

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
October 2011**



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (*)
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	STATE OPERATING FUNDS						TOTAL		FEDERAL		CAPITAL PROJECTS		SPECIAL REVENUE ELIMINATIONS		TOTAL	
	GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		STATE OPERATING FUNDS		SPECIAL REVENUE						GOVERNMENTAL FUNDS	
	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011
RECEIPTS:																
Personal Income Tax	\$1,540.0	\$15,542.5	\$6.5	\$576.1	\$515.5	\$5,372.9	\$2,062.0	\$21,491.5	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
Consumption/Use Taxes	697.6	5,231.1	185.9	1,321.7	212.3	1,594.0	1,095.8	8,146.8	--	--	46.2	347.2	--	--	1,142.0	8,494.0
Business Taxes	58.5	2,449.4	53.9	709.6	--	--	112.4	3,159.0	--	--	49.4	358.3	--	--	161.8	3,517.3
Other Taxes	97.8	685.7	113.6	753.1	42.9	338.0	254.3	1,776.8	--	--	12.0	59.6	--	--	266.3	1,836.4
Miscellaneous Receipts (8)	146.1	1,373.3	1,233.2	8,620.7	87.2	508.9	1,466.5	10,502.9	12.7	105.9	384.7	2,140.5	--	--	1,863.9	12,749.3
Federal Receipts (1)	--	31.8	--	0.6	--	42.5	--	74.9	3,077.1	24,620.7	148.6	1,144.5	--	--	3,225.7	25,840.1
Total Receipts	2,540.0	25,313.8	1,593.1	11,981.8	857.9	7,856.3	4,991.0	45,151.9	3,089.8	24,726.6	640.9	4,050.1	--	--	8,721.7	73,928.6
DISBURSEMENTS:																
Local Assistance Grants: (1)(2)(7)																
General Purpose	8.7	613.8	--	--	--	--	8.7	613.8	--	--	--	--	--	--	8.7	613.8
Education	1,272.9	9,483.9	109.3	2,953.3	--	--	1,382.2	12,437.2	144.7	2,636.2	0.9	33.0	--	--	1,527.8	15,106.4
Social Services:																
Medicaid (6)	1,193.1	6,922.8	334.4	2,645.6	--	--	1,527.5	9,568.4	1,677.3	14,936.7	--	--	--	--	3,204.8	24,505.1
Other Social Services	346.1	1,743.8	3.2	10.9	--	--	349.3	1,754.7	582.4	2,216.2	--	10.8	--	--	931.7	3,981.7
Health and Environment (6)	124.3	751.0	152.6	938.3	--	--	276.9	1,689.3	65.7	642.3	22.7	250.4	--	--	365.3	2,582.0
Mental Hygiene	0.1	26.4	158.4	853.2	--	--	158.5	879.6	14.4	105.2	5.6	36.2	--	--	178.5	1,021.0
Transportation	0.2	49.0	401.6	2,264.5	--	--	401.8	2,313.5	5.2	30.1	34.8	253.5	--	--	441.8	2,597.1
Criminal Justice	17.7	70.4	5.7	39.7	--	--	23.4	110.1	35.3	181.3	--	--	--	--	58.7	291.4
Emergency Management & Security Service	0.1	4.6	--	--	--	--	0.1	4.6	6.7	45.4	--	--	--	--	6.8	50.0
Miscellaneous	59.3	223.0	18.2	120.2	--	--	77.5	343.2	43.1	361.0	36.1	743.7	--	--	156.7	1,447.9
Total Local Assistance Grants	3,022.5	19,888.7	1,183.4	9,825.7	--	--	4,205.9	29,714.4	2,574.8	21,154.4	100.1	1,327.6	--	--	6,880.8	52,196.4
Departmental Operations:																
Personal Service	370.3	3,673.2	548.2	3,331.4	--	--	918.5	7,004.6	47.9	370.7	--	--	--	--	966.4	7,375.3
Non-Personal Service	89.6	930.0	340.6	1,899.2	1.1	22.8	431.3	2,852.0	99.5	516.1	--	--	--	--	530.8	3,368.1
General State Charges	358.3	2,110.9	43.2	901.9	--	--	401.5	3,012.8	12.5	134.9	--	--	--	--	414.0	3,147.7
Debt Service, Including Payments on Financing Agreements (3)	--	--	--	--	103.7	2,386.0	103.7	2,386.0	--	--	--	--	--	--	103.7	2,386.0
Capital Projects (4)	--	--	0.3	3.8	--	--	0.3	3.8	--	--	498.9	2,964.2	--	--	499.2	2,968.0
Total Disbursements	3,840.7	26,602.8	2,115.7	15,962.0	104.8	2,408.8	6,061.2	44,973.6	2,734.7	22,176.1	599.0	4,291.8	--	--	9,394.9	71,441.5
Excess (Deficiency) of Receipts over Disbursements	(1,300.7)	(1,289.0)	(522.6)	(3,980.2)	753.1	5,447.5	(1,070.2)	178.3	355.1	2,550.5	41.9	(241.7)	--	--	(673.2)	2,487.1
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (5)	539.2	6,666.1	594.3	4,480.4	854.1	4,186.3	1,987.6	15,332.8	--	--	38.0	331.1	(78.8)	(372.7)	1,946.8	15,291.2
Transfers to Other Funds (5)	(792.5)	(3,359.2)	(106.0)	(436.1)	(749.7)	(8,702.0)	(1,648.2)	(12,497.3)	(286.2)	(2,406.3)	(96.2)	(784.0)	78.8	372.7	(1,951.8)	(15,314.9)
Total Other Financing Sources (Uses)	(253.3)	3,306.9	488.3	4,044.3	104.4	(4,515.7)	339.4	2,835.5	(286.2)	(2,406.3)	(58.2)	(452.9)	--	--	(5.0)	(23.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,554.0)	2,017.9	(34.3)	64.1	857.5	931.8	(730.8)	3,013.8	68.9	144.2	(16.3)	(694.6)	--	--	(678.2)	2,463.4
Beginning Fund Balances (Deficit)	4,948.0	1,376.1	2,237.1	2,138.7	528.3	454.0	7,713.4	3,968.8	85.9	10.6	(845.4)	(167.1)	--	--	6,953.9	3,812.3
Ending Fund Balances (Deficit)	\$3,394.0	\$3,394.0	\$2,202.8	\$2,202.8	\$1,385.8	\$1,385.8	\$6,982.6	\$6,982.6	\$154.8	\$154.8	(\$861.7)	(\$861.7)	\$ --	\$ --	\$6,275.7	\$6,275.7

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds. Federal Special Revenue Funds account for all non-capital federal operating grants received by the State. Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax	\$1,540.0	\$15,542.5	\$6.5	\$576.1	\$515.5	\$5,372.9	\$ --	\$ --	\$2,062.0	\$21,491.5	\$2,158.1	\$18,732.1	\$2,759.4	14.7%
Consumption/Use Taxes	697.6	5,231.1	185.9	1,321.7	212.3	1,594.0	46.2	347.2	1,142.0	8,494.0	1,101.5	8,125.3	368.7	4.5%
Business Taxes	58.5	2,449.4	53.9	709.6	--	--	49.4	358.3	161.8	3,517.3	166.9	3,161.4	355.9	11.3%
Other Taxes	97.8	685.7	113.6	753.1	42.9	338.0	12.0	59.6	266.3	1,836.4	225.5	1,782.4	54.0	3.0%
Miscellaneous Receipts (8)	146.1	1,373.3	1,245.9	8,726.6	87.2	508.9	384.7	2,140.5	1,863.9	12,749.3	1,661.9	12,630.3	119.0	0.9%
Federal Receipts (1)	--	31.8	3,077.1	24,621.3	--	42.5	148.6	1,144.5	3,225.7	25,840.1	3,785.0	28,306.8	(2,466.7)	-8.7%
Total Receipts	2,540.0	25,313.8	4,682.9	36,708.4	857.9	7,856.3	640.9	4,050.1	8,721.7	73,928.6	9,098.9	72,738.3	1,190.3	1.6%
DISBURSEMENTS:														
Local Assistance Grants: (1)(2)(7)														
General Purpose	8.7	613.8	--	--	--	--	--	--	8.7	613.8	13.6	596.1	17.7	3.0%
Education	1,272.9	9,483.9	254.0	5,589.5	--	--	0.9	33.0	1,527.8	15,106.4	845.9	17,050.8	(1,944.4)	-11.4%
Social Services:														
Medicaid (6)	1,193.1	6,922.8	2,011.7	17,582.3	--	--	--	--	3,204.8	24,505.1	2,741.2	23,722.1	783.0	3.3%
Other Social Services	346.1	1,743.8	585.6	2,227.1	--	--	--	10.8	931.7	3,981.7	934.2	3,871.8	109.9	2.8%
Health and Environment (6)	124.3	751.0	218.3	1,580.6	--	--	22.7	250.4	365.3	2,582.0	287.9	2,293.9	288.1	12.6%
Mental Hygiene	0.1	26.4	172.8	958.4	--	--	5.6	36.2	178.5	1,021.0	195.4	1,018.1	2.9	0.3%
Transportation	0.2	49.0	406.8	2,294.6	--	--	34.8	253.5	441.8	2,597.1	316.0	2,459.1	138.0	5.6%
Criminal Justice	17.7	70.4	41.0	221.0	--	--	--	--	58.7	291.4	32.2	225.0	66.4	29.5%
Emergency Management & Security Services	0.1	4.6	6.7	45.4	--	--	--	--	6.8	50.0	35.9	101.2	(51.2)	-50.6%
Miscellaneous	59.3	223.0	61.3	481.2	--	--	--	743.7	156.7	1,447.9	164.4	1,225.0	222.9	18.2%
Total Local Assistance Grants	3,022.5	19,888.7	3,758.2	30,980.1	--	--	100.1	1,327.6	6,880.8	52,196.4	5,566.7	52,563.1	(366.7)	-0.7%
Departmental Operations:														
Personal Service	370.3	3,673.2	596.1	3,702.1	--	--	--	--	966.4	7,375.3	1,014.9	7,604.3	(229.0)	-3.0%
Non-Personal Service	89.6	930.0	440.1	2,415.3	1.1	22.8	--	--	530.8	3,368.1	504.9	3,264.4	103.7	3.2%
General State Charges	358.3	2,110.9	55.7	1,036.8	--	--	--	--	414.0	3,147.7	526.2	2,845.3	302.4	10.6%
Debt Service, Including Payments on														
Financing Agreements (3)	--	--	--	--	103.7	2,386.0	--	--	103.7	2,386.0	103.6	2,293.8	92.2	4.0%
Capital Projects (4)	--	--	0.3	3.8	--	--	498.9	2,964.2	499.2	2,968.0	445.3	3,014.4	(46.4)	-1.5%
Total Disbursements	3,840.7	26,602.8	4,850.4	38,138.1	104.8	2,408.8	599.0	4,291.8	9,394.9	71,441.5	8,161.6	71,585.3	(143.8)	-0.2%
Excess (Deficiency) of Receipts over Disbursements	(1,300.7)	(1,289.0)	(167.5)	(1,429.7)	753.1	5,447.5	41.9	(241.7)	(673.2)	2,487.1	937.3	1,153.0	1,334.1	115.7%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (5)	539.2	6,666.1	515.5	4,107.7	854.1	4,186.3	38.0	331.1	1,946.8	15,291.2	2,249.8	14,961.9	329.3	2.2%
Transfers to Other Funds (5)	(792.5)	(3,359.2)	(313.4)	(2,469.7)	(749.7)	(8,702.0)	(96.2)	(784.0)	(1,951.8)	(15,314.9)	(2,254.5)	(15,010.8)	304.1	2.0%
Total Other Financing Sources (Uses)	(253.3)	3,306.9	202.1	1,638.0	104.4	(4,515.7)	(58.2)	(452.9)	(5.0)	(23.7)	(4.7)	(48.9)	25.2	51.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,554.0)	2,017.9	34.6	208.3	857.5	931.8	(16.3)	(694.6)	(678.2)	2,463.4	932.6	1,104.1	1,359.3	123.1%
Beginning Fund Balances (Deficit)	4,948.0	1,376.1	2,323.0	2,149.3	528.3	454.0	(845.4)	(167.1)	6,953.9	3,812.3	5,031.6	4,860.1	(1,047.8)	-21.6%
Ending Fund Balances (Deficit)	\$3,394.0	\$3,394.0	\$2,357.6	\$2,357.6	\$1,385.8	\$1,385.8	(\$861.7)	(\$861.7)	\$6,275.7	\$6,275.7	\$5,964.2	\$5,964.2	\$311.5	5.2%

GOVERNMENTAL FUNDS FOOTNOTES

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in November 2011:

Federal DHHS	\$ --	million
Federal USDA/Food and Consumer Services	--	
Federal DHHS/Block Grant	--	
Federal Education	6.7	
Federal Miscellaneous Operating Grants	--	
Federal Employment and Training Grants	1.9	

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$14.0	million
Urban Development Corporation (Youth Facilities)	8.9	
Housing Finance Agency (HFA)	247.0	
Housing Assistance Fund	21.6	
Dormitory Authority (Mental Hygiene)	371.5	
Dormitory Authority and State University Income Fund	70.6	
Federal Capital Projects	--	
State bond and note proceeds	38.2	

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects	\$184.1	million
General Debt Service	1,188.0	
MTA Financial Assistance	13.3	
MTA Operating Assistance	26.2	
Crimes Against Revenue Account	6.0	
Financial Management Systems Account	27.0	
Housing Debt Fund	3.9	
Banking Services	49.2	
Alcoholic Beverage Control Account	10.4	
Court Facilities Incentive Aid	90.8	
State University Income	37.1	
NYC County Courts Operating	8.6	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$14.4m), the State University Income Funds (\$152.2m) and the Mental Hygiene Program Account (\$1,544.9m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of October 31, 2011 - pursuant to a certification of the Budget Director - the reserve amount is \$543.1 million, which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds (\$2,032.8m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to Capital Projects Funds (\$102.9m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

SUNY Income Fund	\$29.1	million
Tribal State Compact Revenue	43.6	
Revenue Arrearage Account	21.6	
Business and Licensing Services Account	20.0	
Youth Facilities Per Diem	14.3	
Statewide Public Safety Communications	10.0	
MTA Operating Assistance	10.0	
Miscellaneous State Special Revenue Fund	6.2	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,697.8	million
Local Government Assistance Tax	1,520.0	
Clean Water/Clean Air	275.4	

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$91.9m), Mental Hygiene (\$1,888.2m) and the State University (\$184.4m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$14.4m) the General Debt Service Fund (\$708.7m) and the Revenue Bond Tax Fund (\$60.4m).

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. Accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

	Allocation of Month-End Balances	
	General Fund	Special Revenue- Federal
Medicaid Recoveries - Health Facilities	\$3,771,614	\$4,084,240
Medicaid Recoveries - Audit	--	17,329,686
Medicaid Recoveries - Third Parties	--	9,853,921
Pharmacy Rebates	18,578,110	16,456,561
Medicare Catastrophic Recovery	--	--
Medicaid "Windfall" Recovery	--	--
Total	\$22,349,724	\$47,724,408

GOVERNMENTAL FUNDS FOOTNOTES (continued)

October 2011 - **Exhibit A Notes**
(Continued)

7. A portion of Personal Income Tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$391.6m for the month of June, \$178.0m in September and \$6.5m in October.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	7 Months Ended October 31		\$ Increase/ (Decrease)
					2011	2010	
(amounts in millions)							
Abandoned Property							
Abandoned Property	\$191.0	\$ --	\$ --	\$ --	\$191.0	\$177.2	\$13.8
Unclaimed Bottle Deposits	61.4	--	--	--	61.4	75.5	(14.1)
Interest Earnings	3.6	13.1	0.3	0.4	17.4	13.8	3.6
Receipts from Public Authorities:							
Bond Issuance Fees	49.2	7.2	--	--	56.4	65.3	(8.9)
Cost Recovery Assessments	2.2	20.4	--	--	22.6	13.1	9.5
Empire State/Urban Development Corporation	--	0.6	--	--	0.6	0.1	0.5
Environmental Facilities Corporation	--	7.0	--	--	7.0	0.3	6.7
Hudson River Park Trust	--	--	--	--	--	4.3	(4.3)
Power Authority	47.5	0.2	--	0.2	47.9	43.2	4.7
Thruway Authority - Policing the Thruway	--	25.2	--	--	25.2	28.8	(3.6)
Bond Proceeds							
Dormitory Authority	--	30.4	--	717.0	747.4	547.9	199.5
Empire State/Urban Development Corporation	--	--	--	591.5	591.5	626.7	(35.2)
Environmental Facilities Corporation	--	--	--	1.2	1.2	3.8	(2.6)
Housing Finance Agency	--	--	--	--	--	95.3	(95.3)
Thruway Authority	--	--	--	249.4	249.4	173.4	76.0
All Other	--	0.9	--	0.1	1.0	0.9	0.1
Refunds and Reimbursements:							
Receipts from Municipalities	102.4	64.3	6.8	--	173.5	216.1	(42.6)
Women, Infants and Children Rebates	--	61.4	--	--	61.4	58.5	2.9
HESC Student Loan Recoveries	--	43.5	--	--	43.5	37.7	5.8
Administrative Recoveries	42.1	54.1	--	--	96.2	95.0	1.2
Indirect Cost Assessments	73.9	--	--	--	73.9	59.2	14.7
Reimbursements from Cornell University	7.0	--	--	--	7.0	12.2	(5.2)
Hazardous Waste and Oil Spill	--	4.7	--	7.4	12.1	12.9	(0.8)
Third Party Recoveries	--	31.8	--	--	31.8	31.8	--
All Other	10.1	4.8	(0.3)	5.4	20.0	53.1	(33.1)
Health Care Reform Act:							
Public Goods and Health Care Initiatives Pools	--	2,400.6	--	--	2,400.6	2,282.7	117.9
Revenues of State Departments:							
Patient/Client Care Reimbursements	--	1,007.6	258.1	--	1,265.7	1,039.4	226.3
Medical Care Provider Assessments	99.7	503.0	--	--	602.7	540.0	62.7
Industry Assessments - Regular	--	536.5	--	40.9	577.4	612.3	(34.9)
Industry Assessments - Temporary Utility Surcharge	260.0	--	--	--	260.0	212.7	47.3
Student Tuition, Fees and Other SUNY Revenues	--	1,107.2	244.0	--	1,351.2	1,266.4	84.8
Student Tuition, Fees and Other CUNY Revenues	--	80.9	--	--	80.9	69.0	11.9
EPIC Fees and Rebates	--	100.4	--	--	100.4	129.5	(29.1)
Miscellaneous Sales, Rentals and Leases	2.9	14.0	--	8.2	25.1	25.6	(0.5)
Gifts	0.2	4.3	--	--	4.5	2.9	1.6
All Other	(7.1)	16.7	--	0.3	9.9	27.6	(17.7)
Gaming:							
Lottery - Education	--	984.7	--	--	984.7	995.8	(11.1)
Lottery - Administration	--	298.2	--	--	298.2	300.6	(2.4)
Video Lottery Terminal - Education	--	329.4	--	--	329.4	679.4	(350.0)
Video Lottery Terminal - Administration	--	19.1	--	--	19.1	18.6	0.5
Casinos	--	--	--	--	--	4.6	(4.6)
Licenses	12.6	92.6	--	0.3	105.5	125.5	(20.0)
Fees							
Motor Vehicle - Other	--	145.2	--	445.4	590.6	577.4	13.2
Motor Vehicle - Metropolitan Transportation Authority	--	116.4	--	--	116.4	114.2	2.2
Alcohol Beverage Control Licensing	36.0	--	--	--	36.0	25.7	10.3
All Other	219.2	516.5	--	63.4	799.1	816.2	(17.1)
Fines	159.4	83.7	--	9.4	252.5	318.1	(65.6)
TOTAL	\$1,373.3	\$8,726.6	\$508.9	\$2,140.5	\$12,749.3	\$12,630.3	\$119.0

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010
RECEIPTS:								
Miscellaneous Receipts	\$11.2	\$162.9	\$36.6	\$232.6	\$47.8	\$395.5	\$43.3	\$274.9
Federal Receipts (*)	299.4	2,261.3	--	--	299.4	2,261.3	436.3	3,480.1
Unemployment Taxes	237.0	1,920.4	--	--	237.0	1,920.4	265.0	2,184.4
TOTAL RECEIPTS	547.6	4,344.6	36.6	232.6	584.2	4,577.2	744.6	5,939.4
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.4	3.8	8.2	63.9	8.6	67.7	9.4	71.6
Non-Personal Service	3.7	128.6	31.0	197.7	34.7	326.3	45.7	242.6
General State Charges	--	0.4	6.3	31.1	6.3	31.5	2.2	24.2
Unemployment Benefits (*)	603.2	4,222.9	--	--	603.2	4,222.9	696.2	5,578.8
TOTAL DISBURSEMENTS	607.3	4,355.7	45.5	292.7	652.8	4,648.4	753.5	5,917.2
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	(59.7)	(11.1)	(8.9)	(60.1)	(68.6)	(71.2)	(8.9)	22.2
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	4.7	55.0	4.7	55.0	4.9	51.9
Transfers to Other Funds	--	--	(0.1)	(6.5)	(0.1)	(6.5)	--	(2.9)
NET SOURCES (USES)	--	--	4.6	48.5	4.6	48.5	4.9	49.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(59.7)	(11.1)	(4.3)	(11.6)	(64.0)	(22.7)	(4.0)	71.2
BEGINNING FUND EQUITY (DEFICITS)	69.5	20.9	21.7	29.0	91.2	49.9	29.2	(46.0)
ENDING FUND EQUITY (DEFICITS)	\$9.8	\$9.8	\$17.4	\$17.4	\$27.2	\$27.2	\$25.2	\$25.2

(*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010
RECEIPTS:								
Miscellaneous Receipts	\$6.6	\$53.6	\$0.1	\$0.9	\$6.7	\$54.5	\$16.6	\$64.7
TOTAL RECEIPTS	<u>\$6.6</u>	<u>53.6</u>	<u>0.1</u>	<u>0.9</u>	<u>6.7</u>	<u>54.5</u>	<u>16.6</u>	<u>64.7</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	4.2	31.7	--	0.2	4.2	31.9	4.3	33.0
Non-Personal Service	2.4	10.8	--	--	2.4	10.8	4.0	11.0
General State Charges	--	12.5	--	--	--	12.5	8.1	20.4
TOTAL DISBURSEMENTS	<u>6.6</u>	<u>55.0</u>	<u>--</u>	<u>0.2</u>	<u>6.6</u>	<u>55.2</u>	<u>16.4</u>	<u>64.4</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>--</u>	<u>(1.4)</u>	<u>0.1</u>	<u>0.7</u>	<u>0.1</u>	<u>(0.7)</u>	<u>0.2</u>	<u>0.3</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	--	(1.4)	0.1	0.7	0.1	(0.7)	0.2	0.3
BEGINNING FUND EQUITY (DEFICITS)	<u>--</u>	<u>1.4</u>	<u>9.9</u>	<u>9.3</u>	<u>9.9</u>	<u>10.7</u>	<u>9.4</u>	<u>9.3</u>
ENDING FUND EQUITY (DEFICITS)	<u>\$ --</u>	<u>\$ --</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$9.6</u>	<u>\$9.6</u>

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2012
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2011
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes.....	\$35,351	\$35,339.2	(\$11.8)
Miscellaneous Receipts.....	12,865	12,749.3	(115.7)
Federal Receipts.....	25,907	25,840.1	(66.9)
Total Receipts.....	74,123	73,928.6	(194.4)
DISBURSEMENTS:			
Local Assistance Grants.....	52,347	52,196.4	(150.6)
Departmental Operations.....	10,740	10,743.4	3.4
General State Charges.....	3,150	3,147.7	(2.3)
Debt Service.....	2,387	2,386.0	(1.0)
Capital Projects.....	2,914	2,968.0	54.0
Total Disbursements.....	71,538	71,441.5	(96.5)
Excess (Deficiency) of Receipts over Disbursements.....	2,585	2,487.1	(97.9)
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net.....	41	--	(41.0)
Transfers from Other Funds.....	15,695	15,291.2	(403.8)
Transfers to Other Funds.....	(15,719)	(15,314.9)	(404.1)
Total Other Financing Sources (Uses).....	17.0	(23.7)	(40.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	2,602	2,463.4	(138.6)
Fund Balances (Deficit) at April 1.....	3,812	3,812.3	0.3
Fund Balances (Deficit) at October 31.....	\$6,414	\$6,275.7	(\$138.3)

(*) Source: DOB 2011-12 Financial Plan Mid-Year Update issued November 14, 2011.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2012
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2011
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$15,549	\$15,542.5	(\$6.5)	\$576	\$576.1	\$0.1
Consumption/Use	5,235	5,231.1	(3.9)	1,319	1,321.7	2.7
Business.....	2,453	2,449.4	(3.6)	705	709.6	4.6
Other.....	687	685.7	(1.3)	754	753.1	(0.9)
Miscellaneous Receipts	1,384	1,373.3	(10.7)	8,860	8,726.6	(133.4)
Federal Receipts.....	32	31.8	(0.2)	24,641	24,621.3	(19.7)
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	4,758	4,697.8	(60.2)	--	--	--
Sales Tax in excess of LGAC Debt Service.....	1,523	1,520.0	(3.0)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	274	275.4	1.4	--	--	--
All Other.....	173	172.9	(0.1)	4,434	4,107.7	(326.3)
Total Receipts.....	32,068	31,979.9	(88.1)	41,289	40,816.1	(472.9)
DISBURSEMENTS:						
Local Assistance Grants.....	19,923	19,888.7	(34.3)	31,037	30,980.1	(56.9)
Departmental Operations.....	4,601	4,603.2	2.2	6,118	6,117.4	(0.6)
General State Charges.....	2,112	2,110.9	(1.1)	1,038	1,036.8	(1.2)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	3	3.8	0.8
Transfers To:						
Debt Service.....	1,187	1,188.0	1.0	--	--	--
Capital Projects.....	185	184.1	(0.9)	--	--	--
State Share Medicaid.....	1,543	1,711.4	168.4	--	--	--
Other Purposes.....	446	275.7	(170.3)	2,809	2,469.7	(339.3)
Total Disbursements.....	29,997	29,962.0	(35.0)	41,005	40,607.8	(397.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	2,071	2,017.9	(53.1)	284	208.3	(75.7)
Fund Balances (Deficit) at April 1.....	1,376	1,376.1	0.1	2,149	2,149.3	0.3
Fund Balances (Deficit) at October 31.....	\$3,447	\$3,394.0	(\$53.0)	\$2,433	\$2,357.6	(\$75.4)

(*) Source: DOB 2011-12 Financial Plan Mid-Year Update issued November 14, 2011.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2012
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2011
 (amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes	\$7,307	\$7,304.9	(\$2.1)	\$766	\$765.1	(\$0.9)
Miscellaneous Receipts	509	508.9	(0.1)	2,112	2,140.5	28.5
Federal Receipts.....	43	42.5	(0.5)	1,191	1,144.5	(46.5)
Bond and Note Proceeds, net.....	--	--	--	41	--	(41.0)
Transfers from Other Funds.....	4,203	4,186.3	(16.7)	330	331.1	1.1
Total Receipts.....	12,062	12,042.6	(19.4)	4,440	4,381.2	(58.8)
DISBURSEMENTS:						
Local Assistance Grants.....	--	--	--	1,387	1,327.6	(59.4)
Departmental Operations.....	21	22.8	1.8	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	2,387	2,386.0	(1.0)	--	--	--
Capital Projects.....	--	--	--	2,911	2,964.2	53.2
Transfers to Other Funds.....	8,765	8,702.0	(63.0)	784	784.0	--
Total Disbursements.....	11,173	11,110.8	(62.2)	5,082	5,075.8	(6.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	889	931.8	42.8	(642)	(694.6)	(52.6)
Fund Balances (Deficit) at April 1.....	454	454.0	--	(167)	(167.1)	(0.1)
Fund Balances (Deficit) at October 31.....	\$1,343	\$1,385.8	\$42.8	(\$809)	(\$861.7)	(\$52.7)

(*) Source: DOB 2011-12 Financial Plan Mid-Year Update issued November 14, 2011.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	MONTH OF OCT. 2010	7 MOS. ENDED OCT. 31, 2010	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$2,127.6	\$15,771.7	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,127.6	\$15,771.7	\$2,042.7	\$15,307.5	\$464.2	3.0%
Estimated payments	129.5	7,910.9	--	--	--	--	--	--	129.5	7,910.9	104.0	6,105.7	1,805.2	29.6%
Final returns	247.7	1,875.3	--	--	--	--	--	--	247.7	1,875.3	263.0	1,783.6	91.7	5.1%
State/City Offsets	(193.1)	(278.5)	--	--	--	--	--	--	(193.1)	(278.5)	(19.5)	(53.2)	225.3	423.5%
Other (Assessments/LLC)	61.1	531.5	--	--	--	--	--	--	61.1	531.5	83.9	548.8	(17.3)	-3.2%
Gross Receipts	2,372.8	25,810.9	--	--	--	--	--	--	2,372.8	25,810.9	2,474.1	23,692.4	2,118.5	8.9%
Transfers to School Tax Relief Fund	(6.5)	(576.1)	6.5	576.1	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(515.5)	(5,372.9)	--	--	515.5	5,372.9	--	--	--	--	--	--	--	--
Less: Refunds Issued	(310.8)	(4,319.4)	--	--	--	--	--	--	(310.8)	(4,319.4)	(316.0)	(4,960.3)	(640.9)	-12.9%
Total	1,540.0	15,542.5	6.5	576.1	515.5	5,372.9	--	--	2,062.0	21,491.5	2,158.1	18,732.1	2,759.4	14.7%
CONSUMPTION / USE TAXES														
Sales and Use	637.3	4,799.4	60.8	455.0	212.3	1,594.0	--	--	910.4	6,848.4	867.0	6,524.5	323.9	5.0%
Auto Rental	--	--	--	20.9	--	--	--	34.9	--	55.8	--	49.3	6.5	13.2%
Cigarette/Tobacco Products	41.2	293.2	97.7	721.5	--	--	--	--	138.9	1,014.7	139.7	970.8	43.9	4.5%
Motor Fuel	--	--	8.9	61.9	--	--	33.4	231.8	42.3	293.7	43.7	305.0	(11.3)	-3.7%
Alcoholic Beverage	19.1	138.5	--	--	--	--	--	--	19.1	138.5	20.7	137.6	0.9	0.7%
Highway Use	--	--	--	--	--	--	12.8	80.5	12.8	80.5	11.1	77.4	3.1	4.0%
Metropolitan Commuter Trans. Taxicab Trip	--	--	18.5	62.4	--	--	--	--	18.5	62.4	19.3	60.7	1.7	2.8%
Total	697.6	5,231.1	185.9	1,321.7	212.3	1,594.0	46.2	347.2	1,142.0	8,494.0	1,101.5	8,125.3	368.7	4.5%
BUSINESS TAXES														
Corporation Franchise	45.8	1,207.1	12.3	220.1	--	--	--	--	58.1	1,427.2	49.0	1,123.3	303.9	27.1%
Corporation and Utilities	(0.4)	244.9	0.5	62.1	--	--	0.2	3.7	0.3	310.7	2.8	320.4	(9.7)	-3.0%
Insurance	2.4	542.9	0.9	62.6	--	--	--	--	3.3	605.5	10.9	545.3	60.2	11.0%
Bank	10.7	454.5	1.1	81.3	--	--	--	--	11.8	535.8	13.0	529.1	6.7	1.3%
Petroleum Business	--	--	39.1	283.5	--	--	49.2	354.6	88.3	638.1	91.2	643.3	(5.2)	-0.8%
Total	58.5	2,449.4	53.9	709.6	--	--	49.4	358.3	161.8	3,517.3	166.9	3,161.4	355.9	11.3%
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Estate and Gift	96.4	674.2	--	--	--	--	--	--	96.4	674.2	80.0	700.1	(25.9)	-3.7%
Pari-Mutuel	1.4	11.1	--	--	--	--	--	--	1.4	11.1	1.5	12.2	(1.1)	-9.0%
Real Estate Transfer	--	--	--	--	42.9	338.0	12.0	59.6	54.9	397.6	47.6	351.1	46.5	13.2%
Racing and Exhibitions	--	0.4	--	--	--	--	--	--	--	0.4	0.2	0.5	(0.1)	-20.0%
Metropolitan Commuter Trans. Mobility	--	--	113.6	753.1	--	--	--	--	113.6	753.1	96.2	718.5	34.6	4.8%
Total	97.8	685.7	113.6	753.1	42.9	338.0	12.0	59.6	266.3	1,836.4	225.5	1,782.4	54.0	3.0%
TOTAL TAX RECEIPTS	\$2,393.9	\$23,908.7	\$359.9	\$3,360.5	\$770.7	\$7,304.9	\$107.6	\$765.1	\$3,632.1	\$35,339.2	\$3,652.0	\$31,801.2	\$3,538.0	11.1%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

GOVERNMENTAL FUNDS CASH FLOW

													7 Months Ended Oct. 31			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
BEGINNING CASH BALANCE	\$3,812.3	\$8,749.4	\$6,033.7	\$5,426.5	\$5,987.2	\$5,531.1	\$6,953.9						\$3,812.3	\$4,860.1	(\$1,047.8)	-21.6%
RECEIPTS:																
Personal Income Tax	5,537.9	1,428.8	4,002.8	2,200.3	2,437.4	3,822.3	2,062.0						21,491.5	18,732.1	2,759.4	14.7%
Consumption/Use Taxes	1,140.9	1,074.6	1,421.5	1,172.6	1,121.3	1,421.1	1,142.0						8,494.0	8,125.3	368.7	4.5%
Business Taxes	270.3	128.3	1,467.4	69.9	142.2	1,277.4	161.8						3,517.3	3,161.4	355.9	11.3%
Other Taxes	239.1	309.9	198.5	247.6	330.0	245.0	266.3						1,836.4	1,782.4	54.0	3.0%
Miscellaneous Receipts	1,600.5	1,592.4	1,794.2	1,632.0	1,767.0	2,499.3	1,863.9						12,749.3	12,630.3	119.0	0.9%
Federal Receipts	4,110.3	3,072.3	3,986.5	4,135.9	3,511.8	3,797.6	3,225.7						25,840.1	28,306.8	(2,466.7)	-8.7%
Total Receipts	12,899.0	7,606.3	12,870.9	9,458.3	9,309.7	13,062.7	8,721.7	0.0	0.0	0.0	0.0	0.0	73,928.6	72,738.3	1,190.3	1.6%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	10.7	27.5	474.0	--	2.6	90.3	8.7						613.8	596.1	17.7	3.0%
Education	840.7	3,221.4	3,912.5	786.8	1,134.3	3,682.9	1,527.8						15,106.4	17,050.8	(1,944.4)	-11.4%
Social Services:																
Medicaid	3,606.7	3,086.3	4,338.2	4,037.2	2,926.7	3,305.2	3,204.8						24,505.1	23,722.1	783.0	3.3%
Other Social Services	415.5	225.6	363.8	668.3	1,161.0	215.8	931.7						3,981.7	3,871.8	109.9	2.8%
Health and Environment	112.5	387.2	445.2	272.3	632.1	367.4	365.3						2,582.0	2,293.9	288.1	12.6%
Mental Hygiene	94.3	87.8	215.4	156.2	96.8	192.0	178.5						1,021.0	1,018.1	2.9	0.3%
Transportation	193.8	475.7	416.0	289.9	528.6	251.3	441.8						2,597.1	2,459.1	138.0	5.6%
Criminal Justice	45.1	62.7	22.6	28.2	33.5	40.6	58.7						291.4	225.0	66.4	29.5%
Emergency Management & Security Services	2.2	(1.7)	1.1	2.5	11.3	27.8	6.8						50.0	101.2	(51.2)	-50.6%
Miscellaneous	210.9	253.1	147.8	319.3	174.3	185.8	156.7						1,447.9	1,225.0	222.9	18.2%
Total Local Assistance Grants	5,532.4	7,825.6	10,336.6	6,560.7	6,701.2	8,359.1	6,880.8	0.0	0.0	0.0	0.0	0.0	52,196.4	52,563.1	(366.7)	-0.7%
Departmental Operations:																
Personal Service	1,046.9	979.3	1,134.5	965.1	1,287.9	995.2	966.4						7,375.3	7,604.3	(229.0)	-3.0%
Non-Personal Service	450.1	442.7	527.0	391.9	504.8	520.8	530.8						3,368.1	3,264.4	103.7	3.2%
General State Charges	451.9	424.0	440.5	464.0	483.1	470.2	414.0						3,147.7	2,845.3	302.4	10.6%
Debt Service, Including Payments on																
Financing Agreements	157.4	293.2	566.2	102.2	285.7	877.6	103.7						2,386.0	2,293.8	92.2	4.0%
Capital Projects	317.1	350.1	475.0	403.6	500.1	422.9	499.2						2,968.0	3,014.4	(46.4)	-1.5%
Total Disbursements	7,955.8	10,314.9	13,479.8	8,887.5	9,762.8	11,645.8	9,394.9	0.0	0.0	0.0	0.0	0.0	71,441.5	71,585.3	(143.8)	-0.2%
Excess (Deficiency) of Receipts over Disbursements	4,943.2	(2,708.6)	(608.9)	570.8	(453.1)	1,416.9	(673.2)	0.0	0.0	0.0	0.0	0.0	2,487.1	1,153.0	1,334.1	115.7%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--
Transfers from Other Funds	3,470.6	1,335.0	2,504.1	1,992.0	1,930.5	2,112.2	1,946.8						15,291.2	14,961.9	329.3	2.2%
Transfers to Other Funds	(3,476.7)	(1,342.1)	(2,502.4)	(2,002.1)	(1,933.5)	(2,106.3)	(1,951.8)						(15,314.9)	(15,010.8)	304.1	2.0%
Total Other Financing Sources (Uses)	(6.1)	(7.1)	1.7	(10.1)	(3.0)	5.9	(5.0)	0.0	0.0	0.0	0.0	0.0	(23.7)	(48.9)	25.2	51.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,937.1	(2,715.7)	(607.2)	560.7	(456.1)	1,422.8	(678.2)	0.0	0.0	0.0	0.0	0.0	2,463.4	1,104.1	1,359.3	123.1%
CLOSING CASH BALANCE	\$8,749.4	\$6,033.7	\$5,426.5	\$5,987.2	\$5,531.1	\$6,953.9	\$6,275.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$6,275.7	\$5,964.2	\$311.5	5.2%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS (*)
 CASH FLOW SCHEDULE OF TAX RECEIPTS
 FISCAL YEAR 2011-2012
 (amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW
 TAX RECEIPTS

	2011											7 Months Ended Oct. 31				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX																
Withholdings	\$2,303.4	\$2,222.9	\$2,430.2	\$2,156.5	\$2,417.4	\$2,113.7	\$2,127.6						\$15,771.7	\$15,307.5	\$464.2	3.0%
Estimated payments	4,184.4	89.8	1,609.6	78.6	67.8	1,751.2	129.5						7,910.9	6,105.7	\$1,805.2	29.6%
Final returns	1,440.7	50.4	36.7	27.0	27.7	45.1	247.7						1,875.3	1,783.6	\$91.7	5.1%
State/City Offsets	(56.8)	(2.1)	2.8	(6.9)	(6.4)	(16.0)	(193.1)						(278.5)	(53.2)	\$225.3	423.5%
Other (Assessments/LLC)	123.7	99.2	91.9	55.7	49.7	50.2	61.1						531.5	548.8	(\$17.3)	-3.2%
Gross Receipts	7,995.4	2,460.2	4,171.2	2,310.9	2,556.2	3,944.2	2,372.8	0.0	0.0	0.0	0.0	0.0	25,810.9	23,692.4	\$2,118.5	8.9%
Transfers to School Tax Relief Fund	--	--	--	--	--	--	--						--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	--	--	--						--	--	--	--
Refunds issued	(2,457.5)	(1,031.4)	(168.4)	(110.6)	(118.8)	(121.9)	(310.8)						(4,319.4)	(4,960.3)	(\$640.9)	-12.9%
Total Personal Income Tax	5,537.9	1,428.8	4,002.8	2,200.3	2,437.4	3,822.3	2,062.0	0.0	0.0	0.0	0.0	0.0	21,491.5	18,732.1	\$2,759.4	14.7%
CONSUMPTION/USE TAXES																
Sales and Use	922.1	869.1	1,179.3	913.1	893.1	1,161.3	910.4						6,848.4	6,524.5	\$323.9	5.0%
Auto Rental	(0.4)	--	23.1	--	--	33.1	--						55.8	49.3	\$6.5	13.2%
Cigarette/Tobacco Products	132.5	136.2	148.3	153.9	155.3	149.6	138.9						1,014.7	970.8	\$43.9	4.5%
Motor Fuel	36.0	37.7	42.8	46.3	44.4	44.2	42.3						293.7	305.0	(\$11.3)	-3.7%
Alcoholic Beverage	18.6	18.5	19.0	26.1	15.7	21.5	19.1						138.5	137.6	\$0.9	0.7%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8						80.5	77.4	\$3.1	4.0%
Metropolitan Commuter Trans. Taxicab Trip	20.0	1.0	0.4	20.5	0.7	1.3	18.5						62.4	60.7	\$1.7	2.8%
Total Consumption/Use Taxes and Fees	1,140.9	1,074.6	1,421.5	1,172.6	1,121.3	1,421.1	1,142.0	0.0	0.0	0.0	0.0	0.0	8,494.0	8,125.3	\$368.7	4.5%
BUSINESS TAXES																
Corporation Franchise	159.9	35.4	629.6	12.8	39.2	492.2	58.1						1,427.2	1,123.3	\$303.9	27.1%
Corporation and Utilities	(3.3)	1.8	113.2	7.7	1.4	189.6	0.3						310.7	320.4	(\$9.7)	-3.0%
Insurance	1.5	6.3	311.8	2.0	8.5	272.1	3.3						605.5	545.3	\$60.2	11.0%
Bank	25.7	2.9	317.7	(50.1)	0.7	227.1	11.8						535.8	529.1	\$6.7	1.3%
Petroleum Business	86.5	81.9	95.1	97.5	92.4	96.4	88.3						638.1	643.3	(\$5.2)	-0.8%
Total Business Taxes	270.3	128.3	1,467.4	69.9	142.2	1,277.4	161.8	0.0	0.0	0.0	0.0	0.0	3,517.3	3,161.4	\$355.9	11.3%
OTHER TAXES																
Real Property Gains	--	--	--	--	--	--	--						--	--	--	--
Estate and Gift	65.6	131.2	72.9	87.0	106.6	114.5	96.4						674.2	700.1	(\$25.9)	-3.7%
Pari-Mutuel	0.9	1.2	1.8	1.4	2.2	2.2	1.4						11.1	12.2	(\$1.1)	-9.0%
Real Estate Transfer	48.0	49.4	39.2	62.6	86.6	56.9	54.9						397.6	351.1	\$46.5	13.2%
Racing and Exhibitions	--	--	0.1	--	0.1	0.2	--						0.4	0.5	(\$0.1)	-20.0%
Metropolitan Commuter Trans. Mobility	124.6	128.1	84.5	96.6	134.5	71.2	113.6						753.1	718.5	\$34.6	4.8%
Total Other Taxes	239.1	309.9	198.5	247.6	330.0	245.0	266.3	0.0	0.0	0.0	0.0	0.0	1,836.4	1,782.4	\$54.0	3.0%
TOTAL TAX RECEIPTS	\$7,188.2	\$2,941.6	\$7,090.2	\$3,690.4	\$4,030.9	\$6,765.8	\$3,632.1	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$35,339.2	\$31,801.2	\$3,538.0	11.1%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT "F"

													7 Months Ended Oct. 31			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$1,376.1	\$4,510.3	\$1,809.2	\$2,492.2	\$1,884.4	\$1,571.3	\$4,948.0						\$1,376.1	\$2,301.7	(\$925.6)	-40.2%
RECEIPTS:																
Personal Income Tax	4,153.4	1,071.6	2,610.5	1,661.2	1,817.1	2,688.7	1,540.0						15,542.5	13,441.5	2,101.0	15.6%
Consumption/Use Taxes	689.1	667.2	891.7	716.0	688.2	881.3	697.6						5,231.1	4,980.1	251.0	5.0%
Business Taxes	161.2	28.1	1,173.3	(35.9)	42.8	1,021.4	58.5						2,449.4	2,128.2	321.2	15.1%
Other Taxes	66.5	132.4	74.8	88.4	108.9	116.9	97.8						685.7	712.8	(27.1)	-3.8%
Miscellaneous Receipts	77.4	91.9	316.0	115.0	122.4	504.5	146.1						1,373.3	1,473.1	(99.8)	-6.8%
Federal Receipts	1.6	13.2	--	--	--	17.0	--						31.8	28.9	2.9	10.0%
Total Receipts	5,149.2	2,004.4	5,066.3	2,544.7	2,779.4	5,229.8	2,540.0	0.0	0.0	0.0	0.0	0.0	25,313.8	22,764.6	2,549.2	11.20%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	10.7	27.5	474.0	--	2.6	90.3	8.7						613.8	596.1	17.7	3.0%
Education	277.3	2,608.2	2,631.7	504.4	901.8	1,287.6	1,272.9						9,483.9	10,675.2	(1,191.3)	-11.2%
Social Services:																
Medicaid	897.9	836.0	1,290.0	1,285.1	647.8	772.9	1,193.1						6,922.8	4,706.4	2,216.4	47.1%
Other Social Services	333.3	176.3	293.4	222.3	265.3	107.1	346.1						1,743.8	1,469.6	274.2	18.7%
Health and Environment	16.9	78.7	104.5	100.2	216.0	110.4	124.3						751.0	584.9	166.1	28.4%
Mental Hygiene	16.5	2.6	9.5	1.4	3.6	(7.3)	0.1						26.4	169.5	(143.1)	-84.4%
Transportation	--	23.8	0.3	0.5	24.2	--	0.2						49.0	38.9	10.1	26.0%
Criminal Justice	12.0	10.7	2.5	8.2	11.7	7.6	17.7						70.4	57.4	13.0	22.6%
Emergency Management & Security Services	0.4	(4.1)	0.3	0.5	4.6	2.8	0.1						4.6	4.8	(0.2)	-4.2%
Miscellaneous	24.4	12.0	26.1	38.3	31.2	31.7	59.3						223.0	191.4	31.6	16.5%
Total Local Assistance Grants	1,589.4	3,771.7	4,832.3	2,160.9	2,108.8	2,403.1	3,022.5	0.0	0.0	0.0	0.0	0.0	19,888.7	18,494.2	1,394.5	7.5%
Departmental Operations:																
Personal Service	602.1	525.2	597.9	554.3	667.4	356.0	370.3						3,673.2	3,759.0	(85.8)	-2.3%
Non-Personal Service	199.1	124.8	89.9	142.5	164.9	119.2	89.6						930.0	1,051.3	(121.3)	-11.5%
General State Charges	404.1	321.5	119.0	419.4	240.9	247.7	358.3						2,110.9	1,833.7	277.2	15.1%
Total Disbursements	2,794.7	4,743.2	5,639.1	3,277.1	3,182.0	3,126.0	3,840.7	0.0	0.0	0.0	0.0	0.0	26,602.8	25,138.2	1,464.6	5.8%
Excess (Deficiency) of Receipts over Disbursements	2,354.5	(2,738.8)	(572.8)	(732.4)	(402.6)	2,103.8	(1,300.7)	0.0	0.0	0.0	0.0	0.0	(1,289.0)	(2,373.6)	1,084.6	45.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,720.3	350.8	1,414.2	759.6	463.9	1,418.1	539.2						6,666.1	5,954.5	711.6	12.0%
Transfers to State Capital Projects	22.9	(52.2)	(51.7)	(15.5)	(64.1)	12.5	(36.0)						(184.1)	(267.6)	(83.5)	-31.2%
Transfers to Federal Capital Projects	--	--	--	--	--	--	--						--	--	--	--
Transfers to General Debt Service	(521.9)	(22.0)	128.7	(376.5)	(37.7)	110.7	(469.3)						(1,188.0)	(1,385.5)	(197.5)	-14.3%
Transfers to All Other State Funds	(441.6)	(238.9)	(235.4)	(243.0)	(272.6)	(268.4)	(287.2)						(1,987.1)	(1,973.2)	13.9	0.7%
Total Other Financing Sources (Uses)	779.7	37.7	1,255.8	124.6	89.5	1,272.9	(253.3)	0.0	0.0	0.0	0.0	0.0	3,306.9	2,328.2	978.7	42.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,134.2	(2,701.1)	683.0	(607.8)	(313.1)	3,376.7	(1,554.0)	0.0	0.0	0.0	0.0	0.0	2,017.9	(45.4)	2,063.3	4544.7%
CLOSING CASH BALANCE	<u>\$4,510.3</u>	<u>\$1,809.2</u>	<u>\$2,492.2</u>	<u>\$1,884.4</u>	<u>\$1,571.3</u>	<u>\$4,948.0</u>	<u>\$3,394.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$3,394.0</u>	<u>\$2,256.3</u>	<u>\$1,137.7</u>	<u>50.4%</u>

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2011-2012
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

	7 Months Ended Oct. 31												2011	2010
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$2,303.4	\$2,222.9	\$2,430.2	\$2,156.5	\$2,417.4	\$2,113.7	\$2,127.6						\$15,771.7	\$15,307.5
Estimated payments	4,184.4	89.8	1,609.6	78.6	67.8	1,751.2	129.5						7,910.9	6,105.7
Final returns	1,440.7	50.4	36.7	27.0	27.7	45.1	247.7						1,875.3	1,783.6
State/City Offsets	(56.8)	(2.1)	2.8	(6.9)	(6.4)	(16.0)	(193.1)						(278.5)	(53.2)
Other (Assessments/LLC)	123.7	99.2	91.9	55.7	49.7	50.2	61.1						531.5	548.8
Gross Receipts	7,995.4	2,460.2	4,171.2	2,310.9	2,556.2	3,944.2	2,372.8	0.0	0.0	0.0	0.0	0.0	25,810.9	23,692.4
Transfers to School Tax Relief Fund	--	--	(391.6)	--	--	(178.0)	(6.5)						(576.1)	(607.6)
Transfers to Revenue Bond Tax Fund	(1,384.5)	(357.2)	(1,000.7)	(539.1)	(620.3)	(955.6)	(515.5)						(5,372.9)	(4,683.0)
Refunds issued	(2,457.5)	(1,031.4)	(168.4)	(110.6)	(118.8)	(121.9)	(310.8)						(4,319.4)	(4,960.3)
Total Personal Income Tax	4,153.4	1,071.6	2,610.5	1,661.2	1,817.1	2,688.7	1,540.0	0.0	0.0	0.0	0.0	0.0	15,542.5	13,441.5
CONSUMPTION/USE TAXES														
Sales and Use	633.3	610.6	830.1	641.8	627.8	818.5	637.3						4,799.4	4,546.3
Auto Rental	--	--	--	--	--	--	--						--	--
Cigarette/Tobacco Products	37.2	38.1	42.6	48.1	44.7	41.3	41.2						293.2	296.2
Motor Fuel	--	--	--	--	--	--	--						--	--
Alcoholic Beverage	18.6	18.5	19.0	26.1	15.7	21.5	19.1						138.5	137.6
Highway Use	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--	--						--	--
Total Consumption/Use Taxes and Fees	689.1	667.2	891.7	716.0	688.2	881.3	697.6	0.0	0.0	0.0	0.0	0.0	5,231.1	4,980.1
BUSINESS TAXES														
Corporation Franchise	141.8	19.1	541.0	(2.6)	28.8	433.2	45.8						1,207.1	964.5
Corporation and Utilities	(3.8)	1.2	89.1	6.6	1.1	151.1	(0.4)						244.9	235.5
Insurance	0.2	4.6	279.7	1.7	8.0	246.3	2.4						542.9	489.6
Bank	23.0	3.2	263.5	(41.6)	4.9	190.8	10.7						454.5	438.6
Petroleum Business	--	--	--	--	--	--	--						--	--
Total Business Taxes	161.2	28.1	1,173.3	(35.9)	42.8	1,021.4	58.5	0.0	0.0	0.0	0.0	0.0	2,449.4	2,128.2
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	65.6	131.2	72.9	87.0	106.6	114.5	96.4						674.2	700.1
Pari-Mutuel	0.9	1.2	1.8	1.4	2.2	2.2	1.4						11.1	12.2
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	0.1	--	0.1	0.2	--						0.4	0.5
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--	--						--	--
Total Other Taxes	66.5	132.4	74.8	88.4	108.9	116.9	97.8	0.0	0.0	0.0	0.0	0.0	685.7	712.8
TOTAL TAX RECEIPTS	\$5,070.2	\$1,899.3	\$4,750.3	\$2,429.7	\$2,657.0	\$4,708.3	\$2,393.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$23,908.7	\$21,262.6

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

	7 Months Ended Oct. 31												2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH				
OPENING CASH BALANCE	\$2,149.3	\$3,397.8	\$3,362.9	\$2,865.7	\$3,636.5	\$3,450.2	\$2,323.0						\$2,149.3	\$2,400.8	(\$251.5)	-10.5%
RECEIPTS:																
Personal Income Tax	--	--	391.6	--	--	178.0	6.5						576.1	607.6	(31.5)	-5.2%
Consumption/Use Taxes	206.1	162.3	196.0	193.3	176.8	201.3	185.9						1,321.7	1,283.1	38.6	3.0%
Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9						709.6	668.6	41.0	6.1%
Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6						753.1	718.5	34.6	4.8%
Miscellaneous Receipts	1,113.4	1,139.7	1,174.3	1,085.0	1,343.5	1,624.8	1,245.9						8,726.6	8,670.9	55.7	0.6%
Federal Receipts	3,978.4	2,926.9	3,757.9	4,017.4	3,242.8	3,620.8	3,077.1						24,621.3	26,850.3	(2,229.0)	-8.3%
Total Receipts	5,483.6	4,412.7	5,843.9	5,443.8	4,945.7	5,895.8	4,682.9	0.0	0.0	0.0	0.0	0.0	36,708.4	38,799.0	(2,090.6)	-5.4%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	556.2	606.9	1,265.8	281.4	231.2	2,394.0	254.0						5,589.5	6,345.9	(756.4)	-11.9%
Social Services:																
Medicaid	2,708.8	2,250.3	3,048.2	2,752.1	2,278.9	2,532.3	2,011.7						17,582.3	19,015.7	(1,433.4)	-7.5%
Other Social Services	71.4	49.3	70.5	445.9	895.7	108.7	585.6						2,227.1	2,399.5	(172.4)	-7.2%
Health and Environment	90.4	242.8	285.8	161.4	372.5	209.4	218.3						1,580.6	1,502.1	78.5	5.2%
Mental Hygiene	71.5	76.0	201.8	151.2	89.2	195.9	172.8						958.4	801.5	156.9	19.6%
Transportation	165.9	425.9	355.1	263.8	456.4	220.7	406.8						2,294.6	2,081.6	213.0	10.2%
Criminal Justice	33.1	52.0	20.1	20.0	21.8	33.0	41.0						221.0	167.6	53.4	31.9%
Emergency Management & Security Services	1.8	2.4	0.8	2.0	6.7	25.0	6.7						45.4	96.4	(51.0)	-52.9%
Miscellaneous	52.5	54.9	55.2	54.7	119.0	83.6	61.3						481.2	420.2	61.0	14.5%
Total Local Assistance Grants	3,751.6	3,760.5	5,303.3	4,132.5	4,471.4	5,802.6	3,758.2	0.0	0.0	0.0	0.0	0.0	30,980.1	32,830.5	(1,850.4)	-5.6%
Departmental Operations:																
Personal Service	444.8	454.1	536.6	410.8	620.5	639.2	596.1						3,702.1	3,845.3	(143.2)	-3.7%
Non-Personal Service	249.6	316.5	430.7	244.8	335.5	398.1	440.1						2,415.3	2,173.6	241.7	11.1%
General State Charges	47.8	102.5	321.5	44.6	242.2	222.5	55.7						1,036.8	1,011.6	25.2	2.5%
Capital Projects	0.5	0.6	0.3	0.5	1.1	0.5	0.3						3.8	14.5	(10.7)	-73.8%
Total Disbursements	4,494.3	4,634.2	6,592.4	4,833.2	5,670.7	7,062.9	4,850.4	0.0	0.0	0.0	0.0	0.0	38,138.1	39,875.5	(1,737.4)	-4.4%
Excess (Deficiency) of Receipts over Disbursements	989.3	(221.5)	(748.5)	610.6	(725.0)	(1,167.1)	(167.5)	0.0	0.0	0.0	0.0	0.0	(1,429.7)	(1,076.5)	(353.2)	-32.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	748.3	502.0	658.0	454.8	908.0	321.1	515.5						4,107.7	4,209.2	(101.5)	-2.4%
Transfers to Other Funds	(489.1)	(315.4)	(406.7)	(294.6)	(369.3)	(281.2)	(313.4)						(2,469.7)	(2,472.2)	(2.5)	-0.1%
Total Other Financing Sources (Uses)	259.2	186.6	251.3	160.2	538.7	39.9	202.1	0.0	0.0	0.0	0.0	0.0	1,638.0	1,737.0	(99.0)	-5.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,248.5	(34.9)	(497.2)	770.8	(186.3)	(1,127.2)	34.6	0.0	0.0	0.0	0.0	0.0	208.3	660.5	(452.2)	-68.5%
CLOSING CASH BALANCE	\$3,397.8	\$3,362.9	\$2,865.7	\$3,636.5	\$3,450.2	\$2,323.0	\$2,357.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$2,357.6	\$3,061.3	(\$703.7)	-23.0%

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "G"
STATE**

													7 Months Ended Oct. 31		\$ Increase/ (Decrease)	% Increase/ Decrease	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2011			2010
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$391.6	\$ --	\$ --	\$ 178.0	\$ 6.5						\$ --	\$576.1	\$607.6	(\$31.5)	-5.2%
Consumption/Use Taxes	206.1	162.3	196.0	193.3	176.8	201.3	185.9						--	1,321.7	1,283.1	38.6	3.0%
Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9						--	709.6	668.6	41.0	6.1%
Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6						--	753.1	718.5	34.6	4.8%
Miscellaneous Receipts	1,101.2	1,104.6	1,165.8	1,073.7	1,326.9	1,615.3	1,233.2						--	8,620.7	8,574.8	45.9	0.5%
Federal Receipts	0.1	0.1	0.3	--	--	0.1	--						--	0.6	0.3	0.3	100.0%
Total Receipts	1,493.1	1,450.8	2,077.8	1,415.1	1,686.3	2,265.6	1,593.1	0.0	0.0	0.0	0.0	0.0	--	11,981.8	11,852.9	128.9	1.1%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.6	1.5	711.3	0.7	0.7	2,129.2	109.3						--	2,953.3	3,037.6	(84.3)	-2.8%
Social Services:																	
Medicaid	394.0	461.4	287.0	290.0	488.2	390.6	334.4						--	2,645.6	2,710.6	(65.0)	-2.4%
Other Social Services	1.5	(0.1)	1.3	0.4	3.3	1.3	3.2						--	10.9	7.1	3.8	53.5%
Health and Environment	25.3	119.9	194.6	67.2	266.2	112.5	152.6						--	938.3	817.9	120.4	14.7%
Mental Hygiene	51.2	65.5	183.3	141.0	69.7	184.1	158.4						--	853.2	697.1	156.1	22.4%
Transportation	161.0	421.1	353.1	261.6	451.5	214.6	401.6						--	2,264.5	2,057.1	207.4	10.1%
Criminal Justice	5.8	7.4	5.2	5.6	5.6	4.4	5.7						--	39.7	33.7	6.0	17.8%
Emergency Management & Security Services	--	--	--	--	--	--	--						--	--	--	--	--
Miscellaneous	3.9	10.4	3.8	13.7	43.9	26.3	18.2						--	120.2	110.3	9.9	9.0%
Total Local Assistance Grants	643.3	1,087.1	1,739.6	780.2	1,329.1	3,063.0	1,183.4	0.0	0.0	0.0	0.0	0.0	--	9,825.7	9,471.4	354.3	3.7%
Departmental Operations:																	
Personal Service	391.4	397.5	489.3	363.2	550.1	591.7	548.2						--	3,331.4	3,431.1	(99.7)	-2.9%
Non-Personal Service	191.7	266.5	369.1	193.8	263.5	274.0	340.6						--	1,899.2	1,583.9	315.3	19.9%
General State Charges	44.5	77.4	281.5	41.5	220.3	193.5	43.2						--	901.9	878.7	23.2	2.6%
Capital Projects	0.5	0.6	0.3	0.5	1.1	0.5	0.3						--	3.8	14.5	(10.7)	-73.8%
Total Disbursements	1,271.4	1,829.1	2,879.8	1,379.2	2,364.1	4,122.7	2,115.7	0.0	0.0	0.0	0.0	0.0	--	15,962.0	15,379.6	582.4	3.8%
Excess (Deficiency) of Receipts over Disbursements	221.7	(378.3)	(802.0)	35.9	(677.8)	(1,857.1)	(522.6)	0.0	0.0	0.0	0.0	0.0	--	(3,980.2)	(3,526.7)	(453.5)	-12.9%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	819.9	556.6	691.4	485.2	938.4	394.6	594.3						(372.7)	4,107.7	4,209.2	(101.5)	-2.4%
Transfers to Other Funds	(94.5)	(25.2)	(132.7)	(10.9)	(8.6)	(58.2)	(106.0)						--	(436.1)	(174.8)	261.3	149.5%
Total Other Financing Sources (Uses)	725.4	531.4	558.7	474.3	929.8	336.4	488.3	0.0	0.0	0.0	0.0	0.0	(372.7)	3,671.6	4,034.4	(362.8)	-9.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$947.1	\$153.1	(\$243.3)	\$510.2	\$252.0	(\$1,520.7)	(\$34.3)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$372.7)	(\$308.6)	\$507.7	(\$816.3)	-160.8%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

													7 Months Ended Oct. 31		\$ Increase/ (Decrease)	% Increase/ Decrease	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2011			2010
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --						\$ --	\$ --	\$ --	--	
Consumption/Use Taxes	--	--	--	--	--	--	--						--	--	--	--	
Business Taxes	--	--	--	--	--	--	--						--	--	--	--	
Other Taxes	--	--	--	--	--	--	--						--	--	--	--	
Miscellaneous Receipts	12.2	35.1	8.5	11.3	16.6	9.5	12.7						--	105.9	96.1	9.8	10.2%
Federal Receipts	3,978.3	2,926.8	3,757.6	4,017.4	3,242.8	3,620.7	3,077.1						--	24,620.7	26,850.0	(2,229.3)	-8.3%
Total Receipts	3,990.5	2,961.9	3,766.1	4,028.7	3,259.4	3,630.2	3,089.8	0.0	0.0	0.0	0.0	0.0	--	24,726.6	26,946.1	(2,219.5)	-8.2%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	555.6	605.4	554.5	280.7	230.5	264.8	144.7						--	2,636.2	3,308.3	(672.1)	-20.3%
Social Services:																	
Medicaid	2,314.8	1,788.9	2,761.2	2,462.1	1,790.7	2,141.7	1,677.3						--	14,936.7	16,305.1	(1,368.4)	-8.4%
Other Social Services	69.9	49.4	69.2	445.5	892.4	107.4	582.4						--	2,216.2	2,392.4	(176.2)	-7.4%
Health and Environment	65.1	122.9	91.2	94.2	106.3	96.9	65.7						--	642.3	684.2	(41.9)	-6.1%
Mental Hygiene	20.3	10.5	18.5	10.2	19.5	11.8	14.4						--	105.2	104.4	0.8	0.8%
Transportation	4.9	4.8	2.0	2.2	4.9	6.1	5.2						--	30.1	24.5	5.6	22.9%
Criminal Justice	27.3	44.6	14.9	14.4	16.2	28.6	35.3						--	181.3	133.9	47.4	35.4%
Emergency Management & Security Services	1.8	2.4	0.8	2.0	6.7	25.0	6.7						--	45.4	96.4	(51.0)	-52.9%
Miscellaneous	48.6	44.5	51.4	41.0	75.1	57.3	43.1						--	361.0	309.9	51.1	16.5%
Total Local Assistance Grants	3,108.3	2,673.4	3,563.7	3,352.3	3,142.3	2,739.6	2,574.8	0.0	0.0	0.0	0.0	0.0	--	21,154.4	23,359.1	(2,204.7)	-9.4%
Departmental Operations:																	
Personal Service	53.4	56.6	47.3	47.6	70.4	47.5	47.9						--	370.7	414.2	(43.5)	-10.5%
Non-Personal Service	57.9	50.0	61.6	51.0	72.0	124.1	99.5						--	516.1	589.7	(73.6)	-12.5%
General State Charges	3.3	25.1	40.0	3.1	21.9	29.0	12.5						--	134.9	132.9	2.0	1.5%
Capital Projects	--	--	--	--	--	--	--						--	--	--	--	--
Total Disbursements	3,222.9	2,805.1	3,712.6	3,454.0	3,306.6	2,940.2	2,734.7	0.0	0.0	0.0	0.0	0.0	--	22,176.1	24,495.9	(2,319.8)	-9.5%
Excess (Deficiency) of Receipts over Disbursements	767.6	156.8	53.5	574.7	(47.2)	690.0	355.1	0.0	0.0	0.0	0.0	0.0	--	2,550.5	2,450.2	100.3	4.1%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Transfers to Other Funds	(466.2)	(344.8)	(307.4)	(314.1)	(391.1)	(296.5)	(286.2)						372.7	(2,033.6)	(2,297.4)	(263.8)	-11.5%
Total Other Financing Sources (Uses)	(466.2)	(344.8)	(307.4)	(314.1)	(391.1)	(296.5)	(286.2)	0.0	0.0	0.0	0.0	0.0	372.7	(2,033.6)	(2,297.4)	(263.8)	-11.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$301.4	(\$188.0)	(\$253.9)	\$260.6	(\$438.3)	\$393.5	\$68.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$372.7	\$516.9	\$152.8	\$364.1	238.3%

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2011-2012
(amounts in millions)

EXHIBIT "G"
TAX RECEIPTS

												7 Months Ended Oct. 31		
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010
PERSONAL INCOME TAX	\$ --	\$ --	\$391.6	\$ --	\$ --	\$178.0	\$6.5						\$576.1	\$607.6
Total Personal Income Tax	--	--	391.6	--	--	178.0	6.5	0.0	0.0	0.0	0.0	0.0	576.1	607.6
CONSUMPTION/USE TAXES														
Sales and Use	83.3	55.0	72.5	57.4	56.1	69.9	60.8						455.0	465.9
Auto Rental	--	--	8.6	--	--	12.3	--						20.9	18.3
Cigarette/Tobacco Products	95.3	98.1	105.7	105.8	110.6	108.3	97.7						721.5	674.6
Motor Fuel	7.5	8.2	8.8	9.6	9.4	9.5	8.9						61.9	63.6
Alcoholic Beverage	--	--	--	--	--	--	--						--	--
Highway Use	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Taxicab Trip	20.0	1.0	0.4	20.5	0.7	1.3	18.5						62.4	60.7
Total Consumption/Use Taxes and Fees	206.1	162.3	196.0	193.3	176.8	201.3	185.9	0.0	0.0	0.0	0.0	0.0	1,321.7	1,283.1
BUSINESS TAXES														
Corporation Franchise	18.1	16.3	88.6	15.4	10.4	59.0	12.3						220.1	158.8
Corporation and Utilities	0.5	1.6	22.3	1.1	0.3	35.8	0.5						62.1	77.9
Insurance	1.3	1.7	32.1	0.3	0.5	25.8	0.9						62.6	55.7
Bank	2.7	(0.3)	54.2	(8.5)	(4.2)	36.3	1.1						81.3	90.5
Petroleum Business	38.5	36.4	42.4	43.2	41.1	42.8	39.1						283.5	285.7
Total Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9	0.0	0.0	0.0	0.0	0.0	709.6	668.6
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	--	--	--	--	--	--	--						--	--
Pari-Mutuel	--	--	--	--	--	--	--						--	--
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Mobility	124.6	128.1	84.5	96.6	134.5	71.2	113.6						753.1	718.5
Total Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6	0.0	0.0	0.0	0.0	0.0	753.1	718.5
TOTAL TAX RECEIPTS	\$391.8	\$346.1	\$911.7	\$341.4	\$359.4	\$650.2	\$359.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$3,360.5	\$3,277.8

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT "H"

													7 Months Ended Oct. 31			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$454.0	\$1,055.6	\$1,191.0	\$471.5	\$1,003.2	\$1,129.3	\$528.3						\$454.0	\$410.9	\$43.1	10.5%
RECEIPTS:																
Personal Income Tax	1,384.5	357.2	1,000.7	539.1	620.3	955.6	515.5						5,372.9	4,683.0	689.9	14.7%
Consumption/Use Taxes																
Sales and Use	205.5	203.5	276.7	213.9	209.2	272.9	212.3						1,594.0	1,512.3	81.7	5.4%
Other Taxes	48.0	49.4	27.3	50.7	74.6	45.1	42.9						338.0	291.6	46.4	15.9%
Miscellaneous Receipts	66.7	40.5	66.3	49.7	74.7	123.8	87.2						508.9	487.3	21.6	4.4%
Federal Receipts (*)	3.2	--	--	1.8	35.7	1.8	--						42.5	23.4	19.1	81.6%
Total Receipts	<u>1,707.9</u>	<u>650.6</u>	<u>1,371.0</u>	<u>855.2</u>	<u>1,014.5</u>	<u>1,399.2</u>	<u>857.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>7,856.3</u>	<u>6,997.6</u>	<u>858.7</u>	<u>12.3%</u>
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	1.4	1.4	6.4	4.6	4.4	3.5	1.1						22.8	39.5	(16.7)	-42.3%
Debt Service, including payments on financing agreements	157.4	293.2	566.2	102.2	285.7	877.6	103.7						2,386.0	2,293.8	92.2	4.0%
Total Disbursements	<u>158.8</u>	<u>294.6</u>	<u>572.6</u>	<u>106.8</u>	<u>290.1</u>	<u>881.1</u>	<u>104.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,408.8</u>	<u>2,333.3</u>	<u>75.5</u>	<u>3.2%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>1,549.1</u>	<u>356.0</u>	<u>798.4</u>	<u>748.4</u>	<u>724.4</u>	<u>518.1</u>	<u>753.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>5,447.5</u>	<u>4,664.3</u>	<u>783.2</u>	<u>16.8%</u>
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,009.1	402.8	325.1	758.4	494.1	342.7	854.1						4,186.3	4,437.5	(251.2)	-5.7%
Transfers to Other Funds	(1,956.6)	(623.4)	(1,843.0)	(975.1)	(1,092.4)	(1,461.8)	(749.7)						(8,702.0)	(8,120.8)	581.2	7.2%
Total Other Financing Sources (Uses)	<u>(947.5)</u>	<u>(220.6)</u>	<u>(1,517.9)</u>	<u>(216.7)</u>	<u>(598.3)</u>	<u>(1,119.1)</u>	<u>104.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(4,515.7)</u>	<u>(3,683.3)</u>	<u>(832.4)</u>	<u>-22.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>601.6</u>	<u>135.4</u>	<u>(719.5)</u>	<u>531.7</u>	<u>126.1</u>	<u>(601.0)</u>	<u>857.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>931.8</u>	<u>981.0</u>	<u>(49.2)</u>	<u>-5.0%</u>
CLOSING CASH BALANCE	<u>\$1,055.6</u>	<u>\$1,191.0</u>	<u>\$471.5</u>	<u>\$1,003.2</u>	<u>\$1,129.3</u>	<u>\$528.3</u>	<u>\$1,385.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$1,385.8</u>	<u>\$1,391.9</u>	<u>(\$6.1)</u>	<u>-0.4%</u>

(*) Federal receipts includes credit payments for interest paid on Build America Bonds and Qualified School Construction Bonds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

													7 Months Ended Oct. 31			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE (DEFICITS)	(\$167.1)	(\$214.3)	(\$329.4)	(\$402.9)	(\$536.9)	(\$619.7)	(\$845.4)						(\$167.1)	(\$253.3)	\$86.2	34.0%
RECEIPTS:																
Consumption/Use Taxes																
Auto Rental	(0.4)	--	14.5	--	--	20.8	--						34.9	31.0	3.9	12.6%
Motor Fuel	28.5	29.5	34.0	36.7	35.0	34.7	33.4						231.8	241.4	(9.6)	-4.0%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8						80.5	77.4	3.1	4.0%
Business Taxes																
Petroleum Business	48.0	45.5	52.7	54.3	51.3	53.6	49.2						354.6	357.6	(3.0)	-0.8%
Transmission	--	(1.0)	1.8	--	--	2.7	0.2						3.7	7.0	(3.3)	-47.1%
Other Taxes	--	--	11.9	11.9	12.0	11.8	12.0						59.6	59.5	0.1	0.2%
Miscellaneous Receipts	343.0	320.3	237.6	382.3	226.4	246.2	384.7						2,140.5	1,999.0	141.5	7.1%
Federal Receipts	127.1	132.2	228.6	116.7	233.3	158.0	148.6						1,144.5	1,404.2	(259.7)	-18.5%
Total Receipts	<u>558.3</u>	<u>538.6</u>	<u>589.7</u>	<u>614.6</u>	<u>570.1</u>	<u>537.9</u>	<u>640.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,050.1</u>	<u>4,177.1</u>	<u>(127.0)</u>	<u>-3.0%</u>
DISBURSEMENTS:																
Local Assistance Grants:																
Education	7.2	6.3	15.0	1.0	1.3	1.3	0.9						33.0	29.7	3.3	11.1%
Social Services	10.8	--	(0.1)	0.1	--	--	--						10.8	29.0	(18.2)	-62.8%
Health and Environment	5.2	65.7	54.9	10.7	43.6	47.6	22.7						250.4	206.9	43.5	21.0%
Mental Hygiene	6.3	9.2	4.1	3.6	4.0	3.4	5.6						36.2	47.1	(10.9)	-23.1%
Transportation	27.9	26.0	60.6	25.6	48.0	30.6	34.8						253.5	338.6	(85.1)	-25.1%
Miscellaneous	134.0	186.2	66.5	226.3	24.1	70.5	36.1						743.7	613.4	130.3	21.2%
Total Local Assistance Grants	<u>191.4</u>	<u>293.4</u>	<u>201.0</u>	<u>267.3</u>	<u>121.0</u>	<u>153.4</u>	<u>100.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,327.6</u>	<u>1,264.7</u>	<u>62.9</u>	<u>5.0%</u>
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--						--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--
Capital Projects	316.6	349.5	474.7	403.1	499.0	422.4	498.9						2,964.2	2,973.6	(9.4)	-0.3%
Total Disbursements	<u>508.0</u>	<u>642.9</u>	<u>675.7</u>	<u>670.4</u>	<u>620.0</u>	<u>575.8</u>	<u>599.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,291.8</u>	<u>4,238.3</u>	<u>53.5</u>	<u>1.3%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>50.3</u>	<u>(104.3)</u>	<u>(86.0)</u>	<u>(55.8)</u>	<u>(49.9)</u>	<u>(37.9)</u>	<u>41.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(241.7)</u>	<u>(61.2)</u>	<u>(180.5)</u>	<u>-294.9%</u>
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--
Transfers from Other Funds	(7.1)	79.4	106.8	19.2	64.5	30.3	38.0						331.1	360.7	(29.6)	-8.2%
Transfers to Other Funds	(90.4)	(90.2)	(94.3)	(97.4)	(97.4)	(218.1)	(96.2)						(784.0)	(791.5)	(7.5)	-0.9%
Total Other Financing Sources (Uses)	<u>(97.5)</u>	<u>(10.8)</u>	<u>12.5</u>	<u>(78.2)</u>	<u>(32.9)</u>	<u>(187.8)</u>	<u>(58.2)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(452.9)</u>	<u>(430.8)</u>	<u>(22.1)</u>	<u>-5.1%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(47.2)</u>	<u>(115.1)</u>	<u>(73.5)</u>	<u>(134.0)</u>	<u>(82.8)</u>	<u>(225.7)</u>	<u>(16.3)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(694.6)</u>	<u>(492.0)</u>	<u>(202.6)</u>	<u>-41.2%</u>
CLOSING CASH BALANCE (DEFICITS)	<u>(\$214.3)</u>	<u>(\$329.4)</u>	<u>(\$402.9)</u>	<u>(\$536.9)</u>	<u>(\$619.7)</u>	<u>(\$845.4)</u>	<u>(\$861.7)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$861.7)</u>	<u>(\$745.3)</u>	<u>(\$116.4)</u>	<u>-15.6%</u>

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "I"
STATE**

													7 Months Ended Oct. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes																	
Auto Rental	(\$0.4)	\$ --	\$14.5	\$ --	\$ --	\$20.8	\$ --						\$ --	\$34.9	\$31.0	\$3.9	12.6%
Motor Fuel	28.5	29.5	34.0	36.7	35.0	34.7	33.4						--	231.8	241.4	(9.6)	-4.0%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8						--	80.5	77.4	3.1	4.0%
Business Taxes																	
Petroleum Business	48.0	45.5	52.7	54.3	51.3	53.6	49.2						--	354.6	357.6	(3.0)	-0.8%
Transmission	--	(1.0)	1.8	--	--	2.7	0.2						--	3.7	7.0	(3.3)	-47.1%
Other Taxes	--	--	11.9	11.9	12.0	11.8	12.0						--	59.6	59.5	0.1	0.2%
Miscellaneous Receipts	343.0	320.1	237.5	382.3	226.1	245.8	384.5						--	2,139.3	1,997.5	141.8	7.1%
Federal Receipts	--	--	--	--	--	2.7	--						--	2.7	--	2.7	100.0%
Total Receipts	431.2	406.2	361.0	497.9	336.5	382.2	492.1	0.0	0.0	0.0	0.0	0.0	--	2,907.1	2,771.4	135.7	4.9%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	7.2	6.3	15.0	1.0	1.3	1.3	0.9						--	33.0	29.7	3.3	11.1%
Social Services	10.8	--	(0.1)	0.1	--	--	--						--	10.8	29.0	(18.2)	-62.8%
Health and Environment	5.2	65.7	15.1	10.7	31.9	26.2	22.7						--	177.5	94.8	82.7	87.2%
Mental Hygiene	6.3	9.2	4.1	3.6	4.0	3.4	5.6						--	36.2	47.1	(10.9)	-23.1%
Transportation	1.9	2.0	0.6	0.8	0.4	3.0	0.3						--	9.0	20.5	(11.5)	-56.1%
Miscellaneous	134.0	186.2	66.5	226.3	24.1	70.5	36.1						--	743.7	613.4	130.3	21.2%
Total Local Assistance Grants	165.4	269.4	101.2	242.5	61.7	104.4	65.6	0.0	0.0	0.0	0.0	0.0	--	1,010.2	834.5	175.7	21.1%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--	--
Capital Projects	254.3	275.0	378.8	307.2	387.0	329.5	389.1						--	2,320.9	2,177.4	143.5	6.6%
Total Disbursements	419.7	544.4	480.0	549.7	448.7	433.9	454.7	0.0	0.0	0.0	0.0	0.0	--	3,331.1	3,011.9	319.2	10.6%
Excess (Deficiency) of Receipts over Disbursements	11.5	(138.2)	(119.0)	(51.8)	(112.2)	(51.7)	37.4	0.0	0.0	0.0	0.0	0.0	--	(424.0)	(240.5)	(183.5)	-76.3%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--	--
Transfers from Other Funds	(7.1)	79.4	106.8	19.2	64.5	30.3	38.0						--	331.1	360.7	(29.6)	-8.2%
Transfers to Other Funds	(90.4)	(90.2)	(94.3)	(97.4)	(97.4)	(218.1)	(96.2)						--	(784.0)	(791.5)	(7.5)	-0.9%
Total Other Financing Sources (Uses)	(97.5)	(10.8)	12.5	(78.2)	(32.9)	(187.8)	(58.2)	0.0	0.0	0.0	0.0	0.0	--	(452.9)	(430.8)	(22.1)	-5.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$86.0)	(\$149.0)	(\$106.5)	(\$130.0)	(\$145.1)	(\$239.5)	(\$20.8)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$876.9)	(\$671.3)	(\$205.6)	-30.6%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													7 Months Ended Oct. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$ --	\$0.2	\$0.1	\$ --	\$0.3	\$0.4	\$0.2						\$ --	\$1.2	\$1.5	(\$0.3)	-20.0%
Federal Receipts	127.1	132.2	228.6	116.7	233.3	155.3	148.6						--	1,141.8	1,404.2	(262.4)	-18.7%
Total Receipts	127.1	132.4	228.7	116.7	233.6	155.7	148.8	0.0	0.0	0.0	0.0	0.0	--	1,143.0	1,405.7	(262.7)	-18.7%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--	--						--	--	--	--	--
Social Services	--	--	--	--	--	--	--						--	--	--	--	--
Health and Environment	--	--	39.8	--	11.7	21.4	--						--	72.9	112.1	(39.2)	-35.0%
Mental Hygiene	--	--	--	--	--	--	--						--	--	--	--	--
Transportation	26.0	24.0	60.0	24.8	47.6	27.6	34.5						--	244.5	318.1	(73.6)	-23.1%
Miscellaneous	--	--	--	--	--	--	--						--	--	--	--	--
Total Local Assistance Grants	26.0	24.0	99.8	24.8	59.3	49.0	34.5	0.0	0.0	0.0	0.0	0.0	--	317.4	430.2	(112.8)	-26.2%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--	--
Capital Projects	62.3	74.5	95.9	95.9	112.0	92.9	109.8						--	643.3	796.2	(152.9)	-19.2%
Total Disbursements	88.3	98.5	195.7	120.7	171.3	141.9	144.3	0.0	0.0	0.0	0.0	0.0	--	960.7	1,226.4	(265.7)	-21.7%
Excess (Deficiency) of Receipts over Disbursements	38.8	33.9	33.0	(4.0)	62.3	13.8	4.5	0.0	0.0	0.0	0.0	0.0	--	182.3	179.3	3.0	1.7%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--	--	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$38.8	\$33.9	\$33.0	(\$4.0)	\$62.3	\$13.8	\$4.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	\$182.3	\$179.3	\$3.0	1.7%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT J

													7 Months Ended Oct. 31	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010
BEGINNING FUND EQUITY (DEFICITS)	\$20.9	\$25.4	(\$74.1)	\$23.4	\$61.4	\$136.1	\$69.5						\$20.9	(\$64.1)
RECEIPTS:														
Miscellaneous Receipts	4.4	5.7	4.8	18.0	102.8	16.0	11.2						162.9	41.8
Federal Receipts (*)	330.5	316.6	381.0	297.5	341.4	294.9	299.4						2,261.3	3,480.1
Unemployment Taxes	279.9	258.6	295.2	283.2	306.5	260.0	237.0						1,920.4	2,184.4
Total Receipts	614.8	580.9	681.0	598.7	750.7	570.9	547.6	0.0	0.0	0.0	0.0	0.0	4,344.6	5,706.3
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.3	0.3	0.4	0.3	0.6	1.5	0.4						3.8	4.2
Non-Personal Service	3.6	4.5	4.3	3.4	5.2	103.9	3.7						128.6	33.5
General State Charges	--	0.1	--	--	0.3	--	--						0.4	0.3
Unemployment Benefits (*)	606.4	675.5	578.8	557.0	669.9	532.1	603.2						4,222.9	5,578.8
Total Disbursements	610.3	680.4	583.5	560.7	676.0	637.5	607.3	0.0	0.0	0.0	0.0	0.0	4,355.7	5,616.8
Excess (Deficiency) of Receipts over Disbursements	4.5	(99.5)	97.5	38.0	74.7	(66.6)	(59.7)	0.0	0.0	0.0	0.0	0.0	(11.1)	89.5
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	(1.0)
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	(1.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4.5	(99.5)	97.5	38.0	74.7	(66.6)	(59.7)	0.0	0.0	0.0	0.0	0.0	(11.1)	88.5
CLOSING CASH BALANCE	\$25.4	(\$74.1)	\$23.4	\$61.4	\$136.1	\$69.5	\$9.8	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$9.8	\$24.4

(*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT K

													<u>7 Months Ended Oct. 31</u>	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010
BEGINNING FUND EQUITY (DEFICITS)	\$29.0	\$32.3	\$29.2	\$32.2	\$16.4	\$20.8	\$21.7						\$29.0	\$18.1
RECEIPTS:														
Miscellaneous Receipts	27.6	33.2	32.6	17.5	44.3	40.8	36.6						232.6	233.1
Total Receipts	27.6	33.2	32.6	17.5	44.3	40.8	36.6	0.0	0.0	0.0	0.0	0.0	232.6	233.1
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	9.0	8.7	9.2	8.5	12.1	8.2	8.2						63.9	67.4
Non-Personal Service	21.4	24.2	26.5	33.4	24.4	36.8	31.0						197.7	209.1
General State Charges	0.1	10.5	2.7	1.6	9.9	--	6.3						31.1	23.9
Total Disbursements	30.5	43.4	38.4	43.5	46.4	45.0	45.5	0.0	0.0	0.0	0.0	0.0	292.7	300.4
Excess (Deficiency) of Receipts over Disbursements	(2.9)	(10.2)	(5.8)	(26.0)	(2.1)	(4.2)	(8.9)	0.0	0.0	0.0	0.0	0.0	(60.1)	(67.3)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	6.2	7.1	10.7	10.2	6.6	9.5	4.7						55.0	51.9
Transfers to Other Funds	--	--	(1.9)	--	(0.1)	(4.4)	(0.1)						(6.5)	(1.9)
Total Other Financing Sources (Uses)	6.2	7.1	8.8	10.2	6.5	5.1	4.6	0.0	0.0	0.0	0.0	0.0	48.5	50.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3.3	(3.1)	3.0	(15.8)	4.4	0.9	(4.3)	0.0	0.0	0.0	0.0	0.0	(11.6)	(17.3)
ENDING FUND EQUITY(DEFICITS)	<u>\$32.3</u>	<u>\$29.2</u>	<u>\$32.2</u>	<u>\$16.4</u>	<u>\$20.8</u>	<u>\$21.7</u>	<u>\$17.4</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$17.4</u>	<u>\$0.8</u>

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT L

													<u>7 Months Ended Oct. 31</u>	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010
OPENING CASH BALANCE	\$9.3	\$9.3	\$9.3	\$9.5	\$9.9	\$10.0	\$9.9						\$9.3	\$9.3
RECEIPTS:														
Miscellaneous Receipts	--	0.1	0.2	0.4	0.1	--	0.1						0.9	0.6
Total Receipts	--	0.1	0.2	0.4	0.1	--	0.1	0.0	0.0	0.0	0.0	0.0	0.9	0.6
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	0.1	--						0.2	0.2
Non-Personal Service	--	--	--	--	--	--	--						--	--
General State Charges	--	--	--	--	--	--	--						--	0.1
Total Disbursements	--	0.1	--	--	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.2	0.3
Excess (Deficiency) of Receipts over Disbursements	--	--	0.2	0.4	0.1	(0.1)	0.1	0.0	0.0	0.0	0.0	0.0	0.7	0.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	--	--	0.2	0.4	0.1	(0.1)	0.1	0.0	0.0	0.0	0.0	0.0	0.7	0.3
CLOSING CASH BALANCE	<u>\$9.3</u>	<u>\$9.3</u>	<u>\$9.5</u>	<u>\$9.9</u>	<u>\$10.0</u>	<u>\$9.9</u>	<u>\$10.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$10.0</u>	<u>\$9.6</u>

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT M

	2011							2012					7 Months Ended Oct. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2011	2010
OPENING CASH BALANCE	\$1.4	(\$7.2)	(\$0.5)	(\$0.1)	(\$6.1)	(\$2.1)	\$ --						\$1.4	\$ --
RECEIPTS:														
Miscellaneous Receipts	4.0	12.1	5.5	5.3	13.1	7.0	6.6						53.6	64.1
Total Receipts	4.0	12.1	5.5	5.3	13.1	7.0	6.6	0.0	0.0	0.0	0.0	0.0	53.6	64.1
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	4.6	4.0	4.0	4.2	6.4	4.3	4.2						31.7	32.8
Non-Personal Service	1.4	1.4	0.9	1.4	2.7	0.6	2.4						10.8	11.0
General State Charges	6.6	--	0.2	5.7	--	--	--						12.5	20.3
Total Disbursements	12.6	5.4	5.1	11.3	9.1	4.9	6.6	0.0	0.0	0.0	0.0	0.0	55.0	64.1
Excess (Deficiency) of Receipts over Disbursements	(8.6)	6.7	0.4	(6.0)	4.0	2.1	--	0.0	0.0	0.0	0.0	0.0	(1.4)	--
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(8.6)	6.7	0.4	(6.0)	4.0	2.1	--	0.0	0.0	0.0	0.0	0.0	(1.4)	--
CLOSING CASH BALANCE	(\$7.2)	(\$0.5)	(\$0.1)	(\$6.1)	(\$2.1)	\$ --	\$ --	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	\$ --

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2011
(amounts in millions)

SCHEDULE 1

	BALANCE 10/1/11	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/11
GENERAL FUND					
001-Local Assistance Account	\$ --	\$0.906	\$3,017.034	\$3,016.128	\$ --
003-State Operations Account	4,835.599	2,434.411	713.541	(3,269.413)	3,287.056
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	112.413	--	5.392	--	107.021
008-Rainy Day Reserve Fund	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	104.670	104.670	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	4,948.012	2,539.987	3,840.637	(253.285)	3,394.077
SPECIAL REVENUE FUNDS-STATE					
019-Mental Health Gifts and Donations	2.216	0.008	0.005	--	2.219
020-Combined Expendable Trust	60.416	1.219	0.582	--	61.053
023-New York Interest on Lawyer Account	10.657	0.687	4.748	--	6.596
024-NYS Archives Partnership Trust	0.219	--	0.062	--	0.157
025-Child Performer's Protection	0.198	0.006	0.021	--	0.183
050-Tuition Reimbursement	5.371	0.498	0.415	--	5.454
052-New York State Local Government Records Management Improvement	3.273	0.932	0.619	--	3.586
053-School Tax Relief	0.049	6.489	6.486	--	0.052
054-Charter Schools Stimulus	1.330	--	--	--	1.330
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.020	--	--	--	0.020
061-HCRA Resources	340.064	488.595	407.968	(0.533)	420.158
073-Dedicated Mass Transportation Trust	91.256	53.476	54.822	--	89.910
160-State Lottery	(891.155)	213.821	114.210	--	(791.544)
221-Combined Student Loan	24.698	2.591	3.218	--	24.071
225-MTA Financial Assistance Fund	71.460	147.645	80.747	1.181	139.539
300-Sewage Treatment Program Mgmt. & Administration	1.385	--	0.571	--	0.814
301-EnCon Special Revenue	(33.976)	12.650	6.426	2.790	(24.962)
302-Conservation	71.123	11.358	2.556	0.482	80.407
303-Environmental Protection and Oil Spill Compensation	14.142	3.005	1.935	(2.106)	13.106
305-Training and Education Program on OSHA	17.139	2.063	1.780	--	17.422
306-Lawyers' Fund for Client Protection	3.493	(0.882)	0.348	--	2.263
307-Equipment Loan for the Disabled	0.468	0.006	--	--	0.474
313-Mass Transportation Operating Assistance	148.972	87.138	266.356	(92.496)	(122.742)
314-Clean Air	(18.957)	2.783	2.230	--	(18.404)
318-New York State Infrastructure Trust	0.067	--	--	--	0.067
321-Legislative Computer Services	9.714	0.063	0.107	--	9.670
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	3.475	0.005	--	--	3.480
333-Winter Sports Education Trust	1.181	--	--	--	1.181
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.738	0.001	--	--	0.739
339-Miscellaneous State Special Revenue	1,266.944	177.358	668.302	448.149	1,224.149

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2011
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 10/1/11	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/11
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
340-Court Facilities Incentive Aid	9.011	0.003	13.496	13.500	9.018
341-Employment Training	0.041	--	--	--	0.041
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	909.475	337.449	460.770	117.664	903.818
346-Chemical Dependence Service	5.849	0.442	--	--	6.291
349-Lake George Park Trust	2.056	0.003	0.059	--	2.000
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(57.017)	15.480	0.346	--	(41.883)
355-New York Great Lakes Protection	0.639	--	0.007	--	0.632
359-Federal Revenue Maximization	0.023	--	--	--	0.023
360-Housing Development	8.324	0.006	0.060	--	8.270
362-NYS/DOT Highway Safety Program	(2.416)	0.015	0.259	--	(2.660)
365-Vocational Rehabilitation	0.080	0.003	--	--	0.083
366-Drinking Water Program Management and Administration	(6.115)	--	0.470	--	(6.585)
368-NYC County Clerks' Operations Offset	(24.666)	--	1.761	--	(26.427)
369-Judiciary Data Processing Offset	2.677	5.011	1.201	--	6.487
377-IFR / CUTRA	135.818	8.677	5.085	(0.367)	139.043
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.003	0.001	--	--	0.004
390-Indigent Legal Services	38.735	13.874	7.489	--	45.120
482-Unemployment Insurance Interest and Penalty	8.553	0.701	0.156	--	9.098
TOTAL SPECIAL REVENUE FUNDS-STATE	2,237.052	1,593.180	2,115.673	488.264	2,202.823
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(7.280)	138.599	129.454	--	1.865
265-Federal Health and Human Services	(75.001)	2,656.825	2,296.282	(282.912)	2.630
267-Federal Education	(13.692)	189.092	182.088	--	(6.688)
269-Federal DHHS Block Grant	--	--	--	--	--
290-Federal Miscellaneous Operating Grants	113.501	64.752	87.815	(3.273)	87.165
480-Unemployment Insurance Administration	68.323	27.656	24.270	--	71.709
484-Unemployment Insurance Occupational Training	0.129	0.700	0.845	--	(0.016)
486-Federal Employment and Training Grants	(0.027)	12.038	13.947	--	(1.936)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	85.953	3,089.662	2,734.701	(286.185)	154.729
TOTAL SPECIAL REVENUE FUNDS	2,323.005	4,682.842	4,850.374	202.079	2,357.552
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	244.053	20.189	--	15.785	280.027
311-General Debt Service	0.361	515.500	103.011	377.588	790.438
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	0.376	1.498	1.122	--
319-Department of Health Income	27.156	16.117	--	(9.088)	34.185
330-State University Dormitory Income	240.755	50.489	--	(33.143)	258.101
361-Clean Water/Clean Air	12.065	42.920	--	(36.009)	18.976
364-Local Government Assistance Tax	3.903	212.358	0.327	(211.896)	4.038
TOTAL DEBT SERVICE FUNDS	528.293	857.949	104.836	104.359	1,385.765

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2011
(amounts in millions)**

**SCHEDULE 1
(continued)**

	BALANCE 10/1/11	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/11
<u>CAPITAL PROJECTS FUNDS</u>					
002-State Capital Projects	--	106.228	168.992	62.764	--
072-Dedicated Highway and Bridge Trust	(403.186)	323.082	223.179	(92.455)	(395.738)
074-SUNY Residence Halls Rehabilitation and Repair	120.509	0.016	4.737	--	115.788
075-New York State Canal System Development	2.442	0.375	--	--	2.817
076-Parks Infrastructure	(34.292)	6.111	0.981	(0.005)	(29.167)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	53.873	12.627	7.726	--	58.774
079-Clean Water/Clean Air Implementation	--	--	--	--	--
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.391	--	--	--	3.391
115-Environmental Quality Protection Bond	3.252	--	--	(0.066)	3.186
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	134.584	--	--	(25.461)	109.123
123-Transportation Infrastructure Renewal Bond	4.281	--	--	--	4.281
124-1986 Environmental Quality Bond Act	0.361	--	--	(0.361)	--
126-Accelerated Capacity and Transportation Improvement Bond	2.657	--	--	--	2.657
127-Clean Water/Clean Air Bond	9.679	--	--	(0.933)	8.746
291-Federal Capital Projects	97.514	148.835	144.355	--	101.994
310-Forest Preserve Expansion	0.894	--	--	--	0.894
312-Hazardous Waste Remedial	(137.420)	2.615	6.369	(1.675)	(142.849)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.504	--	--	--	0.504
357-Division for Youth Facilities Improvement	(12.421)	4.892	1.407	--	(8.936)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(21.608)	--	--	--	(21.608)
376-Housing Program	(235.784)	--	11.250	--	(247.034)
378-Natural Resource Damage	19.422	0.234	0.092	--	19.564
380-DOT Engineering Services	(12.086)	--	0.165	--	(12.251)
384-State University Capital Projects	158.917	0.065	7.434	--	151.548
387-Miscellaneous Capital Projects	(202.017)	0.342	0.454	--	(202.129)
388-CUNY Capital Projects	(0.023)	--	--	--	(0.023)
389-Mental Hygiene Facilities Capital Improvement	(366.711)	3.078	7.828	--	(371.461)
399-Correction Facilities Capital Improvement	(32.404)	32.404	14.047	--	(14.047)
TOTAL CAPITAL PROJECTS FUNDS	(845.406)	640.904	599.016	(58.192)	(861.710)
TOTAL GOVERNMENTAL FUNDS	\$6,953.904	\$8,721.682	\$9,394.863	(\$5.039)	\$6,275.684

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF OCTOBER 2011
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 10/1/11</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 10/31/11</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$0.194	\$0.002	\$0.002	\$ --	\$0.194
325-State Exposition Special	6.477	1.262	1.022	--	6.717
326-Correctional Services Commissary	2.293	2.809	2.652	--	2.450
331-Agency Enterprise	2.331	0.368	0.195	--	2.504
351-OMH Sheltered Workshop	1.837	0.080	0.086	--	1.831
352-OPWDD Sheltered Workshop	1.092	0.106	0.074	--	1.124
353-Mental Hygiene Community Stores	2.917	0.115	0.112	--	2.920
481-Unemployment Insurance Benefit	52.352	542.866	603.165	--	(7.947)
TOTAL ENTERPRISE FUNDS	69.493	547.608	607.308	--	9.793
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	55.003	18.841	17.478	0.202	56.568
334-Agency Internal Service	(11.746)	13.251	22.976	4.471	(17.000)
343-Mental Hygiene Revolving	0.157	0.077	0.108	--	0.126
347-Youth Vocational Education	0.055	--	--	--	0.055
394-Joint Labor/Management Administration	1.646	--	0.153	--	1.493
395-Audit and Control Revolving	0.320	--	0.117	--	0.203
396-Health Insurance Revolving	(20.617)	1.274	0.800	--	(20.143)
397-Correctional Industries Revolving	(3.133)	3.096	3.891	--	(3.928)
TOTAL INTERNAL SERVICE FUNDS	21.685	36.539	45.523	4.673	17.374
TOTAL PROPRIETARY FUNDS	\$91.178	\$584.147	\$652.831	\$4.673	\$27.167

STATE OF NEW YORK
FIDUCIARY FUNDS

SCHEDULE 3

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2011

(amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 10/1/11</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 10/31/11</u>
<u>PENSION TRUST FUNDS</u>					
400-Common Retirement-Administration	(\$0.008)	\$6.620	\$6.639	\$ --	(\$0.027)
TOTAL PENSION TRUST FUNDS	(0.008)	6.620	6.639	--	(0.027)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers' Security	1.839	(0.013)	0.008	--	1.818
022-Milk Producers' Security	8.066	0.093	0.006	--	8.153
TOTAL PRIVATE PURPOSE TRUST FUNDS	9.905	0.080	0.014	--	9.971
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	30.374	1.529	--	--	31.903
135-Child Performer's Holding	0.054	--	--	--	0.054
136-Child Performer's Holding II	0.075	--	--	--	0.075
137-Child Performer's Holding III	0.043	--	--	--	0.043
152-Employees Health Insurance	442.740	534.591	590.310	--	387.021
153-Social Security Contribution	11.484	58.401	58.760	--	11.125
154-Employee Payroll Withholding Escrow	70.173	316.458	318.162	--	68.469
162-Employees Dental Insurance	7.589	5.674	6.093	--	7.170
163-Management Confidential Group Insurance	1.283	0.606	0.600	--	1.289
165-Lottery Prize	299.852	55.562	57.145	--	298.269
167-Health Insurance Reserve Receipts	0.095	--	--	--	0.095
169-Miscellaneous New York State Agency	550.944	7.986	19.112	--	539.818
175-Elderly Pharmaceutical Insurance Coverage Escrow	12.764	14.024	17.920	--	8.868
176-CUNY Senior College Operating	36.528	140.001	124.944	0.367	51.952
179-Medicaid Management Information System Escrow	217.087	3,882.777	3,880.572	--	219.292
309-Special Education	--	--	--	--	--
344-State University Collection	298.022	(132.370)	--	--	165.652
382-SUNY Federal Direct Lending Program	(15.012)	13.608	--	--	(1.404)
TOTAL AGENCY FUNDS	1,964.095	4,898.847	5,073.618	0.367	1,789.691
TOTAL FIDUCIARY FUNDS	\$1,973.992	\$4,905.547	\$5,080.271	\$0.367	\$1,799.635

**STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF OCTOBER 2011
(amounts in millions)**

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 10/1/11</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 10/31/11</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$2.697	\$ --	\$ --	\$2.697
149-Sole Custody Investment (*)	1,786.060	1,662.702	1,928.413	1,520.349
650-Comptroller's Refund	--	164.608	164.608	--
TOTAL ACCOUNTS	<u>\$1,788.757</u>	<u>\$1,827.310</u>	<u>\$2,093.021</u>	<u>\$1,523.046</u>

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of October 31, 2011, \$12,853,686.80 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2012

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2011	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCT. 31, 2011	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2011	MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2011		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2011
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$458,465,328.60	\$ --	\$ --	\$ --	\$82,481,683.89	\$375,983,644.71	\$4,242,801.62	\$14,058,343.65
Clean Water/Clean Air:								
Air Quality	59,447,800.41	--	--	--	9,193,360.34	50,254,440.07	498,769.97	1,608,838.72
Safe Drinking Water	27,760,083.89	--	--	--	9,622,255.98	18,137,827.91	--	618,741.32
Water	483,211,725.68	--	--	--	4,212,495.72	478,999,229.96	947,338.54	9,791,065.70
Solid Waste	81,325,655.84	--	--	--	5,362,107.84	75,963,548.00	374,772.33	1,380,217.22
Environmental Restoration	94,616,438.59	--	--	--	--	94,616,438.59	7,280.60	1,823,068.21
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	15,810,966.39	--	--	--	3,597,325.89	12,213,640.50	84,513.23	379,287.82
Environmental Quality Protection (1972):								
Air	14,737,138.07	--	--	--	3,595,839.37	11,141,298.70	199,226.13	512,068.31
Land and Wetlands	31,631,949.01	--	--	--	5,993,271.79	25,638,677.22	336,039.53	1,010,724.27
Water	97,808,886.94	--	--	--	13,708,150.83	84,100,736.11	969,994.03	3,049,829.83
Environmental Quality (1986):								
Land and Forests	39,409,145.15	--	--	--	4,849,785.33	34,559,359.82	274,574.32	949,869.40
Solid Waste Management	422,127,588.73	--	--	--	31,393,728.65	390,733,860.08	368,902.85	7,981,959.91
Housing:								
Low Cost	41,509,471.89	--	--	1,060,000.00	6,565,471.89	34,944,000.00	438,300.00	1,042,370.22
Middle Income	36,504,000.00	--	--	--	2,740,000.00	33,764,000.00	--	356,423.54
Park and Recreation Land Acquisition	30,067.39	--	--	--	10,000.00	20,067.39	--	492.51
Pure Waters	74,926,009.63	--	--	--	9,368,983.57	65,557,026.06	707,217.99	2,313,495.82
Rail Preservation Development	7,605,848.10	--	--	--	2,885,412.05	4,720,436.05	41,139.56	220,841.52
Rebuild and Renew New York Transportation:								
Highway Facilities	697,748,567.59	--	--	--	--	697,748,567.59	--	13,885,255.26
Canals and Waterways	12,284,051.56	--	--	--	--	12,284,051.56	--	227,506.34
Aviation	45,439,835.48	--	--	--	--	45,439,835.48	--	880,714.02
Rail and Port	77,979,040.83	--	--	--	--	77,979,040.83	--	1,609,392.16
Mass Transit - Dept. of Transportation	14,255,465.90	--	--	--	--	14,255,465.90	--	276,362.04
Mass Transit - Metropolitan Transportation Authority	640,297,346.72	--	--	--	--	640,297,346.72	--	13,388,582.36
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	3,288,021.09	--	--	--	--	3,288,021.09	--	65,276.46
Ports, Canals, and Waterways	75,831.26	--	--	--	37,435.16	38,396.10	767.92	2,284.55
Rapid Transit, Rail, and Aviation	18,976,602.80	--	--	--	2,387,898.79	16,588,704.01	234,951.73	638,822.80
Transportation Capital Facilities:								
Aviation	19,475,404.69	--	--	--	3,224,757.15	16,250,647.54	239,190.75	669,707.74
Mass Transportation	8,539,727.23	--	--	--	4,695,035.76	3,844,691.47	5,206.78	240,672.63
Total General Obligation Bonded Debt	\$3,525,287,999.46	\$ --	\$ --	\$1,060,000.00	\$205,925,000.00	\$3,319,362,999.46	\$9,970,987.88	\$78,982,214.33

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN (7) MONTHS ENDED OCTOBER 31, 2011

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	UNIVERSITY	7 MONTHS ENDED OCT. 31		
	FUND	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	DORMITORY	2011	2010	
(064)	(311-01)	(319)	(364)	(304)	(311-02)	(330)				
Special Contractual Financing Obligations:										
Managed by Office of General Services:										
Department of Trans Region 1 Schenectady	\$ --	\$631,732	\$ --	\$ --	\$ --	\$ --	\$ --	\$631,732	\$427,769	\$203,963
Hampton Plaza	--	19,000	--	--	--	--	--	19,000	112,656	(93,656)
Subtotal	\$ --	\$650,732	\$ --	\$ --	\$ --	\$ --	\$ --	\$650,732	\$540,425	\$110,307
Payments to Public Authorities:										
City University Construction	--	159,655,436	--	--	--	--	--	159,655,436	115,052,452	44,602,984
Dormitory Authority:										
Albany County Airport	--	373,194	--	--	--	--	--	373,194	407,257	(34,063)
Child Care Facilities	--	37,669	--	--	--	--	--	37,669	106,066	(68,397)
Consolidated Service Contract Refunding	--	59,884,539	--	--	--	--	--	59,884,539	45,263,541	14,620,998
David Axelrod Institute	--	4,600,029	--	--	--	--	--	4,600,029	4,529,904	70,125
Department of Health Facilities	--	--	14,822,755	--	--	--	--	14,822,755	14,715,620	107,135
Economic Development Housing	--	--	--	--	--	11,138,197	--	11,138,197	12,504,194	(1,365,997)
Education	--	--	--	--	--	136,276,055	--	136,276,055	136,293,171	(17,116)
General Purpose	--	--	--	--	--	179,035,787	--	179,035,787	122,733,551	56,302,236
Health Care	--	--	--	--	--	2,973,244	--	2,973,244	3,200,144	(226,900)
Judicial Training Institute	--	434,793	--	--	--	--	--	434,793	428,018	6,775
Library for the Blind	--	--	--	--	--	--	--	--	489,719	(489,719)
Mental Health Facilities	--	--	--	--	117,514,744	--	--	117,514,744	107,191,572	10,323,172
OGS Parking	--	478,125	--	--	--	--	--	478,125	445,125	33,000
RESCUE	--	--	--	--	--	--	--	--	211,263	(211,263)
State Department of Education Facilities	--	1,045,377	--	--	--	--	--	1,045,377	1,052,887	(7,510)
State Facilities and Equipment	--	--	--	--	--	715,838	--	715,838	937,801	(221,963)
SUNY Community Colleges	--	23,825,408	--	--	--	--	--	23,825,408	18,536,069	5,289,339
SUNY Dormitory Facilities	--	--	--	--	--	--	58,325,259	58,325,259	51,341,163	6,984,096
SUNY Educational Facilities	--	141,466,537	--	--	--	--	--	141,466,537	169,944,417	(28,477,880)
Environmental Facilities Corporation	--	3,460,965	--	--	--	19,237,538	--	22,698,503	24,235,150	(1,536,647)
Housing Finance Agency	--	12,081,059	--	--	--	39,136,604	--	51,217,663	51,997,696	(780,033)
Local Government Assistance Corporation	--	--	--	67,324,502	--	--	--	67,324,502	64,414,155	2,910,347
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	82,490,224	--	--	--	--	--	82,490,224	82,487,937	2,287
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	--	--	--	--	--	--	--	1,184,250	(1,184,250)
Thruway Authority:										
Dedicated Highway & Bridge	--	605,039,057	--	--	--	--	--	605,039,057	595,122,193	9,916,864
Local Highway & Bridge	--	99,639,000	--	--	--	--	--	99,639,000	120,297,286	(20,658,286)
Transportation	--	--	--	--	--	60,353,000	--	60,353,000	54,138,953	6,214,047
Urban Development Corporation:										
Center for Industrial Innovation at RPI	--	215,600	--	--	--	--	--	215,600	315,012	(99,412)
Clarkson University	--	170,525	--	--	--	--	--	170,525	187,163	(16,638)
Columbia Univer. Telecommunications Center	--	3,719,000	--	--	--	--	--	3,719,000	2,806,000	913,000
Consolidated Service Contract Refunding	--	72,685,675	--	--	--	--	--	72,685,675	48,351,935	24,333,740
Cornell Univer. Supercomputer Center	--	493,000	--	--	--	--	--	493,000	366,000	127,000
Correctional Facilities	--	9,911,756	--	--	--	--	--	9,911,756	53,061,885	(43,150,129)
Economic Development Housing	--	--	--	--	--	28,718,931	--	28,718,931	31,543,799	(2,824,868)
General Purpose	--	--	--	--	--	56,217,715	--	56,217,715	35,311,904	20,905,811
South Mall	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	--	--	--	--	--	26,307,575	--	26,307,575	51,661,195	(25,353,620)
Syracuse University Science and										
Technology Center	--	363,963	--	--	--	--	--	363,963	413,875	(49,912)
University Facilities Grant 95 Refunding	--	346,759	--	--	--	--	--	346,759	374,672	(27,913)
Youth Facilities	--	--	--	--	--	--	--	--	2,174,700	(2,174,700)
Subtotal	\$ --	\$1,282,417,690	\$14,822,755	\$67,324,502	\$117,514,744	\$560,110,484	\$58,325,259	\$2,100,515,434	\$2,025,829,694	\$74,685,740
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$1,283,068,422	\$14,822,755	\$67,324,502	\$117,514,744	\$560,110,484	\$58,325,259	\$2,101,166,166	\$2,026,370,119	\$74,796,047

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2011
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>OCTOBER 2011</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD OCTOBER 2010</u>
<u>SHORT TERM INVESTMENT POOL*</u>			
AVERAGE DAILY INVESTMENT BALANCE**	\$8,206.5	\$7,479.4	\$6,677.8
AVERAGE YIELD**	0.128%	0.149%	0.237%
TOTAL INVESTMENT EARNINGS	\$0.936	\$7.029	\$10.462

<u>DESCRIPTION</u>	<u>OCTOBER 2011</u>		<u>OCTOBER 2010</u>	
	<u>PAR</u>	<u>AMOUNT</u>	<u>PAR</u>	<u>AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ --		\$ --	
REPURCHASE AGREEMENTS		2,274.0		427.7
COMMERCIAL PAPER		1,565.0		3,570.4
CERTIFICATES OF DEPOSIT/SAVINGS		3,820.7		3,209.6
0% COMPENSATING BALANCE CD's		2,005.0		1,825.0
		<u>\$9,664.7</u>		<u>\$9,032.7</u>

*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (003) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

**Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2011-2012**

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<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>	Appendix A
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STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2011-2012

APPENDIX A

	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER
OPENING CASH BALANCE	\$159,230,134	\$233,568,698	\$195,517,861	\$220,212,742	\$434,477,295	\$406,776,002
RECEIPTS:						
Cigarette Tax	95,292,088	98,024,053	105,751,635	105,879,252	110,699,843	108,260,913
State Share of NYC Cigarette Tax	4,880,000	5,053,000	5,467,000	5,041,000	5,817,000	4,911,000
STIP Interest	81,083	50,667	45,849	46,560	54,699	65,642
Public Asset Transfers	--	--	--	--	--	--
Indigent Care Pool	2,926	--	3,416	546	529	602
Public Goods Pool	333,645,171	344,050,332	339,765,810	358,676,159	344,517,688	290,102,945
Miscellaneous	--	3,292	--	22,466	3,708,517	10,541
Total Receipts	433,901,268	447,181,344	451,033,710	469,665,983	464,798,276	403,351,643
DISBURSEMENTS:						
Grants - Social Service	98	559	--	--	2,241,704	1,201,092
Medical Assistance Payments	334,194,941	398,830,277	224,473,884	214,207,931	412,446,905	314,820,027
Grants - Health	20,109,283	56,816,688	157,388,232	36,906,330	70,281,125	89,462,542
Grants - Mental Hygiene	--	12,000	--	--	--	24,000
Grants - Miscellaneous	--	--	--	--	--	--
Interest - Late Payments	7,469	13,691	21,599	23,448	81,479	24,556
Personal Service	539,959	897,472	795,142	789,290	1,246,204	800,145
Non-Personal Service	3,777,687	3,160,359	10,690,401	2,822,833	3,018,817	2,717,182
Employee Benefits/Indirect Costs	--	1,611,903	141,973	--	1,052,477	--
Total Disbursements	358,629,437	461,342,949	393,511,231	254,749,832	490,368,711	409,049,544
OPERATING TRANSFERS:						
Transfers to 002	--	23,000,000	32,176,000	--	--	40,500,000
Transfers to 003	--	--	--	--	--	--
Transfers to 311-02	--	--	--	--	1,306,200	4,635,210
Transfers to 339-AP	--	--	--	--	--	--
Transfers to 339-SR	--	--	--	--	--	15,000,000
Transfers to 345	933,267	889,232	651,598	651,598	824,658	878,452
Total Operating Transfers	933,267	23,889,232	32,827,598	651,598	2,130,858	61,013,662
Total Disbursements and Transfers	359,562,704	485,232,181	426,338,829	255,401,430	492,499,569	470,063,206
CLOSING CASH BALANCE	\$233,568,698	\$195,517,861	\$220,212,742	\$434,477,295	\$406,776,002	\$340,064,439

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2011-2012

APPENDIX A
 (continued)

	<u>OCTOBER</u>	<u>7 Months Ended October 31, 2011</u>
OPENING CASH BALANCE	\$340,064,439	\$159,230,134
RECEIPTS:		
Cigarette Tax	97,585,842	721,493,626
State share of NYC Cigarette Tax	4,841,000	36,010,000
STIP Interest	71,346	415,846
Public Asset Transfers	--	--
Indigent Care Pool	526	8,545
Public Goods Pool	386,096,546	2,396,854,651
Miscellaneous	--	3,744,816
Total Receipts	<u>488,595,260</u>	<u>3,158,527,484</u>
DISBURSEMENTS:		
Grants - Social Service	75,617	3,519,070
Medical Assistance Payments	311,564,841	2,210,538,806
Grants - Health	93,999,718	524,963,918
Grants - Mental Hygiene	--	36,000
Grants - Miscellaneous	--	--
Interest - Late Payments	13,765	186,007
Personal Service	783,219	5,851,431
Non-Personal Service	1,530,674	27,717,953
Employee Benefits/Indirect Costs	--	2,806,353
Total Disbursements	<u>407,967,834</u>	<u>2,775,619,538</u>
OPERATING TRANSFERS:		
Transfers to 002	--	95,676,000
Transfers to 003	--	--
Transfers to 311-02	--	5,941,410
Transfers to 339-AP	--	--
Transfers to 339-SR	--	15,000,000
Transfers to 345	533,382	5,362,187
Total Operating Transfers	<u>533,382</u>	<u>121,979,597</u>
Total Disbursements and Transfers	<u>408,501,216</u>	<u>2,897,599,135</u>
CLOSING CASH BALANCE	<u>\$420,158,483</u>	<u>\$420,158,483</u>

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2011-2012

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending October 31, 2011 (3)
ADULT HOMES PROGRAM	\$ 59,736	\$	\$	\$	\$	\$
ADULT HOME RESIDENT COUNCIL PROJECT		59,736	12,000	12,000	--	24,000
AIDS INSTITUTE PROGRAM	239,382,673					
HEALTH CARE SERVICES ACCOUNT		158,075,380	14,596,427	33,935,859	3,143,168	51,675,454
OPERATIONAL SUPPORT FOR AIDS HOUSING		1,833,500	657	44,082	--	44,739
CENTER FOR COMMUNITY HEALTH PROGRAM	195,015,346					
EVIDENCE BASED CANCER SERVICES		34,171,907	3,933,989	1,204,123	145,663	5,283,775
HEALTH CARE SERVICES ACCOUNT		66,953,950	7,601,171	10,261,387	1,445,499	19,308,057
HOSPITAL BASED GRANTS PROGRAM		24,956,714	2,559,540	3,891,101	259,761	6,710,402
TOBACCO CONTROL & CANCER SERVICES		4,583,434	718,131	729,575	139,599	1,587,305
OFFICE OF HEALTH SYSTEMS MANAGEMENT	54,368,668					
EMERGENCY MEDICAL SERVICES ACCOUNT		28,369,121	4,798,406	4,109,582	1,351,218	10,259,206
HEALTH CARE DELIVERY ADMINISTRATION		566,910	89,841	96,398	20,789	207,028
HEALTH CARE SERVICES ACCOUNT		6,680,268	93,426	--	--	93,426
HEALTH OCCUPATION DEVELOP/WORK DEMO		987,480	135,244	138,540	28,369	302,153
HEALTH WORKFORCE RETRAINING PROGRAM		1,083,000	195,548	--	--	195,548
PRIMARY CARE INITIATIVES MONITORING		798,400	113,951	116,402	23,322	253,675
HEALTH CARE FINANCING PROGRAM	9,217,600					
PROVIDER COLLECTION MONITORING ACCOUNT		3,914,100	780,245	521,659	94,228	1,396,132
OFFICE OF HEALTH INSURANCE PROGRAM	19,810,800					
FAMILY HEALTH PLUS		10,508,000	1,741,965	672,878	241,103	2,655,946
MEDICAID FRAUD HOTLINE/ADMIN.		353,215	29,656	19,403	4,235	53,294
PILOT HEALTH INSURANCE ACCOUNT		1,580,580	498,416	236,614	48,094	783,124
MEDICAL ASSISTANCE PROGRAM	13,139,296,876					
BREAST & CERVICAL CANCER GRANTS		4,057,200	1,957,200	--	--	1,957,200
D&TC RATES FOR R&R GRANTS (4)		890,100	--	--	--	--
DISABLED PERSONS GRANTS		45,402,000	21,902,000	--	--	21,902,000
FAMILY HEALTH PLUS GRANTS		697,421,000	159,921,000	--	--	159,921,000
HOME HEALTH R&R RATES GRANTS (5)		49,450,000	--	--	--	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,465,355,848	200,702,899	195,384,770	68,098,223	464,185,892
MEDICAL ASSISTANCE - PAYMENTS GRANTS		266,544,800	120,800,000	15,644,800	--	136,444,800
NURSING HOME FINANCIAL ASSIST GRANTS		28,815,000	13,980,000	--	--	13,980,000
NYC MEDICAID GRANTS		240,920,400	116,220,400	--	--	116,220,400
NYC PERSONAL CARE WRR RATES GRANTS (6)		134,504,000	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (7)		11,076,800	--	--	--	--
PHARMACY SERVICES GRANT		3,253,411,028	179,843,700	732,800,000	244,000,000	1,156,643,700
PHYSICIAN SERVICES GRANT		164,606,400	79,406,000	--	--	79,406,000
PRIMARY CARE CASE MANAGEMENT		3,842,000	1,864,000	--	--	1,864,000
SUPPLEMENTAL MED INS PAYMENTS GRANTS		131,376,000	63,376,000	--	--	63,376,000
COMMUNITY SUPPORT PROGRAM	60,000					
ADULT HOMES RESIDENT COUNCIL		54,000	--	12,000	--	12,000
OFFICE OF LONG TERM CARE	21,469,672					
ADULT HOME INITIATIVES		3,571,041	121,213	--	--	121,213
ENHANCING ABILITIES & LIFE EXPERIENCE		2,639,525	--	--	--	--
ENRICHED HOUSING FACILITIES		4,311,700	--	3,401,346	76,245	3,477,591
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	365,772,140					
ELDERLY PHARMACEUTICAL INSURANCE COVER		349,227,140	2,594,342	23,083,400	18,656,593	44,334,335
CHILD HEALTH INSURANCE PROGRAM	977,775,261					
CHILD HEALTH INSURANCE		636,579,869	61,686,301	80,396,442	49,789,591	191,872,334

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2011-2012

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending October 31, 2011 (3)
HEALTH CARE REFORM ACT PROGRAM	\$ 1,573,604,993					
ADAP/HIV UNINSURED CARE (HRI) (8)		60,150,000	--	--	--	--
AMBULATORY CARE TRAINING PROGRAM		2,150,000	--	--	--	--
AREA HEALTH CARE CENTERS		786,934	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		4,202,519	--	--	--	--
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		18,891,061	3,568,458	4,029,477	3,015	7,600,950
CANCER RELATED SERVICES		10,349,162	--	--	--	--
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		1,450,000	--	--	--	--
COMMISSIONER'S PRIORITY POOL DISTRIB.		13,638,892	--	--	--	--
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		177,523,288	2,683,470	195,300	--	2,878,770
DIVERSITY IN MEDICINE/POST BACCALAUREAT		3,383,001	635,553	448,787	--	1,084,340
HEALTH CARE STABILIZATION PROGRAM		26,995,288	--	--	--	--
HEALTH FACILITY RESTRUCTURING		38,984,400	--	--	--	--
HEALTH WORKFORCE RETRAINING		91,279,920	1,031,677	3,180,872	(803)	4,211,746
INDIVIDUAL SUBSIDY PROGRAM		357,330	--	--	--	--
INFERTILITY GRANT PROGRAM		2,345,602	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		9,614,046	790,529	591,804	--	1,382,333
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		450,000	--	--	--	--
MEDICAL INDEMNITY		30,000,000	--	--	--	--
MINORITY PARTICIPATION MED EDUC		192,625	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		5,477,043	1,838,076	95,367	--	1,933,443
OTHER MEDICAL SCHOOL		945,101	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,406,209	--	--	--	--
PHYSICIAN LOAN REPAYMENT PROGRAM		3,381,470	184,298	69,355	--	253,653
PHYSICIAN PRACTICE SUPPORT PROGRAM		6,407,786	558,685	702,273	122	1,261,080
PHYSICIAN WORKFORCE STUDIES PROGRAM		258,000	--	--	--	--
PHYSICIAN'S EXCESS MEDICAL MALPRACTICE		127,400,000	127,399,786	--	--	127,399,786
POISON CONTROL CENTERS		3,722,500	--	1,250,000	--	1,250,000
POOL ADMINISTRATOR-SERVICES & EXPENSES		6,903,421	399,820	480,972	2,413	883,205
PRIMARY HEALTH CARE SERVICES		2,915,430	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		135,159,800	--	19,400,000	19,400,000	38,800,000
RURAL HEALTH CARE ACCESS DEVELOP		24,963,408	855,598	2,295,242	89,821	3,240,661
RURAL HEALTH CARE DELIVERY DEVELOP		5,480,367	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		11,689,478	1,763,642	2,139,492	54	3,903,188
SCHOOL BASED HEALTH CENTERS		2,777,080	--	--	--	--
SCHOOL BASED HEALTH CLINICS		5,538,400	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		2,340,000	233,341	91,099	--	324,440
SENATE PRIORITY DISTRIBUTIONS		4,099,177	--	--	--	--
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		18,147,322	--	5,170,000	--	5,170,000
TOBACCO USE PREVENTION & CONTROL		105,969,499	11,741,113	9,670,394	1,440,894	22,852,401
TOTAL	16,595,833,765 (2)	8,819,118,526	1,215,957,714	1,156,522,795	408,501,216	2,780,981,725
Transfer to the General Fund - State Purposes Account (for administration of the program)	636,003					
Reclass of SUNY Hospital Disprop Share to Transfer			(2,474,097)	(2,354,708)	(533,382)	(5,362,187)
TOTAL APPROPRIATED AMOUNT	\$ 16,596,469,768	\$ 8,819,118,526	\$ 1,213,483,617	\$ 1,154,168,087	\$ 407,967,834	\$ 2,775,619,538

(1) Includes amounts appropriated in 2011 as well as prior year appropriations that were reappropriated in the SFY 2011 budget chapters.

(2) Unsegregated appropriation total is \$7,776,715,239.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers.

(5) Full title is: Home Health Recruitment and Retention Rates Grants.

(6) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(7) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

(8) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - OCTOBER 2011
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	October Disbursements	Life-to-Date Disbursements
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ --	\$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	285,657.25	4,914,531.90
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	--	399,900.00
84.033	Department of Education	Federal Work-Study Program	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	--	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	665,562.87	1,221,316.62
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	6,038.84	57,164.38
84.386	Department of Education	Education Technology State Grants, Recovery Act	90,712.24	27,588,951.98
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	87,423.00	4,945,769.00
84.388	Department of Education	School Improvement Grants, Recovery Act	3,053,517.00	36,199,893.13
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	38,054,574.00	859,648,324.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	284,682.55	25,100,941.07
84.391	Department of Education	Special Education Grants to States, Recovery Act	5,124,764.79	654,907,610.76
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	265,694.00	28,151,125.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	13,091,076.00	2,413,392,857.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	175,996.73	2,165,475.72
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	1,855,898.00	525,384,507.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	--	769,362.42
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	351,621.98	2,216,383.25
84.410	Department of Education	Education Jobs Fund	1,691,804.00	232,650,379.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	--	411,249.00
Total Education			65,085,023.25	4,975,251,854.28
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	22,466.26	576,026.52
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	--	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	--	1,579,926.53
66.454	Environmental Protection Agency	Water Quality Management Planning	228,666.13	3,515,440.11
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	--	249,207,019.00
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	--	77,473,600.56
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	797,661.56	9,056,240.16
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	15,180,985.03	306,190,623.35
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	14,922.68	230,716.95
Total Energy and Environment			16,244,701.66	648,837,205.04
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	4,148,718.00
Total Food and Nutrition Services			--	11,082,466.00
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	--	691,752.28
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	346,341.96	22,783,940.97
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	4,425,280.86	14,315,337.59
93.563	Health and Human Services	Child Support Enforcement	--	58,065,710.69
93.658	Health and Human Services	Foster Care- Title IV-E	--	43,117,414.00
93.659	Health and Human Services	Adoption Assistance	--	49,962,799.00
93.708	Health and Human Services	ARRA - Head Start	76,629.42	668,151.63
93.712	Health and Human Services	ARRA - Immunization	275,998.16	3,338,713.74
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	--	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	--	713,009,170.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	119,866.47	599,746.66
93.778	Health and Human Services	Medical Assistance Program (FMAP)	306,838.16	13,133,002,007.66
94.006	Corporation for National and Community Service	AmeriCorps	--	6,672,738.91
Total Health and Social Services			5,550,955.03	14,172,884,384.13

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - OCTOBER 2011
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C
 (continued)

Federal CFDA No.	Federal Agency	Program	October Disbursements	Life-to-Date Disbursements
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	\$ --	\$ 10,041,762.85
93.710	Health and Human Services	ARRA - Community Services Block Grant	--	85,384,063.91
		Total Housing	--	95,425,826.76
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	239,761,989.26	10,765,880,293.13
17.235	Department of Labor	Senior Community Service - Employment Program	--	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	--	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	--	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	280,250.49	68,460,742.06
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	168,993.95	1,016,450.22
		Total Labor	240,211,233.70	10,962,794,935.79
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	56,392.38	1,070,322.24
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	--	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	154,235.14	6,152,374.18
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	3,098.00	701,794.06
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	194,005.00	1,655,091.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	--	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	811,661.61	34,494,057.70
		Total Public Protection	1,219,392.13	54,319,352.24
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	6,675,913.54	771,527,676.10
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	120,645.17	341,573.60
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	1,453,788.00	14,469,893.88
		Total Transportation	8,250,346.71	786,339,143.58
		TOTAL ARRA DISBURSEMENTS	\$ 336,561,652.48	\$ 31,706,935,167.82

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2011-2012**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>2011</u> <u>OCTOBER</u>	<u>2011-2012</u>
OPENING CASH BALANCE	\$ 258,518,559.21	\$ 250,290,079.85	\$ 317,881,788.82	\$ 258,518,559.21
RECEIPTS:				
Patient Services	652,953,014.95	700,887,795.21	208,856,607.99	1,562,697,418.15
Covered Lives	252,804,495.65	254,527,327.88	82,467,011.20	589,798,834.73
Provider Assessments	18,506,742.05	18,551,920.62	5,490,445.46	42,549,108.13
1% Assessments	85,326,136.00	80,607,052.00	26,561,280.00	192,494,468.00
DASNY- MOE/Recast receivables	0.00	48,494.19	0.00	48,494.19
Interest Income	77,626.76	82,747.64	34,065.97	194,440.37
NYPHRM	356.32	244.90	148.95	750.17
Hospital Quality Contribution	0.00	0.00	12,532,060.00	12,532,060.00
Unassigned	112,360.36	1,644,860.07	(25,192,604.71)	(23,435,384.28)
Total Receipts	1,009,780,732.09	1,056,350,442.51	310,749,014.86	2,376,880,189.46
DISBURSEMENTS:				
Program Disbursements:				
Poison Control Centers	0.00	(1,250,000.00)	0.00	(1,250,000.00)
School Based Health Center Grants	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	(5,140,181.22)	0.00	(5,140,181.22)
Total Disbursements	0.00	(6,390,181.22)	0.00	(6,390,181.22)
Excess (Deficiency) of Receipts over Disbursements	1,009,780,732.09	1,049,960,261.29	310,749,014.86	2,370,490,008.24
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers From State Funds:				
061-HCRA Resources Fund	0.00	0.00	0.00	6,420,000.00
061-HCRA Resources Fund FMAP	0.00	0.00	0.00	0.00
Total Other Financing Sources	0.00	0.00	0.00	6,420,000.00
Transfers to Other Pools:				
Medicaid Disproportionate Share	0.00	(48,494.19)	0.00	(48,494.19)
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers to State Funds:				
061-HCRA Resources Fund	(813,804,180.32)	(795,970,516.85)	(321,395,065.07)	(1,931,169,762.24)
061-IN Indigent Care Fund (matched)	(200,499,608.89)	(190,353,870.54)	(72,161,526.37)	(463,015,005.80)
061-IN Indigent Care Fund (non-matched)	(3,705,422.24)	(2,415,670.74)	(803,520.60)	(6,924,613.58)
Total Other Financing Uses	(1,018,009,211.45)	(988,788,552.32)	(394,360,112.04)	(2,401,157,875.81)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(8,228,479.36)	61,171,708.97	(83,611,097.18)	(24,247,867.57)
CLOSING CASH BALANCE	\$ 250,290,079.85	\$ 311,461,788.82	\$ 234,270,691.64	\$ 234,270,691.64

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2011-2012

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>2011</u> <u>OCTOBER</u>	<u>2011-2012</u>
OPENING CASH BALANCE	\$ 2,926.20	\$ 545.94	\$ 49,019.84	\$ 2,926.20
RECEIPTS:				
Interest Income	3,961.83	1,655.91	655.77	6,273.51
Total Receipts	3,961.83	1,655.91	655.77	6,273.51
DISBURSEMENTS:				
Program Disbursements:				
Indigent Care	(192,658,013.02)	(191,561,705.91)	(69,810,755.23)	(454,030,474.16)
High Need Indigent Care	(7,812,000.00)	0.00	0.00	(7,812,000.00)
Other	(1,482,690.74)	0.00	(48,494.19)	(1,531,184.93)
Total Program Disbursements	(201,952,703.76)	(191,561,705.91)	(69,859,249.42)	(463,373,659.09)
Excess (Deficiency) of Receipts over Disbursements	(201,948,741.93)	(191,560,050.00)	(69,858,593.65)	(463,367,385.58)
OTHER FINANCING SOURCES (USES):				
Transfers from Other Pools:				
Public Goods Pool	0.00	48,494.19	0.00	48,494.19
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers From State Funds:				
061-IN HCRA Resources Indigent Care - Matched	100,249,804.45	95,176,935.28	36,080,763.19	231,507,502.92
061-IN HCRA Resources Indigent Care - Unmatched	1,453,094.87	1,207,835.37	401,760.30	3,062,690.54
061-IN HCRA Resources Indigent Care - FMAP	0.00	0.00	0.00	0.00
061-IN HCRA Resources Indigent Care - ATB	0.00	0.00	(2,752,531.44)	(2,752,531.44)
265-Federal DHHS Fund	100,249,804.44	95,176,935.26	36,080,763.18	231,507,502.88
Total Other Financing Sources	201,952,703.76	191,610,200.10	69,810,755.23	463,373,659.09
Transfers to Other Pools:				
Public Goods Pool	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Transfers to State Funds:				
061-IN -HCRA Resources Fund Indigent Care Acct	(6,342.09)	(1,676.20)	(525.65)	(8,543.94)
Total Other Financing Uses	(6,342.09)	(1,676.20)	(525.65)	(8,543.94)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2,380.26)	48,473.90	(48,364.07)	(2,270.43)
CLOSING CASH BALANCE	\$ 545.94	\$ 49,019.84	\$ 655.77	\$ 655.77

Source: HCRA - Office of Pool Administration

APPENDIX F

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '11 (000)	DISBURSED MAY '11 (000)	DISBURSED JUNE '11 (000)	DISBURSED JULY '11 (000)	DISBURSED AUG '11 (000)	DISBURSED SEPT '11 (000)	DISBURSED OCT '11 (000)	DISBURSED NOV '11 (000)	DISBURSED DEC '11 (000)	DISBURSED JAN '12 (000)	DISBURSED FEB '12 (000)	DISBURSED MAR '12 (000)	DISBURSED TOTAL 11-12 (000)
DORMITORY AUTHORITY:													
Education - All Other	1	6	30	5	25	--							67
Education - EXCEL	3,713	7,761	23,050	2,305	21,200	2,784							60,813
Department of Health - All Other	12	1	(19)	38	355	6							393
CEFAP	--	91	694	32	507	23							1,347
Regional Development:													
CCAP/RESTORE	496	2,021	1,238	216	3,109	1,916							8,996
Multi-modal	--	14	--	27	--	64							105
GenNYsis	--	--	--	--	--	--							--
CUNY Senior Colleges	8,485	41,573	24,490	11,592	43,172	20,282							149,594
CUNY Community Colleges	1,656	2,262	2,944	1,322	3,809	5,592							17,585
SUNY Dormitories	14,859	18,346	10,574	11,262	24,920	20,206							100,167
Upstate Community Colleges	8,902	5,671	7,669	756	5,466	4,157							32,621
Mental Health	2,518	14,298	4,194	4,291	21,337	2,860							49,498
Developmental Disabilities	1,968	2,791	862	3,337	3,017	739							12,714
Alcoholism & Substance Abuse	--	216	4	1,471	4,290	3,092							9,073
Brooklyn Court Officer Training Academy	7	636	366	--	1,085	101							2,195
TOTAL DORMITORY AUTHORITY:	42,617	95,687	76,096	36,654	132,292	61,822	--	--	--	--	--	--	445,168
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	--	554	(172)	(382)	--	--							--
CCAP	150	560	801	628	--	169							2,308
Empire Opportunity	--	--	2,761	--	--	--							2,761
CEFAP	--	84	(1)	161	--	662							906
State Facilities and Equipment	--	--	22	(14)	--	--							8
TOTAL EMPIRE STATE DEVELOPMENT CORP:	150	1,198	3,411	393	--	831	--	--	--	--	--	--	5,983
THRUWAY AUTHORITY:													
CHIPS	53,155	--	28,977	--	--	112,936							195,068
SHIPS	--	11,473	--	--	27	--							11,500
Marchiselli	--	--	7,332	--	--	15,408							22,740
Multi-modal	--	5,498	--	--	7,104	--							12,602
TOTAL THRUWAY AUTHORITY:	53,155	16,971	36,309	--	7,131	128,344	--	--	--	--	--	--	241,910
TOTAL OFF-BUDGET:	95,922	113,856	115,816	37,047	139,423	190,997	--	--	--	--	--	--	693,061
TOTAL CEFAP	--	175	693	193	507	685							2,253
ECONOMIC DEVELOPMENT:													
Total CCAP	646	2,581	2,039	844	3,109	2,085							11,304
Total Multi-modal	--	14	--	27	--	64							105
Total GenNYsis	--	--	--	--	--	--							--
Total Centers for Excellence	--	554	(172)	(382)	--	--							--
Total Empire Opportunity	--	--	2,761	--	--	--							2,761
Total Economic Development	646	3,149	4,628	489	3,109	2,149	--	--	--	--	--	--	14,170

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

Schedule of Month-End Temporary Loans Outstanding October 31, 2011

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

**Office of the State Comptroller
Summary of Month-End Temporary Loans Outstanding**

	June 30, 2011	July 31, 2011	August 31, 2011	September 30, 2011	Change	October 31, 2011
TOTAL GENERAL FUND	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,198,002,448.09	1,267,560,142.54	1,351,535,557.58	1,621,060,357.74	(37,152,368.60)	1,583,907,989.14
TOTAL STATE SPECIAL REVENUE FUNDS	549,932,014.70	552,187,774.48	787,507,031.80	2,067,315,726.25	165,462,606.54	2,232,778,332.79
TOTAL FEDERAL FUNDS	405,471,595.25	170,590,961.72	546,019,330.75	181,104,214.63	5,654,710.03	186,758,924.66
TOTAL AGENCY FUNDS	--	--	--	--	--	--
TOTAL ENTERPRISE FUND	--	--	--	--	--	--
TOTAL INTERNAL SERVICE FUNDS	35,880,176.04	43,619,736.65	64,387,252.80	73,055,268.22	10,018,851.03	83,074,119.25
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$2,189,286,234.08	\$2,033,958,615.39	\$2,749,449,172.93	\$3,942,535,566.84	\$143,983,799.00	\$4,086,519,365.84

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	June 30, 2011	July 31, 2011	August 31, 2011	September 30, 2011	Change	October 31, 2011
339 -B6	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
-BJ	BELL JAR COLLECTION ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BK	INDUSTRY AND UTILITY SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-BP	REAL PROPERTY DISPOSITION	0.00	0.00	0.00	0.00	0.00	0.00
-BQ	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BW	ASBESTOS SAFETY TRAINING	126,513.59	121,073.95	131,986.39	113,163.27	(28,938.12)	84,225.15
-C3	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-CM	REG MANUFACTURED HOUSING	0.00	0.00	0.00	0.00	0.00	0.00
-CU	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
-D9	BATAVIA SCHOOL FOR THE BLIND	5,818,147.92	5,999,435.46	6,303,680.67	6,739,101.17	1,174,316.63	7,913,417.80
-DC	INVESTMENT SERVICES	500,402.26	341,967.39	80,823.61	195,871.86	109,958.08	305,829.94
-DE	SURPLUS PROPERTY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-DH	OPWDD DAY SERVICES ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-DI	FINANCIAL OVERSIGHT	909,205.91	489,317.14	772,449.91	960,770.08	(757,348.73)	203,421.35
-DT	REGULATION INDIAN GAMING	99,926,168.67	100,997,674.69	102,798,240.96	103,326,750.48	1,421,729.55	104,748,480.03
-E3	PROFESSIONAL EDUC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-E6	ROME SCHOOL FOR THE DEAF	1,033,757.73	1,301,028.08	1,401,417.85	1,715,000.53	1,044,760.16	2,759,760.69
-E8	DSP-SEIZED ASSETS	12,230,078.38	14,024,104.81	13,438,442.33	13,661,409.78	638,044.11	14,299,453.89
-E9	ADMINISTRATIVE ADJUDICATION	0.00	2,227,726.52	7,756,296.44	0.00	0.00	0.00
-EC	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
-EM	NYC ASSESSMENT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-EN	CULTURAL EDUCATION ACCOUNT	12,971,049.85	11,361,663.89	12,499,096.25	11,001,928.20	331,754.42	11,333,682.62
-ER	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
-F1	TRANSPORTATION REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
-F2	CONSUMER PROTECTION BD.	0.00	0.00	0.00	0.00	0.00	0.00
-G3	LOCAL SERVICE ACCOUNT	0.00	0.00	33,674.22	95,147.21	(95,147.21)	0.00
-H2	DHCR MORTGAGE SERVICES	1,350,022.72	702,661.31	1,804,357.20	2,099,466.32	(30,368.66)	2,069,097.66
-H7	DMV-COMPULSORY INS PRGM	0.00	0.00	611,265.93	0.00	0.00	0.00
-HI	HOUSING INDIRECT COST RECOVERY	0.00	0.00	78,019.93	83,064.90	256,300.76	339,365.66
-J5	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
-J6	EPIC PREMIUM	0.00	0.00	0.00	0.00	0.00	0.00
-L7	OTDA INCOME ACCOUNT	0.00	0.00	202,781.91	13,040,530.59	2,557,233.20	15,597,763.79
-NG	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	0.00	0.00
-P4	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
-P6	EFC-CORPORATION ADMINISTRATION	389,742.57	487,102.43	611,602.26	707,733.52	(615,160.03)	92,573.49
-Q6	MONTROSE VETERAN'S HOME	0.00	0.00	0.00	0.00	0.00	0.00
-R4	MOTOR FUEL QUALITY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-R7	DEFERRED COMPENSATION ADMIN	137,148.66	73,344.93	115,826.01	147,743.27	78,637.67	226,380.94
-RR	RENT REVENUE OTHER - NYC	8,681,044.02	1,170,042.68	6,431,508.70	8,277,409.60	1,951,127.42	10,228,537.02
-S8	RENT REVENUE	263,877.41	252,669.83	269,866.96	236,569.38	19,856.05	256,425.43
-TR	TAX REVENUE ARREARAGE ACCOUNT	1,801,007.30	1,801,007.30	1,917,721.16	1,947,031.52	28,929.87	1,975,961.39
-W3	OGS-SOLID WASTE MGMT	0.00	0.00	0.00	0.00	0.00	0.00
-W4	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
-YD	SALES TAX RE-REG FEE ADMN	0.00	0.00	0.00	0.00	0.00	0.00
-YP	EQUITABLE SHARING AGMT	0.00	0.00	0.00	0.00	0.00	0.00
-Y8	TAX RETURN PREPARER REG FEE ADM	0.00	0.00	0.00	0.00	0.00	0.00
345 -11	S.U. NON-RESIDENT REV. OFFSET	52,457,424.35	52,463,372.61	52,470,187.28	52,476,425.57	6,601.17	52,483,026.74
354 -02	STATE POLICE MV ENFORCE	65,045,650.64	55,128,843.64	38,508,491.14	64,646,651.62	(15,479,016.00)	49,167,635.62
362 -01	DOT - HIGHWAY SAFETY PRGM	2,078,426.77	2,255,100.58	2,206,384.91	2,415,592.17	244,113.33	2,659,705.50
366 -01	EFC DRINKING WATER PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
366 -02	DOH DRINKING WATER PROGRAM	5,086,010.23	5,453,195.35	6,388,803.81	6,651,761.91	345,322.60	6,997,084.51
368 -01	NYCCC OPERATING OFFSET	17,075,681.64	19,357,435.42	22,230,710.57	24,665,756.93	1,760,959.64	26,426,716.57
TOTAL STATE SPECIAL REVENUE FUNDS		\$549,932,014.70	\$552,187,774.48	\$787,507,031.80	\$2,067,315,726.25	\$165,462,606.54	\$2,232,778,332.79
FEDERAL FUNDS							
261 -	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND (1)	16,190,739.87	28,978,774.11	8,126,229.37	14,793,496.33	(8,905,988.64)	5,887,507.69 (1)
265 -	FEDERAL HEALTH AND HUMAN SERVICES FUND (2)	312,107,755.11	50,252,936.95	430,164,232.31	77,342,817.82	(22,955,914.55)	54,386,903.27 (2)
267 -	FEDERAL EDUCATION GRANTS FUND (3)	11,352,419.43	14,392,701.36	24,286,406.82	13,810,258.85	(6,771,036.27)	7,039,222.58 (3)
269 -	FEDERAL BLOCK GRANT FUND (4)	0.00	0.00	0.00	0.00	0.00	0.00 (4)
290 -	FEDERAL OPERATING GRANTS FUND (5)	46,780,776.69	59,593,428.11	63,285,901.67	58,192,527.23	41,231,714.00	99,424,241.23 (5)
291 -04	MILITARY AND NAVAL AFFAIRS	10,844,706.83	10,899,339.43	10,987,028.17	9,779,787.88	409,990.77	10,189,778.65
291 -10	DEPARTMENT OF TRANSPORTATION	0.00	0.00	0.00	0.00	0.00	0.00 (7)
291 -	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER) (6)	5,653,084.53	4,272,806.16	4,990,226.48	4,739,148.78	3,146,395.35	7,885,544.13 (6)
480 -01	UI ADMINISTRATION	2,291,621.70	0.00	2,808,583.54	1,914,442.03	(1,914,442.03)	0.00
484 -00	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	0.00	0.00	0.00	0.00	0.00	0.00
486 -09	DOL WORKFORCE INVESTMENT ACT	250,491.09	2,200,975.60	1,370,722.39	531,735.71	1,413,991.40	1,945,727.11
486 -10	DOL FEDERAL GRANTS	0.00	0.00	0.00	0.00	0.00	0.00
TOTAL FEDERAL FUNDS		\$405,471,595.25	\$170,590,961.72	\$546,019,330.75	\$181,104,214.63	\$5,654,710.03	\$186,758,924.66 (8)

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	June 30, 2011	July 31, 2011	August 31, 2011	September 30, 2011	Change	October 31, 2011
AGENCY FUNDS							
179 -02	MMIS - STATE & FEDERAL	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL AGENCY FUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ENTERPRISE FUND							
325 -01	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
331 -55	OCS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL ENTERPRISE FUND	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INTERNAL SERVICE FUNDS							
323 -03	CENTRALIZED SERVICES-FLEET MGMT	262,014.82	184,942.38	550,546.86	716,922.62	449,943.61	1,166,866.23
-05	CENTRALIZED SERVICES-DATA PROCESSING	0.00	0.00	0.00	0.00	0.00	0.00
-06	CENTRALIZED SERVICES-REPRODUCTION	2,084,475.26	2,186,425.02	2,708,639.00	2,738,194.05	(96,370.11)	2,641,823.94
-07	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	0.00	0.00	0.00	46,541.84	125,866.58	172,408.42
-08	CENTRALIZED SERVICES-SURPLUS FED FOODS	515,072.94	311,302.76	445,568.00	518,002.14	132,255.50	650,257.64
-09	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
-12	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,695,875.59	2,835,093.57	3,055,901.78	3,046,636.65	(74,185.66)	2,972,450.99
-13	CENTRALIZED SERVICES-PASNY	0.00	0.00	148,587.27	0.00	4,635,646.72	4,635,646.72
-14	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
-15	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00
-17	CENTRALIZED SERVICES-INSURANCE	451,249.70	191,469.79	329,610.76	499,494.21	(130,321.61)	369,172.60
-18	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00
-19	CENTRALIZED SERVICES-COP'S	1,264,135.28	1,279,854.08	0.00	0.00	0.00	0.00
-20	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
-21	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00
-23	CENTRALIZED SERVICES-IMMICS	191,654.93	167,681.56	0.00	0.00	0.00	0.00
-26	DOWNSTATE DISTRIBUTION	571,202.40	472,335.51	584,367.34	573,540.95	5,660.88	579,201.83
-27	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-28	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
334 -02	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
-05	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
-09	CIVIL SERVICE LAW-SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
-10	CIVIL SERVICE EHS OCCUP HEALTH PROG	0.00	0.00	15,326.94	56,314.99	22,832.61	79,147.60
-12	BANKING SERVICES ACCOUNT	11,773.02	21,252.49	5,584.56	122,098.32	316,116.07	438,214.39
-14	CULTURAL RESOURCE SURVEY	1,277,359.41	1,386,681.82	1,774,716.49	1,930,066.30	336,131.55	2,266,197.85
-17	NEIGHBOR WORK PROJECT	5,603,393.33	5,496,748.33	7,030,795.06	7,003,050.19	104,534.95	7,107,585.14
-18	AUTOMATIC/PRINT CHARGBACKS	0.00	0.00	0.00	0.00	0.00	0.00
-20	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-23	DATA CENTER ACCOUNT	0.00	6,663,002.55	22,484,694.72	28,508,863.39	3,674,290.74	32,183,154.13
-24	HUMAN SVCE TELECOM ACCT	0.00	0.00	0.00	990,418.67	19,104.71	1,009,523.38
-26	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-27	CYBER SECURITY INTRUSION ACCT	430,873.23	430,873.23	638,013.41	638,013.41	0.00	638,013.41
-28	DOMESTIC VIOLENCE GRANT	270,762.41	285,842.10	217,349.89	251,181.45	(13,259.62)	237,921.83
-30	CENTRALIZED TECHNOLOGY SERVICES	213,625.01	676,669.43	840,289.44	920,266.76	97,487.12	1,017,753.88
-ZV	LEARNING MGMT SYSTEM	0.00	0.00	0.00	0.00	0.00	0.00
394 -01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
395 -04	EXECUTIVE DIRECTION INTERNAL AUDIT	260,105.86	462,505.22	652,999.86	746,040.85	91,661.58	837,702.43
396 -00	HEALTH INSURANCE INTERNAL SERVICE	15,374,322.65	17,028,702.56	18,044,252.85	18,618,096.75	(320,333.89)	18,297,762.86
-01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	3,405,225.15	2,885,911.52	2,696,495.25	1,998,957.61	(153,525.02)	1,845,432.59
397 -00	CORR INDUSTRIES INTERNAL SERVICE	997,055.05	652,442.73	2,164,413.32	3,132,567.07	795,314.32	3,927,881.39
	TOTAL INTERNAL SERVICE FUNDS	\$35,880,176.04	\$43,619,736.65	\$64,387,252.80	\$73,055,268.22	\$10,018,851.03	\$83,074,119.25
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING		\$2,189,286,234.08	\$2,033,958,615.39	\$2,749,449,172.93	\$3,942,535,566.84	\$143,983,799.00	\$4,086,519,365.84

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 58, Part BB, Section 1 and 1A, of the Laws of 2011-12. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

- NOTE:**
- (1) - Includes all negative cash balance Subfunds within fund 261.
 - (2) - Includes all negative cash balance Subfunds within fund 265.
 - (3) - Includes all negative cash balance Subfunds within fund 267.
 - (4) - Includes all negative cash balance Subfunds within fund 269.
 - (5) - Includes all negative cash balance Subfunds within fund 290.
 - (6) - Includes all other negative cash balance Subfunds within fund 291.
 - (7) - The Fund 291-10 temporary loan balance includes \$103.3 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 072-01 based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 072-01 on or before March 31, 2012.
 - (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
 - (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 001 and State Purpose Fund 003.