SECURITIES INDUSTRY Employment & Profits

EMPLOYMENT

| | Year | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
|---|------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| , | Jobs | 188,900 | 188,400 | 169,600 | 166,300 | 172,200 | 169,300 | 165,900 | 168,700 | 173,200 | 177,000 |

Source: NYS Department of Labor

Prepared by the Office of the State Comptroller, March 15, 2017

PROFITS

| Year | 1996 | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 |
|-------------|------|------|------|------|------|------|------|------|------|------|------|
| \$ Billions | 11.3 | 12.2 | 9.7 | 16.3 | 21.0 | 10.4 | 6.9 | 16.8 | 13.7 | 9.4 | 20.9 |

| Year | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
|-------------|-------|-------|------|------|------|------|------|------|------|------|
| \$ Billions | -11.3 | -42.6 | 61.4 | 27.6 | 7.7 | 23.9 | 16.7 | 16.0 | 14.3 | 17.3 |

Note: Profits are for the broker/dealer operations of New York Stock Exchange member firms. Profits have not been adjusted for inflation.

Sources: NYSE/Intercontinental Exchange; Securities Industry and Financial Markets Association

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