

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF MANAGEMENT AUDIT AND STATE FINANCIAL SERVICES
BUREAU OF ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report To The Legislature
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)**

AUGUST 2002



**H. CARL McCALL
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF	5 MO. ENDED	MONTH OF	5 MO. ENDED	MONTH OF	5 MO. ENDED	MONTH OF	5 MO. ENDED	MONTH OF	5 MO. ENDED	MONTH OF	5 MO. ENDED
	AUGUST 2002	AUG. 31, 2002	AUGUST 2002	AUG. 31, 2002	AUGUST 2002	AUG. 31, 2002	AUGUST 2002	AUG. 31, 2002	AUGUST 2002	AUG. 31, 2002	AUGUST 2001	AUG. 31, 2001
RECEIPTS:												
Personal Income Tax	\$1,072.1	\$8,991.4	\$ --	\$ --	\$357.3	\$1,434.3	\$ --	\$ --	\$1,429.4	\$10,425.7	\$1,574.3	\$14,544.8
Consumption/Use Taxes and Fees (5)	490.3	\$2,844.4	52.6	232.5	169.1	881.7	117.9	431.3	829.9	4,389.9	822.3	4,362.1
Business Taxes	32.7	806.1	50.0	354.9	--	--	53.2	246.1	135.9	1,407.1	134.7	1,696.0
Other Taxes	77.7	354.0	--	--	33.8	170.4	11.2	33.6	122.7	558.0	92.7	464.2
Miscellaneous Receipts (7)	93.8	694.8	831.0	3,331.3	43.9	211.8	50.6	366.9	1,019.3	4,604.8	856.2	3,815.3
Federal Grants	--	3.1	2,507.3	11,832.5	--	--	121.5	551.8	2,628.8	12,387.4	2,489.2	10,579.6
Total Receipts	1,766.6	13,693.8	3,440.9	15,751.2	604.1	2,698.2	354.4	1,629.7	6,166.0	33,772.9	5,969.4	35,462.0
DISBURSEMENTS:												
Local Assistance Grants:												
General Purpose	12.2	158.5	--	--	--	--	--	--	12.2	158.5	55.0	115.0
Education	830.6	4,852.0	1,620.0	2,742.7	--	--	--	0.7	2,450.6	7,595.4	2,126.4	6,435.1
Social Services (4)	592.4	4,204.5	2,217.0	9,093.4	--	--	--	--	2,809.4	13,297.9	2,633.9	11,947.3
Health and Environment	24.8	214.5	193.3	811.2	--	--	2.3	2.8	220.4	1,028.5	292.8	974.8
Mental Hygiene	34.0	426.9	11.1	74.5	--	--	1.4	5.7	46.5	507.1	96.2	519.0
Transportation	26.1	97.7	156.7	678.3	--	--	25.2	91.2	208.0	867.2	100.1	651.0
Criminal Justice	9.6	49.9	4.3	49.7	--	--	--	--	13.9	99.6	29.7	97.6
Miscellaneous	58.8	164.0	103.0	751.5	--	--	38.5	59.2	200.3	974.7	90.2	416.1
Total Local Assistance Grants	1,588.5	10,168.0	4,305.4	14,201.3	--	--	67.4	159.6	5,961.3	24,528.9	5,424.3	21,155.9
Departmental Operations:												
Personal Service	549.3	3,043.0	227.6	1,215.0	--	--	--	--	776.9	4,258.0	983.6	4,102.6
Non-Personal Service	197.1	924.8	183.3	1,021.9	--	2.0	--	--	380.4	1,948.7	344.9	1,768.2
General State Charges	192.2	1,130.5	52.3	213.2	--	--	--	--	244.5	1,343.7	229.8	1,250.8
Debt Service, Including Payments on												
Financing Agreements (2)	--	--	--	--	281.8	1,137.7	--	--	281.8	1,137.7	299.1	1,274.0
Capital Projects (3)	--	--	0.2	1.1	--	--	379.5	1,631.6	379.7	1,632.7	427.2	1,533.2
Total Disbursements	2,527.1	15,266.3	4,768.8	16,652.5	281.8	1,139.7	446.9	1,791.2	8,024.6	34,849.7	7,708.9	31,084.7
Excess (Deficiency) of Receipts over Disbursements	(760.5)	(1,572.5)	(1,327.9)	(901.3)	322.3	1,558.5	(92.5)	(161.5)	(1,858.6)	(1,076.8)	(1,739.5)	4,377.3
OTHER FINANCING SOURCES (USES):												
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	93.0
Transfers from Other Funds (1)	555.1	2,610.3	293.9	1,056.3	451.0	1,776.5	48.8	181.8	1,348.8	5,624.9	946.7	3,657.5
Transfers to Other Funds (1)	(133.9)	(1,071.7)	(328.5)	(881.0)	(844.2)	(3,269.9)	(62.9)	(442.0)	(1,369.5)	(5,664.6)	(946.6)	(3,657.4)
Total Other Financing Sources (Uses)	421.2	1,538.6	(34.6)	175.3	(393.2)	(1,493.4)	(14.1)	(260.2)	(20.7)	(39.7)	0.1	93.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(339.3)	(33.9)	(1,362.5)	(726.0)	(70.9)	65.1	(106.6)	(421.7)	(1,879.3)	(1,116.5)	(1,739.4)	4,470.4
Beginning Fund Balances (Deficit) (6)	1,337.2	1,031.8	1,683.4	1,046.9	305.0	169.0	(582.5)	(267.4)	2,743.1	1,980.3	9,851.1	3,641.3
Ending Fund Balances (Deficit)	\$997.9	\$997.9	\$320.9	\$320.9	\$234.1	\$234.1	(\$689.1)	(\$689.1)	\$863.8	\$863.8	\$8,111.7	\$8,111.7

GOVERNMENTAL FUNDS FOOTNOTES

**Exhibit A Notes
August 2002**

1. Operating transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant transfers include the General Fund transfers "To" the State Capital Projects Fund (\$176.8m), the General Debt Service Fund (\$607.8m), the Court Facilities Incentive Aid Fund (\$41.1m), the SUNY Income Fund (\$17.9), the Agencies Internal Service Fund (\$37.8m) and the Miscellaneous Special Revenue Community Provider Assistance Program Fund (\$100.0m)

Also included in General Fund transfers are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$14.3m) and Special Revenue Funds (\$41.8m).

In Special Revenue Funds, Transfers "To" Other Funds includes transfers to Debt Service Funds (\$853.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$11.2m) from the SUNY Income Fund State University Hospital Income Reimbursable Account.

In Debt Service Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Local Government Assistance Tax Fund (\$822.0m), the Clean Water/Clean Air Fund (\$138.8m), the Emergency Highway Reconditioning and Preservation Fund (\$27.1m) and the Emergency Highway Construction and Reconstruction Fund (\$27.1m).

Also included in Debt Service Fund transfers are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$33.5m), Mental Hygiene (\$697.9m) and the State University (\$87.1m).

Chapter 383 of the Laws of 2001 amended the SFL to establish the Revenue Bond Tax Fund within the Debt Service Fund Group. The act authorizes the Comptroller to deposit 25% of personal income tax withholdings to the Revenue Bond Tax Fund to satisfy debt service requirements. The Comptroller is further authorized to transfer personal income tax receipts in excess of debt service requirements to General Fund. Transfers from the Revenue Bond Tax Fund to the General Fund are \$1,430.9m.

In Capital Projects Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Environmental Protection Fund (\$150.0m) and to the General Debt Service Fund (\$286.4m).

2. Total debt service disbursements include:
- | | |
|--|-----------------|
| - Principal and interest on general obligation bonds | \$183.6 million |
| - Lease-purchase/contractual obligation payments | 954.1 |

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government.

The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

State bond and note proceeds	\$45.5 million
Urban Development Corporation (Youth Facilities)	11.1
Urban Development Corporation (Correctional Facilities)	126.6
Housing Finance Agency (HFA)	139.5
Dormitory Authority (MCFFA)	184.7
Dormitory Authority (Health Facilities)	25.1
Dormitory Authority and State University Income Fund	21.6
Federal Capital Projects	160.9

4. Special Revenue Federal Funds disbursements include the following payments made by the State which will be reimbursed by the Federal Government in September 2002:
- | | |
|---|---------------|
| Federal USDA/Food and Consumer Services | \$0.6 million |
| Federal DHHS (Medicaid) | 129.5 |
| Federal DHHS (All Other) | 229.6 |
| Federal DHHS/Block Grant | 0.4 |
| Federal Education | 12.6 |
| Federal Miscellaneous Operating Grants | 43.9 |
| Federal DOL Grants | -- |

5. General Fund receipts do not include \$310.3 million of cigarette tax receipts which were collected by the State and credited to the Tobacco Control and Insurance Initiatives Pool.
6. The April 1, 2001 cash balance for the Agency Funds (-) and General Fund (+) have been adjusted to reflect the reclassification of the Fringe Benefit Escrow Fund.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

**Exhibit A Notes
August 2002
(continued)**

7. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	5 Months Ended August 31	
					2002	2001
(amounts in millions)						
Abandoned and Unclaimed Property	\$ 98.5	\$ --	\$ --	\$ --	\$ 98.5	\$ 75.0
Interest Earnings	25.0	17.6	1.7	2.8	47.1	252.2
Receipts from Public Authorities:						
Bond Issuance Fees	10.7	13.5	--	--	24.2	2.1
Bond Proceeds to Reimburse Capital Spending	--	--	--	326.1	326.1	242.0
Cost Recovery Assessments	--	0.4	--	--	0.4	0.3
DASNY	--	12.0	--	--	12.0	--
Housing Finance Agency	50.0	--	--	--	50.0	--
State of NY Mortgage Agency	150.0	--	--	--	150.0	--
SUNY Construction Fund	--	5.3	--	--	5.3	4.7
Thruway Authority	2.0	9.4	--	--	11.4	13.9
All Other	--	4.4	--	0.2	4.6	2.4
Refunds and Reimbursements:						
SUNY Contracts and Grants	--	136.8	--	--	136.8	104.0
Receipts from Municipalities	--	5.9	8.8	--	14.7	16.5
Women, Infants and Children Rebates	--	43.0	--	--	43.0	52.0
HESC Student Loan Recoveries	--	28.6	--	--	28.6	35.3
Admin Recoveries - Collection of Local Taxes	13.4	12.3	--	0.6	26.3	26.8
Indirect Cost Assessments	38.1	--	--	--	38.1	20.4
All Other	12.5	40.5	1.0	9.8	63.8	77.7
Health Care Reform Act Transfers From:						
Health Care Initiatives Pool	--	--	--	--	--	--
Tobacco Control & Insurance Initiatives Pool	--	442.2	--	--	442.2	172.9
Revenues of State Departments:						
Patient/Client Care	42.0	311.3	122.3	--	475.6	481.9
Medical Care Provider Assessments	61.4	684.9	--	--	746.3	552.6
Assessments against Regulated Industries	--	198.3	--	--	198.3	225.7
Student Tuition and Fees	--	207.8	69.1	--	276.9	215.9
EPIC Premiums and Fees	--	44.9	--	--	44.9	32.8
Rentals and Leases	0.8	2.6	--	2.6	6.0	6.4
Miscellaneous Sales	4.4	32.4	--	0.1	36.9	38.3
All Other	15.3	12.4	8.9	5.5	42.1	13.9
Lottery Receipts:						
Education	--	629.8	--	--	629.8	565.1
Administration	--	177.3	--	--	177.3	146.7
Licenses and Fees	109.3	227.3	--	19.0	355.6	339.3
Fines	61.4	30.4	--	0.2	92.0	98.5
TOTAL	<u>\$ 694.8</u>	<u>\$ 3,331.3</u>	<u>\$ 211.8</u>	<u>\$ 366.9</u>	<u>\$ 4,604.8</u>	<u>\$ 3,815.3</u>

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF
 CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN EQUITY
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2001	5 MO. ENDED AUG. 31, 2001
RECEIPTS:								
Miscellaneous Receipts	\$6.6	\$31.6	\$59.6	\$227.9	\$66.2	\$259.5	\$47.7	\$202.9
TOTAL RECEIPTS	<u>6.6</u>	<u>31.6</u>	<u>59.6</u>	<u>227.9</u>	<u>66.2</u>	<u>259.5</u>	<u>47.7</u>	<u>202.9</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	1.3	4.7	8.9	49.4	10.2	54.1	13.5	52.1
Non-Personal Service	6.2	22.7	39.0	219.2	45.2	241.9	24.7	190.0
General State Charges	0.1	0.4	4.0	22.2	4.1	22.6	3.7	12.1
Debt Service	--	--	33.3	49.9	33.3	49.9	35.0	61.7
TOTAL DISBURSEMENTS	<u>7.6</u>	<u>27.8</u>	<u>85.2</u>	<u>340.7</u>	<u>92.8</u>	<u>368.5</u>	<u>76.9</u>	<u>315.9</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(1.0)</u>	<u>3.8</u>	<u>(25.6)</u>	<u>(112.8)</u>	<u>(26.6)</u>	<u>(109.0)</u>	<u>(29.2)</u>	<u>(113.0)</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	19.1	37.8	19.1	37.8	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>19.1</u>	<u>37.8</u>	<u>19.1</u>	<u>37.8</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1.0)	3.8	(6.5)	(75.0)	(7.5)	(71.2)	(29.2)	(113.0)
BEGINNING FUND EQUITY (DEFICITS)	<u>23.8</u>	<u>19.0</u>	<u>(170.0)</u>	<u>(101.5)</u>	<u>(146.2)</u>	<u>(82.5)</u>	<u>(149.1)</u>	<u>(65.3)</u>
ENDING FUND EQUITY (DEFICITS)	<u>\$22.8</u>	<u>\$22.8</u>	<u>(\$176.5)</u>	<u>(\$176.5)</u>	<u>(\$153.7)</u>	<u>(\$153.7)</u>	<u>(\$178.3)</u>	<u>(\$178.3)</u>

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF
CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
(in millions)

EXHIBIT C

	EXPENDABLE TRUST		NONEXPENDABLE TRUST		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2001	5 MO. ENDED AUG. 31, 2001
RECEIPTS:								
Miscellaneous Receipts	\$6.2	\$9.8	\$3.4	\$14.2	\$9.6	\$24.0	\$3.2	\$23.0
Federal Grants	80.8	680.4	0.1	1.0	80.9	681.4	3.6	12.4
Unemployment Taxes	241.5	1,264.4	--	--	241.5	1,264.4	214.0	950.5
TOTAL RECEIPTS	328.5	1,954.6	3.5	15.2	332.0	1,969.8	220.8	985.9
DISBURSEMENTS:								
Local Assistance Grants:								
Mental Hygiene	--	--	--	0.1	--	0.1	0.1	2.5
Miscellaneous	--	--	0.3	1.8	0.3	1.8	0.6	1.9
Departmental Operations:								
Personal Service	0.3	2.1	--	--	0.3	2.1	0.5	2.0
Non-Personal Service	1.2	7.3	1.2	3.6	2.4	10.9	2.2	11.4
General State Charges	0.4	1.0	--	--	0.4	1.0	0.3	0.9
Unemployment Benefits	302.8	1,923.1	--	--	302.8	1,923.1	212.6	986.8
Capital Projects	0.7	0.3	--	--	0.7	0.3	--	0.3
TOTAL DISBURSEMENTS	305.4	1,933.8	1.5	5.5	306.9	1,939.3	216.3	1,005.8
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	23.1	20.8	2.0	9.7	25.1	30.5	4.5	(19.9)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	1.5	1.8	--	--	1.5	1.8	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	1.5	1.8	--	--	1.5	1.8	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	24.6	22.6	2.0	9.7	26.6	32.3	4.5	(19.9)
BEGINNING FUND BALANCES	44.4	46.4	31.5	23.8	75.9	70.2	38.4	62.8
ENDING FUND BALANCES	\$69.0	\$69.0	\$33.5	\$33.5	\$102.5	\$102.5	\$42.9	\$42.9

**STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2003
 FOR THE FIVE (5) MONTHS ENDED AUGUST 31, 2002
 (amounts in millions)**

EXHIBIT "D"

	GENERAL FUND		
	Financial Plan July 2002	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2002	\$1,031.8	\$1,031.8	--
RECEIPTS:			
Taxes:			
Personal Income Tax	10,427.2	8,991.4	(1,435.8)
Consumption/Use Taxes:			
Sales and Use Taxes	2,484.7	2,490.1	5.4
Other Consumption/Use Taxes	404.4	354.3	(50.1)
Business Taxes	804.0	806.1	2.1
Other Taxes	328.6	354.0	25.4
Miscellaneous Receipts/Federal Grants	693.2	697.9	4.7
Total Receipts	<u>15,142.1</u>	<u>13,693.8</u>	<u>(1,448.3)</u>
DISBURSEMENTS:			
Local Assistance Grants	10,391.7	10,168.0	223.7
Departmental Operations	3,943.7	3,967.8	(24.1)
General State Charges	1,164.7	1,130.5	34.2
Total Disbursements	<u>15,500.1</u>	<u>15,266.3</u>	<u>233.8</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(358.0)</u>	<u>(1,572.5)</u>	<u>(1,214.5)</u>
OTHER FINANCING SOURCES (USES):			
Transfers From Other Funds	1,174.7	2,610.3	1,435.6
Transfers To Other Funds	(1,009.9)	(1,071.7)	(61.8)
Total Other Financing Sources (Uses)	<u>164.8</u>	<u>1,538.6</u>	<u>1,373.8</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(193.2)</u>	<u>(33.9)</u>	<u>159.3</u>
CLOSING CASH BALANCE-AUGUST 31, 2002	<u><u>\$838.6</u></u>	<u><u>\$997.9</u></u>	<u><u>\$159.3</u></u>

Footnote: Consistent with generally accepted accounting principles, certain personal income taxes, legislatively dedicated for debt service, are recorded in the Revenue Bond Tax Fund Debt Service Account and any excess of debt requirements is 'transferred' to the General Fund. The State's financial plan, however, includes such excesses as personal income tax receipts of the General Fund. (see Exhibit A - Note #1)

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2002	5 MO. ENDED AUG. 31, 2002	MONTH OF AUG. 2001	5 MO. ENDED AUG. 31, 2001
PERSONAL INCOME TAX												
Withholding	\$ 1,444.2	\$ 7,532.5	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 1,444.2	\$ 7,532.5	\$ 1,535.5	\$ 7,673.7
Estimated payments	29.8	2,293.9	--	--	--	--	--	--	29.8	2,293.9	38.1	3,308.0
Final returns	33.5	1,122.6	--	--	--	--	--	--	33.5	1,122.6	45.8	1,657.6
Other	41.9	147.8	--	--	--	--	--	--	41.9	147.8	49.0	185.8
Gross Receipts	1,549.4	11,096.8	--	--	--	--	--	--	1,549.4	11,096.8	1,668.4	12,825.1
STAR Program	--	--	--	--	--	--	--	--	--	--	--	--
Debt Reduction Reserve	--	--	--	--	--	--	--	--	--	--	--	--
Revenue Bond Tax Fund	(357.3)	(1,434.3)	--	--	357.3	1,434.3	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	1,677.4	--	--	--	--	--	--	--	1,677.4	--	3,517.4
Less: Refunds Issued	(120.0)	(2,348.5)	--	--	--	--	--	--	(120.0)	(2,348.5)	(94.1)	(1,797.7)
Total	1,072.1	8,991.4	--	--	357.3	1,434.3	--	--	1,429.4	10,425.7	1,574.3	14,544.8
CONSUMPTION / USE TAXES AND FEES												
Sales and Use	474.1	2,490.1	28.0	168.5	158.0	827.5	--	--	660.1	3,486.1	638.7	3,479.4
Auto Rental (1)	--	--	--	--	--	--	--	10.8	--	10.8	--	8.6
Hotel / Motel	--	--	--	--	--	--	--	--	--	--	--	--
Motor Vehicle	(38.8)	44.4	18.2	32.9	--	--	71.7	197.6	51.1	274.9	57.9	263.4
Cigarette/Tobacco Products	37.3	217.4	--	--	--	--	--	--	37.3	217.4	51.0	230.8
Motor Fuel	--	--	6.4	31.1	11.1	54.2	33.0	162.4	50.5	247.7	44.5	223.2
Alcoholic Beverage	14.4	78.0	--	--	--	--	--	--	14.4	78.0	13.6	74.9
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	13.2	60.5	13.2	60.5	12.9	66.2
Alcoholic Beverage Control Licenses	3.3	14.5	--	--	--	--	--	--	3.3	14.5	3.7	15.6
Total	490.3	2,844.4	52.6	232.5	169.1	881.7	117.9	431.3	829.9	4,389.9	822.3	4,362.1
BUSINESS TAXES												
Corporation Franchise	31.8	337.3	5.0	59.5	--	--	--	--	36.8	396.8	19.6	585.1
Corporation and Utilities	3.5	170.4	0.4	65.6	--	--	--	--	3.9	236.0	8.5	309.1
Insurance	9.6	178.0	1.3	17.4	--	--	--	--	10.9	195.4	18.5	189.3
Bank	(12.2)	120.4	0.3	17.4	--	--	--	--	(11.9)	137.8	5.2	201.9
Petroleum Business	--	--	43.0	195.0	--	--	53.2	246.1	96.2	441.1	82.9	410.6
Lubricating Oil	--	--	--	--	--	--	--	--	--	--	--	--
Total	32.7	806.1	50.0	354.9	--	--	53.2	246.1	135.9	1,407.1	134.7	1,696.0
OTHER TAXES												
Real Property Gains	0.3	1.8	--	--	--	--	--	--	0.3	1.8	0.2	3.5
Estate and Gift	73.8	339.1	--	--	--	--	--	--	73.8	339.1	65.5	298.5
Pari-Mutuel	3.6	13.0	--	--	--	--	--	--	3.6	13.0	3.4	12.7
Real Estate Transfer	--	--	--	--	33.8	170.4	11.2	33.6	45.0	204.0	23.5	149.2
Racing and Exhibitions	--	0.1	--	--	--	--	--	--	--	0.1	0.1	0.3
Total	77.7	354.0	--	--	33.8	170.4	11.2	33.6	122.7	558.0	92.7	464.2
TOTAL TAX RECEIPTS	\$ 1,672.8	\$ 12,995.9	\$ 102.6	\$ 587.4	\$ 560.2	\$ 2,486.4	\$ 182.3	\$ 711.0	\$ 2,517.9	\$ 16,780.7	\$ 2,624.0	\$ 21,067.1

(1) Transfer Auto Use Tax from the General Fund to Highway and Bridge Trust Fund pursuant to Chapter 85, Part D, Laws of 2002.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "F"

													5 Months Ended August 31		
		2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	(1)	\$1,031.8	\$3,800.0	\$1,170.6	\$1,736.7	\$1,337.2								\$1,031.8	\$1,109.7
RECEIPTS:															
Personal Income Tax		4,688.2	463.0	1,603.4	1,164.7	1,072.1								8,991.4	14,294.8
Consumption/Use Taxes and Fees	(2)(3)	591.4	502.3	687.5	572.9	490.3								2,844.4	2,902.3
Business Taxes		57.0	(64.1)	709.1	71.4	32.7								806.1	1,116.1
Other Taxes		59.4	61.5	88.7	66.7	77.7								354.0	315.0
Miscellaneous Receipts		77.3	132.3	304.6	86.8	93.8								694.8	606.5
Federal Grants		1.7	1.0	(0.2)	0.6	--								3.1	0.7
Total Receipts		5,475.0	1,096.0	3,393.1	1,963.1	1,766.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	13,693.8	19,235.4
DISBURSEMENTS:															
Local Assistance Grants:															
General Purpose		70.0	11.1	60.4	4.8	12.2								158.5	115.0
Education		233.2	1,807.2	1,731.2	249.8	830.6								4,852.0	4,127.4
Social Services		800.4	1,208.8	501.3	1,101.6	592.4								4,204.5	4,166.6
Health and Environment		55.2	20.1	61.8	52.6	24.8								214.5	311.4
Mental Hygiene		149.1	57.4	34.1	152.3	34.0								426.9	416.5
Transportation		0.1	13.0	58.4	0.1	26.1								97.7	71.8
Criminal Justice		6.8	8.9	7.9	16.7	9.6								49.9	53.2
Miscellaneous		13.9	16.0	23.0	52.3	58.8								164.0	181.3
Total Local Assistance Grants		1,328.7	3,142.5	2,478.1	1,630.2	1,588.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	10,168.0	9,443.2
Departmental Operations:															
Personal Service		617.8	508.3	584.7	782.9	549.3								3,043.0	3,107.9
Non-Personal Service	(1)	178.3	190.4	154.6	204.4	197.1								924.8	937.7
General State Charges	(1)	350.6	159.0	180.6	248.1	192.2								1,130.5	1,143.4
Debt Service, Including Payments on Financing Agreements		--	--	--	--	--								--	--
Total Disbursements		2,475.4	4,000.2	3,398.0	2,865.6	2,527.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	15,266.3	14,632.2
Excess (Deficiency) of Receipts over Disbursements		2,999.6	(2,904.2)	(4.9)	(902.5)	(760.5)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(1,572.5)	4,603.2
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds		186.6	483.2	798.7	586.7	555.1								2,610.3	809.3
Transfers to State Capital Projects		(52.0)	(32.1)	(6.9)	(36.9)	(48.9)								(176.8)	(206.1)
Transfers to General Debt Service		(170.4)	(161.6)	(185.7)	(30.5)	(59.6)								(607.8)	(738.0)
Transfers to All Other State Funds		(195.6)	(14.7)	(35.1)	(16.3)	(25.4)								(287.1)	(120.2)
Total Other Financing Sources (Uses)		(231.4)	274.8	571.0	503.0	421.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,538.6	(255.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		2,768.2	(2,629.4)	566.1	(399.5)	(339.3)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(33.9)	4,348.2
CLOSING CASH BALANCE	(3)	\$3,800.0	\$1,170.6	\$1,736.7	\$1,337.2	\$997.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$997.9	\$5,457.9

(1) The April 2001 beginning cash balance and activity in Non-Personal Services and General State Charges reflects the reclassification of the Employee Fringe Benefits Escrow Fund to the General Fund Group.

(2) Reported amount does not include cigarette taxes which, pursuant to statute, are credited to the Tobacco Control and Insurance Initiatives Pool (see Exhibit A - Note #5)

(3) Cash Balance was adjusted to reflect transfer of Auto Usage Tax from the General Fund to the Highway and Bridge Trust Fund, Capital Projects Fund Group, pursuant to Chapter 85, Part D, Laws of 2002.

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT " F "

(page 2)

5 Months Ended Aug. 31

	2002									2003			2002	2001
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$1,605.3	\$1,480.4	\$1,449.4	\$1,553.2	\$1,444.2								\$7,532.5	\$7,673.7
Estimated payments	1,414.5	28.2	784.2	37.2	29.8								2,293.9	3,308.0
Final returns	1,020.3	29.0	19.9	19.9	33.5								1,122.6	1,657.6
Other	65.1	(43.9)	39.2	45.5	41.9								147.8	185.8
Gross Receipts	4,105.2	1,493.7	2,292.7	1,655.8	1,549.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	11,096.8	12,825.1
STAR Program	--	--	--	--	--								--	--
Debt Reduction Reserve	--	--	--	--	--								--	(250.0)
Revenue Bond Tax Fund	--	(154.3)	(534.4)	(388.3)	(357.3)								(1,434.3)	--
Refund reserve reduction (increase)	1,677.4	--	--	--	--								1,677.4	3,517.4
Refunds issued	(1,094.4)	(876.4)	(154.9)	(102.8)	(120.0)								(2,348.5)	(1,797.7)
Total Personal Income Tax	4,688.2	463.0	1,603.4	1,164.7	1,072.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	8,991.4	14,294.8
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	465.2	441.8	606.1	502.9	474.1								2,490.1	2,480.2
Auto Rental (1)	--	--	--	--	--								--	8.6
Hotel / Motel	--	--	--	--	--								--	--
Motor Vehicle	43.4	9.4	21.3	9.1	(38.8)								44.4	92.2
Cigarette/Tobacco Products	62.5	34.0	42.9	40.7	37.3								217.4	230.8
Motor Fuel	--	--	--	--	--								--	--
Alcoholic Beverage	17.2	14.6	14.6	17.2	14.4								78.0	74.9
Beverage Container	--	--	--	--	--								--	--
Highway Use	--	--	--	--	--								--	--
Alcoholic Beverage Control Licenses	3.1	2.5	2.6	3.0	3.3								14.5	15.6
Total Consumption/Use Taxes and Fees	591.4	502.3	687.5	572.9	490.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,844.4	2,902.3
BUSINESS TAXES														
Corporation Franchise	22.0	(54.5)	292.1	45.9	31.8								337.3	519.1
Corporation and Utilities	2.4	(0.7)	161.1	4.1	3.5								170.4	247.1
Insurance	18.6	(5.1)	142.5	12.4	9.6								178.0	174.1
Bank	14.0	(3.8)	113.4	9.0	(12.2)								120.4	175.8
Petroleum Business	--	--	--	--	--								--	--
Lubricating Oil	--	--	--	--	--								--	--
Total Business Taxes	57.0	(64.1)	709.1	71.4	32.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	806.1	1,116.1
OTHER TAXES														
Real Property Gains	0.5	0.5	0.1	0.4	0.3								1.8	3.5
Estate and Gift	57.4	58.5	85.6	63.8	73.8								339.1	298.5
Pari-Mutuel	1.5	2.4	3.0	2.5	3.6								13.0	12.7
Real Estate Transfer	--	--	--	--	--								--	--
Racing and Exhibitions	--	0.1	--	--	--								0.1	0.3
Total Other Taxes	59.4	61.5	88.7	66.7	77.7	0.0	0.0	0.0	0.0	0.0	0.0	0.0	354.0	315.0
TOTAL TAX RECEIPTS	\$5,396.0	\$962.7	\$3,088.7	\$1,875.7	\$1,672.8	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$12,995.9	\$18,628.2

(1) Transfer Auto Use Tax from the General Fund to Highway and Bridge Trust Fund pursuant to Chapter 85, Part D, Laws of 2002.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "G"

	5 Months Ended August 31												2002	2001	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH			
OPENING CASH BALANCE	\$1,046.9	\$1,544.6	\$1,054.6	\$1,414.6	\$1,683.4									\$1,046.9	\$2,198.7
RECEIPTS:															
Personal Income Tax	--	--	--	--	--									--	--
Consumption/Use Taxes and Fees	58.1	37.6	39.9	44.3	52.6									232.5	213.0
Business Taxes	44.3	32.3	166.6	61.7	50.0									354.9	350.7
Other Taxes	--	--	--	--	--									--	--
Miscellaneous Receipts	529.2	590.2	693.8	687.1	831.0									3,331.3	2,701.4
Federal Grants	2,193.4	2,432.1	2,371.9	2,327.8	2,507.3									11,832.5	10,089.9
Total Receipts	2,825.0	3,092.2	3,272.2	3,120.9	3,440.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	15,751.2	13,355.0
DISBURSEMENTS:															
Local Assistance Grants:															
Education	326.6	388.3	299.7	108.1	1,620.0									2,742.7	2,306.6
Social Services	1,238.8	2,154.3	1,613.0	1,870.3	2,217.0									9,093.4	7,780.1
Health and Environment	143.1	181.9	138.8	154.1	193.3									811.2	609.5
Mental Hygiene	23.1	1.7	20.6	18.0	11.1									74.5	81.0
Transportation	102.7	186.7	133.3	98.9	156.7									678.3	566.4
Criminal Justice	14.5	5.5	11.7	13.7	4.3									49.7	44.4
Miscellaneous	251.0	60.8	158.3	178.4	103.0									751.5	203.4
Total Local Assistance Grants	2,099.8	2,979.2	2,375.4	2,441.5	4,305.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	14,201.3	11,591.4
Departmental Operations:															
Personal Service	171.6	393.7	177.5	244.6	227.6									1,215.0	994.7
Non-Personal Service	213.7	189.3	232.5	203.1	183.3									1,021.9	828.7
General State Charges	30.8	53.7	39.8	36.6	52.3									213.2	107.4
Capital Projects	0.1	0.2	0.1	0.5	0.2									1.1	2.8
Total Disbursements	2,516.0	3,616.1	2,825.3	2,926.3	4,768.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	16,652.5	13,525.0
Excess (Deficiency) of Receipts over Disbursements	309.0	(523.9)	446.9	194.6	(1,327.9)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(901.3)	(170.0)
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	282.8	133.4	161.5	184.7	293.9									1,056.3	861.3
Transfers to Other Funds	(94.1)	(99.5)	(248.4)	(110.5)	(328.5)									(881.0)	(775.8)
Total Other Financing Sources (Uses)	188.7	33.9	(86.9)	74.2	(34.6)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	175.3	85.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	497.7	(490.0)	360.0	268.8	(1,362.5)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(726.0)	(84.5)
CLOSING CASH BALANCE	\$1,544.6	\$1,054.6	\$1,414.6	\$1,683.4	\$320.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$320.9	\$2,114.2

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT "G"
(page 2)

	2002					2003							5 Months Ended Aug. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
PERSONAL INCOME TAX	\$ --	\$ --	\$ --	\$ --	\$ --								\$ --	\$ --
Total Personal Income Tax	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	49.5	26.4	29.8	34.8	28.0								168.5	173.5
Auto Rental	--	--	--	--	--								--	--
Hotel / Motel	--	--	--	--	--								--	--
Motor Vehicle	3.8	3.9	3.7	3.3	18.2								32.9	12.0
Cigarette/Tobacco Products	--	--	--	--	--								--	--
Motor Fuel	4.8	7.3	6.4	6.2	6.4								31.1	27.5
Alcoholic Beverage	--	--	--	--	--								--	--
Beverage Container	--	--	--	--	--								--	--
Highway Use	--	--	--	--	--								--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--								--	--
Total Consumption/Use Taxes and Fees	58.1	37.6	39.9	44.3	52.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	232.5	213.0
BUSINESS TAXES														
Corporation Franchise	10.2	(0.5)	40.1	4.7	5.0								59.5	66.0
Corporation and Utilities	2.7	1.1	47.8	13.6	0.4								65.6	62.0
Insurance	(0.9)	(2.7)	18.7	1.0	1.3								17.4	15.2
Bank	(1.6)	(2.1)	19.8	1.0	0.3								17.4	26.1
Petroleum Business	33.9	36.5	40.2	41.4	43.0								195.0	181.4
Lubricating Oil	--	--	--	--	--								--	--
Total Business Taxes	44.3	32.3	166.6	61.7	50.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	354.9	350.7
OTHER TAXES														
Real Property Gains	--	--	--	--	--								--	--
Estate and Gift	--	--	--	--	--								--	--
Pari-Mutuel	--	--	--	--	--								--	--
Real Estate Transfer	--	--	--	--	--								--	--
Racing and Exhibitions	--	--	--	--	--								--	--
Total Other Taxes	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
TOTAL TAX RECEIPTS	\$102.4	\$69.9	\$206.5	\$106.0	\$102.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$587.4	\$563.7

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "H"

	2002					2003							5 Months Ended August 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	\$169.0	\$226.3	\$241.8	\$334.4	\$305.0								\$169.0	\$421.8
RECEIPTS:														
Personal Income Tax	--	154.3	534.4	388.3	357.3								1,434.3	250.0
Consumption/Use Taxes and Fees														
Sales and Use	152.8	147.2	201.9	167.6	158.0								827.5	825.7
Motor Fuel	8.4	12.6	11.8	10.3	11.1								54.2	48.8
Other Taxes	36.5	26.0	47.3	26.8	33.8								170.4	115.6
Miscellaneous Receipts	54.3	48.3	32.0	33.3	43.9								211.8	229.4
Total Receipts	<u>252.0</u>	<u>388.4</u>	<u>827.4</u>	<u>626.3</u>	<u>604.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,698.2</u>	<u>1,469.5</u>
DISBURSEMENTS:														
Departmental Operations:														
Non-Personal Service	0.1	0.2	1.4	0.3	--								2.0	1.8
Debt Service, including payments on financing agreements	242.4	236.3	273.4	103.8	281.8								1,137.7	1,274.0
Total Disbursements	<u>242.5</u>	<u>236.5</u>	<u>274.8</u>	<u>104.1</u>	<u>281.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,139.7</u>	<u>1,275.8</u>
Excess (Deficiency) of Receipts over Disbursements	<u>9.5</u>	<u>151.9</u>	<u>552.6</u>	<u>522.2</u>	<u>322.3</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,558.5</u>	<u>193.7</u>
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	326.9	318.2	481.0	199.4	451.0								1,776.5	1,773.1
Transfers to Other Funds	(279.1)	(454.6)	(941.0)	(751.0)	(844.2)								(3,269.9)	(1,568.8)
Total Other Financing Sources (Uses)	<u>47.8</u>	<u>(136.4)</u>	<u>(460.0)</u>	<u>(551.6)</u>	<u>(393.2)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(1,493.4)</u>	<u>204.3</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>57.3</u>	<u>15.5</u>	<u>92.6</u>	<u>(29.4)</u>	<u>(70.9)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>65.1</u>	<u>398.0</u>
CLOSING CASH BALANCE	<u>\$226.3</u>	<u>\$241.8</u>	<u>\$334.4</u>	<u>\$305.0</u>	<u>\$234.1</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$234.1</u>	<u>\$819.8</u>

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "I"

													5 Months Ended August 31	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE (DEFICITS)	(\$267.4)	(\$346.1)	(\$466.6)	(\$485.3)	(\$582.5)								(\$267.4)	(\$88.9)
RECEIPTS:														
Consumption/Use Taxes and Fees														
Motor Vehicle		16.3	47.5	29.1	33.0	71.7							197.6	159.2
Auto Rental	(1)	1.8	0.1	8.8	0.1	--							10.8	--
Motor Fuel		25.1	37.9	35.5	30.9	33.0							162.4	146.9
Highway Use		12.1	11.4	11.7	12.1	13.2							60.5	66.2
Business Taxes														
Petroleum Business		41.9	47.8	52.0	51.2	53.2							246.1	229.2
Other Taxes		--	--	11.2	11.2	11.2							33.6	33.6
Miscellaneous Receipts		15.8	152.4	113.0	35.1	50.6							366.9	278.0
Federal Grants		97.3	100.4	117.7	114.9	121.5							551.8	489.0
<u>Total Receipts</u>		<u>210.3</u>	<u>397.5</u>	<u>379.0</u>	<u>288.5</u>	<u>354.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,629.7</u>	<u>1,402.1</u>
DISBURSEMENTS:														
Local Assistance Grants:														
Education		0.1	0.5	0.1	--	--							0.7	1.1
Social Services		--	--	--	--	--							--	0.6
Health and Environment		0.3	--	0.1	0.1	2.3							2.8	53.9
Mental Hygiene		0.9	0.5	1.8	1.1	1.4							5.7	21.5
Transportation		13.0	14.2	18.7	20.1	25.2							91.2	12.8
Miscellaneous		10.0	1.5	3.3	5.9	38.5							59.2	31.4
<u>Total Local Assistance Grants</u>		<u>24.3</u>	<u>16.7</u>	<u>24.0</u>	<u>27.2</u>	<u>67.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>159.6</u>	<u>121.3</u>
Departmental Operations:														
Personal Service		--	--	--	--	--							--	--
Non-Personal Service		--	--	--	--	--							--	--
General State Charges		--	--	--	--	--							--	--
Capital Projects		259.6	327.4	332.5	332.6	379.5							1,631.6	1,530.4
<u>Total Disbursements</u>		<u>283.9</u>	<u>344.1</u>	<u>356.5</u>	<u>359.8</u>	<u>446.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,791.2</u>	<u>1,651.7</u>
Excess (Deficiency) of Receipts over Disbursements		(73.6)	53.4	22.5	(71.3)	(92.5)	0.0	0.0	0.0	0.0	0.0	0.0	(161.5)	(249.6)
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)		--	--	--	--	--							--	93.0
Transfers from Other Funds		51.0	32.2	17.4	32.4	48.8							181.8	213.8
Transfers to Other Funds		(56.1)	(206.1)	(58.6)	(58.3)	(62.9)							(442.0)	(248.5)
<u>Total Other Financing Sources (Uses)</u>		<u>(5.1)</u>	<u>(173.9)</u>	<u>(41.2)</u>	<u>(25.9)</u>	<u>(14.1)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(260.2)</u>	<u>58.3</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(78.7)	(120.5)	(18.7)	(97.2)	(106.6)	0.0	0.0	0.0	0.0	0.0	0.0	(421.7)	(191.3)
CLOSING CASH BALANCE (DEFICITS)	(2)	(\$346.1)	(\$466.6)	(\$485.3)	(\$582.5)	(\$689.1)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$689.1)	(\$280.2)

(1) The Auto Use Tax was transferred from the General Fund to the Highway and Bridge Trust Fund, Capital Projects Fund group, pursuant to Chapter 85, Part D, Laws of 2002.

(2) Cash Balance was adjusted to reflect transfer of Auto Usage Tax pursuant to Chapter 85, Part D, Laws of 2002.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT J

	2002					2003							5 Months Ended August 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE (DEFICITS)	\$19.0	\$20.2	\$22.3	\$22.7	\$23.8								\$19.0	\$16.8
RECEIPTS:														
Miscellaneous Receipts	6.3	6.0	4.3	8.4	6.6								31.6	34.5
Total Receipts	6.3	6.0	4.3	8.4	6.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	31.6	34.5
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.7	0.9	0.6	1.2	1.3								4.7	3.8
Non-Personal Service	4.3	3.0	3.2	6.0	6.2								22.7	23.8
General State Charges	0.1	--	0.1	0.1	0.1								0.4	0.4
Total Disbursements	5.1	3.9	3.9	7.3	7.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	27.8	28.0
Excess (Deficiency) of Receipts over Disbursements	1.2	2.1	0.4	1.1	(1.0)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3.8	6.5
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--								--	--
Transfers to Other Funds	--	--	--	--	--								--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.2	2.1	0.4	1.1	(1.0)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	3.8	6.5
CLOSING CASH BALANCE	<u>\$20.2</u>	<u>\$22.3</u>	<u>\$22.7</u>	<u>\$23.8</u>	<u>\$22.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$22.8</u>	<u>\$23.3</u>

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT K

													5 Months Ended August 31	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE (DEFICITS)	(\$101.5)	(\$105.6)	(\$109.5)	(\$146.8)	(\$170.0)								(\$101.5)	(\$82.1)
RECEIPTS:														
Miscellaneous Receipts	33.2	39.2	59.0	36.9	59.6								227.9	168.4
Total Receipts	33.2	39.2	59.0	36.9	59.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	227.9	168.4
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	9.5	9.7	8.4	12.9	8.9								49.4	48.3
Non-Personal Service	26.2	30.3	93.7	30.0	39.0								219.2	166.2
General State Charges	1.6	4.7	10.9	1.0	4.0								22.2	11.7
Debt Service, Including Payments on Financing Agreements	--	--	--	16.6	33.3								49.9	61.7
Total Disbursements	37.3	44.7	113.0	60.5	85.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	340.7	287.9
Excess (Deficiency) of Receipts over Disbursements	(4.1)	(5.5)	(54.0)	(23.6)	(25.6)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(112.8)	(119.5)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	1.6	16.7	0.4	19.1								37.8	--
Transfers to Other Funds	--	--	--	--	--								--	--
Total Other Financing Sources (Uses)	--	1.6	16.7	0.4	19.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	37.8	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.1)	(3.9)	(37.3)	(23.2)	(6.5)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(75.0)	(119.5)
CLOSING CASH BALANCE (DEFICITS)	(\$105.6)	(\$109.5)	(\$146.8)	(\$170.0)	(\$176.5)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$176.5)	(\$201.6)

**STATE OF NEW YORK
EXPENDABLE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT L

	2002					2003							5 Months Ended Aug. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	\$46.4	\$10.5	\$23.5	\$56.5	\$44.4								\$46.4	\$36.1
RECEIPTS:														
Miscellaneous Receipts	1.2	0.7	0.9	0.8	6.2								9.8	9.6
Federal Grants	171.5	174.7	141.7	111.7	80.8								680.4	12.2
Unemployment Taxes	269.3	249.9	243.9	259.8	241.5								1,264.4	950.5
Total Receipts	442.0	425.3	386.5	372.3	328.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,954.6	972.3
DISBURSEMENTS:														
Local Assistance Grants:														
Miscellaneous	--	--	--	--	--								--	1.2
Departmental Operations:														
Personal Service	0.3	0.4	0.4	0.7	0.3								2.1	2.0
Non-Personal Service	1.5	1.7	1.1	1.8	1.2								7.3	6.9
General State Charges	0.3	0.3	--	--	0.4								1.0	0.9
Unemployment Benefits	474.8	409.7	355.7	380.1	302.8								1,923.1	986.8
Capital Projects	1.0	0.2	(3.4)	1.8	0.7								0.3	0.3
Total Disbursements	477.9	412.3	353.8	384.4	305.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,933.8	998.1
Excess (Deficiency) of Receipts over Disbursements	(35.9)	13.0	32.7	(12.1)	23.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	20.8	(25.8)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	0.3	--	1.5								1.8	--
Transfers to Other Funds	--	--	--	--	--								--	--
Total Other Financing Sources (Uses)	--	--	0.3	--	1.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.8	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(35.9)	13.0	33.0	(12.1)	24.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	22.6	(25.8)
CLOSING CASH BALANCE	\$10.5	\$23.5	\$56.5	\$44.4	\$69.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$69.0	\$10.3

**STATE OF NEW YORK
NONEXPENDABLE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT M

	2002					2003							5 Months Ended Aug. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	\$23.8	\$26.4	\$27.5	\$29.7	\$31.5								\$23.8	\$26.7
RECEIPTS:														
Miscellaneous Receipts	3.9	2.4	2.3	2.2	3.4								14.2	13.4
Federal Grants	0.2	0.1	0.6	--	0.1								1.0	0.2
Total Receipts	4.1	2.5	2.9	2.2	3.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	15.2	13.6
DISBURSEMENTS:														
Local Assistance Grants:														
Mental Hygiene	0.1	--	--	--	--								0.1	2.5
Miscellaneous	0.3	0.5	0.4	0.3	0.3								1.8	0.7
Departmental Operations:														
Personal Service	--	--	--	--	--								--	--
Non-Personal Service	1.1	0.9	0.3	0.1	1.2								3.6	4.5
Total Disbursements	1.5	1.4	0.7	0.4	1.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	5.5	7.7
Excess (Deficiency) of Receipts over Disbursements	2.6	1.1	2.2	1.8	2.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	9.7	5.9
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--								--	--
Transfers to Other Funds	--	--	--	--	--								--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2.6	1.1	2.2	1.8	2.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	9.7	5.9
CLOSING CASH BALANCE	\$26.4	\$27.5	\$29.7	\$31.5	\$33.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$33.5	\$32.6

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2002
(amounts in millions)

SCHEDULE 1

	BALANCE 8/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 8/31/02
GENERAL FUND					
001-Local Assistance	\$ --	\$ 0.091	\$ 1,580.462	\$ 1,580.371	\$ --
003-State Operations	1,100.361	1,766.531	951.618	(1,159.159)	756.115
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	87.243	--	--	--	87.243
006-Universal Pre-K	3.857	--	0.278	--	3.579
007-Community Projects	144.297	--	7.745	--	136.552
166-Fringe Benefits Escrow	1.425	--	(12.942)	--	14.367
TOTAL GENERAL FUND	1,337.183	1,766.622	2,527.161	421.212	997.856
SPECIAL REVENUE FUNDS-GENERAL					
023-New York Interest on Lawyer Account	6.156	0.787	1.453	--	5.490
050-Tuition Reimbursement	1.095	0.134	0.124	--	1.105
052-Local Government Records Management Improvement	7.890	0.898	2.756	--	6.032
053-School Tax Relief	--	--	--	--	--
054-Charter Schools Stimulus	0.369	0.001	0.043	--	0.327
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.016	--	--	--	0.016
061-HCRA Transfer	66.863	201.564	227.919	--	40.508
062-Tobacco Transfer	0.600	--	--	--	0.600
068-Indigent Care	121.216	89.717	68.178	--	142.755
073-Dedicated Mass Transportation Trust	67.041	55.902	44.550	--	78.393
160-State Lottery	368.498	145.719	1,556.314	--	(1,042.097)
300-Sewage Treatment Program Mgmt. & Administration	0.030	--	0.411	--	(0.381)
301-EnCon Special Revenue	17.875	4.644	7.625	--	14.894
302-Conservation	13.176	2.089	(1.307)	--	16.572
303-Environmental Protection and Oil Spill Compensation	9.156	2.326	3.069	--	8.413
305-Training and Education Program on OSHA	10.599	0.014	1.876	--	8.737
306-Lawyers' Fund for Client Protection	5.357	0.622	2.604	--	3.375
312-Hazardous Waste Remedial	(5.969)	0.864	3.466	(0.453)	(9.024)
313-Mass Transportation Operating Assistance	138.020	46.895	110.806	--	74.109
314-Clean Air	1.470	1.739	3.182	--	0.027
318-New York State Infrastructure Trust	0.055	--	--	--	0.055
321-Legislative Computer Services	6.839	0.110	--	--	6.949
328-Biodiversity Stewardship and Research	--	--	--	--	--
337-Rural Housing Assistance	--	--	--	--	--
339-Miscellaneous State Special Revenue	928.640	185.916	262.685	309.979	1,161.850
340-Court Facilities Incentive Aid	29.094	0.055	7.103	(0.657)	21.389
341-Employment Training	0.217	--	--	--	0.217
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	78.723	184.329	155.902	7.789	114.939
346-Substance Abuse Service	4.771	0.023	0.052	--	4.742
349-Lake George Park Trust	0.589	0.046	0.062	--	0.573
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	14.673	0.552	0.442	--	14.783

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2002
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 8/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 8/31/02
<u>SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)</u>					
355-New York Great Lakes Protection	\$ 4.011	\$ 0.006	\$ 0.035	\$ --	\$ 3.982
359-Federal Revenue Maximization	0.023	--	--	--	0.023
362-NYS/DOT Highway Safety Program	0.612	0.710	0.199	--	1.123
365-Vocational Rehabilitation	1.180	0.004	--	--	1.184
366-Drinking Water Program Management and Administration	(1.633)	0.001	0.515	--	(2.147)
368-NYC County Clerks' Operations Offset	(1.238)	--	1.635	--	(2.873)
369-Judiciary Data Processing Offset	(2.255)	--	0.884	--	(3.139)
377-IFR / CUTRA	16.295	6.800	3.457	--	19.638
379-Racing Preservation	0.085	--	--	(0.085)	--
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.034	0.007	--	--	0.041
482-Unemployment Insurance Interest and Penalty	2.873	1.191	0.484	--	3.580
TOTAL SPECIAL REVENUE FUNDS-GENERAL	1,913.046	933.665	2,466.524	316.573	696.760
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(6.740)	101.314	95.169	--	(0.595)
265-Federal Health and Human Services	(234.808)	2,224.181	1,998.341	(350.092)	(359.060)
267-Federal Education	(3.039)	50.585	59.232	(0.912)	(12.598)
269-Federal DHHS Block Grant	(4.446)	25.049	21.007	--	(0.404)
290-Federal Miscellaneous Operating Grants	(14.826)	40.585	69.510	(0.117)	(43.868)
480-Unemployment Insurance Administration	32.153	28.928	23.175	--	37.906
484-Unemployment Insurance Occupational Training	1.358	2.120	2.107	--	1.371
486-DOL Federal Grants	0.713	34.390	33.740	--	1.363
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(229.635)	2,507.152	2,302.281	(351.121)	(375.885)
TOTAL SPECIAL REVENUE FUNDS	1,683.411	3,440.817	4,768.805	(34.548)	320.875
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	228.287	17.235	153.419	61.074	153.177 (1)
311-General Obligation Debt Service	--	357.359	125.486	(231.400)	0.473
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	1.469	1.471	0.002	--
319-Department of Health Income	23.935	7.653	--	(5.522)	26.066 (1)
320-Emergency Highway Reconditioning & Preservation	--	5.509	--	(5.509)	-- (1)
330-State University Dormitory Income	47.107	17.524	--	(16.789)	47.842 (1)
336-Emergency Highway Construction & Reconstruction	--	5.509	--	(5.509)	-- (1)
361-Clean Water/Clean Air	0.426	33.807	--	(27.701)	6.532 (1)
364-Local Government Assistance Tax	5.252	158.018	1.450	(161.820)	-- (1)
TOTAL DEBT SERVICE FUNDS	\$ 305.007	\$ 604.083	\$ 281.826	\$ (393.174)	\$ 234.090

(1) Ending cash balance represents statutory impoundment for scheduled debt service payments.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF AUGUST 2002
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 8/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 8/31/02
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	\$ --	\$ 42.540	\$ 94.928	\$ 52.388	\$ --
071-Health Facilities Capital Improvement	--	--	--	--	--
072-Dedicated Highway and Bridge Trust	(160.944)	172.867	127.159	(61.979)	(177.215)
074-SUNY Residence Halls Rehabilitation and Repair	85.282	0.133	2.025	0.005	83.395
075-New York State Canal System Development	1.881	0.185	--	--	2.066
076-Parks Infrastructure	(0.897)	0.001	2.105	--	(3.001)
077-Passenger Facility Charge	0.216	0.028	--	--	0.244
078-Environmental Protection	158.130	11.787	4.268	--	165.649
079-Clean Water/Clean Air Implementation	(6.936)	--	0.447	--	(7.383)
080-Hudson River Park	0.072	0.001	--	--	0.073
101-Energy Conservation Thru Improved Transportation Bond	0.431	--	--	(0.011)	0.420
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	0.159	--	--	--	0.159
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	4.590	--	--	(0.002)	4.588
115-Environmental Quality Protection Bond	5.717	--	--	--	5.717
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
123-Transportation Infrastructure Renewal Bond	17.421	--	--	(0.014)	17.407
124-1986 Environmental Quality Bond Act	24.758	--	--	(1.960)	22.798
126-Accelerated Capacity and Transportation Improvement Bond	9.366	--	--	(0.778)	8.588
127-Clean Water/Clean Air Bond	15.077	--	--	(0.740)	14.337
291-Federal Capital Projects	(167.765)	121.470	113.635	(0.969)	(160.899)
310-Forest Preserve Expansion	0.235	0.001	--	--	0.236
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	0.559	0.001	--	--	0.560
327-Suburban Transportation	20.325	--	--	--	20.325
357-Division for Youth Facilities Improvement	(9.469)	--	1.583	--	(11.052)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(4.000)	--	--	--	(4.000)
376-Housing Program	(129.973)	--	5.506	--	(135.479)
378-Natural Resource Damage	8.389	0.013	0.036	--	8.366
380-DOT Engineering Services	(186.336)	--	74.306	--	(260.642)
384-State University Capital Projects	7.288	0.013	0.116	--	7.185
387-Miscellaneous Capital Projects	21.372	0.052	0.111	--	21.313
388-CUNY Capital Projects	(1.596)	--	--	--	(1.596)
389-Mental Hygiene Facilities Capital Improvement	(185.019)	5.284	4.933	--	(184.668)
399-Correction Facilities Capital Improvement	(110.840)	--	15.733	--	(126.573)
TOTAL CAPITAL PROJECTS FUNDS	<u>(582.505)</u>	<u>354.376</u>	<u>446.891</u>	<u>(14.060)</u>	<u>(689.080)</u>
TOTAL GOVERNMENTAL FUNDS	<u>\$ 2,743.096</u>	<u>\$ 6,165.898</u>	<u>\$ 8,024.683</u>	<u>\$ (20.570)</u>	<u>\$ 863.741</u>

STATE OF NEW YORK
 PROPRIETARY FUNDS

SCHEDULE 2

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF AUGUST 2002

(amounts in millions)

<u>FUND TYPE</u>	<u>FUND EQUITY 8/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 8/31/02</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$ 0.294	\$ 0.006	\$ 0.010	\$ --	\$ 0.290
325-State Exposition Special	1.752	2.818	1.572	--	2.998
326-Correctional Services Commissary	1.610	2.823	2.866	--	1.567
329-Correctional Services Family Benefit	10.613	0.017	1.316	--	9.314
331-Agency Enterprise	1.200	0.185	0.232	--	1.153
351-Mental Health Sheltered Workshop	3.084	0.285	0.595	--	2.774
352-Mental Retardation Sheltered Workshop	0.736	0.023	0.196	--	0.563
353-Mental Hygiene Community Stores	2.463	0.199	0.167	--	2.495
450-Industrial Exhibit Authority	2.006	0.262	0.653	--	1.615
TOTAL ENTERPRISE FUNDS	23.758	6.618	7.607	--	22.769
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	(35.382)	51.463	55.117	--	(39.036)
334-Agency Internal Service	(111.213)	5.091	22.878	19.078	(109.922)
343-Mental Hygiene Revolving	0.827	0.139	0.139	--	0.827
347-Youth Vocational Education	0.003	--	--	--	0.003
394-Joint Labor/Management Administration	1.497	--	0.117	--	1.380
395-Audit and Control Revolving	(0.381)	--	0.067	--	(0.448)
396-Health Insurance Revolving	(22.338)	0.362	1.802	--	(23.778)
397-Correctional Industries Revolving	(2.986)	2.556	5.091	--	(5.521)
TOTAL INTERNAL SERVICE FUNDS	(169.973)	59.611	85.211	19.078	(176.495)
TOTAL PROPRIETARY FUNDS	\$ (146.215)	\$ 66.229	\$ 92.818	\$ 19.078	\$ (153.726)

SCHEDULE 3

STATE OF NEW YORK
 FIDUCIARY FUNDS
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF AUGUST 2002
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 8/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 8/31/02</u>
<u>EXPENDABLE TRUST FUNDS</u>					
019-Mental Health Gifts and Donations	\$ 1.840	\$ 0.005	\$ 0.023	\$ --	\$ 1.822
020-Combined Expendable Trust	21.974	6.222	2.520	1.500	27.176
021-Agriculture Producer's Security	3.972	0.006	0.012	--	3.966
022-Milk Producers Security	5.844	0.003	0.010	--	5.837
024-Archives Partnership Trust	0.594	--	0.041	(0.007)	0.546
333-Winter Sports Education Trust	1.198	0.002	--	--	1.200
481-Unemployment Insurance Benefit	8.933	322.348	302.804	--	28.477
TOTAL EXPENDABLE TRUST FUNDS	<u>44.355</u>	<u>328.586</u>	<u>305.410</u>	<u>1.493</u>	<u>69.024</u>
<u>NONEXPENDABLE TRUST FUNDS</u>					
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
221-Combined Student Loan	16.020	3.164	1.151	--	18.033
307-Equipment Loan for the Disabled	0.275	0.014	--	--	0.289
332-Combined Non-Expendable Trust	3.886	0.129	--	--	4.015
335-Musical Instrument Revolving	0.001	--	--	--	0.001
338-Arts Capital Revolving	0.467	0.001	--	--	0.468
360-Housing Development	10.874	0.193	0.374	--	10.693
TOTAL NONEXPENDABLE TRUST FUNDS	<u>\$ 31.523</u>	<u>\$ 3.501</u>	<u>\$ 1.525</u>	<u>\$ --</u>	<u>\$ 33.499</u>

STATE OF NEW YORK
 FIDUCIARY FUNDS
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF AUGUST 2002
 (amounts in millions)

SCHEDULE 3
 (continued)

<u>FUND TYPE</u>	<u>FUND BALANCE 8/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 8/31/02</u>
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	\$ --	\$ --	\$ --	\$ --	\$ --
130-School Capital Facilities Financing Reserve	39.221	2.395	--	--	41.616
152-Employees Health Insurance	114.487	286.926	274.213	--	127.200
153-Social Security Contribution	38.756	82.673	82.718	--	38.711
154-Employee Payroll Withholding Escrow	62.103	332.632	340.450	--	54.285
162-Employees Dental Insurance	8.658	4.790	5.300	--	8.148
163-Management Confidential Group Insurance	3.498	0.414	3.105	--	0.807
165-Lottery Prize	135.892	82.619	74.439	20.496	164.568
167-Health Insurance Reserve Receipts	4.640	0.007	--	--	4.647
169-Miscellaneous New York State Agency	661.467	65.461	160.471	--	566.457
175-Elderly Pharmaceutical Insurance Coverage Escrow	22.351	42.072	42.120	--	22.303
176-City University Senior College Operating	9.327	183.564	147.361	--	45.530
179-Medicaid Management Information System Escrow	597.604	2,651.986	2,803.001	--	446.589
309-Special Education	--	--	--	--	--
344-State University Collection	74.485	183.219	--	--	257.704
382-SUNY Federal Direct Lending Program	(0.913)	(0.398)	--	--	(1.311)
TOTAL AGENCY FUNDS	<u>1,771.576</u>	<u>3,918.360</u>	<u>3,933.178</u>	<u>20.496</u>	<u>1,777.254</u>
TOTAL FIDUCIARY FUNDS	<u>\$ 1,847.454</u>	<u>\$ 4,250.447</u>	<u>\$ 4,240.113</u>	<u>\$ 21.989</u>	<u>\$ 1,879.777</u>

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FOR THE MONTH OF AUGUST 2002
 (amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 8/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 8/31/02</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$ 52.527	\$ 0.080	\$ --	\$ 52.607
149-Sole Custody Investment	1,105.718	1,000.932	1,017.130	1,089.520
650-Comptroller's Refund	--	58.214	58.214	--
750-NYS Thruway Authority Operating	0.568	33.227	34.115	(0.320)
TOTAL ACCOUNTS	\$ 1,158.813	\$ 1,092.453	\$ 1,109.459	\$ 1,141.807

**STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2003**

PURPOSE	DEBT OUTSTANDING APR. 1, 2002	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING AUGUST 31, 2002	INTEREST DISBURSED	
		MONTH OF AUGUST	5 MONTHS ENDED AUGUST 31, 2002	MONTH OF AUGUST	5 MONTHS ENDED AUGUST 31, 2002		MONTH OF AUGUST	5 MONTHS ENDED AUGUST 31, 2002
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 1,304,285,262.09	\$ --	\$ --	\$ --	\$ 43,575,267.13	\$ 1,260,709,994.96	\$ 4,491.68	\$ 9,125,579.98
Clean Water/Clean Air:								
Air Quality	138,723,327.21	--	--	--	5,973,270.82	132,750,056.39	29,696.40	2,540,234.31
Safe Drinking Water	228,817,482.95	--	--	--	8,118,578.14	220,698,904.81	70,180.30	3,179,256.50
Water	276,400,686.44	--	--	--	1,073,373.12	275,327,313.32	2,966,506.38	4,720,849.07
Solid Waste	96,166,804.31	--	--	--	1,283,411.90	94,883,392.41	114,984.20	1,196,823.92
Environmental Restoration	17,518,242.13	--	--	--	84,655.17	17,433,586.96	63,277.39	142,680.26
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	74,597,947.52	--	--	7,044,758.57	7,151,819.59	67,446,127.93	497,293.03	1,080,532.58
Environmental Quality Protection (1972):								
Air	34,292,298.25	--	--	--	1,179,257.00	33,113,041.25	10,890.08	721,176.59
Land	93,036,402.64	--	--	3,686,404.84	4,430,945.87	88,605,456.77	1,223,994.77	1,875,099.79
Wet Lands	1,000.00	--	--	--	--	1,000.00	--	30.00
Water	248,821,529.23	--	--	5,688,072.63	11,019,691.86	237,801,837.37	1,757,023.28	4,681,587.41
Environmental Quality (1986):								
Land and Forests	141,459,633.87	--	--	--	1,779,204.99	139,680,428.88	10,545.37	2,041,127.48
Solid Waste Management	681,822,787.03	--	--	--	172,704.92	681,650,082.11	361,416.07	6,684,928.91
Higher Education Construction	6,380,000.00	--	--	--	--	6,380,000.00	--	114,225.00
Housing								
Low Cost	152,385,863.00	--	--	1,020,000.00	8,533,930.38	143,851,932.62	423,570.00	2,539,974.67
Middle Income	75,482,000.00	--	--	--	375,000.00	75,107,000.00	--	1,639,091.00
Urban Renewal	862,383.18	--	--	22,240.75	22,240.75	840,142.43	4,983.59	12,888.91
Outdoor Recreation Development	838,234.48	--	--	--	--	838,234.48	--	21,923.80
Park and Recreation Land Acquisition	219,163.58	--	--	27,800.94	27,800.94	191,362.64	3,913.38	4,963.38
Pure Waters	205,278,758.72	--	--	5,547,066.58	6,841,247.39	198,437,511.33	960,959.33	3,314,404.52
Rail Preservation Development	63,982,328.96	--	--	5,515,706.79	5,515,706.79	58,466,622.17	924,570.92	1,714,114.20
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	11,970,833.58	--	--	--	2,494,999.95	9,475,833.63	--	168,507.69
Ports, Canals, and Waterways	4,739,546.83	--	--	--	--	4,739,546.83	--	57,957.38
Rapid Transit, Rail, and Aviation	68,224,275.27	--	--	1,946,065.91	2,336,915.76	65,887,359.51	415,175.45	878,149.23
Transportation Capital Facilities:								
Aviation	72,101,722.78	--	--	2,996,941.49	3,132,162.74	68,969,560.04	633,851.09	1,355,154.72
Mass Transportation	143,808,485.23	--	--	11,264,941.50	14,677,814.79	129,130,670.44	1,615,271.42	4,034,820.70
Total General Obligation Bonded Debt	\$ 4,142,216,999.28	\$ --	\$ --	\$ 44,760,000.00	\$ 129,800,000.00	\$ 4,012,416,999.28	\$ 12,092,594.13	\$ 53,846,082.00

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE FIVE (5) MONTHS ENDED AUGUST 31, 2002

SCHEDULE 5a

	DEBT REDUCTION RESERVE FUND (064)	EMERGENCY HIGHWAY CONSTRUCTION AND RECONSTRUCTION (336)	EMERGENCY HIGHWAY RECONDITIONING AND PRESERVATION (320)	GENERAL DEBT SERVICE (311)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		INCREASE (DECREASE)
									5 MONTHS ENDED AUGUST 31		
									2002	2001	
Special Contractual Financing Obligations:											
City University Construction	\$ --	\$ --	\$ --	\$ 153,031,023	\$ --	\$ --	\$ --	\$ --	\$ 153,031,023	\$ 159,462,504	\$ (6,431,481)
Community Enhancement Facilities Program	--	--	--	--	--	--	--	--	--	--	--
County of Albany	--	--	--	--	--	--	--	--	--	--	--
Department of TransRegion 1 Schenectady	--	--	--	776,617	--	--	--	--	776,617	--	776,617
Dormitory Authority	--	--	--	211,822,572	14,274,300	--	153,419,479	17,946,262	397,462,613	401,014,417	(3,551,804)
Environmental Conservation - Broadway Albany	--	--	--	3,185,973	--	--	--	--	3,185,973	3,188,628	(2,655)
Environmental Conservation - 50 Wolf Rd Albany	--	--	--	1,301,249	--	--	--	--	1,301,249	1,290,274	10,975
Energy Research & Development Authority	--	--	--	10,400	--	--	--	--	10,400	--	10,400
Environmental Facilities Corporation	--	--	--	1,056,702	--	--	--	--	1,056,702	738,805	317,897
Hampton Plaza	--	--	--	--	--	--	--	--	--	--	--
Hanson Place	--	--	--	1,571,250	--	--	--	--	1,571,250	1,571,250	--
44 Holland Avenue	--	--	--	830,016	--	--	--	--	830,016	809,800	20,216
Housing Finance Agency	--	--	--	1,276,368	--	--	--	--	1,276,368	887,006	389,362
Local Government Assistance Corporation	--	--	--	--	--	4,480,000	--	--	4,480,000	9,630,000	(5,150,000)
Metropolitan Transportation Authority:											
Transit and Commuter Rail Projects	--	--	--	--	--	--	--	--	--	84,841,624	(84,841,624)
Triborough Bridge & Tunnel Authority:											
Javits Convention Center Project	--	--	--	8,849,897	--	--	--	--	8,849,897	8,826,793	23,104
Thruway Authority	--	--	--	287,592,500	--	--	--	--	287,592,500	291,928,638	(4,336,138)
Urban Development Corporation:											
Correctional Facilities	--	--	--	84,637,746	--	--	--	--	84,637,746	89,726,078	(5,088,332)
Center for Industrial Innovation at RPI	--	--	--	991,123	--	--	--	--	991,123	1,055,670	(64,547)
Syracuse University Science and Technology Center	--	--	--	216,871	--	--	--	--	216,871	252,869	(35,998)
Cornell Univer. Supercomputer Center	--	--	--	793,368	--	--	--	--	793,368	809,754	(16,386)
Columbia Univer. Telecommunications Center	--	--	--	3,838,663	--	--	--	--	3,838,663	3,842,938	(4,275)
Onondaga Convention Center	--	--	--	1,327,363	--	--	--	--	1,327,363	1,375,925	(48,562)
Clarkson University	--	--	--	319,204	--	--	--	--	319,204	333,639	(14,435)
Alfred University	--	--	--	147,833	--	--	--	--	147,833	114,653	33,180
New York University	--	--	--	--	--	--	--	--	--	26,494	(26,494)
Rochester University	--	--	--	--	--	--	--	--	--	--	--
Higher Education Youth Facilities	--	--	--	--	--	--	--	--	--	--	--
University Facilities Grant 95 Refunding	--	--	--	384,131	--	--	--	--	384,131	583,421	(199,290)
Economic Development Heritage Trail Project Sports Facility	--	--	--	--	--	--	--	--	--	--	--
Ten Eyck Project Albany	--	--	--	--	--	--	--	--	--	--	--
Long Island and Pine Barren South Mall	--	--	--	--	--	--	--	--	--	--	--
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$ --	\$ --	\$ 763,960,869	\$ 14,274,300	\$ 4,480,000	\$ 153,419,479	\$ 17,946,262	\$ 954,080,910	\$ 1,062,311,180	\$ (108,230,270)

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF AUGUST 2002
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>AUGUST 2002</u>	<u>FISCAL YEAR TO DATE</u>
<u>SHORT TERM INVESTMENT POOL</u>		
AVERAGE DAILY INVESTMENT BALANCE	\$3,188.8	\$3,707.2
AVERAGE YIELD	1.763%	1.799%
TOTAL INVESTMENT EARNINGS	\$4.775	\$28.018
DESCRIPTION	<u>PAR AMOUNT</u>	
TREASURY BILLS	\$0.0	
GOVT. AGENCY BILLS/NOTES	\$0.0	
REPURCHASE AGREEMENTS	\$13.2	
COMMERCIAL PAPER	\$2,018.4	
CERTIFICATES OF DEPOSIT	\$301.8	
	<u>\$2,333.4</u>	

SOURCE: DIVISION OF INVESTMENTS AND CASH MANAGEMENT