



DAVID A. PATERSON
GOVERNOR

STATE OF NEW YORK
EXECUTIVE DEPARTMENT
DIVISION OF ALCOHOLIC BEVERAGE CONTROL
STATE LIQUOR AUTHORITY
ALFRED E. SMITH BUILDING
80 SOUTH SWAN STREET, SUITE 900
ALBANY, NEW YORK 12210-8002

DENNIS ROSEN
CHAIRMAN

JEANIQUE GREENE
NOREEN HEALEY
COMMISSIONERS

March 10, 2010

Mr. Brian Reilly
Audit Manager
NYS Office of the State Comptroller
110 State Street
Albany, New York 12236

Dear Mr. Reilly:

In response to your letter to Chairman Rosen dated February 10, 2010, our staff did meet with Claudia Christodoulou, Nadine Morrell, Jennifer VanTassel and Corey Harrell from OSC regarding the follow-up on the implementation of the recommendations contained in your agency audit report 2008 S-111 in reference to Network Security Controls.

As you indicated in your letter, the members of the SLA/ABC Executive Management team have changed since the audit and findings were issued on January 29, 2009. The new administration staff began to work with agency staff in October 2009 to implement the recommendations. However, this implementation was not complete at the time the agency received the request to conduct the follow up audit. The SLA/ABC understands the serious nature of the audit findings and has initiated additional actions to rectify the remaining deficiencies.

The SLA/ABC is in the process of hiring a Chief Information Officer. Once that process is completed, the agency will be able to address the need for a dedicated, qualified Information Security Officer (ISO).

Our agency has also contacted a number of Information Security Officers within New York State agencies to identify best practices and to obtain additional training surrounding the Information Security Officer function. The SLA/ABC plans to have the agency ISO work with their counterparts in other agencies in order to collect policies, procedures and best practices, as well as establish appropriate security policies and procedures based on the information collected.

The SLA/ABC is aware of the security policies and procedures of CSCIC and has established a plan for ensuring the agency complies with the policies and procedures. Additionally, the agency has also identified the benchmarks for measuring information security controls and will evaluate which of the benchmarks will be appropriate for the agency.

Thank you for your continued assistance and advice.

Sincerely,

Trina Mead
Chief Executive Officer

cc: D. Rosen, Chairman
N. Healey, Commissioner
J. Greene, Commissioner
A. Pingelski, Internal Audit

www.abc.state.ny.us

