

SUMMARY REPORT

State of New York:

Spending by Major Service Functions
Receipts from Major Sources

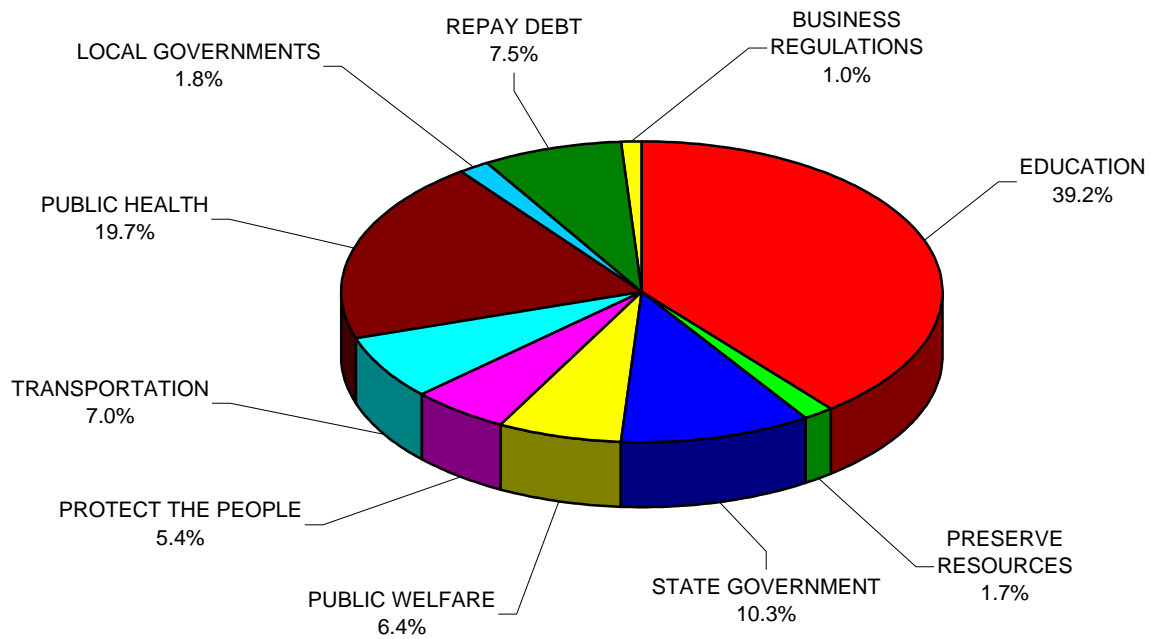
For the Year Ended March 31, 2001

H. Carl McCall
State Comptroller

STATE OF NEW YORK
MONEY THE STATE SPENT BY MAJOR SERVICE FUNCTION
(in millions of dollars)

State Fund Disbursements for the Year Ended March 31:	1997	1998	1999	2000	2001
<i>EDUCATION</i>					
Public Schools	\$11,253	\$11,809	\$12,682	\$13,286	\$14,285
School Tax Relief (STAR)	--	--	582	1,195	1,877
State University of New York	2,527	2,542	2,715	2,824	3,069
City University of New York	539	545	613	635	683
Tuition Assistance Program	628	645	631	626	639
Other Higher Education	824	591	651	799	911
	<u>15,771</u>	<u>16,132</u>	<u>17,874</u>	<u>19,365</u>	<u>21,464</u>
<i>PUBLIC HEALTH</i>					
Health and Mental Health Services	2,411	2,327	2,514	2,592	2,858
Medical Assistance (Medicaid)	6,789	7,191	7,445	7,575	7,877
Payments made by the Dormitory Authority	--	--	--	121	68
	<u>9,200</u>	<u>9,518</u>	<u>9,959</u>	<u>10,288</u>	<u>10,803</u>
<i>PUBLIC WELFARE</i>					
Public Welfare	3,504	3,367	3,347	3,061	3,159
Public Housing	187	134	195	184	167
Employment Services	130	146	180	181	196
	<u>3,821</u>	<u>3,647</u>	<u>3,722</u>	<u>3,426</u>	<u>3,522</u>
<i>PROTECT THE PEOPLE</i>					
Criminal Justice and Correctional Alternatives	718	812	888	891	922
Prisons and Reformatons	1,582	1,772	1,912	1,833	2,021
	<u>2,300</u>	<u>2,584</u>	<u>2,800</u>	<u>2,724</u>	<u>2,943</u>
<i>TRANSPORTATION</i>					
Traffic Safety	160	167	182	187	184
Transportation	3,066	2,999	3,431	3,361	3,374
Payments made by the New York State Thruway Authority	233	276	313	327	248
	<u>3,459</u>	<u>3,442</u>	<u>3,926</u>	<u>3,875</u>	<u>3,806</u>
<i>PRESERVATION OF NATURAL AND CULTURAL RESOURCES</i>					
Environmental Protection	421	439	567	523	621
Parks, Recreation & Historic Preservation	180	199	228	217	281
Cultural Programs	33	39	52	52	54
	<u>634</u>	<u>677</u>	<u>847</u>	<u>792</u>	<u>956</u>
<i>SUPPORT AND REGULATE BUSINESS</i>					
Commerce and Industry	194	174	249	261	311
Regulate Business	168	169	181	183	198
Agriculture	48	52	60	63	66
	<u>410</u>	<u>395</u>	<u>490</u>	<u>507</u>	<u>575</u>
<i>SHARE GENERAL REVENUES WITH LOCAL GOVERNMENTS</i>	751	799	914	923	980
<i>REPAY BORROWED MONEY AND REDUCE DEBT</i>	2,536	3,091	3,275	3,577	4,083
<i>OPERATE THE STATE GOVERNMENT</i>					
Other Executive agencies	711	756	825	890	965
Office of the State Comptroller	85	102	101	110	129
Office of the Attorney General	75	92	104	115	129
Legislature	171	177	183	192	196
Court Administration	970	994	1,140	1,156	1,309
Pension Contributions	369	392	241	215	252
Other Employee Benefits	1,846	1,876	2,016	2,167	2,360
Other	232	312	273	251	285
	<u>4,459</u>	<u>4,701</u>	<u>4,883</u>	<u>5,096</u>	<u>5,625</u>
TOTALS	<u>\$43,341</u>	<u>\$44,986</u>	<u>\$48,690</u>	<u>\$50,573</u>	<u>\$54,757</u>

**STATE FUNDS
MONEY THE STATE SPENT BY MAJOR SERVICE FUNCTION
DURING THE YEAR ENDED MARCH 31, 2001**

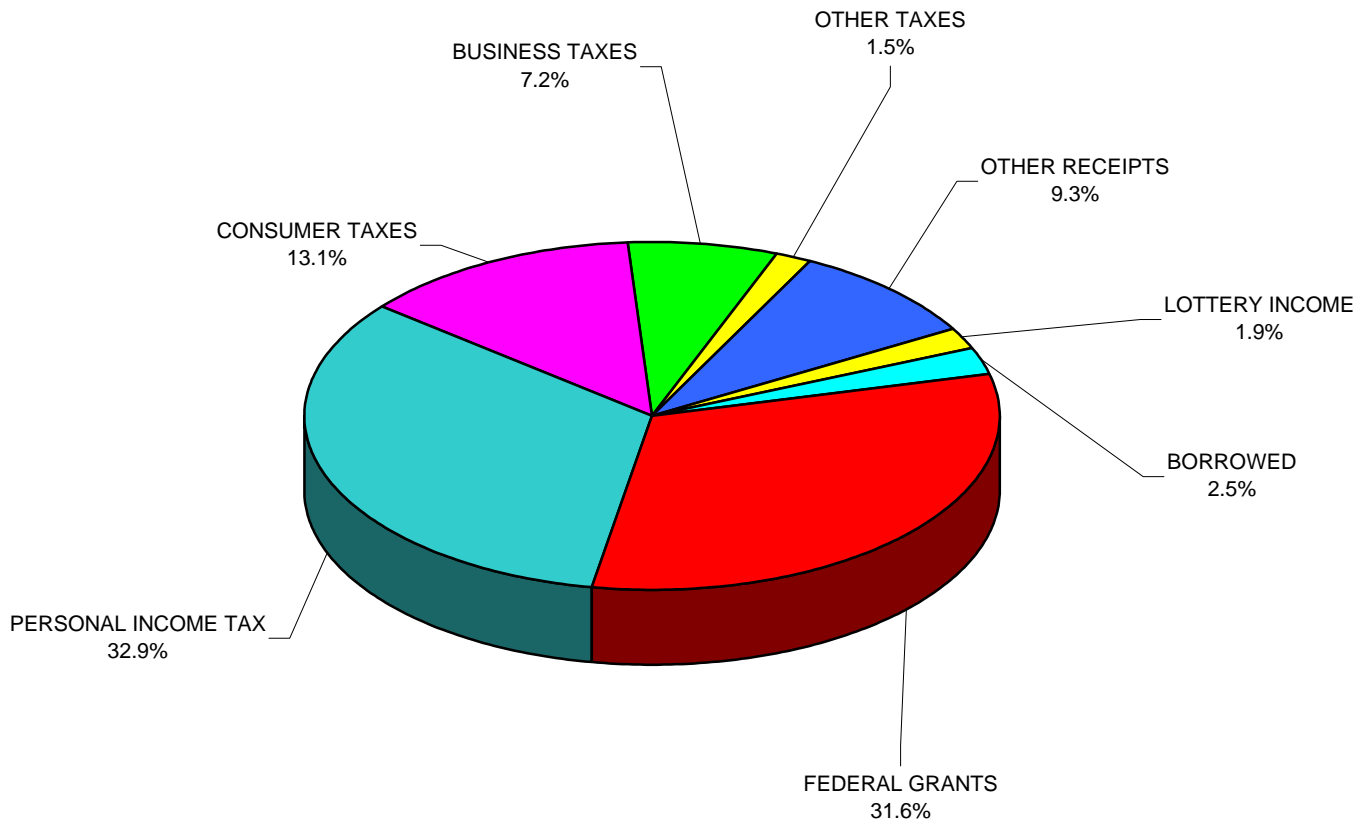


STATE OF NEW YORK
COMBINED STATE AND FEDERAL REVENUES BY MAJOR SOURCE
(in millions of dollars)

Revenues for the Year Ended March 31:	1997	1998	1999	2000	2001
<i>PERSONAL INCOME TAX</i>	\$16,371	\$17,758	\$20,662	\$21,533	\$26,892
<i>CONSUMER TAXES AND FEES</i>					
Sales Tax	7,261	7,562	7,912	8,532	8,732
Motor Vehicle Tax	543	559	552	531	495
Tobacco Products Taxes	667	675	667	643	528
Motor Fuel Tax	471	492	502	519	510
Beverage Taxes	250	237	231	200	210
Highway Use Tax	157	165	169	150	155
Auto Rental Tax	31	33	34	39	39
Hotel/Motel Tax	1	--	--	--	--
	<u>9,381</u>	<u>9,723</u>	<u>10,067</u>	<u>10,614</u>	<u>10,669</u>
<i>BUSINESS TAXES</i>					
Corporation Franchise Tax	2,306	2,343	2,262	2,168	2,630
Corporation and Utilities Taxes	1,789	1,745	1,728	1,692	1,009
Insurance Taxes	714	707	743	658	644
Bank Tax	742	812	635	611	591
Petroleum Business Tax	967	978	1,033	1,005	971
Lubricating Oil Tax	(1)	--	--	--	--
	<u>6,517</u>	<u>6,585</u>	<u>6,401</u>	<u>6,134</u>	<u>5,845</u>
<i>OTHER TAXES</i>					
Real Property Gains Tax	42	33	29	15	6
Estate and Gift Taxes	889	1,022	1,071	1,055	758
Pari-Mutuel Taxes	42	39	37	36	29
Real Estate Transfer Taxes	195	230	312	340	405
Racing and Boxing Exhibition Taxes	1	1	1	2	1
	<u>1,169</u>	<u>1,325</u>	<u>1,450</u>	<u>1,448</u>	<u>1,199</u>
<i>LOTTERY INCOME</i>	1,646	1,658	1,576	1,496	1,587
<i>FEDERAL GRANTS</i>	20,053	21,646	22,645	23,570	25,786
<i>OTHER RECEIPTS</i>					
Student Tuitions and Fees	1,188	1,088	1,172	1,219	1,290
Hospital Patient Fees	890	1,107	1,016	952	931
Income from Investments	168	241	335	365	581
Abandoned Property	227	244	268	286	305
Reimbursement of Advances	1,049	610	596	997	537
Transfers from PBC's	233	101	88	68	124
Regulatory Assessments	1,772	1,722	1,899	1,695	1,764
Tobacco Control & Insurance Initiatives Pool	--	--	--	--	373
Transfers from Tobacco Settlement Fund	--	--	--	--	328
Miscellaneous Licenses, Fees and Other	1,099	1,196	1,193	1,399	1,374
	<u>6,626</u>	<u>6,309</u>	<u>6,567</u>	<u>6,981</u>	<u>7,607</u>
<i>BORROWED AND ADDED TO DEBT</i>					
Bonds and Notes Issued by the State	438	486	249	208	219
Public Authority Financings (1).	1,357	1,518	1,763	2,081	1,854
	<u>1,795</u>	<u>2,004</u>	<u>2,012</u>	<u>2,289</u>	<u>2,073</u>
TOTAL REVENUES	<u>\$63,558</u>	<u>\$67,008</u>	<u>\$71,380</u>	<u>\$74,065</u>	<u>\$81,658</u>

(1) Amount includes financings from the NYS Thruway Authority (NYSTA) and the Dormitory Authority (DASNY).

**COMBINED STATE AND FEDERAL FUNDS
MONEY THE STATE COLLECTED BY MAJOR SOURCE
DURING THE YEAR ENDED MARCH 31, 2001**



STATE OF NEW YORK
ADDITIONAL MONEY THE STATE RECEIVED
FROM THE FEDERAL GOVERNMENT, AND SPENT
(in millions of dollars)

Federal Grant Receipts and Disbursements for the Year Ended March 31:	1997	1998	1999	2000	2001
RECEIVED:	<u>\$20,053</u>	<u>\$21,646</u>	<u>\$22,645</u>	<u>\$23,570</u>	<u>\$25,786</u>
SPENT:					
EDUCATION					
Public Schools	1,411	1,629	1,823	2,025	2,124
State University of New York	94	105	120	117	131
Tuition Assistance Program	4	6	3	5	5
	<u>1,509</u>	<u>1,740</u>	<u>1,946</u>	<u>2,147</u>	<u>2,260</u>
PUBLIC HEALTH					
Health and Mental Health Services	2,516	2,541	2,631	2,830	3,134
Medical Assistance (Medicaid)	10,861	11,559	12,106	12,975	13,714
	<u>13,377</u>	<u>14,100</u>	<u>14,737</u>	<u>15,805</u>	<u>16,848</u>
PUBLIC WELFARE					
Public Welfare	3,101	3,772	3,619	2,953	3,786
Public Housing	28	26	22	16	15
Employment Services	445	473	482	407	550
	<u>3,574</u>	<u>4,271</u>	<u>4,123</u>	<u>3,376</u>	<u>4,351</u>
PROTECT THE PEOPLE					
Criminal Justice and Correctional Alternatives.	165	94	154	150	197
Prisons and Reformatons	61	30	84	207	109
	<u>226</u>	<u>124</u>	<u>238</u>	<u>357</u>	<u>306</u>
TRANSPORTATION					
Traffic Safety	7	6	7	7	10
Transportation	646	739	902	948	923
	<u>653</u>	<u>745</u>	<u>909</u>	<u>955</u>	<u>933</u>
PRESERVATION OF NATURAL AND CULTURAL RESOURCES					
Environmental Protection	254	209	143	255	348
Parks, Recreation & Historic Preservation	3	3	3	3	3
Cultural Programs	1	1	--	--	--
	<u>258</u>	<u>213</u>	<u>146</u>	<u>258</u>	<u>351</u>
SUPPORT AND REGULATE BUSINESS					
Commerce and Industry	9	4	2	1	5
Regulate Business	1	1	1	--	2
Agriculture	4	4	5	6	6
	<u>14</u>	<u>9</u>	<u>8</u>	<u>7</u>	<u>13</u>
SHARE GENERAL REVENUES WITH LOCAL GOVERNMENTS	<u>66</u>	<u>77</u>	<u>66</u>	<u>66</u>	<u>77</u>
OPERATE THE STATE GOVERNMENT					
Other Executive Agencies	16	19	21	21	22
Office of the Attorney General	14	14	20	16	18
Court Administration	--	--	--	4	3
Pensions and Other Employee Benefits	136	134	106	129	131
	<u>166</u>	<u>167</u>	<u>147</u>	<u>170</u>	<u>174</u>
TOTALS	<u>\$19,843</u>	<u>\$21,446</u>	<u>\$22,320</u>	<u>\$23,141</u>	<u>\$25,313</u>

**FEDERAL FUNDS
ADDITIONAL MONEY THE STATE RECEIVED
DURING THE YEAR ENDED MARCH 31, 2001**

