



## **SUMMARY REPORT**

State of New York:

Spending by Major Service Functions  
Receipts from Major Sources

For the Year Ended March 31, 1999

**H. Carl McCall**  
State Comptroller

**STATE OF NEW YORK**  
**MONEY THE STATE SPENT BY MAJOR SERVICE FUNCTION**  
(in millions of dollars)

State Fund Disbursements for the Year Ended March 31:	1995	1996	1997	1998	1999
<i>EDUCATION</i>					
Public Schools . . . . .	\$10,203	\$10,267	\$11,253	\$11,809	<b>\$12,682</b>
School Tax Relief (STAR) . . . . .	--	--	--	--	<b>582</b>
State University of New York . . . . .	2,503	2,523	2,527	2,542	<b>2,715</b>
City University of New York . . . . .	619	731	539	545	<b>613</b>
Tuition Assistance Program . . . . .	636	644	628	645	<b>631</b>
Other Higher Education . . . . .	784	930	824	591	<b>651</b>
Payments made by the Local Government Assistance Corporation . . . . .	315	529	--	--	--
	<u>15,060</u>	<u>15,624</u>	<u>15,771</u>	<u>16,132</u>	<u><b>17,874</b></u>
<i>PUBLIC HEALTH</i>					
Health and Mental Health services . . . . .	2,543	2,595	2,411	2,327	<b>2,514</b>
Medical Assistance (Medicaid) . . . . .	7,131	6,900	6,782	7,059	<b>7,350</b>
	<u>9,674</u>	<u>9,495</u>	<u>9,193</u>	<u>9,386</u>	<u><b>9,864</b></u>
<i>PUBLIC WELFARE</i>					
Public Welfare . . . . .	3,834	3,651	3,530	3,398	<b>3,383</b>
Public Housing . . . . .	233	221	187	134	<b>195</b>
	<u>4,067</u>	<u>3,872</u>	<u>3,717</u>	<u>3,532</u>	<u><b>3,578</b></u>
<i>PROTECT THE PEOPLE</i>					
Criminal Justice . . . . .	672	723	697	792	<b>864</b>
Prisons and Reformatons . . . . .	1,608	1,726	1,582	1,772	<b>1,912</b>
	<u>2,280</u>	<u>2,449</u>	<u>2,279</u>	<u>2,564</u>	<u><b>2,776</b></u>
<i>TRANSPORTATION</i>					
Transportation . . . . .	3,498	3,374	3,227	3,165	<b>3,612</b>
Payments made by the New York State Thruway Authority . . . . .	192	205	233	276	<b>313</b>
	<u>3,690</u>	<u>3,579</u>	<u>3,460</u>	<u>3,441</u>	<u><b>3,925</b></u>
<i>PRESERVATION OF NATURAL AND CULTURAL RESOURCES</i>					
Environmental Protection . . . . .	366	411	421	439	<b>568</b>
Parks, Recreation & Historic Preservation . . . . .	186	188	188	204	<b>235</b>
Cultural Programs . . . . .	38	35	33	39	<b>52</b>
	<u>590</u>	<u>634</u>	<u>642</u>	<u>682</u>	<u><b>855</b></u>
<i>SUPPORT AND REGULATE BUSINESS</i>					
Commerce and Industry . . . . .	377	419	354	339	<b>423</b>
Agriculture . . . . .	57	55	48	52	<b>60</b>
Employment Services . . . . .	132	128	130	146	<b>180</b>
	<u>566</u>	<u>602</u>	<u>532</u>	<u>537</u>	<u><b>663</b></u>
<i>SHARE GENERAL REVENUES WITH LOCAL GOVERNMENTS . . . . .</i>	<u>852</u>	<u>731</u>	<u>751</u>	<u>799</u>	<u><b>914</b></u>
<i>REPAY BORROWED MONEY AND REDUCE DEBT . . . . .</i>	<u>2,206</u>	<u>2,421</u>	<u>2,536</u>	<u>3,088</u>	<u><b>3,274</b></u>
<i>OPERATE THE STATE GOVERNMENT</i>					
Other Executive agencies . . . . .	724	726	711	756	<b>825</b>
Office of the State Comptroller . . . . .	83	80	85	102	<b>101</b>
Office of the Attorney General . . . . .	77	75	76	92	<b>105</b>
Legislature . . . . .	179	168	171	177	<b>183</b>
Court Administration . . . . .	934	951	970	994	<b>1,140</b>
Pension Contributions . . . . .	308	363	369	392	<b>241</b>
Other Employee Benefits . . . . .	1,746	1,870	1,846	1,876	<b>2,016</b>
Other . . . . .	289	269	232	316	<b>274</b>
	<u>4,340</u>	<u>4,502</u>	<u>4,460</u>	<u>4,705</u>	<u><b>4,885</b></u>
<b>TOTALS . . . . .</b>	<u><b>\$43,325</b></u>	<u><b>\$43,909</b></u>	<u><b>\$43,341</b></u>	<u><b>\$44,866</b></u>	<u><b>\$48,608</b></u>

**STATE OF NEW YORK**  
**MONEY THE STATE COLLECTED BY MAJOR SOURCE**  
(in millions of dollars)

State Fund Receipts for the Year Ended March 31:	1995	1996	1997	1998	1999
<i>PERSONAL INCOME TAXES</i> . . . . .	\$17,589	\$16,998	\$16,371	\$17,758	<b>\$20,662</b>
<i>CONSUMER TAXES AND FEES</i>					
Sales tax . . . . .	6,789	6,954	7,261	7,562	7,912
Motor Vehicle tax . . . . .	515	527	543	559	552
Tobacco Products taxes . . . . .	727	693	667	675	667
Motor Fuel tax . . . . .	485	502	471	492	502
Beverage taxes . . . . .	293	277	250	237	231
Highway Use tax . . . . .	189	170	157	165	169
Auto Rental tax . . . . .	29	28	31	33	34
Hotel/Motel tax . . . . .	41	2	1	--	--
	<u>9,068</u>	<u>9,153</u>	<u>9,381</u>	<u>9,723</u>	<b>10,067</b>
<i>BUSINESS TAXES</i>					
Corporation Franchise tax . . . . .	2,198	2,002	2,306	2,343	2,262
Corporation and Utilities taxes . . . . .	1,705	1,756	1,789	1,745	1,728
Insurance taxes . . . . .	576	749	714	707	743
Bank tax . . . . .	619	729	742	812	635
Petroleum Business tax . . . . .	1,037	1,004	967	978	1,033
Lubricating Oil tax . . . . .	9	--	(1)	--	--
	<u>6,144</u>	<u>6,240</u>	<u>6,517</u>	<u>6,585</u>	<b>6,401</b>
<i>OTHER TAXES</i>					
Real Property Gains tax . . . . .	104	106	42	33	29
Estate and Gift taxes . . . . .	759	799	889	1,022	1,071
Pari-Mutuel taxes . . . . .	57	45	42	39	37
Real Estate Transfer taxes . . . . .	191	185	195	230	312
Racing and Boxing Exhibition taxes . . . . .	1	1	1	1	1
	<u>1,112</u>	<u>1,136</u>	<u>1,169</u>	<u>1,325</u>	<b>1,450</b>
<i>LOTTERY INCOME</i> . . . . .	<u>1,307</u>	<u>1,515</u>	<u>1,646</u>	<u>1,658</u>	<b>1,576</b>
<i>OTHER RECEIPTS</i>					
Student tuitions and fees . . . . .	1,043	1,212	1,188	1,088	1,172
Hospital patient fees . . . . .	806	1,004	890	987	934
Income from investments . . . . .	136	140	168	241	335
Abandoned property . . . . .	231	242	227	244	268
Reimbursement of advances . . . . .	474	472	1,049	610	596
Transfers from PBC's . . . . .	138	247	233	101	88
Regulatory Assessments . . . . .	1,408	1,521	1,772	1,722	1,899
Miscellaneous licenses, fees and other . . . . .	1,051	1,077	1,099	1,196	1,193
	<u>5,287</u>	<u>5,915</u>	<u>6,626</u>	<u>6,189</u>	<b>6,485</b>
<i>BORROWED AND ADDED TO DEBT</i>					
Bonds and notes issued by the State . . . . .	250	333	438	486	249
Public Authority financings . . . . .	1,726	2,515	1,357	1,518	1,763
	<u>1,976</u>	<u>2,848</u>	<u>1,795</u>	<u>2,004</u>	<b>2,012</b>
<b>TOTAL RECEIPTS</b> . . . . .	<u>\$42,483</u>	<u>\$43,805</u>	<u>\$43,505</u>	<u>\$45,242</u>	<b>\$48,653</b>
<i>OTHER FINANCING ACTIONS</i>					
Increase (Decrease) in General Fund deficit notes (1) . . . . .	--	--	--	--	--
Decrease (Increase) in fund balances . . . . .	842	104	(164)	(376)	(45)
	<u>842</u>	<u>104</u>	<u>(164)</u>	<u>(376)</u>	<b>(45)</b>
<b>TOTAL FINANCING USED</b> . . . . .	<u>\$43,325</u>	<u>\$43,909</u>	<u>\$43,341</u>	<u>\$44,866</u>	<b>\$48,608</b>

(1) These figures represent the increase (decrease) in the amount outstanding at the end of the fiscal year. The most recent amount issued was in 1992 for \$531 million.

**STATE OF NEW YORK**  
**ADDITIONAL MONEY THE STATE RECEIVED**  
**FROM THE FEDERAL GOVERNMENT, AND SPENT**  
(in millions of dollars)

Federal Grant Receipts and Disbursements for the Year Ended March 31:	1995	1996	1997	1998	1999
<i>RECEIVED:</i> .....	<u>\$19,379</u>	<u>\$20,230</u>	<u>\$20,053</u>	<u>\$21,646</u>	<u>\$22,645</u>
<i>SPENT:</i>					
<i>EDUCATION</i>					
Public Schools .....	1,482	1,568	1,411	1,629	1,823
State University of New York .....	91	84	94	105	120
Tuition Assistance Program .....	14	7	4	6	3
	<u>1,587</u>	<u>1,659</u>	<u>1,509</u>	<u>1,740</u>	<u>1,946</u>
<i>PUBLIC HEALTH</i>					
Health and Mental Health services .....	2,349	2,360	2,516	2,541	2,631
Medical assistance (Medicaid) .....	9,783	10,502	9,049	9,727	10,373
	<u>12,132</u>	<u>12,862</u>	<u>11,565</u>	<u>12,268</u>	<u>13,004</u>
<i>PUBLIC WELFARE</i>					
Public Welfare .....	3,754	3,766	4,913	5,604	5,353
Public Housing .....	33	36	28	26	22
	<u>3,787</u>	<u>3,802</u>	<u>4,941</u>	<u>5,630</u>	<u>5,375</u>
<i>PROTECT THE PEOPLE</i>					
Criminal Justice .....	61	58	165	94	154
Prisons and Reformatons .....	10	5	61	30	84
	<u>71</u>	<u>63</u>	<u>226</u>	<u>124</u>	<u>238</u>
<i>TRANSPORTATION</i> .....	685	617	653	745	908
<i>PRESERVATION OF NATURAL AND CULTURAL RESOURCES</i>					
Environmental Protection .....	110	288	254	209	143
Parks, Recreation & Historic Preservation .....	4	3	3	3	3
Cultural Programs .....	1	1	1	1	--
	<u>115</u>	<u>292</u>	<u>258</u>	<u>213</u>	<u>146</u>
<i>SUPPORT AND REGULATE BUSINESS</i>					
Commerce and Industry .....	26	24	10	6	3
Agriculture .....	3	5	4	4	5
Employment Services .....	459	504	445	472	482
	<u>488</u>	<u>533</u>	<u>459</u>	<u>482</u>	<u>490</u>
<i>SHARE GENERAL REVENUES WITH LOCAL GOVERNMENTS</i> .....	81	78	66	77	66
<i>OPERATE THE STATE GOVERNMENT</i>					
Other Executive agencies .....	15	18	16	19	21
Office of the Attorney General .....	13	12	14	14	20
Pensions and other employee benefits .....	106	118	136	134	106
	<u>134</u>	<u>148</u>	<u>166</u>	<u>167</u>	<u>147</u>
<b>TOTALS</b> .....	<u>\$19,080</u>	<u>\$20,054</u>	<u>\$19,843</u>	<u>\$21,446</u>	<u>\$22,320</u>