



SUMMARY REPORT

State of New York:

Spending by Major Service Functions
Receipts from Major Sources

For the Year Ended March 31, 20 11

Thomas P. DiNapoli

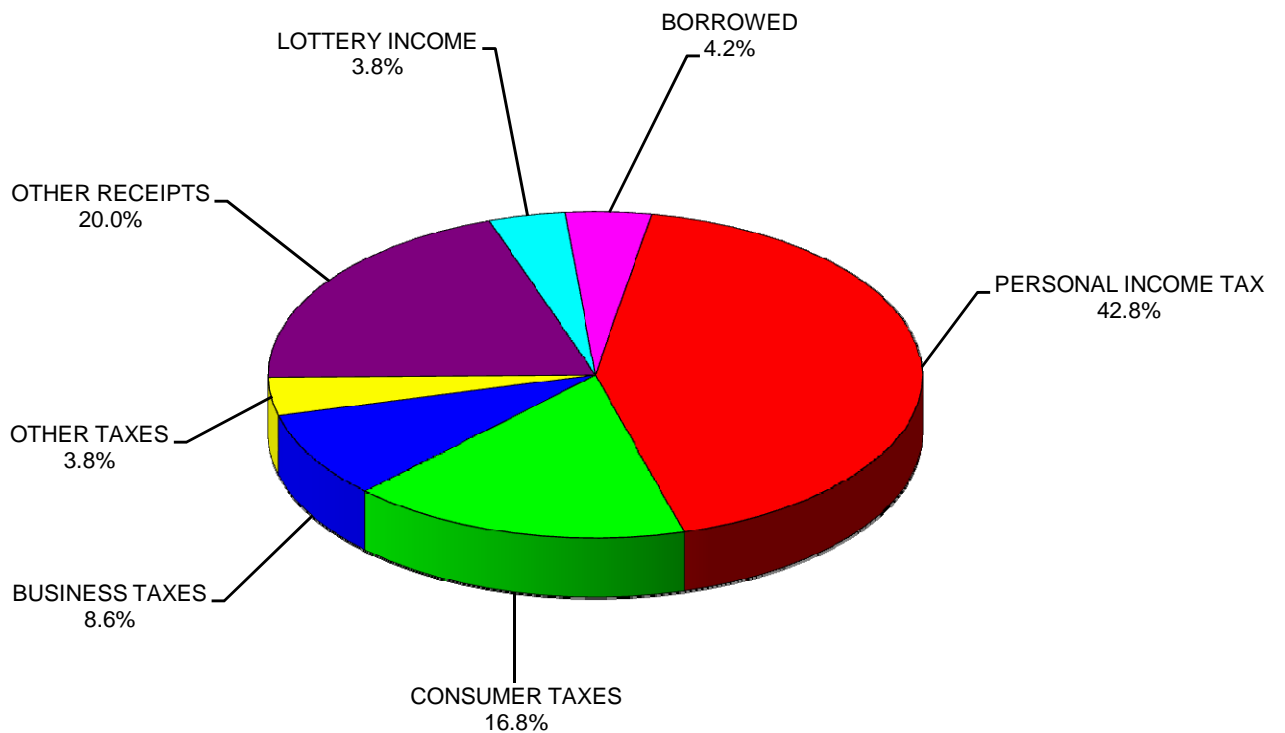
STATE OF NEW YORK
MONEY THE STATE COLLECTED BY MAJOR SOURCE
(in millions of dollars)

State Fund Receipts for the Year Ended March 31:	2007	2008	2009	2010	2011
PERSONAL INCOME TAX	\$34,580	\$36,564	\$36,840	\$34,751	\$36,209
CONSUMER TAXES					
Sales and Use	10,739	11,296	10,985	10,527	11,538
Cigarette/Tobacco Products	985	976	1,340	1,366	1,616
Motor Fuel	513	525	504	507	516
Beverage	194	205	206	226	230
Highway Use	153	148	141	137	129
Auto Rental	45	47	61	76	95
MCTD Taxicab Trip	--	--	--	13	81
	<u>12,629</u>	<u>13,197</u>	<u>13,237</u>	<u>12,852</u>	14,205
BUSINESS TAXES					
Corporation Franchise	4,228	3,997	3,220	2,511	2,846
Corporation and Utility	820	802	863	953	814
Insurance	1,258	1,219	1,181	1,491	1,351
Bank	1,210	1,058	1,233	1,399	1,178
Petroleum Business	1,090	1,155	1,107	1,104	1,090
	<u>8,606</u>	<u>8,231</u>	<u>7,604</u>	<u>7,458</u>	7,279
OTHER TAXES					
Real Property Gains	--	--	--	(1)	--
Estate and Gift	1,053	1,037	1,165	866	1,219
Pari-Mutuel	21	24	23	19	17
Real Estate Transfer	1,022	1,021	701	493	580
Racing and Exhibition	1	1	1	1	1
MCTD Mobility Tax	--	--	--	1,228	1,359
	<u>2,097</u>	<u>2,083</u>	<u>1,890</u>	<u>2,606</u>	3,176
GAMING- LOTTERY INCOME, VLT & CASINO	<u>2,611</u>	<u>2,904</u>	<u>2,800</u>	<u>2,951</u>	3,210
OTHER RECEIPTS					
Student Tuition and Fees (SUNY/CUNY)	2,096	2,123	2,279	2,501	2,585
Patient/Client Care	1,638	1,533	1,585	1,818	1,766
Income from Investments	501	535	225	106	28
Abandoned Property	701	686	692	569	640
Unclaimed Bottle Deposits	--	--	--	46	118
Refunds & Reimbursements	710	1,003	1,039	1,296	1,193
Public Benefit Corporations ⁽¹⁾	238	498	679	622	278
Regulatory Assessments	1,594	1,496	1,876	3,038	2,568
EPIC Fees and Rebates	308	264	203	202	203
Public Asset Sale-Non Profit Conversion	514	1,003	233	95	--
Transfers from Public Goods Pool	2,936	3,014	3,274	3,808	4,081
Miscellaneous Licenses, Fees and Other	2,864	2,833	3,012	3,332	3,420
	<u>14,100</u>	<u>14,988</u>	<u>15,097</u>	<u>17,433</u>	16,880
BORROWED AND ADDED TO DEBT					
Bonds and Notes Issued by the State	181	269	457	448	525
Public Authority Financings	2,195	2,547	2,934	3,173	3,058
	<u>2,376</u>	<u>2,816</u>	<u>3,391</u>	<u>3,621</u>	3,583
TOTAL RECEIPTS	<u>\$76,999</u>	<u>\$80,783</u>	<u>\$80,859</u>	<u>\$81,672</u>	\$84,542
OTHER FINANCING ACTIONS					
Transfers In from Federal Funds	3,432	3,440	4,140	4,691	4,598
Transfers To Other Funds	(28)	(1)	(20)	(45)	(69)
Decrease (Increase) in fund balances	214	367	1,900	(274)	1,048
TOTAL FINANCING USED	<u>\$80,617</u>	<u>\$84,589</u>	<u>\$86,879</u>	<u>\$86,044</u>	\$90,119

(1) Includes general receipts and Public Authority cost recoveries.

Source: Bureau of State Accounting Operations

**STATE FUNDS
MONEY THE STATE COLLECTED BY MAJOR SOURCE
DURING THE YEAR ENDED MARCH 31, 2011**

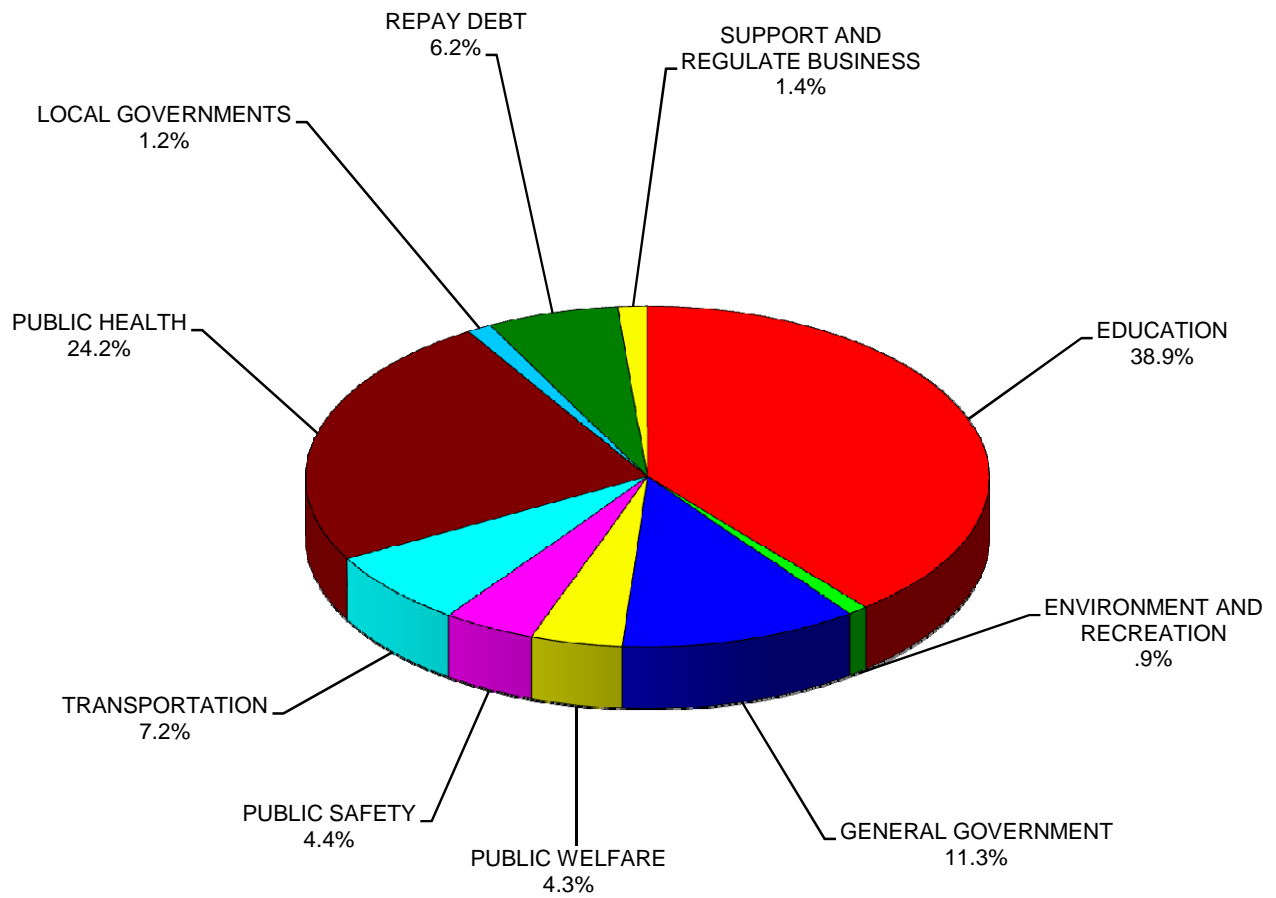


STATE OF NEW YORK
MONEY THE STATE SPENT BY MAJOR SERVICE FUNCTION
STATE FUND DISBURSEMENTS
(in millions of dollars)

State Fund Disbursements for the Year Ended March 31:	2007	2008	2009	2010	2011
<i>EDUCATION</i>					
Public Schools	\$19,051	\$20,868	\$22,523	\$20,022	\$23,466
School Tax Relief (STAR)	3,321	3,559	3,224	3,411	3,234
State University of New York	5,289	5,546	5,844	6,208	6,120
City University of New York	1,065	1,101	1,067	1,638	1,310
Tuition Assistance Program	866	860	812	847	815
Higher Education Services Corporation.....	89	90	87	132	95
Cultural Programs	52	55	49	46	47
	<u>29,733</u>	<u>32,079</u>	<u>33,606</u>	<u>32,304</u>	<u>35,087</u>
<i>STAR PROPERTY TAX REBATES</i>	673	1,099	1,212	2	--
<i>PUBLIC HEALTH</i>					
Health and Mental Health Services ⁽¹⁾	8,592	8,861	9,027	9,011	8,498
Medical Assistance (Medicaid)	13,662	13,255	12,563	12,411	13,299
	<u>22,254</u>	<u>22,116</u>	<u>21,590</u>	<u>21,422</u>	<u>21,797</u>
<i>PUBLIC WELFARE</i>					
Public Welfare	3,275	3,819	3,596	3,694	3,499
Public Housing	198	220	232	235	205
Employment Services	208	215	221	199	207
	<u>3,681</u>	<u>4,254</u>	<u>4,049</u>	<u>4,128</u>	<u>3,911</u>
<i>PUBLIC SAFETY</i>					
Criminal Justice & Correctional Alternatives.....	1,161	1,226	1,240	1,323	1,185
Emergency Management & Security Services.....	183	163	118	119	112
Prisons and Reformatories	2,705	2,686	2,653	2,888	2,628
	<u>4,049</u>	<u>4,075</u>	<u>4,011</u>	<u>4,330</u>	<u>3,925</u>
<i>TRANSPORTATION</i>					
Traffic Safety	198	210	220	225	219
Transportation	4,090	4,670	5,018	5,803	6,255
	<u>4,288</u>	<u>4,880</u>	<u>5,238</u>	<u>6,028</u>	<u>6,474</u>
<i>ENVIRONMENT AND RECREATION</i>					
Environmental Protection	583	658	613	561	558
Parks, Recreation & Historic Preservation	337	340	417	378	306
	<u>920</u>	<u>998</u>	<u>1,030</u>	<u>939</u>	<u>864</u>
<i>SUPPORT AND REGULATE BUSINESS</i>					
Commerce, Industry & Agriculture.....	439	604	812	738	994
Regulate Business	160	296	343	263	246
	<u>599</u>	<u>900</u>	<u>1,155</u>	<u>1,001</u>	<u>1,240</u>
<i>SHARE GENERAL REVENUES WITH LOCAL GOVERNMENTS</i>	1,198	949	1,240	1,274	1,058
<i>REPAY BORROWED MONEY AND REDUCE DEBT</i>	4,451	4,104	4,530	4,961	5,615
<i>GENERAL GOVERNMENT</i>					
Executive Agencies	1,148	1,174	1,186	1,171	1,079
Office of the State Comptroller	177	176	186	177	174
Office of the Attorney General	180	176	199	182	168
Legislature	213	217	222	226	223
Court Administration	1,729	1,794	1,967	2,005	2,028
Pension Contributions & Other Employee Benefits	4,953	5,248	5,152	5,457	6,060
Other	371	350	306	437	416
	<u>8,771</u>	<u>9,135</u>	<u>9,218</u>	<u>9,655</u>	<u>10,148</u>
TOTALS	<u>\$80,617</u>	<u>\$84,589</u>	<u>\$86,879</u>	<u>\$86,044</u>	<u>\$90,119</u>

(1) To facilitate improved accounting, the Division of the Budget has reclassified certain Special Revenue Funds from Federal Operating to State Operating Funds. For comparison purposes, prior years Health and Mental Health Services have been restated.

**STATE FUNDS
MONEY THE STATE SPENT BY MAJOR SERVICE FUNCTION
DURING THE YEAR ENDED MARCH 31, 2011**



STATE OF NEW YORK
ADDITIONAL MONEY THE STATE RECEIVED AND SPENT
FROM THE FEDERAL GOVERNMENT
(in millions of dollars)

Federal Grant Receipts and Disbursements for the Year Ended March 31:	2007	2008	2009	2010	2011
RECEIVED:	<u>\$35,579</u>	<u>\$34,909</u>	<u>\$38,833</u>	<u>\$45,524</u>	<u>\$49,304</u>
SPENT:					
EDUCATION					
Public Schools	3,600	3,330	3,515	4,227	6,062
State University of New York	167	188	206	311	403
City University of New York	--	--	--	14	33
Tuition Assistance Program	7	17	8	62	59
Higher Education Services Corporation	6	3	4	4	8
Cultural Programs	--	1	--	1	1
	<u>3,780</u>	<u>3,539</u>	<u>3,733</u>	<u>4,619</u>	<u>6,566</u>
PUBLIC HEALTH					
Health and Mental Health Services ⁽¹⁾	1,120	1,187	1,292	1,319	1,599
Medical Assistance (Medicaid)	20,229	19,563	21,759	26,333	27,495
	<u>21,349</u>	<u>20,750</u>	<u>23,051</u>	<u>27,652</u>	<u>29,094</u>
PUBLIC WELFARE					
Public Welfare	4,170	4,177	4,909	5,045	5,057
Public Housing	15	15	15	13	16
Employment Services	450	416	438	574	550
	<u>4,635</u>	<u>4,608</u>	<u>5,362</u>	<u>5,632</u>	<u>5,623</u>
PUBLIC SAFETY					
Criminal Justice & Correctional Alternatives	172	182	204	374	255
Emergency Management & Security Services	266	335	152	184	233
Prisons and Reformatories	29	33	44	21	30
	<u>467</u>	<u>550</u>	<u>400</u>	<u>579</u>	<u>518</u>
TRANSPORTATION					
Traffic Safety	12	14	16	17	19
Transportation	1,296	1,378	1,463	1,555	1,766
	<u>1,308</u>	<u>1,392</u>	<u>1,479</u>	<u>1,572</u>	<u>1,785</u>
ENVIRONMENT AND RECREATION					
Environmental Protection	187	213	164	192	408
Parks, Recreation & Historic Preservation	8	7	11	9	7
	<u>195</u>	<u>220</u>	<u>175</u>	<u>201</u>	<u>415</u>
SUPPORT AND REGULATE BUSINESS					
Commerce, Industry & Agriculture	18	11	12	10	12
Regulate Business	1	1	1	1	1
	<u>19</u>	<u>12</u>	<u>13</u>	<u>11</u>	<u>13</u>
SHARE GENERAL REVENUES WITH LOCAL GOVERNMENTS	<u>105</u>	<u>121</u>	<u>116</u>	<u>229</u>	<u>274</u>
GENERAL GOVERNMENT					
Executive Agencies	41	34	110	71	129
Office of the Attorney General	24	22	26	27	23
Court Administration	5	5	6	6	6
Pensions Contributions and Other Employee Benefits	219	216	222	234	260
	<u>289</u>	<u>277</u>	<u>364</u>	<u>338</u>	<u>418</u>
TOTALS	<u>\$32,147</u>	<u>\$31,469</u>	<u>\$34,693</u>	<u>\$40,833</u>	<u>\$44,706</u>

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Source: Bureau of State Accounting Operations

**FEDERAL FUNDS
ADDITIONAL MONEY THE STATE RECEIVED
DURING THE YEAR ENDED MARCH 31, 2011**

