-4.2%
Medical Marijuana	1.5	1.1	1.1	0.9	1.1	1.0							6.7	3.9	2.8	71.8%
Motor Fuel	7.2	8.6	9.9	9.5	9.7	10.2							55.1	44.3	10.8	24.4%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	0.0%
Highway Use	0.1	-	-	0.1	-	0.1							0.3	0.2	0.1	50.0%
Vapor Excise	0.2	-	6.6	0.2	-	7.8							14.8	18.7	(3.9)	-20.9%
Total Consumption/Use Taxes	187.7	142.0	189.2	157.4	156.4	191.9	-	-	-	-	-	-	1,024.6	879.2	145.4	16.5%
Business Taxes																
Corporation Franchise	154.6	39.8	264.3	60.4	8.6	260.7							788.4	455.3	333.1	73.2%
Corporation and Utilities	19.3	0.5	18.1	(7.7)	(0.1)	18.9							49.0	55.8	(6.8)	-12.2%
Insurance	(8.8)	2.5	44.8	2.5	4.8	50.1							95.9	93.5	2.4	2.6%
Bank	3.1	(3.0)	3.7	-	-	(4.6)							(0.8)	17.8	(18.6)	-104.5%
Petroleum Business	30.7	39.8	40.6	43.7	42.2	33.8							230.8	206.3	24.5	11.9%
Total Business Taxes	198.9	79.6	371.5	98.9	55.5	358.9	-	-	-	-	-	-	1,163.3	828.7	334.6	40.4%
Total Taxes	386.6	221.6	560.7	256.3	211.9	550.8	-	-	-	-	-	-	2,187.9	1,708.0	479.9	28.1%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	1.0	0.8	0.9	0.9	1.2							5.7	5.6	0.1	1.8%
Assessments:																
Business	63.7	5.1	61.3	93.5	20.1	29.7							273.4	315.6	(42.2)	-13.4%
Medical Care	482.7	530.1	540.7	526.3	530.0	527.7							3,137.5	2,958.0	179.5	6.1%
Public Utilities	1.5	-	0.7	-	0.1	44.4							46.7	50.7	(4.0)	-7.9%
Other	-	-	-	-	-	0.1							0.1	-	0.1	100.0%
Fees, Licenses and Permits:																
Audit Fees	-	-	-	0.7	1.5	0.1							2.3	1.7	0.6	35.3%
Business/Professional	38.6	26.7	91.1	38.6	52.5	95.6							343.1	377.0	(33.9)	-9.0%
Civil	5.1	5.4	5.0	4.0	6.5	5.7							31.7	20.4	11.3	55.4%
Criminal	0.9	0.2	0.8	0.2	0.4	0.1							2.6	2.4	0.2	8.3%
Motor Vehicle	29.6	27.8	24.3	16.9	29.6	41.9							170.1	137.1	33.0	24.1%
Recreational/Consumer	65.7	49.6	83.0	56.5	89.9	143.1							487.8	289.4	198.4	68.6%
Fines, Penalties and Forfeitures	6.1	8.7	11.9	5.8	11.0	6.0							49.5	36.3	13.2	36.4%
Gaming:																
Casino	34.2	14.2	17.1	35.7	15.2	19.3							135.7	28.8	106.9	371.2%
Lottery	199.5	213.5	238.9	181.9	186.8	240.9							1,261.5	1,070.3	191.2	17.9%
Video Lottery	75.9	77.9	101.9	82.4	81.5	99.2							518.8	34.0	484.8	1,425.9%
Interest Earnings	3.5	3.2	3.1	3.2	3.2	2.8							19.0	38.2	(19.2)	-50.3%
Receipts from Municipalities	6.7	1.9	4.9	3.2	1.4	5.1							23.2	25.2	(2.0)	-7.9%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	5.9	-	-							5.9	-	5.9	100.0%
Issuance Fees	2.7	2.3	1.7	0.5	-	-							7.2	7.2	-	0.0%
Non Bond Related	0.2	-	-	4.6	0.3	4.3							9.4	15.2	(5.8)	-38.2%
Rentals	41.8	1.9	9.0	2.1	16.4	84.9							156.1	(8.3)	164.4	1,980.7%
Revenues of State Departments:																
Administrative Recoveries	45.0	8.9	20.8	8.4	8.6	8.3							100.0	69.4	30.6	44.1%
Commissions	0.5	0.6	0.6	0.6	0.4	0.4							3.1	1.7	1.4	82.4%
Commissions - Asset Conversion	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	0.7	0.8	0.5	0.3	0.2	1.7							4.2	26.0	(21.8)	-83.8%
Indirect Cost Recoveries	-	-	-	-	-	0.1							0.1	-	0.1	100.0%
Patient/Client Care Reimbursement	214.3	178.7	221.1	204.1	195.8	204.2							1,218.2	1,611.3	(393.1)	-24.4%
Rebates	4.7	0.5	7.2	5.2	1.2	7.7							26.5	28.9	(2.4)	-8.3%
Restitution and Settlements	1.2	9.8	7.2	0.6	0.9	3.9							23.6	50.5	(26.9)	-53.3%
Student Loans	2.4	5.6	1.3	1.9	1.4	1.2							13.8	27.6	(13.8)	-50.0%
All Other	64.6	37.1	57.4	59.9	51.4	47.6							318.0	143.8	174.2	121.1%
Sales	2.2	0.5	1.7	1.2	0.9	3.0							9.5	3.7	5.8	156.8%
Tuition	(75.7)	41.6	51.3	56.6	204.0	243.3							521.1	562.9	(41.8)	-7.4%
Total Miscellaneous Receipts	1,319.2	1,253.6	1,565.3	1,401.7	1,512.1	1,873.5	-	-	-	-	-	-	8,925.4	7,930.6	994.8	12.5%
Federal Receipts	-	-	-	-	0.1	-							0.1	27.9	(27.8)	-99.6%
Total Receipts	1,705.8	1,475.2	2,126.0	1,658.0	1,724.1	2,424.3	-	-	-	-	-	-	11,113.4	9,666.5	1,446.9	15.0%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants																
Education	0.9	(2.9)	328.0	-	0.2	2,353.3							2,679.5	2,622.6	56.9	2.2%
Environment and Recreation	0.1	0.2	0.1	0.5	0.7	0.2							1.8	0.9	0.9	100.0%
General Government	10.1	18.0	5.7	16.7	25.1	9.9							85.5	71.3	14.2	19.9%
Public Health:																
Medicaid	386.9	461.4	421.9	441.1	463.5	472.2							2,647.0	2,801.8	(154.8)	-5.5%
Other Public Health	37.3	47.2	187.0	55.8	130.7	118.0							576.0	410.8	165.2	40.2%
Public Safety	19.3	20.0	30.2	11.5	22.9	21.2							125.1	88.1	37.0	42.0%
Public Welfare	0.2	0.2	0.5	-	0.2	0.2							1.3	1.0	0.3	30.0%
Support and Regulate Business	0.3	2.2	1.4	1.4	2.1	14.8							22.2	17.4	4.8	27.6%
Transportation	199.0	407.7	283.3	299.8	409.8	271.8							1,871.4	1,409.9	461.5	32.7%
Total Local Assistance Grants	654.1	954.0	1,258.1	826.8	1,055.2	3,261.6	-	-	-	-	-	-	8,009.8	7,423.8	586.0	7.9%
Departmental Operations:																
Personal Service	399.6	406.0	327.6	409.4	387.4	595.4							2,525.4	2,694.9	(169.5)	-6.3%
Non-Personal Service	225.6	233.9	216.8	231.3	263.6	235.3							1,406.5	1,177.6	228.9	19.4%
General State Charges	59.8	63.4	76.6	118.0	66.0	88.9							472.7	403.6	69.1	17.1%
Capital Projects	-	-	-	-	-	-							-	-	-	0.0%
Total Disbursements	1,339.1	1,657.3	1,879.1	1,585.5	1,772.2	4,181.2	-	-	-	-	-	-	12,414.4	11,699.9	714.5	6.1%
Excess (Deficiency) of Receipts over Disbursements	366.7	(182.1)	246.9	72.5	(48.1)	(1,756.9)	-	-	-	-	-	-	(1,301.0)	(2,033.4)	732.4	36.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	263.5	151.0	908.3	593.2	109.4	183.0							2,208.4	1,619.3	589.1	36.4%
Transfers to Other Funds	(9.5)	(3.3)	(57.3)	(9.1)	(2.4)	(36.0)							(117.6)	(182.7)	(65.1)	-35.6%
Total Other Financing Sources (Uses)	254.0	147.7	851.0	584.1	107.0	147.0	-	-	-	-	-	-	2,090.8	1,436.6	654.2	45.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	620.7	(34.4)	1,097.9	656.6	58.9	(1,609.9)	-	-	-	-	-	-	789.8	(596.8)	1,386.6	232.3%
Ending Fund Balance	\$ 6,329.3	\$ 6,294.9	\$ 7,392.8	\$ 8,049.4	\$ 8,108.3	\$ 6,498.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,498.4	\$ 4,803.9	\$ 1,694.5	35.3%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

	2021						2022						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 4,960.7	\$ 7,300.6	\$ 20,207.8	\$ 19,723.2	\$ 19,231.6	\$ 18,591.7							\$ 4,960.7	\$ 911.4	\$ 4,049.3	-444.3%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-							-	-	-	0.0%
Assessments:																
Business	5.5	35.6	0.1	2.0	11.0	0.1							54.3	54.2	0.1	0.2%
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Public Utilities	-	-	-	-	-	-							-	-	-	0.0%
Other	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	0.4	0.4	0.3	0.3	0.3	0.4							2.1	1.4	0.7	50.0%
Interest Earnings	0.6	0.5	0.7	0.8	0.9	0.8							4.3	11.2	(6.9)	-61.6%
Receipts from Municipalities	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-							-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Commissions	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-							-	-	-	0.0%
Rebates	6.6	7.4	7.0	7.1	6.8	7.0							41.9	46.5	(4.6)	-9.9%
Restitution and Settlements	-	-	-	-	-	-							-	-	-	0.0%
Student Loans	-	-	-	-	-	-							-	-	-	0.0%
All Other	0.2	1.5	1.1	0.5	2.0	0.1							5.4	1.2	4.2	350.0%
Sales	-	-	-	-	-	-							-	-	-	0.0%
Tuition	-	-	-	-	-	-							-	-	-	0.0%
Total Miscellaneous Receipts	13.3	45.4	9.2	10.7	21.0	8.4	-	-	-	-	-	-	108.0	114.5	(6.5)	-5.7%
Federal Receipts	7,158.4	18,222.9	7,375.0	5,735.7	5,788.5	7,398.1							51,678.6	41,759.1	9,919.5	23.8%
Total Receipts	7,171.7	18,268.3	7,384.2	5,746.4	5,809.5	7,406.5	-	-	-	-	-	-	51,786.6	41,873.6	9,913.0	23.7%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT G

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	272.8	481.1	867.4	384.0	684.4	351.2							3,040.9	1,743.4	1,297.5	74.4%
Environment and Recreation	0.3	0.1	-	0.1	0.1	-							0.6	0.3	0.3	100.0%
General Government	11.2	21.9	10.0	438.5	10.7	16.1							508.4	3,879.8	(3,371.4)	-86.9%
Public Health:																
Medicaid	3,371.2	3,740.5	4,328.8	3,262.3	3,980.7	3,710.6							22,394.1	23,198.6	(804.5)	-3.5%
Other Public Health	521.6	509.7	726.7	652.2	627.4	746.3							3,783.9	3,256.0	527.9	16.2%
Public Safety	103.9	88.0	128.4	197.2	62.4	184.0							683.9	685.7	78.2	11.4%
Public Welfare	158.5	200.4	496.1	632.1	690.8	1,389.4							3,567.3	1,819.3	1,748.0	96.1%
Support and Regulate Business	0.2	-	0.4	2.2	3.2	0.1							6.1	5.0	1.1	22.0%
Transportation	2.4	3.5	4.1	3.4	5.7	5.7							24.8	29.0	(4.2)	-14.5%
Total Local Assistance Grants	4,442.1	5,045.2	6,561.9	5,572.0	6,065.4	6,403.4	-	-	-	-	-	-	34,090.0	34,617.1	(527.1)	-1.5%
Departmental Operations:																
Personal Service	51.0	50.9	457.7	64.1	52.5	70.7							746.9	599.2	147.7	24.6%
Non-Personal Service	156.9	105.7	465.1	151.1	215.1	205.0							1,298.9	1,588.2	(289.3)	-18.2%
General State Charges	25.4	27.0	156.4	108.6	40.1	31.1							388.6	264.2	124.4	47.1%
Debt Service, Including Payments on	-	-	-	-	-	-							-	-	-	-
Financing Agreements	-	-	42.3	-	-	-							42.3	-	42.3	100.0%
Capital Projects	-	-	-	-	-	-							-	2.3	(2.3)	-100.0%
Total Disbursements	4,675.4	5,228.8	7,683.4	5,895.8	6,373.1	6,710.2	-	-	-	-	-	-	36,566.7	37,071.0	(504.3)	-1.4%
Excess (Deficiency) of Receipts over Disbursements	2,496.3	13,039.5	(299.2)	(149.4)	(563.6)	696.3							15,219.9	4,802.6	10,417.3	216.9%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	(156.4)	(132.3)	(185.4)	(342.2)	(76.3)	(163.8)							(1,056.4)	(1,007.6)	48.8	4.8%
Total Other Financing Sources (Uses)	(156.4)	(132.3)	(185.4)	(342.2)	(76.3)	(163.8)	-	-	-	-	-	-	(1,056.4)	(1,007.6)	48.8	4.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,339.9	12,907.2	(484.6)	(491.6)	(639.9)	532.5	-	-	-	-	-	-	14,163.5	3,795.0	10,368.5	273.2%
Ending Fund Balance	\$ 7,300.6	\$ 20,207.8	\$ 19,723.2	\$ 19,231.6	\$ 18,591.7	\$ 19,124.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 19,124.2	\$ 4,706.4	\$ 14,417.8	306.3%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT H

	2021							2022					6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 65.0	\$ 206.5	\$ 302.9	\$ 237.7	\$ 546.1	\$ 982.1							\$ 65.0	\$ 63.4	\$ 1.6	2.5%
RECEIPTS:																
Taxes:																
Personal Income Tax	3,262.9	4,916.5	2,910.3	1,682.3	1,900.7	3,224.9							17,897.6	13,429.5	4,468.1	33.3%
Consumption/Use Taxes:																
Sales and Use	895.4	885.3	1,207.1	971.5	938.1	1,222.7							6,120.1	3,110.8	3,009.3	96.7%
Total Consumption/Use Taxes	895.4	885.3	1,207.1	971.5	938.1	1,222.7	-	-	-	-	-	-	6,120.1	3,110.8	3,009.3	96.7%
Other Taxes:																
Real Estate Transfer	97.4	110.0	115.1	132.0	140.0	133.3							727.8	323.2	404.6	125.2%
Employer Compensation Expense Tax	0.1	0.1	0.1	0.2	0.2	0.1							0.8	0.4	0.4	100.0%
Total Other Taxes	97.5	110.1	115.2	132.2	140.2	133.4	-	-	-	-	-	-	728.6	323.6	405.0	125.2%
Total Taxes	4,255.8	5,911.9	4,232.6	2,786.0	2,979.0	4,581.0	-	-	-	-	-	-	24,746.3	16,863.9	7,882.4	46.7%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-							-	-	-	0.0%
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-							-	0.2	(0.2)	-100.0%
Receipts from Municipalities	-	0.3	-	0.1	-	1.7							2.1	0.5	1.6	320.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	43.2	38.8	47.8	34.6	14.1	41.4							219.9	231.4	(11.5)	-5.0%
All Other	-	-	-	-	0.1	-							0.1	-	0.1	100.0%
Sales	-	-	-	-	-	-							-	-	-	0.0%
Total Miscellaneous Receipts	43.2	39.1	47.8	34.7	14.2	43.1	-	-	-	-	-	-	222.1	232.1	(10.0)	-4.3%
Federal Receipts	-	-	-	1.5	28.7	-							30.2	24.4	5.8	23.8%
Total Receipts	4,299.0	5,951.0	4,280.4	2,822.2	3,021.9	4,624.1	-	-	-	-	-	-	24,998.6	17,120.4	7,878.2	46.0%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.2	2.2	(25.8)	14.7	7.3	2.2							0.8	29.6	(28.8)	-97.3%
Debt Service, Including Payments on Financing Agreements	122.4	40.5	(21.8)	7.2	307.7	742.2							1,198.2	1,279.0	(80.8)	-6.3%
Total Disbursements	122.6	42.7	(47.6)	21.9	315.0	744.4	-	-	-	-	-	-	1,199.0	1,308.6	(109.6)	-8.4%
Excess (Deficiency) of Receipts over Disbursements	4,176.4	5,908.3	4,328.0	2,800.3	2,706.9	3,879.7	-	-	-	-	-	-	23,799.6	15,811.8	7,987.8	50.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	295.9	6.0	133.8	185.5	51.6	94.6							767.4	1,057.5	(290.1)	-27.4%
Transfers to Other Funds	(4,330.8)	(5,817.9)	(4,527.0)	(2,677.4)	(2,322.5)	(4,772.2)							(24,447.8)	(14,595.4)	9,852.4	67.5%
Total Other Financing Sources (Uses)	(4,034.9)	(5,811.9)	(4,393.2)	(2,491.9)	(2,270.9)	(4,677.6)	-	-	-	-	-	-	(23,680.4)	(13,537.9)	(10,142.5)	-74.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	141.5	96.4	(65.2)	308.4	436.0	(797.9)	-	-	-	-	-	-	119.2	2,273.9	(2,154.7)	-94.8%
Ending Fund Balance	\$ 206.5	\$ 302.9	\$ 237.7	\$ 546.1	\$ 982.1	\$ 184.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 184.2	\$ 2,337.3	\$ (2,153.1)	-92.1%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2021-2022
 (amounts in millions)

EXHIBIT I

	2021												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022				2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (1,144.0)	\$ (1,121.8)	\$ (1,346.9)	\$ (1,561.7)	\$ (1,706.2)	\$ (1,798.6)							\$ (1,144.0)	\$ (1,034.9)	\$ (109.1)	-10.5%	
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	2.1	-	17.5	0.1	(0.1)	26.3							-	45.9	26.4	19.5	73.9%
Motor Fuel	26.9	31.7	36.7	35.0	36.4	37.6							-	204.3	162.7	41.6	25.6%
Highway Use	14.5	10.5	11.6	12.1	13.3	10.6							-	72.6	68.1	4.5	6.6%
Total Consumption/Use Taxes	43.5	42.2	65.8	47.2	49.6	74.5	-	-	-	-	-	-	-	322.8	257.2	65.6	25.5%
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-							-	-	-	-	0.0%
Corporation and Utilities	3.1	-	1.4	(1.5)	(0.1)	1.2							-	4.1	5.1	(1.0)	-19.6%
Petroleum Business	38.2	50.4	52.0	55.3	53.6	43.7							-	293.2	260.8	32.4	12.4%
Total Business Taxes	41.3	50.4	53.4	53.8	53.5	44.9	-	-	-	-	-	-	-	297.3	265.9	31.4	11.8%
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	12.0							-	47.7	47.6	0.1	0.2%
Total Other Taxes	-	-	11.9	11.9	11.9	12.0	-	-	-	-	-	-	-	47.7	47.6	0.1	0.2%
Total Taxes	84.8	92.6	131.1	112.9	115.0	131.4	-	-	-	-	-	-	-	667.8	570.7	97.1	17.0%
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-							-	23.0	23.0	-	0.0%
Assessments:																	
Business	10.0	7.6	6.0	6.4	6.1	6.0							-	42.1	38.6	3.5	9.1%
Fees, Licenses and Permits:																	
Business/Professional	1.3	1.7	2.1	1.4	8.5	7.5							-	22.5	19.2	3.3	17.2%
Civil	-	-	-	-	-	-							-	-	-	-	0.0%
Motor Vehicle	79.2	69.1	60.6	66.0	59.0	59.5							-	393.4	309.9	83.5	26.9%
Recreational/Consumer	1.5	2.9	1.5	0.4	0.4	10.2							-	16.9	19.2	(2.3)	-12.0%
Fines, Penalties and Forfeitures	2.5	0.8	2.8	2.0	3.1	1.4							-	12.6	12.5	0.1	0.8%
Interest Earnings	-	0.1	-	-	0.1	-							-	0.2	1.5	(1.3)	-86.7%
Receipts from Municipalities	-	-	-	-	0.1	-							-	0.1	0.4	(0.3)	-75.0%
Receipts from Public Authorities:																	
Bond Proceeds	78.4	0.5	3.0	237.0	27.6	328.1							-	674.6	2,170.6	(1,496.0)	-68.9%
Issuance Fees	-	-	-	-	-	-							-	-	-	-	0.0%
Non Bond Related	0.5	2.5	0.8	-	-	-							-	3.8	1.3	2.5	192.3%
Rentals	3.3	0.8	1.4	1.6	1.2	1.5							-	9.8	10.9	(1.1)	-10.1%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-							-	-	-	-	0.0%
Gifts, Grants and Donations	1.8	1.3	2.9	0.4	-	1.2							-	7.6	9.4	(1.8)	-19.1%
Indirect Cost Recoveries	-	-	-	-	-	12.7							-	12.7	-	12.7	100.0%
Rebates	-	-	-	0.1	(0.1)	-							-	-	-	-	0.0%
Restitution and Settlements	0.3	1.3	1.6	5.9	1.9	4.1							-	15.1	5.7	9.4	164.9%
All Other	2.4	1.9	11.7	1.0	6.5	4.4							-	27.9	28.7	(0.8)	-2.8%
Sales	0.1	-	-	-	0.1	0.1							-	0.3	0.2	0.1	50.0%
Total Miscellaneous Receipts	181.3	90.5	117.4	322.2	114.5	436.7	-	-	-	-	-	-	-	1,262.6	2,651.1	(1,388.5)	-52.4%
Federal Receipts	5.6	23.3	110.1	143.2	169.4	139.9							-	591.5	929.3	(337.8)	-36.3%
Total Receipts	271.7	206.4	358.6	578.3	398.9	708.0	-	-	-	-	-	-	-	2,521.9	4,151.1	(1,629.2)	-39.2%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2021-2022
 (amounts in millions)

EXHIBIT I

	2021												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH		2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	13.5	25.0	45.5	13.0	10.4	8.3							-	115.7	20.3	95.4	470.0%
Environment and Recreation	29.0	7.6	15.7	15.3	31.8	15.6							-	115.0	70.1	44.9	64.1%
General Government	12.7	41.7	37.9	22.2	30.8	59.9							-	205.2	206.5	(1.3)	-0.6%
Public Health:																	
Medicaid	-	-	-	-	-	-							-	-	-	-	0.0%
Other Public Health	45.1	29.7	80.9	41.7	35.0	36.8							-	269.2	258.6	10.6	4.1%
Public Safety	0.4	1.8	28.3	5.4	2.2	0.7							-	38.8	6.5	32.3	496.9%
Public Welfare	28.2	55.8	124.6	54.6	12.5	39.4							-	315.1	342.7	(27.6)	-8.1%
Support and Regulate Business	21.2	54.3	147.2	39.5	33.2	104.8							-	400.2	179.6	220.6	122.8%
Transportation	181.7	37.7	269.0	267.1	213.5	346.2							-	1,315.2	728.5	586.7	80.5%
Total Local Assistance Grants	331.8	253.6	749.1	458.8	369.4	611.7	-	-	-	-	-	-	-	2,774.4	1,812.8	961.6	53.0%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-							-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	-	0.0%
Capital Projects	398.2	514.1	631.4	554.2	699.6	710.1							-	3,507.6	3,611.1	(103.5)	-2.9%
Total Disbursements	730.0	767.7	1,380.5	1,013.0	1,069.0	1,321.8	-	-	-	-	-	-	-	6,282.0	5,423.9	858.1	15.8%
Excess (Deficiency) of Receipts over Disbursements	(458.3)	(561.3)	(1,021.9)	(434.7)	(670.1)	(613.8)	-	-	-	-	-	-	-	(3,760.1)	(1,272.8)	(2,487.3)	-195.4%
OTHER FINANCING SOURCES (USES):																	
Bond and Note Proceeds (net)	-	-	-	-	-	-							-	-	-	-	0.0%
Transfers from Other Funds	488.9	344.3	830.9	298.9	586.6	434.1							-	2,983.7	1,093.2	1,890.5	172.9%
Transfers to Other Funds	(8.4)	(8.1)	(23.8)	(8.7)	(8.9)	(211.4)							-	(269.3)	(274.4)	(5.1)	-1.9%
Total Other Financing Sources (Uses)	480.5	336.2	807.1	290.2	577.7	222.7	-	-	-	-	-	-	-	2,714.4	818.8	1,895.6	231.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22.2	(225.1)	(214.8)	(144.5)	(92.4)	(391.1)	-	-	-	-	-	-	-	(1,045.7)	(454.0)	(591.7)	-130.3%
Ending Fund Balance	\$ (1,121.8)	\$ (1,346.9)	\$ (1,561.7)	\$ (1,706.2)	\$ (1,798.6)	\$ (2,189.7)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (2,189.7)	\$ (1,488.9)	\$ (700.8)	-47.1%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2021-2022
 (amounts in millions)

EXHIBIT I

	2021						2022						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (563.7)	\$ (494.1)	\$ (618.8)	\$ (705.9)	\$ (804.0)	\$ (893.1)							\$ (563.7)	\$ (472.2)	\$ (91.5)	-19.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	2.1	-	17.5	0.1	(0.1)	26.3							45.9	26.4	19.5	73.9%
Motor Fuel	26.9	31.7	36.7	35.0	36.4	37.6							204.3	162.7	41.6	25.6%
Highway Use	14.5	10.5	11.6	12.1	13.3	10.6							72.6	68.1	4.5	6.6%
Total Consumption/Use Taxes	43.5	42.2	65.8	47.2	49.6	74.5	-	-	-	-	-	-	322.8	257.2	65.6	25.5%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-							-	-	-	0.0%
Corporation and Utilities	3.1	-	1.4	(1.5)	(0.1)	1.2							4.1	5.1	(1.0)	-19.6%
Petroleum Business	38.2	50.4	52.0	55.3	53.6	43.7							293.2	260.8	32.4	12.4%
Total Business Taxes	41.3	50.4	53.4	53.8	53.5	44.9	-	-	-	-	-	-	297.3	265.9	31.4	11.8%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	12.0							47.7	47.6	0.1	0.2%
Total Other Taxes	-	-	11.9	11.9	11.9	12.0	-	-	-	-	-	-	47.7	47.6	0.1	0.2%
Total Taxes	84.8	92.6	131.1	112.9	115.0	131.4	-	-	-	-	-	-	667.8	570.7	97.1	17.0%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-							23.0	23.0	-	0.0%
Assessments:																
Business	10.0	7.6	6.0	6.4	6.1	6.0							42.1	38.6	3.5	9.1%
Fees, Licenses and Permits:																
Business/Professional	1.3	1.7	2.1	1.4	8.5	7.5							22.5	19.2	3.3	17.2%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	79.2	69.1	60.6	66.0	59.0	59.5							393.4	309.9	83.5	26.9%
Recreational/Consumer	1.5	2.9	1.5	0.4	0.4	10.2							16.9	19.2	(2.3)	-12.0%
Fines, Penalties and Forfeitures	2.5	0.8	2.8	2.0	3.1	1.4							12.6	12.5	0.1	0.8%
Interest Earnings	-	0.1	-	-	0.1	-							0.2	1.5	(1.3)	-86.7%
Receipts from Municipalities	-	-	-	-	0.1	-							0.1	0.4	(0.3)	-75.0%
Receipts from Public Authorities:																
Bond Proceeds	78.4	0.5	3.0	237.0	27.6	328.1							674.6	2,170.6	(1,496.0)	-68.9%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	0.5	2.5	0.8	-	-	-							3.8	1.3	2.5	192.3%
Rentals	3.3	0.8	1.4	1.6	1.2	1.5							9.8	10.5	(0.7)	-6.7%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	1.8	1.3	2.9	0.4	-	1.2							7.6	9.4	(1.8)	-19.1%
Indirect Cost Recoveries	-	-	-	-	-	12.8							12.8	-	12.8	100.0%
Rebates	-	-	-	0.1	(0.1)	-							-	-	-	0.0%
Restitution and Settlements	0.3	1.3	1.6	5.9	1.9	4.1							15.1	5.7	9.4	164.9%
All Other	2.4	1.9	11.7	1.0	6.5	4.4							27.9	28.7	(0.8)	-2.8%
Sales	0.1	-	-	-	0.1	0.1							0.3	0.1	0.2	200.0%
Total Miscellaneous Receipts	181.3	90.5	117.4	322.2	114.5	436.8	-	-	-	-	-	-	1,262.7	2,650.6	(1,387.9)	-52.4%
Federal Receipts	-	-	-	2.3	2.2	14.8							19.3	2.1	17.2	819.0%
Total Receipts	266.1	183.1	248.5	437.4	231.7	583.0	-	-	-	-	-	-	1,949.8	3,223.4	(1,273.6)	-39.5%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2021-2022
 (amounts in millions)

EXHIBIT I

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	13.5	25.0	45.5	13.0	10.4	8.3							115.7	20.3	95.4	470.0%
Environment and Recreation	29.0	7.6	15.7	15.3	31.8	15.6							115.0	70.1	44.9	64.1%
General Government	12.7	41.7	37.9	22.2	30.8	59.9							205.2	206.5	(1.3)	-0.6%
Public Health:																
Medicaid	-	-	-	-	-	-							-	-	-	0.0%
Other Public Health	45.1	29.7	77.2	41.7	35.0	36.2							264.9	256.8	8.1	3.2%
Public Safety	0.4	1.8	7.1	5.4	2.2	0.7							17.6	3.0	14.6	486.7%
Public Welfare	28.2	55.8	124.6	54.6	12.5	39.4							315.1	342.7	(27.6)	-8.1%
Support and Regulate Business	21.2	54.3	147.2	39.5	33.2	104.8							400.2	179.6	220.6	122.8%
Transportation	175.8	21.0	224.4	215.4	184.2	285.1							1,105.9	492.5	613.4	124.5%
Total Local Assistance Grants	325.9	236.9	679.6	407.1	340.1	550.0	-	-	-	-	-	-	2,539.6	1,571.5	968.1	61.6%
Departmental Operations:																
Personal Service	-	-	-	-	-	-							-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	0.0%
Capital Projects	351.1	407.1	463.4	418.6	558.4	547.1							2,745.7	2,934.1	(188.4)	-6.4%
Total Disbursements	677.0	644.0	1,143.0	825.7	898.5	1,097.1	-	-	-	-	-	-	5,285.3	4,505.6	779.7	17.3%
Excess (Deficiency) of Receipts over Disbursements	(410.9)	(460.9)	(894.5)	(388.3)	(666.8)	(514.1)	-	-	-	-	-	-	(3,335.5)	(1,282.2)	(2,053.3)	-160.1%
OTHER FINANCING SOURCES (USES):																
Bond and Note Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%
Transfers from Other Funds	488.9	344.3	830.9	298.9	586.6	434.1							2,983.7	1,093.2	1,890.5	172.9%
Transfers to Other Funds	(8.4)	(8.1)	(23.5)	(8.7)	(8.9)	(211.4)							(269.0)	(274.4)	(5.4)	-2.0%
Total Other Financing Sources (Uses)	480.5	336.2	807.4	290.2	577.7	222.7	-	-	-	-	-	-	2,714.7	818.8	1,895.9	231.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	69.6	(124.7)	(87.1)	(98.1)	(89.1)	(291.4)	-	-	-	-	-	-	(620.8)	(463.4)	(157.4)	-34.0%
Ending Fund Balance	\$ (494.1)	\$ (618.8)	\$ (705.9)	\$ (804.0)	\$ (893.1)	\$ (1,184.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,184.5)	\$ (935.6)	\$ (248.9)	-26.6%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2021-2022
 (amounts in millions)

EXHIBIT I

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (580.3)	\$ (627.7)	\$ (728.1)	\$ (855.8)	\$ (902.2)	\$ (905.5)							\$ (580.3)	\$ (562.7)	\$ (17.6)	-3.1%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	-	-	-	-	-	-	-	-	-	-	-	-	-	0.4	(0.4)	-100.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	(0.1)	-	(0.1)	-100.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Total Miscellaneous Receipts	-	-	-	-	-	(0.1)	-	-	-	-	-	-	(0.1)	0.5	(0.6)	-120.0%
Federal Receipts	5.6	23.3	110.1	140.9	167.2	125.1							572.2	927.2	(355.0)	-38.3%
Total Receipts	5.6	23.3	110.1	140.9	167.2	125.0	-	-	-	-	-	-	572.1	927.7	(355.6)	-38.3%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	3.7	-	-	0.6	-	-	-	-	-	-	4.3	1.8	2.5	138.9%
Public Safety	-	-	21.2	-	-	-	-	-	-	-	-	-	21.2	3.5	17.7	505.7%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	5.9	16.7	44.6	51.7	29.3	61.1	-	-	-	-	-	-	209.3	236.0	(26.7)	-11.3%
Total Local Assistance Grants	5.9	16.7	69.5	51.7	29.3	61.7	-	-	-	-	-	-	234.8	241.3	(6.5)	-2.7%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	47.1	107.0	168.0	135.6	141.2	163.0	-	-	-	-	-	-	761.9	677.0	84.9	12.5%
Total Disbursements	53.0	123.7	237.5	187.3	170.5	224.7	-	-	-	-	-	-	996.7	918.3	78.4	8.5%
Excess (Deficiency) of Receipts over Disbursements	(47.4)	(100.4)	(127.4)	(46.4)	(3.3)	(99.7)	-	-	-	-	-	-	(424.6)	9.4	(434.0)	-4,617.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	(0.3)	-	-	-	-	-	-	-	-	-	(0.3)	-	0.3	100.0%
Total Other Financing Sources (Uses)	-	-	(0.3)	-	-	-	-	-	-	-	-	-	(0.3)	-	0.3	100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(47.4)	(100.4)	(127.7)	(46.4)	(3.3)	(99.7)	-	-	-	-	-	-	(424.9)	9.4	(434.3)	-4,620.2%
Ending Fund Balance	\$ (627.7)	\$ (728.1)	\$ (855.8)	\$ (902.2)	\$ (905.5)	\$ (1,005.2)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,005.2)	\$ (553.3)	\$ (451.9)	-81.7%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT J

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 328.0	\$ 330.9	\$ 267.0	\$ 283.6	\$ 287.1	\$ 230.7							\$ 328.0	\$ 29.7	\$ 298.3	1,004.4%
RECEIPTS:																
Miscellaneous Receipts	261.8	200.8	211.8	257.9	195.1	307.7							1,435.1	37.0	1,398.1	3,778.6%
Federal Receipts	4,691.4	4,264.1	5,008.2	3,973.1	4,570.9	1,186.4							23,694.1	33,873.9	(10,179.8)	-30.1%
Unemployment Taxes	218.5	313.6	390.8	315.0	350.5	239.4							1,827.8	11,925.9	(10,098.1)	-84.7%
Total Receipts	5,171.7	4,778.5	5,610.8	4,546.0	5,116.5	1,733.5	-	-	-	-	-	-	26,957.0	45,836.8	(18,879.8)	-41.2%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	128.3	126.8	112.0	187.2	113.1	131.0							798.4	7.5	790.9	10,545.3%
Non-Personal Service	22.1	32.9	35.1	21.1	40.7	91.7							243.6	27.8	215.8	776.3%
General State Charges	54.3	58.7	50.8	47.9	92.6	51.0							355.3	0.9	354.4	39,377.8%
Unemployment Benefits	4,967.1	4,624.0	5,396.3	4,290.3	4,926.5	1,421.4							25,625.6	45,791.2	(20,165.6)	-44.0%
Total Disbursements	5,171.8	4,842.4	5,594.2	4,546.5	5,172.9	1,695.1	-	-	-	-	-	-	27,022.9	45,827.4	(18,804.5)	-41.0%
Excess (Deficiency) of Receipts over Disbursements	(0.1)	(63.9)	16.6	(0.5)	(56.4)	38.4	-	-	-	-	-	-	(65.9)	9.4	(75.3)	-801.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	3.0	-	-	4.0	-	-							7.0	3.0	4.0	133.3%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	3.0	-	-	4.0	-	-	-	-	-	-	-	-	7.0	3.0	4.0	133.3%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	2.9	(63.9)	16.6	3.5	(56.4)	38.4	-	-	-	-	-	-	(58.9)	12.4	(71.3)	-575.0%
Ending Fund Balance	\$ 330.9	\$ 267.0	\$ 283.6	\$ 287.1	\$ 230.7	\$ 269.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 269.1	\$ 42.1	\$ 227.0	539.2%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT K

													6 Months Ended September 30			
	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (363.5)	\$ (349.4)	\$ (398.2)	\$ (339.0)	\$ (344.8)	\$ (336.3)							\$ (363.5)	\$ (297.5)	\$ (66.0)	-22.2%
RECEIPTS:																
Miscellaneous Receipts	55.2	27.3	41.4	38.6	32.4	50.1							245.0	168.7	76.3	45.2%
Total Receipts	55.2	27.3	41.4	38.6	32.4	50.1	-	-	-	-	-	-	245.0	168.7	76.3	45.2%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	9.7	11.7	3.9	11.4	10.3	14.3							61.3	73.4	(12.1)	-16.5%
Non-Personal Service	31.1	61.6	5.8	32.1	38.3	47.3							216.2	209.1	7.1	3.4%
General State Charges	2.7	5.5	6.5	4.2	5.7	4.4							29.0	33.8	(4.8)	-14.2%
Total Disbursements	43.5	78.8	16.2	47.7	54.3	66.0	-	-	-	-	-	-	306.5	316.3	(9.8)	-3.1%
Excess (Deficiency) of Receipts over Disbursements	11.7	(51.5)	25.2	(9.1)	(21.9)	(15.9)	-	-	-	-	-	-	(61.5)	(147.6)	86.1	58.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	2.5	2.7	38.3	3.4	30.4	4.7							82.0	72.7	9.3	12.8%
Transfers to Other Funds	(0.1)	-	(4.3)	(0.1)	-	-							(4.5)	(0.3)	4.2	1,400.0%
Total Other Financing Sources (Uses)	2.4	2.7	34.0	3.3	30.4	4.7	-	-	-	-	-	-	77.5	72.4	5.1	7.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	14.1	(48.8)	59.2	(5.8)	8.5	(11.2)	-	-	-	-	-	-	16.0	(75.2)	91.2	121.3%
Ending Fund Balance	\$ (349.4)	\$ (398.2)	\$ (339.0)	\$ (344.8)	\$ (336.3)	\$ (347.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (347.5)	\$ (372.7)	\$ 25.2	6.8%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT L

	2021												2022				6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ -	\$ (2.4)	\$ -	\$ (2.2)	\$ (4.8)	\$ (1.7)							\$ -	\$ (1.1)	\$ 1.1	100.0%				
RECEIPTS:																				
Miscellaneous Receipts	5.9	13.1	11.9	8.6	13.0	14.4							66.9	62.6	4.3	6.9%				
Total Receipts	5.9	13.1	11.9	8.6	13.0	14.4	-	-	-	-	-	-	66.9	62.6	4.3	6.9%				
DISBURSEMENTS:																				
Departmental Operations:																				
Personal Service	5.9	5.6	5.8	6.0	5.6	8.3							37.2	38.7	(1.5)	-3.9%				
Non-Personal Service	0.6	1.2	1.5	1.4	0.8	0.9							6.4	4.6	1.8	39.1%				
General State Charges	1.8	3.9	6.8	3.8	3.5	3.5							23.3	22.4	0.9	4.0%				
Total Disbursements	8.3	10.7	14.1	11.2	9.9	12.7	-	-	-	-	-	-	66.9	65.7	1.2	1.8%				
Excess (Deficiency) of Receipts over Disbursements	(2.4)	2.4	(2.2)	(2.6)	3.1	1.7	-	-	-	-	-	-	-	(3.1)	3.1	100.0%				
OTHER FINANCING SOURCES (USES):																				
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%				
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%				
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%				
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(2.4)	2.4	(2.2)	(2.6)	3.1	1.7	-	-	-	-	-	-	-	(3.1)	3.1	100.0%				
Ending Fund Balance	\$ (2.4)	\$ -	\$ (2.2)	\$ (4.8)	\$ (1.7)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (4.2)	\$ 4.2	100.0%				

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2021-2022
(amounts in millions)

EXHIBIT M

	2021						2022						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 40.2	\$ 40.3	\$ 40.5	\$ 41.7	\$ 42.3	\$ 42.1							\$ 40.2	\$ 14.3	\$ 25.9	181.1%
RECEIPTS:																
Miscellaneous Receipts	0.1	0.2	1.3	0.7	(0.2)	0.6							2.7	-	2.7	100.0%
Total Receipts	0.1	0.2	1.3	0.7	(0.2)	0.6	-	-	-	-	-	-	2.7	-	2.7	100.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	-	0.1	-	0.1							0.2	0.1	0.1	100.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	0.1	-	-	-							0.1	0.1	-	0.0%
Total Disbursements	-	-	0.1	0.1	-	0.1	-	-	-	-	-	-	0.3	0.2	0.1	50.0%
Excess (Deficiency) of Receipts over Disbursements	0.1	0.2	1.2	0.6	(0.2)	0.5	-	-	-	-	-	-	2.4	(0.2)	2.6	1,300.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	0.2	1.2	0.6	(0.2)	0.5	-	-	-	-	-	-	2.4	(0.2)	2.6	1,300.0%
Ending Fund Balance	\$ 40.3	\$ 40.5	\$ 41.7	\$ 42.3	\$ 42.1	\$ 42.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 42.6	\$ 14.1	\$ 28.5	202.1%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF SEPTEMBER 2021
(amounts in millions)**

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2021
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.025	\$ 4,382.138	\$ 4,382.113	\$ -
10050-10099-State Operations Account	15,761.679	5,759.834	1,680.262	84.957	19,926.208
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	27.608	-	0.121	-	27.487
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	15,789.287	5,759.859	6,062.521	4,467.070	19,953.695
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.804	0.008	0.005	-	0.807
20100-20299-Combined Expendable Trust	62.996	0.282	0.549	-	62.729
20300-20349-New York Interest on Lawyer Account	119.108	2.446	0.241	-	121.313
20350-20399-NYS Archives Partnership Trust	0.164	-	0.050	-	0.114
20400-20449-Child Performer's Protection	0.605	0.004	0.050	-	0.559
20450-20499-Tuition Reimbursement	8.196	0.520	0.391	-	8.325
20500-20549-New York State Local Government Records Management Improvement	8.526	0.957	0.463	-	9.020
20550-20599-School Tax Relief	0.002	-	(0.001)	-	0.003
20600-20649-Charter Schools Stimulus	5.415	0.001	-	-	5.416
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	236.162	535.135	522.593	(0.320)	248.384
20850-20899-Dedicated Mass Transportation Trust	64.937	47.419	49.100	15.665	78.921
20900-20949-State Lottery	1,303.859	340.001	2,356.038	-	(712.178)
20950-20999-Combined Student Loan	18.160	1.191	0.102	-	19.249
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.439)	-	0.062	-	(3.501)
21050-21149-Encon Special Revenue	(2.371)	8.062	9.106	1.835	(1.580)
21150-21199-Conservation	101.290	9.652	4.160	(0.660)	106.122
21200-21249-Environmental Protection and Oil Spill Compensation	11.980	3.680	1.999	(1.754)	11.907
21250-21299-Training and Education Program on OSHA	3.760	13.121	3.503	-	13.378
21300-21349-Lawyers' Fund for Client Protection	9.146	0.985	0.082	-	10.049
21350-21399-Equipment Loan for the Disabled	0.523	0.001	0.014	-	0.510
21400-21449-Mass Transportation Operating Assistance	321.166	454.217	161.594	5.790	619.579
21450-21499-Clean Air	(34.207)	3.301	2.744	-	(33.650)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.612	0.064	0.233	-	12.443
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.468	-	-	-	0.468
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.614	0.001	-	-	0.615
21900-22499-Miscellaneous State Special Revenue	1,792.565	248.456	307.872	36.581	1,769.730
22500-22549-Court Facilities Incentive Aid	23.796	0.001	3.037	-	20.760

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF SEPTEMBER 2021
(amounts in millions)**

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2021
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	2,531.895	576.130	664.989	111.595	2,554.631
22700-22749-Chemical Dependence Service	6.832	0.371	0.739	-	6.464
22750-22799-Lake George Park Trust	0.731	0.002	0.239	-	0.494
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	56.567	26.257	0.837	-	81.987
22850-22899-New York Great Lakes Protection	0.528	-	0.019	-	0.509
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	10.540	0.001	0.045	-	10.496
23000-23049-NYS/DOT Highway Safety Program	(17.860)	-	0.345	-	(18.205)
23050-23099-Vocational Rehabilitation	0.043	0.014	-	-	0.057
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(42.069)	0.001	4.272	-	(46.340)
23200-23249-Judiciary Data Processing Offset	70.855	9.457	4.639	-	75.673
23500-23549-USOC Lake Placid Training	0.255	0.001	-	-	0.256
23550-23599-Indigent Legal Services	597.720	39.472	17.595	-	619.597
23600-23649-Unemployment Insurance Interest and Penalty	24.996	0.001	0.002	(11.305)	13.690
23650-23699-MTA Financial Assistance Fund	164.209	0.005	61.475	12.625	115.364
23700-23749-New York State Commercial Gaming Fund	67.839	19.471	1.245	-	86.065
23750-23799-Medical Marihuana Trust Fund	21.017	1.014	0.573	0.354	21.812
23800-23899-Dedicated Miscellaneous State Special Revenue	5.543	0.209	0.124	-	5.628
24800-24849-NYS Cannabis Revenue	-	-	-	-	-
24850-24899-Health Care Transformation	254.725	0.011	-	-	254.736
24900-24949-Charitable Gifts Trust Fund	0.005	-	-	-	0.005
24950-24999-Interactive Fantasy Sports	22.348	0.321	-	-	22.669
40350-40399-State University Dormitory Income	269.958	82.035	-	(23.442)	328.551
TOTAL SPECIAL REVENUE FUNDS-STATE	8,108.311	2,424.278	4,181.125	146.964	6,498.428
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	(29.285)	122.540	107.637	(16.902)	(31.284)
25100-25199-Federal Health and Human Services	3,435.130	6,560.315	5,415.484	(143.787)	4,436.174
25200-25249-Federal Education	(36.268)	458.949	469.938	(2.015)	(49.272)
25300-25899, 25951-Federal Miscellaneous Operating Grants	15,064.111	160.971	648.238	(1.175)	14,575.669
25900-25949-Unemployment Insurance Administration	164.057	83.898	52.476	-	195.479
25950, 25952-25999-Unemployment Insurance Occupational Training	(0.491)	0.204	0.258	-	(0.545)
26000-26049-Federal Employment and Training Grants	(5.495)	19.658	16.217	-	(2.054)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	18,591.759	7,406.535	6,710.248	(163.879)	19,124.167
TOTAL SPECIAL REVENUE FUNDS	26,700.070	9,830.813	10,891.373	(16.915)	25,622.595
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	43.625	20.123	-	(45.927)	17.821
40150-40199-General Debt Service	906.499	4,040.116	743.159	(4,084.571)	118.885
40250-40299-State Housing Debt Service	-	1.732	1.280	(0.452)	-
40300-40349-Department of Health Income	27.112	21.291	-	(7.556)	40.847
40400-40449-Clean Water/Clean Air	4.832	133.289	-	(131.449)	6.672
40450-40499-Local Government Assistance Tax	-	407.541	-	(407.541)	-
TOTAL DEBT SERVICE FUNDS	982.068	4,624.092	744.439	(4,677.496)	184.225

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2021-2022
FOR THE MONTH OF SEPTEMBER 2021
(amounts in millions)**

SCHEDULE 1

	BALANCE			OTHER FINANCING	BALANCE
	SEPTEMBER 1, 2021	RECEIPTS	DISBURSEMENTS	SOURCES (USES)	SEPTEMBER 30, 2021
<u>CAPITAL PROJECTS FUNDS</u>					
30000-30049-State Capital Projects	-	332.127	746.315	414.188	-
30050-30099-Dedicated Highway and Bridge Trust	173.460	216.680	176.905	(191.974)	21.261
30100-30299-SUNY Residence Halls Rehabilitation and Repair	120.364	0.005	2.190	0.180	118.359
30300-30349-New York State Canal System Development	14.178	4.000	-	-	18.178
30350-30399-Parks Infrastructure	(87.842)	8.988	10.266	-	(89.120)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	87.612	14.258	19.882	-	81.988
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	17.210	-	-	-	17.210
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.550	-	-	-	5.550
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(905.530)	125.069	224.770	-	(1,005.231)
31450-31499-Forest Preserve Expansion	1.083	-	-	-	1.083
31500-31549-Hazardous Waste Remedial	(77.724)	2.331	9.221	(2.206)	(86.820)
31650-31699-Suburban Transportation	0.540	-	-	-	0.540
31700-31749-Division for Youth Facilities Improvement	(18.476)	-	0.409	-	(18.885)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(644.876)	-	37.150	-	(682.026)
31900-31949-Natural Resource Damage	17.647	0.036	0.056	-	17.627
31950-31999-DOT Engineering Services	(11.952)	-	-	-	(11.952)
32200-32249-Miscellaneous Capital Projects	139.120	1.400	3.632	1.027	137.915
32250-32299-CUNY Capital Projects	0.059	-	-	-	0.059
32300-32349-Mental Hygiene Facilities Capital Improvement	(419.827)	0.248	28.708	-	(448.287)
32350-32399-Correction Facilities Capital Improvement	(300.095)	0.018	36.932	-	(337.009)
32400-32999-State University Capital Projects	92.013	0.670	7.326	1.493	86.850
33000-33049-NYS Storm Recovery Fund	(58.757)	2.254	0.901	-	(57.404)
33050-33099-Dedicated Infrastructure Investment Fund	56.499	-	17.199	-	39.300
TOTAL CAPITAL PROJECTS FUNDS	(1,798.631)	708.084	1,321.862	222.708	(2,189.701)
TOTAL GOVERNMENTAL FUNDS	\$ 41,672.794	\$ 20,922.848	\$ 19,020.195	\$ (4.633)	\$ 43,570.814

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF SEPTEMBER 2021
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE SEPTEMBER 30, 2021</u>
<u>ENTERPRISE FUNDS</u>					
23250-23449-CUNY Senior College Program	\$ 180.389	\$ 15.752	\$ 6.513	\$ -	\$ 189.628
50000-50049-Youth Commissary	0.110	0.001	0.001	-	0.110
50050-50099-State Exposition Special	3.457	3.026	1.819	-	4.664
50100-50299-Correctional Services Commissary	4.251	3.221	4.060	-	3.412
50300-50399-Agencies Enterprise	15.868	3.917	2.511	-	17.274
50400-50449-Sheltered Workshop	2.206	0.001	0.015	-	2.192
50450-50499-Patient Workshop	2.127	0.076	0.079	-	2.124
50500-50599-Mental Hygiene Community Stores	4.857	0.036	0.076	-	4.817
50650-50699-Unemployment Insurance	11.602	1,425.836	1,424.804	-	12.634
60850-60899-CUNY Senior College Operating	5.853	281.626	255.258	-	32.221
TOTAL ENTERPRISE FUNDS	230.720	1,733.492	1,695.136	-	269.076
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(84.775)	36.761	33.247	1.341	(79.920)
55050-55099-Agency Internal Service	(164.074)	9.721	22.817	3.308	(173.862)
55100-55149-Mental Hygiene Revolving	0.054	0.005	0.073	-	(0.014)
55150-55199-Youth Vocational Education	0.052	-	-	-	0.052
55200-55249-Joint Labor and Management Administration	0.849	-	0.080	-	0.769
55250-55299-Audit and Control Revolving	(55.817)	0.345	4.423	(0.016)	(59.911)
55300-55349-Health Insurance Revolving	(3.833)	-	1.304	-	(5.137)
55350-55399-Correctional Industries Revolving	(28.734)	3.319	4.015	-	(29.430)
TOTAL INTERNAL SERVICE FUNDS	(336.278)	50.151	65.959	4.633	(347.453)
TOTAL PROPRIETARY FUNDS	\$ (105.558)	\$ 1,783.643	\$ 1,761.095	\$ 4.633	\$ (78.377)

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2021-2022
 FOR THE MONTH OF SEPTEMBER 2021
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE</u> <u>SEPTEMBER 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER</u> <u>FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>SEPTEMBER 30, 2021</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (1.706)	\$ 14.333	\$ 12.634	\$ -	\$ (0.007)
TOTAL PENSION TRUST FUNDS	(1.706)	14.333	12.634	-	(0.007)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
22022-College Savings Account	27.336	0.459	0.005	-	27.790
66000-66049-Agriculture Producers' Security	3.181	-	0.023	-	3.158
66050-66099-Milk Producers' Security	11.575	0.060	0.017	-	11.618
TOTAL PRIVATE PURPOSE TRUST FUNDS	42.092	0.519	0.045	-	42.566
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	6.435	0.063	-	-	6.498
60150-60199-Child Performer's Holding	0.572	-	0.002	-	0.570
60200-60249-Employees Health Insurance	1,057.941	992.515	1,018.651	-	1,031.805
60250-60299-Social Security Contribution	15.418	124.881	125.226	-	15.073
60300-60399-Employee Payroll Withholding	2.507	463.756	423.442	-	42.821
60400-60449-Employees Dental Insurance	37.382	6.097	5.527	-	37.952
60450-60499-Management Confidential Group Insurance	1.268	0.920	0.806	-	1.382
60500-60549-Lottery Prize	542.675	130.841	96.067	-	577.449
60550-60599-Health Insurance Reserve Receipts	-	-	-	-	-
60600-60799-Miscellaneous New York State Agency	1,052.073	803.843	785.461	-	1,070.455
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.406	7.379	7.381	-	28.404
60900-60949-Medicaid Management Information System (MMIS) Escrow	95.466	7,522.633	7,021.040	-	597.059
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	402.183	(172.913)	-	-	229.270
61100-61999-State University Federal Direct Lending Program	(18.817)	149.337	140.995	-	(10.475)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,223.509	10,029.352	9,624.598	-	3,628.263
TOTAL FIDUCIARY FUNDS	\$ 3,263.895	\$ 10,044.204	\$ 9,637.277	\$ -	\$ 3,670.822

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2021-2022
FOR THE MONTH OF SEPTEMBER 2021
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE SEPTEMBER 30, 2021</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.890	\$ -	\$ -	\$ 2.890
70093, 70095, 70300-70301-MTA State Assistance	240.466	231.574	257.107	214.933
70050-70149-Sole Custody Investment (*)	2,441.576	2,840.239	2,250.750	3,031.065
70200-Comptroller's Refund Account	-	246.901	246.901	-
TOTAL ACCOUNTS	<u>\$ 2,684.932</u>	<u>\$ 3,318.714</u>	<u>\$ 2,754.758</u>	<u>\$ 3,248.888</u>

(*) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2021, \$9,548,969.66 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2021-2022

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2021	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPTEMBER 30, 2021	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2021	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2021		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2021
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 9,992,174	\$ -	\$ -	\$ -	\$ 785,635	\$ 9,206,539	\$ -	\$ 157,684
Clean Water/Clean Air:								
Air Quality	1,321,445	-	-	-	-	1,321,445	-	20,042
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	277,661,899	-	-	-	9,125,472	268,536,427	1,208,047	3,938,505
Solid Waste	11,083,955	-	-	-	163,906	10,920,049	7,739	195,524
Environmental Restoration	36,701,555	-	-	-	165,000	36,536,555	416,390	548,903
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,022,456	-	-	-	263,713	758,743	-	22,550
Environmental Quality (1972):								
Air	-	-	-	-	-	-	-	-
Land and Wetlands	4,267,512	-	-	-	25,000	4,242,512	96,534	103,951
Water	5,538,761	-	-	1,005,000	1,720,000	3,818,761	30,944	101,730
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	2,692,870	-	-	-	202,816	2,490,054	19,795	47,299
Solid Waste Management	83,003,304	-	-	-	7,383,811	75,619,493	334,942	1,386,506
Housing:								
Low Income	4,115,000	-	-	-	-	4,115,000	-	-
Middle Income	1,795,000	-	-	1,280,000	1,280,000	515,000	-	-
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	13,485,946	-	-	-	833,700	12,652,246	25,134	221,977
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	562,450,682	-	-	-	-	562,450,682	4,898,911	7,956,515
Canals and Waterways	7,620,311	-	-	-	-	7,620,311	77,367	150,656
Aviation	40,464,691	-	-	-	-	40,464,691	159,078	543,569
Rail and Port	90,201,189	-	-	-	-	90,201,189	291,783	1,321,629
Mass Transit - Dept. of Transportation	13,929,540	-	-	-	-	13,929,540	228,616	271,912
Mass Transit - Metropolitan Transportation Authority	691,109,424	-	-	-	-	691,109,424	4,965,225	10,070,016
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	357,668	-	-	-	-	357,668	7,665	8,101
Rapid Transit, Rail and Aviation	1,563,392	-	-	-	393,136	1,170,256	-	37,391
Smart Schools Bond Act	306,942,604	-	-	-	-	306,942,604	4,191,535	5,959,880
Transportation Capital Facilities:								
Aviation	1,648,621	-	-	-	837,811	810,810	-	40,662
Mass Transportation	-	-	-	-	-	-	-	-
Total General Obligation Bonded Debt	\$ 2,168,969,999	\$ -	\$ -	\$ 2,285,000	\$ 23,180,000	\$ 2,145,789,999	\$ 16,959,705	\$ 33,105,002

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2021

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	REVENUE BOND	6 MONTHS ENDED SEPTEMBER 30		
	RESERVE (40000-40049)	SERVICE (40151)	INCOME (40300-40349)	ASSISTANCE TAX (40450-40499)	SERVICES (*) (40100-40149)	TAX (*) (40152)	TAX (40154)	2021	2020	
Payments to Public Authorities:										
City University Construction	\$ -	\$ 21,210,856	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 21,210,856	\$ -	\$ 21,210,856
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	550,857,612	29,531,110	580,388,722	797,263,004	(216,874,282)
Department of Health Facilities	-	-	12,067,914	-	-	-	-	12,067,914	12,802,026	(734,112)
Mental Health Facilities	-	-	-	-	(144,157)	-	-	(144,157)	8,615,353	(8,759,510)
Secured Hospital Program	-	1,627,568	-	-	-	-	-	1,627,568	2,495,165	(867,597)
SUNY Community Colleges	-	10,879,525	-	-	-	-	-	10,879,525	8,347,200	2,532,325
SUNY Educational Facilities	-	105,416,691	-	-	-	-	-	105,416,691	-	105,416,691
Environmental Facilities Corporation	-	-	-	-	-	-	-	-	430,631	(430,631)
Housing Finance Agency	-	1,772,122	-	-	-	-	-	1,772,122	15,828,552	(14,056,430)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	40,584,750	-	-	-	-	-	40,584,750	59,613,177	(19,028,427)
Local Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	4,675,750	-	4,675,750	17,821,175	(13,145,425)
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	2,180,971	-	-	-	-	-	2,180,971	4,297,108	(2,116,137)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	361,261,266	-	361,261,266	286,525,473	74,735,793
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	11,603	(11,603)
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 183,672,483	\$ 12,067,914	\$ -	\$ (144,157)	\$ 916,794,628	\$ 29,531,110	\$ 1,141,921,978	\$ 1,214,050,467	\$ (72,128,489)

(*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2021
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)**

SCHEDULE 6

	<u>MONTH OF SEPTEMBER 2021</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE</u>
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 49,580.8	\$ 40,589.3	\$ 28,024.7
AVERAGE YIELD (**)	0.053%	0.054%	0.264%
TOTAL INVESTMENT EARNINGS	\$ 2.134	\$ 11.249	\$ 37.316

Month-End Portfolio Balances		
<u>DESCRIPTION</u>	<u>SEPTEMBER 2021 PAR AMOUNT</u>	<u>SEPTEMBER 2020 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ 30,793.3	\$ 18,192.3
REPURCHASE AGREEMENTS	291.3	20.9
GOVT. SPONSORED AGENCIES	700.0	143.1
COMMERCIAL PAPER	15,583.9	14,061.2
CERTIFICATES OF DEPOSIT/SAVINGS	2,940.4	2,902.9
0% COMPENSATING BALANCE CDs	923.0	48.0
	<u>\$ 51,231.9</u>	<u>\$ 35,368.4</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2021-2022

APPENDIX A

	2021										2022			6 Months Ended September 30, 2021
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$ 15,864,357	\$ 114,747,009	\$ 145,654,657	\$ 159,720,053	\$ 245,054,517	\$ 236,162,456							\$ 15,864,357	
RECEIPTS:														
Cigarette Tax	69,708,587	51,733,707	64,925,784	59,829,609	62,332,930	56,116,441							364,647,058	
State Share of NYC Cigarette Tax	1,648,000	1,459,000	1,274,000	1,459,000	1,676,000	1,306,000							8,989,000	
Vapor Excise Tax	195,233	36,284	6,603,432	121,491	8,416	7,791,035							14,755,891	
STIP Interest	25,976	16,935	19,037	14,171	14,291	22,003							112,413	
Public Asset Transfers	-	-	-	-	-	-							-	
Assessments	414,748,474	457,225,416	477,819,714	458,852,224	457,886,237	461,408,108							2,727,940,173	
Fees	279,000	75,000	757,099	897,000	1,566,000	618,000							4,192,099	
Rebates	4,640,806	263,285	6,664,296	5,005,057	1,011,717	7,553,581							25,138,742	
Restitution and Settlements	-	-	-	-	-	-							-	
Miscellaneous	-	1,104	3,026,622	8,171	18,066	-							3,053,963	
Total Receipts	491,246,076	510,810,731	561,089,984	526,403,723	524,143,657	535,135,168	-	-	-	-	-	-	3,148,829,339	
DISBURSEMENTS:														
Grants	389,370,867	471,920,457	537,645,318	431,140,487	525,222,097	516,132,496							2,871,431,722	
Interest - Late Payments	47	108	157	1,060	1,583	1,361							4,316	
Personal Services	927,463	929,434	521,245	742,436	1,060,813	1,319,948							5,501,339	
Non-Personal Service	952,452	6,137,767	6,694,728	2,687,862	5,697,716	4,428,571							26,599,096	
Employee Benefits/Indirect Costs	577,094	581,800	737,800	148,953	661,882	711,327							3,418,856	
Total Disbursements	391,827,923	479,569,566	545,599,248	434,720,798	532,644,091	522,593,703	-	-	-	-	-	-	2,906,955,329	
OPERATING TRANSFERS:														
Transfers to Capital Projects Fund	-	-	-	-	-	-							-	
Transfers to General Fund	-	1,104	602,000	6,229,171	-	-							6,832,275	
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	
Transfers to Miscellaneous Special Revenue Fund:														
Administration Program Account	326,891	-	340,322	-	-	-							667,213	
Empire State Stem Cell Trust Account	-	-	-	-	-	-							-	
Transfers to SUNY Income Fund	208,610	332,413	483,018	119,290	391,627	320,003							1,854,961	
Total Operating Transfers	535,501	333,517	1,425,340	6,348,461	391,627	320,003	-	-	-	-	-	-	9,354,449	
Total Disbursements and Transfers	392,363,424	479,903,083	547,024,588	441,069,259	533,035,718	522,913,706	-	-	-	-	-	-	2,916,309,778	
CLOSING CASH BALANCE	\$ 114,747,009	\$ 145,654,657	\$ 159,720,053	\$ 245,054,517	\$ 236,162,456	\$ 248,383,918	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 248,383,918	

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2021-2022

APPENDIX B

Program/Purpose	Appropriation Amount (*)	September	6 Months Ended September 30, 2021 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	8,827,000.00	257,051.32	1,160,955.29
CENTER FOR COMMUNITY HLTH	8,827,000.00	257,051.32	1,160,955.29
CHILD HEALTH INSURANCE PROGRAM	1,901,406,000.00	106,671,488.08	348,038,338.36
CHILD HEALTH INSURANCE	1,901,406,000.00	106,671,488.08	348,038,338.36
COMMUNITY SUPPORT PROGRAM	120,000.00	-	-
COMMUNITY SUPPORT	120,000.00	-	-
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	234,330,000.00	9,986,691.92	52,629,230.07
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	234,330,000.00	9,986,691.92	52,629,230.07
HEALTH CARE REFORM ACT PROGRAM	1,240,915,059.03	961,130.84	177,861,514.61
AIDS DRUG ASSISTANCE	82,100,000.00	-	-
AMBULATORY CARE TRAINING	3,537,000.00	(2,105.47)	(2,105.47)
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	-
COMMISSIONER EMERGENCY DISTRIBUTIONS	5,800,000.00	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	108,800,000.00	-	-
DIVERSITY IN MEDICINE	4,782,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	6,890,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	214,000.00	2,272,295.00
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	9,600,000.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	-	42,156.42
INFERTILITY SERVICES GRANTS	5,733,000.00	205,241.22	312,094.61
MEDICAL INDEMNITY FUND	52,000,000.00	-	52,000,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	289,283.89
PHYSICIAN EXCESS MEDICAL MALPRACTICE	207,200,000.00	-	81,578,175.00
PHYSICIAN LOAN REPAYMENT	36,260,000.00	44,515.00	1,918,522.46
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	-
POISON CONTROL CENTERS	8,720,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	243,988.43	1,652,826.93
ROSWELL PARK CANCER INSTITUTE	89,426,000.00	-	25,732,000.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	15,950,000.00	-	-
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	18,820,000.00	255,491.66	2,466,265.77
RURAL HEALTH CARE GRANTS	2,200,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	-
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,799,805,000.00	400,548,411.18	2,309,267,810.07
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,387,400,000.00	50,548,411.18	309,267,810.07
MEDICAL ASSISTANCE	23,129,205,000.00	350,000,000.00	2,000,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	85,091,000.00	2,912,960.24	13,893,208.83
NEW YORK STATE OF HEALTH ADMINISTRATION	85,091,000.00	2,912,960.24	13,893,208.83
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	35,795,000.00	1,180,625.65	4,494,025.44
OFFICE HEALTH SYSTEMS MANAGEMENT	35,795,000.00	1,180,625.65	4,494,025.44
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	396,232.20	1,466,093.40
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	396,232.20	1,466,093.40
TOTAL	32,316,313,059.03	522,914,591.43	2,908,811,176.07
Reclass of SUNY Hospital Disprop Share to Transfer		(320,002.65)	(1,854,961.45)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		(885.28)	(885.28)
TOTAL REPORTED AMOUNT	\$ 32,316,313,059.03	\$ 522,593,703.50	\$ 2,906,955,329.34

(*) Includes amounts appropriated in SFY 2021-22, as well as prior year appropriations that were reappropriated.
 (**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
 (***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2021-2022

	1st Quarter APRIL - JUNE	2021 JULY	2021 AUGUST	2021 SEPTEMBER	2021-2022
OPENING CASH BALANCE	\$ 293,876,869.61	\$ 340,535,703.17	\$ 351,129,809.02	\$ 349,663,802.91	\$ 293,876,869.61
RECEIPTS:					
Patient Services	989,188,683.61	338,440,078.85	309,622,630.51	341,397,849.88	1,978,649,242.85
Covered Lives	249,351,336.48	80,837,002.88	79,960,166.04	84,310,348.38	494,458,853.78
Provider Assessments	26,801,341.23	9,201,453.33	9,294,330.35	6,664,575.92	51,961,700.83
1% Assessments	119,091,702.00	37,196,732.00	39,821,503.00	35,426,678.00	231,536,615.00
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	3,690.30	1,226.45	1,270.31	1,188.87	7,375.93
Unassigned	(357,587.66)	(955,771.00)	13,126,514.00	(13,047,651.00)	(1,234,495.66)
Total Receipts	1,384,079,165.96	464,720,722.51	451,826,414.21	454,752,990.05	2,755,379,292.73
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-
Total Program Disbursements	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,384,079,165.96	464,720,722.51	451,826,414.21	454,752,990.05	2,755,379,292.73
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,373,155.00	4,725,578.00	4,593,788.00	4,826,560.00	26,519,081.00
Transfers From State Funds:					
HCRA Resources Fund	-	-	-	-	-
Total Other Financing Sources	12,373,155.00	4,725,578.00	4,593,788.00	4,826,560.00	26,519,081.00
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(1,349,793,487.40)	(458,852,194.66)	(457,886,208.32)	(461,408,070.66)	(2,727,939,961.04)
Indigent Care Fund - Matched	-	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-	-
Total Other Financing Uses	(1,349,793,487.40)	(458,852,194.66)	(457,886,208.32)	(461,408,070.66)	(2,727,939,961.04)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	46,658,833.56	10,594,105.85	(1,466,006.11)	(1,828,520.61)	53,958,412.69
CLOSING CASH BALANCE	\$ 340,535,703.17	\$ 351,129,809.02	\$ 349,663,802.91	\$ 347,835,282.30	\$ 347,835,282.30

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2021-2022

	1st Quarter APRIL - JUNE	2021 JULY	2021 AUGUST	2021 AUGUST	2021-2022
OPENING CASH BALANCE	\$ 55,655.52	\$ 33,659.27	\$ 15,807.40	\$ 27,092.65	\$ 55,655.52
RECEIPTS:					
Interest Income	63.25	28.57	37.47	13.52	142.81
Total Receipts	63.25	28.57	37.47	13.52	142.81
PROGRAM DISBURSEMENTS:					
Indigent Care	(159,709,275.51)	(53,405,218.63)	(53,405,218.63)	(53,405,218.63)	(319,924,931.40)
High Need Indigent Care	-	-	-	-	-
Other	(162,011.93)	-	6,651,912.01	2,816,019.40	9,305,919.48
Total Program Disbursements	(159,871,287.44)	(53,405,218.63)	(46,753,306.62)	(50,589,199.23)	(310,619,011.92)
Excess (Deficiency) of Receipts over Disbursements	(159,871,224.19)	(53,405,190.06)	(46,753,269.15)	(50,589,185.71)	(310,618,869.11)
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	69,945,871.37	23,391,485.76	23,391,485.76	23,391,485.76	140,120,328.65
HCRA Resources Indigent Care - Unmatched	161,543.16	(17,851.81)	(6,640,635.66)	(2,801,821.24)	(9,298,765.55)
Federal DHHS Fund	89,747,898.87	30,013,732.87	30,013,732.87	30,013,732.87	179,789,097.48
Other	-	-	-	-	-
Total Other Financing Sources	159,855,313.40	53,387,366.82	46,764,582.97	50,603,397.39	310,610,660.58
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(116.96)	(28.63)	(28.57)	(37.47)	(211.63)
CSRA Inc (eMedNY) General Fund	(5,968.50)	-	-	-	(5,968.50)
Total Other Financing Uses	(6,085.46)	(28.63)	(28.57)	(37.47)	(6,180.13)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(21,996.25)	(17,851.87)	11,285.25	14,174.21	(14,388.66)
CLOSING CASH BALANCE	\$ 33,659.27	\$ 15,807.40	\$ 27,092.65	\$ 41,266.86	\$ 41,266.86

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2021-2022
(amounts in thousands)**

APPENDIX E

	<u>2021</u> <u>APRIL</u>	<u>2021</u> <u>MAY</u>	<u>2021</u> <u>JUNE</u>	<u>2021</u> <u>JULY</u>	<u>2021</u> <u>AUGUST</u>	<u>2021</u> <u>SEPTEMBER</u>	<u>2021</u> <u>OCTOBER</u>	<u>2021</u> <u>NOVEMBER</u>	<u>2021</u> <u>DECEMBER</u>	<u>2022</u> <u>JANUARY</u>	<u>2022</u> <u>FEBRUARY</u>	<u>2022</u> <u>MARCH</u>	<u>2021-2022</u> <u>TOTAL</u>
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7							\$ 7
Education - EXCEL	34	2,058	1,281	-	192	-							3,565
Department of Health - All Other	-	-	-	-	-	-							-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	70	-	-							70
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	70	181	554	125	411	543							1,884
Multi-modal	-	-	-	-	-	-							-
GenNYsis	-	-	-	-	-	-							-
CUNY Senior Colleges	-	-	-	-	-	-							-
CUNY Community Colleges	-	-	-	-	-	-							-
Brooklyn Court Officer Training Academy	21	-	42	-	-	-							63
TOTAL DORMITORY AUTHORITY	125	2,239	1,877	195	603	550	-	-	-	-	-	-	5,589
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-							-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-							-
Empire Opportunity	-	-	-	-	-	-							-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-							-
State Facilities and Equipment	-	-	-	-	-	-							-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 125	\$ 2,239	\$ 1,877	\$ 195	\$ 603	\$ 550	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,589

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2021	July 31, 2021	August 31, 2021	Change	September 30, 2021
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ -
	TOTAL GENERAL FUND	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	-	-	-	44,726,584.38	44,726,584.38
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	66,042,173.20	74,888,210.25	87,842,261.82	1,278,509.25	89,120,771.07
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	111,388,431.64	116,843,135.51	122,167,002.33	8,638,423.79	130,805,426.12
31701	YOUTH FACILITIES IMPROVEMENT	17,477,826.11	17,932,224.27	18,475,632.28	409,131.09	18,884,763.37
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	411,069,568.87	441,855,811.87	452,422,178.87	36,144,314.00	488,566,492.87
31852	HOUSING PROG FD AFFORD HSG CORP	46,318,347.02	46,999,719.02	46,999,719.02	1,005,728.00	48,005,447.02
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	123,746,517.14	145,746,517.14	145,746,517.14	-	145,746,517.14
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,951,592.86	11,951,595.39	11,951,595.39	2.46	11,951,597.85

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2021	July 31, 2021	August 31, 2021	Change	September 30, 2021
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	6,528,054.87	6,609,496.97	6,715,162.90	824,667.95	7,539,830.85
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	281.25	281.25
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	74,666,090.73	75,303,599.04	76,919,643.68	1,872,858.56	78,792,502.24
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	187,964,640.21	187,046,790.09	187,354,499.77	600,000.00	187,954,499.77
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	7,893,352.32	7,893,352.32	7,893,352.32	-	7,893,352.32
32308	DASNY - OASAS ADMIN	2,089,088.09	2,089,088.09	2,089,088.09	-	2,089,088.09
32309	OMH - STATE FACILITIES	109,095,443.82	117,159,978.00	124,880,253.74	18,244,198.72	143,124,452.46
32310	OPWDD - STATE FACILITIES	29,210,987.12	29,296,905.34	32,257,758.34	6,923,342.27	39,181,100.61
32311	OASAS - STATE FACILITIES	2,572,582.78	2,572,582.78	2,604,621.98	1,067,921.95	3,672,543.93
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	249,072,740.98	279,292,954.07	300,095,112.77	36,913,945.75	337,009,058.52
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	61,263,748.10	61,877,918.20	63,092,260.56	(5,688,704.46)	57,403,556.10
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,531,446,902.92	1,638,455,595.41	1,702,602,378.06	152,961,204.96	1,855,563,583.02
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	2,010,736.06	40,292,806.60	(33,328,511.92)	6,964,294.68
20818	EPIC PREMIUM ACCOUNT	-	-	1,431,414.76	(1,431,414.76)	-
20901	LOTTERY-EDUCATION	-	-	-	1,475,516,971.83	1,475,516,971.83
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,370,147.32	3,404,514.02	3,438,880.72	62,201.23	3,501,081.95
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	199.99	199.99	199.99	-	199.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	2,215,821.04	3,047,075.61	377,344.16	893,966.44	1,271,310.60
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,845,719.60	4,011,022.45	3,623,489.57	284,803.32	3,908,292.89
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	67,530,934.74	71,429,506.64	72,218,494.08	2,136,381.53	74,354,875.61
21082	NATURAL RESOURCES ACCOUNT	15,847,704.98	15,598,236.07	15,331,378.94	260,332.09	15,591,711.03
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	11,279.96	-	64.25	(64.25)	-
21202	HEALTH DEPT OIL SPILL	-	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	46,785.85	5,365.85	-	574.00	574.00
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	36,121,060.76	36,621,804.17	37,068,640.45	650,953.95	37,719,594.40
21452	MOBILE SOURCE	31,799.56	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	9,386,771.55	1,949,428.65	6,242,917.66	1,516,761.26	7,759,678.92
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	509,423.54	203,123.27	313,183.21	193,119.16	506,302.37
21912	RACING REGULATION ACCOUNT	4,973,721.60	3,947,736.54	4,649,675.16	(240,624.75)	4,409,050.41
21937	SU DORM INCOME REIMBURSE	215,711.72	597,460.05	189,699.51	102,259.42	291,958.93
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	540,292.31	450,383.72	223,940.20	7,290.01	231,230.21
21962	CLINICAL LAB FEE	9,993,560.00	10,272,852.75	8,340,403.85	(397,868.32)	7,942,535.53
21978	INDIRECT COST RECOVERY	35,364.34	-	-	2,870,703.12	2,870,703.12
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	610,893.40	444,888.42	520,924.14	(139,144.50)	381,779.64
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	18,000.51	15,581.59	29,810.04	4,582.94	34,392.98
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	7,665,544.01	6,012,558.06	6,510,690.94	799,798.62	7,310,489.56
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	930,669.96	385,341.10	675,928.05	447,103.74	1,123,031.79
22046	REGULATION INDIAN GAMING	100,974,321.14	101,422,181.41	101,769,828.62	763,626.12	102,533,454.74

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2021	July 31, 2021	August 31, 2021	Change	September 30, 2021
22053	ROME SCHOOL FOR THE DEAF	3,331,812.71	2,627,388.24	3,092,914.19	596,348.82	3,689,263.01
22054	DSP-SEIZED ASSETS	64,545.50	-	-	-	-
22055	ADMINISTRATIVE ADJUDICATION	46,585,537.84	46,076,509.55	41,923,286.23	1,168,586.60	43,091,872.83
22056	FEDERAL SALARY SHARING	492,332.40	603,326.82	714,393.92	144,399.29	858,793.21
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	-	-	-	-	-
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	2,713,150.85	2,901,624.69	3,087,052.87	249,078.86	3,336,131.73
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	12,662,667.45	12,886,980.34	13,565,918.50	212,299.78	13,778,218.28
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	119,000.23	188,226.07	241,356.09	(97,718.63)	143,637.46
22156	RENT REVENUE OTHER - NYC	-	-	1,154,510.86	5,946,902.10	7,101,412.96
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	1,597,471.15	1,739,009.09	1,873,300.98	165,260.34	2,038,561.32
22246	BEHAVIORAL HEALTH PARITY COMPLIANCE FUND	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	20,681,638.25	20,682,452.78	20,683,236.58	861.53	20,684,098.11
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	17,373,720.04	17,633,177.47	17,859,634.90	345,577.18	18,205,212.08
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	37,175,468.65	39,593,606.09	42,068,515.76	4,271,214.81	46,339,730.57
23702	COMMERCIAL GAMING REGULATION	23,330,986.14	23,663,056.43	24,107,595.16	408,988.82	24,516,583.98
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	86,832.85	86,832.85	123,255.43	-	123,255.43
	TOTAL STATE SPECIAL REVENUE FUNDS	436,441,841.64	435,863,136.54	479,095,636.07	1,464,385,599.78	1,943,481,235.85
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	37,672,612.47	133,780,594.05	33,589,018.05	1,770,431.56	35,359,449.61
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	1,198,046,246.39	497,912,864.90	807,030,432.35	(136,714,121.76)	670,316,310.59
25200-25249	FEDERAL EDUCATION GRANTS FUND	31,582,575.77	45,834,057.21	40,461,589.06	12,804,962.98	53,266,552.04
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	538,608,482.41	564,479,604.33	524,272,507.95	44,787,732.32	569,060,240.27
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	832,053,228.03	877,883,127.31	862,165,930.94	93,088,517.44	955,254,448.38
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	134,776,501.88	113,553,690.44	106,505,894.41	6,596,434.01	113,102,328.42
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	104,420,129.28	64,556,111.78	53,685,610.90	(34,773,338.55)	18,912,272.35
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	432,562.15	468,525.14	490,961.14	55,117.00	546,078.14
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	5,017,803.97	12,918,019.11	5,494,904.41	(3,441,326.58)	2,053,577.83
	TOTAL FEDERAL FUNDS	2,891,364,075.01	2,320,140,526.93	2,442,450,781.87	(15,825,591.58)	2,426,625,190.29
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	1,098,830.91	1,124,270.70	1,209,303.63	6,124.48	1,215,428.11
50327	EMPIRE PLAZA GIFT SHOP	306,403.29	310,080.93	322,747.92	3,515.60	326,263.52
	TOTAL ENTERPRISE FUND	1,405,234.20	1,434,351.63	1,532,051.55	9,640.08	1,541,691.63
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	813,075.59	787,671.65	768,506.35	47,789.08	816,295.43
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	37,385.82	38,144.90	31,016.05	(102.65)	30,913.40
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,063,927.81	1,979,716.44	1,632,826.56	(189,675.88)	1,443,150.68
55008	CENTRALIZED SERVICES-PASNY	15,703,765.46	17,551,098.23	20,098,744.63	(2,873,613.32)	17,225,131.31
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	8,935,869.21	10,510,266.85	11,830,107.48	(1,532,619.92)	10,297,487.56
55011	CENTRALIZED SERVICES-INSURANCE	5,174,413.72	3,667,416.52	5,326,626.44	(5,326,626.44)	-
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	176,261.32	197,672.32	186,169.06	(4,264.00)	181,905.06
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-HMICS	1,030,741.49	1,208,006.69	1,142,959.02	(35,749.65)	1,107,209.37
55017	DOWNSTATE WAREHOUSE	234,618.33	265,411.69	333,864.57	(76,615.01)	257,249.56

(**)

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2021	July 31, 2021	August 31, 2021	Change	September 30, 2021
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	76,090,731.73	80,918,696.85	79,200,309.39	716,299.52	79,916,608.91
55021	NYS MEDIA CENTER	11,386,522.31	11,679,592.14	12,037,834.53	425,507.21	12,463,341.74
55022	BUSINESS SERVICES CENTER	7,182,232.43	9,418,091.80	11,525,013.03	3,307,506.96	14,832,519.99
55052	ARCHIVES RECORD MGMT I.S.	70,888.26	129,280.63	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55055	CIVIL SERVICE ADMINISTRATION ACCOUNT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	15,764.35	5,463.94	1,336,235.15	(1,264,812.22)	71,422.93
55058	CULTURAL RESOURCE SURVEY	4,359,448.91	4,659,969.05	4,928,906.95	360,265.79	5,289,172.74
55059	NEIGHBOR WORK PROJECT	11,296,993.68	11,269,402.91	11,314,556.85	150,359.77	11,464,916.62
55060	AUTOMATIC/PRINT CHARGBACKS	3,656,916.87	1,428,528.32	2,175,502.42	(2,175,502.42)	-
55061	OFT NYT ACCT	-	-	-	-	-
55062	DATA CENTER ACCOUNT	90,994,888.51	90,994,888.51	89,972,432.05	(400,468.31)	89,571,963.74
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	73,887.50	60,178.03	50,953.68	14,222.35	65,176.03
55069	CENTRALIZED TECHNOLOGY SERVICES	88,661,165.73	88,424,616.49	87,233,540.49	10,339,468.61	97,573,009.10
55071	LABOR CONTACT CENTER ACCT	976,318.57	1,242,037.90	1,589,170.54	280,622.12	1,869,792.66
55072	HUMAN SERVICES CONTACT CNTR ACCT	-	429,957.28	133,104.21	1,728,247.12	1,861,351.33
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	9,484,210.33	9,801,046.37	9,709,257.68	(53,374.48)	9,655,883.20
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	38,999,924.75	43,652,120.66	46,107,414.03	4,147,864.15	50,255,278.18
55300	HEALTH INSURANCE INTERNAL SERVICE	10,175,309.44	5,132,914.44	-	-	-
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	9,131,455.80	9,276,141.02	9,385,117.64	164,696.39	9,549,814.03
55350	CORR INDUSTRIES INTERNAL SERVICE	28,098,676.34	26,762,384.17	28,734,740.91	695,644.07	29,430,384.98
	TOTAL INTERNAL SERVICE FUNDS	426,086,978.53	432,752,300.07	438,046,493.98	8,445,068.84	446,491,562.82
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 5,286,745,032.30	\$ 4,828,645,910.58	\$ 5,063,727,341.53	\$ 1,609,975,922.08	\$ 6,673,703,263.61

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part JJJ, Section 1, of the Laws of 2021-22. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2021-2022

APPENDIX G

	2021 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2022 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2021
OPENING CASH BALANCE	\$ 96,722,524	\$ 83,609,252	\$ 39,931,404	\$ 84,394,170	\$ 74,940,119	\$ 56,499,178							\$ 96,722,524
RECEIPTS:													
Transfers from General Fund (**)	-	-	70,000,000	-	-	-							70,000,000
Other	1,407	-	-	-	-	-							1,407
Total Receipts	1,407	-	70,000,000	-	-	-	-	-	-	-	-	-	70,001,407
DISBURSEMENTS:													
Affordable and Homeless Housing	731,174	1,659,409	2,836,458	1,124,218	1,913,433	2,199,742							10,464,434
Broadband Initiative	4,076,555	25,384,346	8,629,425	1,424,023	6,832,125	269,424							46,615,898
Downtown Revitalization	379,374	1,452,326	407,573	29,085	450,219	490,042							3,208,619
Empire State Poverty Reduction Initiatives	-	1,446,891	415,672	632,562	514,408	240,587							3,250,120
Health Care / Hospital Initiatives	192,187	415,645	-	672,776	-	665,222							1,945,830
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-							-
Infrastructure Improvements	-	276,017	1,910,168	28,159	1,640,711	2,489,128							6,344,183
Jacob Javits Center Expansion	-	-	-	-	-	-							-
Life Sciences Initiative	-	-	994,530	1,437,634	49,999	2,500,000							4,982,163
Municipal Restructuring / Consolidation Competition	606,923	2,912,068	843,903	12,017	1,256,401	364,844							5,996,156
Penn Station Access	-	-	-	-	-	-							-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(338)	-							(338)
Southern Tier / Hudson Valley Farm Initiative	(101,562)	-	338,083	-	(149,466)	-							87,055
Thruway Stabilization Program	-	-	-	-	-	-							-
Transformative Economic Development Projects	866,444	1,948,402	2,911,422	1,491,953	283,784	260,226							7,762,231
Transportation Capital Plan	-	-	-	-	-	-							-
Upstate Revitalization Program	6,363,584	8,182,744	6,250,000	2,601,624	5,649,665	7,719,461							36,767,078
Total Disbursements	13,114,679	43,677,848	25,537,234	9,454,051	18,440,941	17,198,676	-	-	-	-	-	-	127,423,429
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-							-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	13,114,679	43,677,848	25,537,234	9,454,051	18,440,941	17,198,676	-	-	-	-	-	-	127,423,429
CLOSING CASH BALANCE	\$ 83,609,252	\$ 39,931,404	\$ 84,394,170	\$ 74,940,119	\$ 56,499,178	\$ 39,300,502	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 39,300,502

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law

STATE OF NEW YORK

APPENDIX H

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS⁽¹⁾

FISCAL YEAR 2021-2022

	SEPTEMBER 2021			6 MONTHS ENDED SEPTEMBER 30		
	Department of Health	Other State Agencies	September	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ 60,138,509.00	\$ 60,138,509.00	\$ -	\$ 126,273,514.00	\$ 126,273,514.00
State Share Medicaid	32,725,986.00	13,359,362.16	46,085,348.16	74,550,190.00	11,422,618.65	85,972,808.65
Medical Assistance (OPWDD)	-	479,979,735.00	479,979,735.00	-	990,381,484.79	990,381,484.79
Medical Assistance Administration	4,823,013.05	45,553,772.00	50,376,785.05	31,268,419.87	224,763,431.00	256,031,850.87
Population Health Improvement	-	-	-	-	-	-
Traumatic Brain Injury Services	915,317.23	-	915,317.23	5,552,185.14	-	5,552,185.14
Nursing Home Transition & Diversion	-	-	-	-	-	-
Reducing Maternal Mortality	55,641.63	-	55,641.63	73,022.09	-	73,022.09
New York Connects	-	1,901,428.43	1,901,428.43	-	6,160,584.98	6,160,584.98
Facilitated Enrollment	320,964.33	-	320,964.33	2,026,953.05	-	2,026,953.05
Emergency Medical Transportation	-	-	-	-	-	-
Managed Long-Term Care Ombudsman	-	-	-	2,156,641.19	-	2,156,641.19
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	-	-	-	-	-	-
General Hospitals Safety-Net Providers	1,500,000.00	-	1,500,000.00	1,500,000.00	-	1,500,000.00
Rural Transportation	-	-	-	-	-	-
AIDS Epidemic	994,692.02	-	994,692.02	6,004,589.41	-	6,004,589.41
Fluoridation Systems	-	-	-	-	-	-
Expanding Caregiver Support Services	2,721,867.09	-	2,721,867.09	11,025,236.47	-	11,025,236.47
Provide Affordable Housing	1,303,460.98	2,202,065.41	3,505,526.39	12,399,207.41	7,083,807.95	19,483,015.36
Health Homes Establishment	-	-	-	-	-	-
Community Provider Network	-	-	-	7,338,194.00	-	7,338,194.00
Inpatient Services	69,341,267.14	-	69,341,267.14	306,878,031.14	-	306,878,031.14
Patient Centered Medical Homes	-	-	-	578,400.75	-	578,400.75
Outpatient & Emergency Room Services	2,661,148.00	-	2,661,148.00	71,976,855.72	-	71,976,855.72
Clinic Services	16,993,730.76	-	16,993,730.76	108,181,778.98	-	108,181,778.98
Nursing Home Services	111,566,159.38	-	111,566,159.38	584,417,725.79	-	584,417,725.79
Other Long Term Care Services	(191,538,850.22)	-	(191,538,850.22)	1,948,244,754.35	-	1,948,244,754.35
Managed Care Services	285,487,996.63	-	285,487,996.63	2,626,522,913.47	-	2,626,522,913.47
Pharmacy Services	15,287,462.41	-	15,287,462.41	83,470,116.43	-	83,470,116.43
Transportation Services	14,307,642.40	-	14,307,642.40	67,402,244.28	-	67,402,244.28
Dental Services	281,762.84	-	281,762.84	1,737,080.82	-	1,737,080.82
Non-Institutional & Other	337,169,161.65	15,772,092.00	352,941,253.65	2,488,399,711.91	18,033,689.00	2,506,433,400.91
Medical Services State Facilities	74,896,426.24	-	74,896,426.24	512,123,423.00	-	512,123,423.00
CSEA Family Health Plus Buy In	968,757.25	-	968,757.25	968,757.25	-	968,757.25
DC37 & Teamster Local 858	-	-	-	-	-	-
Medical Assistance (HCRA)	350,000,000.00	-	350,000,000.00	2,000,000,000.00	-	2,000,000,000.00
Indigent Care	50,548,411.18	-	50,548,411.18	309,267,810.07	-	309,267,810.07
Provider Assessments	72,018,000.00	-	72,018,000.00	339,628,000.00	-	339,628,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	165,561,662.36	-	165,561,662.36
TOTAL⁽¹⁾	1,255,350,017.99	618,906,964.00	1,874,256,981.99	11,769,253,904.95	1,384,119,130.37	13,153,373,035.32
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(79,403,824.84)	-	(79,403,824.84)	(722,680,343.26)	-	(722,680,343.26)
TOTAL REPORTED MEDICAID	\$ 1,175,946,193.15	\$ 618,906,964.00	\$ 1,794,853,157.15	\$ 11,046,573,561.69	\$ 1,384,119,130.37	\$ 12,430,692,692.06

⁽¹⁾ General Fund and State Special Revenue Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

Department of Health regularly reclassifies spending between programs, and therefore amounts for any individual program may be restated by DOH.

⁽²⁾Source: Statewide Financial System

STATE OF NEW YORK

APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS^(*)

FISCAL YEAR 2021-2022

	SEPTEMBER 2021			6 MONTHS ENDED SEPTEMBER 30		
	Department of Health	Other State Agencies	September	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 14,416,154.42	\$ -	\$ 14,416,154.42	\$ 77,896,579.51	\$ -	\$ 77,896,579.51
Medical Assistance Administration	-	29,115,190.00	29,115,190.00	407,339.79	178,538,029.00	178,945,368.79
Partnership Plan	-	-	-	-	-	-
Inpatient Services	472,548,934.14	-	472,548,934.14	2,314,312,560.43	-	2,314,312,560.43
Outpatient & Emergency Room Services	29,223,292.46	-	29,223,292.46	202,690,010.53	-	202,690,010.53
Clinic Services	50,193,645.86	-	50,193,645.86	332,704,556.57	-	332,704,556.57
Nursing Home Services	137,232,381.98	-	137,232,381.98	795,798,851.78	-	795,798,851.78
Other Long Term Care Services	1,377,015,420.35	-	1,377,015,420.35	8,876,141,481.47	-	8,876,141,481.47
Managed Care Services	1,516,171,016.79	-	1,516,171,016.79	9,394,729,111.55	-	9,394,729,111.55
Pharmacy Services	32,098,869.56	-	32,098,869.56	213,320,857.68	-	213,320,857.68
Transportation Services	42,930,137.57	-	42,930,137.57	257,328,428.26	-	257,328,428.26
Dental Services	670,338.51	-	670,338.51	5,125,681.18	-	5,125,681.18
Non-Institutional & Other	(254,014,707.24)	6,375,683.00	(247,639,024.24)	(143,732,845.19)	24,766,076.00	(118,966,769.19)
Medical Services State Facilities	-	-	-	458,161,699.40	-	458,161,699.40
Additional DSH Payments SUNY	-	-	-	212,433,000.64	-	212,433,000.64
TOTAL^(**)	3,418,485,484.40	35,490,873.00	3,453,976,357.40	22,997,317,313.60	203,304,105.00	23,200,621,418.60
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	256,569,516.58	-	256,569,516.58	(806,533,221.00)	-	(806,533,221.00)
TOTAL REPORTED MEDICAID^(****)	\$ 3,675,055,000.98	\$ 35,490,873.00	\$ 3,710,545,873.98	\$ 22,190,784,092.60	\$ 203,304,105.00	\$ 22,394,088,197.60

^(*) Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

^(**) Source: Statewide Financial System

^(****) Reported Medicaid spending does not include the Basic Health Plan.