



Office of the New York State Comptroller
 New York State and Local Retirement System
 Employees' Retirement System
 Police and Fire Retirement System
 110 State Street, Albany, New York 12244-0001



Application for Article 14 Disability Retirement

RS 6411
 (Rev. 7/11)

INSTRUCTIONS: Please print plainly or type. The application must be signed on reverse side.
 Please call our Call Center at 1-866-805-0990 if you need help completing this application.

INFORMATION ABOUT YOU	
1. CHECK OFF THE FOLLOWING BENEFIT(S) THAT YOU ARE APPLYING FOR: <input type="checkbox"/> Article 14 Ordinary <input type="checkbox"/> Article 14 Accidental (List accident(s) in Section 17)	
2. NAME	3. SEX: <input type="checkbox"/> M <input type="checkbox"/> F
4. SOCIAL SECURITY NUMBER* XXX-XX-	
5. ADDRESS	6. REGISTRATION NUMBER
	7. DATE OF BIRTH / /
8. TELEPHONE NUMBERS: HOME () WORK () CELL ()	9. EMPLOYER
10. PAYROLL TITLE	11. LENGTH OF SERVICE _____ Years _____ Months
12. PAYROLL STATUS: On Payroll & Receiving Salary? <input type="checkbox"/> Yes <input type="checkbox"/> No If No, Explain.	
13. DUAL MEMBERSHIP: Are you a member of both the New York State Employees' Retirement System and the New York State Police and Fire Retirement System? <input type="checkbox"/> Yes <input type="checkbox"/> No	
14. I AM PERMANENTLY DISABLED BECAUSE OF THE FOLLOWING MEDICAL CONDITION(S): (Use additional sheets if required)	

15. I HAVE BEEN TREATED BY THE FOLLOWING DOCTORS: (Use additional sheets if required)

Primary Care Physician	Doctor	Doctor
Medical Speciality	Medical Speciality	Medical Speciality
Street	Street	Street
City, State and Zip Code	City, State and Zip Code	City, State and Zip Code
Doctor	Doctor	Doctor
Medical Speciality	Medical Speciality	Medical Speciality
Street	Street	Street
City, State and Zip Code	City, State and Zip Code	City, State and Zip Code

16. LIST HOSPITALIZATIONS, IF ANY. (Use additional sheets if required)

Hospital	Dates of Admission	Hospital	Dates of Admission
Street		Street	
City, State and Zip Code		City, State and Zip Code	

Complete 17, 18 and 19 if applying for Article 14 Accidental:

17. DATES OF ACCIDENTS, WHERE THEY OCCURRED, AND WORKERS' COMPENSATION NUMBER(S) ASSIGNED

(If Workers' Compensation benefits are payable, member must apply for them. Article 14 Disability Retirement benefits must be reduced by Workers' Compensation benefits.)

18. THE FOLLOWING PERSON(S) WITNESSED THE ACCIDENT(S):

Witness Name	Witness Name	Witness Name
Date Witnessed	Date Witnessed	Date Witnessed
Witness Address	Witness Address	Witness Address
City, State and Zip Code	City, State and Zip Code	City, State and Zip Code

19. DESCRIPTION OF THE ACCIDENT(S). ALSO DESCRIBE ANY OTHER OCCURRENCES THAT MAY BE RELATED TO YOUR CLAIMED DISABILITY.

(Use additional sheets if required)

20. INFORMATION ABOUT YOUR INTENDED BENEFICIARY

Beneficiary	Relationship to you (if any)
Street	Date of Birth
City, State and Zip Code	Sex

I certify that the information contained on this form is true.

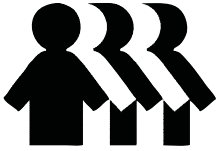
_____ Applicant Name – Please Print

_____ Applicant Signature (Sign Name in Full)

RELATIONSHIP TO MEMBER: Self Employer Other _____

***NOTE:** In accordance with the Federal Privacy Act of 1974 you are hereby advised that disclosure of your Social Security account number is mandatory pursuant to Section 11, 34, 311 and 334 of the Retirement and Social Security Law. Your number will be used in identifying your retirement records and in the administration of the Retirement System.

PERSONAL PRIVACY PROTECTION LAW – The Retirement System is required by law to maintain records to determine eligibility for and calculate benefits. Failure to provide information may interfere with timely payment of benefits. The System may be required to provide certain information to participating employers. The official responsible for record maintenance is the Director of Member Services, NYS and Local Retirement Systems, Albany, NY 12244; 518-474-7736.



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AUTHORIZATION FOR RELEASE OF HEALTH INFORMATION PURSUANT TO HIPAA

RS 6429

(Rev. 4/11)

Patient Name	Date of Birth	Social Security Number XXX-XX-
Patient Address		

I, or my authorized representative, request that health information regarding my care and treatment be released as set forth on this form: In accordance with New York State Law and the Privacy Rule of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), I understand that:

1. This authorization may include disclosure of information relating to **ALCOHOL** and **DRUG ABUSE, MENTAL HEALTH TREATMENT**, except psychotherapy notes, and **CONFIDENTIAL HIV* RELATED INFORMATION** only if I place my initials on the appropriate line in item 8(a). In the event the health information described below includes any of these types of information, and I initial the line on the box in Item 8(a), I specifically authorize release of such information to the person(s) indicated in Item 7.
2. If I am authorizing the release of HIV-related, alcohol or drug treatment, or mental health treatment information, the recipient is prohibited from redisclosing such information without my authorization unless permitted to do so under federal or state law. I understand that I have the right to request a list of people who may receive or use my HIV-related information without authorization. If I experience discrimination because of the release or disclosure of HIV-related information, I may contact the New York State Division of Human Rights at (212) 480-2493 or the New York City Commission of Human Rights at (212) 306-7450. These agencies are responsible for protecting my rights.
3. I have the right to revoke this authorization at any time by writing to the health care provider(s) listed below. I understand that I may revoke this authorization except to the extent that action has already been taken based on this authorization.
4. Information disclosed under this authorization might be redisclosed by the recipient (except as noted above in Item 2), and this redisclosure may no longer be protected by federal or state law.
5. **THIS AUTHORIZATION DOES NOT AUTHORIZE YOU TO DISCUSS MY HEALTH INFORMATION OR MEDICAL CARE WITH ANYONE OTHER THAN THE ATTORNEY OR GOVERNMENTAL AGENCY SPECIFIED IN ITEM 8(b).**

6. Name and address of health care provider(s) or entity(ies) to release this information:

7. Name and address of person(s) or category of person to whom this information will be sent: New York State and Local Retirement System, Mail Drop 7-1, 110 State Street, Albany NY 12244
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8. (a) Specific information to be released:
- Entire Medical Record, including patient histories, office notes (except psychotherapy notes), test results, radiology studies, films, referrals, consults, insurance records, and records sent to you by other health care providers.
 - Other: _____ Include: *(Indicate by Initialing)*
- _____ **Alcohol/Drug Treatment**

_____ **Mental Health Information**

_____ **HIV-Related Information**

Authorization to Discuss Health Information

(b) By initialing here _____ I authorize _____ Name of individual health care provider
Initials

to discuss my health information with my attorney or governmental agency, listed here:
New York State and Local Retirement System
(Attorney/Firm Name or Government Agency Name)

9. Reason for release of information: <input type="checkbox"/> At the request of individual <input type="checkbox"/> Other: _____	10. This authorization will expire at the completion of the disability retirement application process.
11. If not the patient, name of person signing form:	12. Authority to sign on behalf of patient:

All items on this form have been completed and my questions about this form have been answered. In addition, I have been provided a copy of the form.

Signature of patient or representative authorized by law. _____ Date _____

*** Human Immunodeficiency Virus that causes AIDS. The New York State Public Health Law protects information which reasonably could identify someone as having HIV symptoms or infection and information regarding a person's contacts.**