

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING & OIL SPILL REMEDIATION**

Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Section 8(9-a) of the State Finance Law)
September 2013



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
CLASSIFIED BY STATE, FEDERAL SUPPORT AND CAPITAL SPENDING (*)
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	STATE OPERATING FUNDS						TOTAL		FEDERAL		CAPITAL PROJECTS		SPECIAL REVENUE		TOTAL	
	GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		STATE OPERATING FUNDS		SPECIAL REVENUE		ELIMINATIONS		GOVERNMENTAL FUNDS			
	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013
RECEIPTS:																
Personal Income Tax	\$2,866.2	\$15,570.6	\$188.9	\$611.0	\$1,018.3	\$5,393.8	\$4,073.4	\$21,575.4	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$4,073.4	\$21,575.4
Consumption/Use Taxes	657.0	3,322.8	192.0	1,097.5	594.7	2,966.1	1,443.7	7,386.4	--	--	67.4	305.4	--	--	1,511.1	7,691.8
Business Taxes	1,007.0	2,568.4	196.3	678.7	--	--	1,203.3	3,247.1	--	--	59.4	338.4	--	--	1,262.7	3,585.5
Other Taxes	112.1	603.6	73.3	542.0	79.8	373.2	265.2	1,518.8	--	--	11.9	47.6	--	--	277.1	1,566.4
Miscellaneous Receipts (8)	476.4	1,555.1	1,634.2	8,477.5	44.9	252.9	2,155.5	10,285.5	11.3	102.8	522.6	1,406.8	--	--	2,689.4	11,795.1
Federal Receipts (6)	--	0.1	--	0.2	--	34.3	--	34.6	3,286.3	20,539.5	363.6	1,268.8	--	--	3,649.9	21,842.9
Total Receipts	5,118.7	23,620.6	2,284.7	11,406.9	1,737.7	9,020.3	9,141.1	44,047.8	3,297.6	20,642.3	1,024.9	3,367.0	--	--	13,463.6	68,057.1
DISBURSEMENTS:																
Local Assistance Grants (1)(5)(7)																
Education	1,480.6	8,323.8	2,203.2	2,943.4	--	--	3,683.8	11,267.2	189.0	2,052.4	0.5	19.9	--	--	3,873.3	13,339.5
Environment and Recreation	0.8	4.8	0.8	1.3	--	--	1.6	6.1	--	0.8	152.4	194.7	--	--	154.0	201.6
General Government	93.2	669.3	22.5	174.5	--	--	115.7	843.8	2.4	49.4	1.5	25.0	--	--	119.6	918.2
Public Health:																
Medicaid	850.8	6,237.5	370.0	2,346.8	--	--	1,220.8	8,584.3	1,880.7	11,912.6	--	--	--	--	3,101.5	20,496.9
Other Public Health	56.4	349.6	228.7	1,219.1	--	--	285.1	1,568.7	118.3	863.8	21.1	98.7	--	--	424.5	2,531.2
Public Safety	17.1	86.0	5.1	44.5	--	--	22.2	130.5	89.6	1,139.4	--	--	--	--	111.8	1,269.9
Public Welfare	365.3	1,430.7	--	2.0	--	--	365.3	1,432.7	579.9	2,626.3	1.6	80.0	--	--	946.8	4,139.0
Support and Regulate Business	9.6	34.4	19.1	239.1	--	--	28.7	273.5	1.2	3.9	13.4	220.2	--	--	43.3	497.6
Transportation	0.1	48.8	406.3	2,275.0	--	--	406.4	2,323.8	5.0	22.6	47.5	367.7	--	--	458.9	2,714.1
Total Local Assistance Grants	2,873.9	17,184.9	3,255.7	9,245.7	--	--	6,129.6	26,430.6	2,866.1	18,671.2	238.0	1,006.2	--	--	9,233.7	46,108.0
Departmental Operations:																
Personal Service	436.9	2,862.0	507.9	3,381.0	--	--	944.8	6,243.0	45.1	304.2	--	--	--	--	989.9	6,547.2
Non-Personal Service	118.6	793.8	340.6	1,775.4	4.9	19.8	464.1	2,589.0	139.2	462.4	--	--	--	--	603.3	3,051.4
General State Charges	235.4	2,398.0	107.6	891.5	--	--	343.0	3,289.5	52.1	123.8	--	--	--	--	395.1	3,413.3
Debt Service, Including Payments on Financing Agreements (2)	--	--	--	--	794.3	2,086.1	794.3	2,086.1	--	--	--	--	--	--	794.3	2,086.1
Capital Projects (3)	--	--	(3.8)	4.6	--	--	(3.8)	4.6	--	--	585.4	2,876.0	--	--	581.6	2,880.6
Total Disbursements	3,664.8	23,238.7	4,208.0	15,298.2	799.2	2,105.9	8,672.0	40,642.8	3,102.5	19,561.6	823.4	3,882.2	--	--	12,597.9	64,086.6
Excess (Deficiency) of Receipts over Disbursements	1,453.9	381.9	(1,923.3)	(3,891.3)	938.5	6,914.4	469.1	3,405.0	195.1	1,080.7	201.5	(515.2)	--	--	865.7	3,970.5
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (4)	2,025.8	8,312.1	1,041.3	4,284.4	273.3	2,364.5	3,340.4	14,961.0	--	--	196.3	690.5	(39.8)	(158.9)	3,496.9	15,492.6
Transfers to Other Funds (4)	(848.2)	(4,030.5)	(224.1)	(430.7)	(1,920.2)	(9,194.2)	(2,992.5)	(13,655.4)	(322.9)	(1,338.9)	(228.5)	(691.1)	39.8	158.9	(3,504.1)	(15,526.5)
Total Other Financing Sources (Uses)	1,177.6	4,281.6	817.2	3,853.7	(1,646.9)	(6,829.7)	347.9	1,305.6	(322.9)	(1,338.9)	(32.2)	(0.6)	--	--	(7.2)	(33.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,631.5	4,663.5	(1,106.1)	(37.6)	(708.4)	84.7	817.0	4,710.6	(127.8)	(258.2)	169.3	(515.8)	--	--	858.5	3,936.6
Beginning Fund Balances (Deficits)	3,642.0	1,610.0	3,438.9	2,370.4	1,172.2	379.1	8,253.1	4,359.5	(127.5)	2.9	(1,171.1)	(486.0)	--	--	6,954.5	3,876.4
Ending Fund Balances (Deficits)	\$6,273.5	\$6,273.5	\$2,332.8	\$2,332.8	\$463.8	\$463.8	\$9,070.1	\$9,070.1	(\$255.3)	(\$255.3)	(\$1,001.8)	(\$1,001.8)	\$ --	\$ --	\$7,813.0	\$7,813.0

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds. Federal Special Revenue Funds account for all non-capital Federal operating grants received by the State. Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax	\$2,866.2	\$15,570.6	\$188.9	\$611.0	\$1,018.3	\$5,393.8	\$ --	\$ --	\$4,073.4	\$21,575.4	\$3,948.8	\$19,367.6	\$2,207.8	11.4%
Consumption/Use Taxes	657.0	3,322.8	192.0	1,097.5	594.7	2,966.1	67.4	305.4	1,511.1	7,691.8	1,453.4	7,341.5	350.3	4.8%
Business Taxes	1,007.0	2,568.4	196.3	678.7	--	--	59.4	338.4	1,262.7	3,585.5	1,304.6	3,458.2	127.3	3.7%
Other Taxes	112.1	603.6	73.3	542.0	79.8	373.2	11.9	47.6	277.1	1,566.4	191.2	1,467.7	98.7	6.7%
Miscellaneous Receipts (8)	476.4	1,555.1	1,645.5	8,580.3	44.9	252.9	522.6	1,406.8	2,689.4	11,795.1	2,998.5	11,723.1	72.0	0.6%
Federal Receipts (6)	--	0.1	3,286.3	20,539.7	--	34.3	363.6	1,268.8	3,649.9	21,842.9	3,215.3	19,264.7	2,578.2	13.4%
Total Receipts	5,118.7	23,620.6	5,582.3	32,049.2	1,737.7	9,020.3	1,024.9	3,367.0	13,463.6	68,057.1	13,111.8	62,622.8	5,434.3	8.7%
DISBURSEMENTS:														
Local Assistance Grants: (1)(5)(7)														
Education	1,480.6	8,323.8	2,392.2	4,995.8	--	--	0.5	19.9	3,873.3	13,339.5	4,034.3	13,202.9	136.6	1.0%
Environment and Recreation	0.8	4.8	0.8	2.1	--	--	152.4	194.7	154.0	201.6	38.6	99.7	101.9	102.2%
General Government	93.2	669.3	24.9	223.9	--	--	1.5	25.0	119.6	918.2	138.0	738.6	179.6	24.3%
Public Health:														
Medicaid	850.8	6,237.5	2,250.7	14,259.4	--	--	--	--	3,101.5	20,496.9	3,153.0	19,711.0	785.9	4.0%
Other Public Health	56.4	349.6	347.0	2,082.9	--	--	21.1	98.7	424.5	2,531.2	473.7	2,456.6	74.6	3.0%
Public Safety	17.1	86.0	94.7	1,183.9	--	--	--	--	111.8	1,269.9	224.1	595.0	674.9	113.4%
Public Welfare	365.3	1,430.7	579.9	2,628.3	--	--	1.6	80.0	946.8	4,139.0	796.8	3,766.0	373.0	9.9%
Support and Regulate Business	9.6	34.4	20.3	243.0	--	--	13.4	220.2	43.3	497.6	61.9	474.4	23.2	4.9%
Transportation	0.1	48.8	411.3	2,297.6	--	--	47.5	367.7	458.9	2,714.1	450.1	2,334.4	379.7	16.3%
Total Local Assistance Grants	2,873.9	17,184.9	6,121.8	27,916.9	--	--	238.0	1,006.2	9,233.7	46,108.0	9,370.5	43,378.6	2,729.4	6.3%
Departmental Operations:														
Personal Service	436.9	2,862.0	553.0	3,685.2	--	--	--	--	989.9	6,547.2	974.4	6,380.5	166.7	2.6%
Non-Personal Service	118.6	793.8	479.8	2,237.8	4.9	19.8	--	--	603.3	3,051.4	552.3	2,576.1	475.3	18.5%
General State Charges	235.4	2,398.0	159.7	1,015.3	--	--	--	--	395.1	3,413.3	490.3	2,730.9	682.4	25.0%
Debt Service, Including Payments on:														
Financing Agreements (2)	--	--	--	--	794.3	2,086.1	--	--	794.3	2,086.1	769.2	2,320.1	(234.0)	-10.1%
Capital Projects (3)	--	--	(3.8)	4.6	--	--	585.4	2,876.0	581.6	2,880.6	407.1	2,553.3	327.3	12.8%
Total Disbursements	3,664.8	23,238.7	7,310.5	34,859.8	799.2	2,105.9	823.4	3,882.2	12,597.9	64,086.6	12,563.8	59,939.5	4,147.1	6.9%
Excess (Deficiency) of Receipts over Disbursements	1,453.9	381.9	(1,728.2)	(2,810.6)	938.5	6,914.4	201.5	(515.2)	865.7	3,970.5	548.0	2,683.3	1,287.2	48.0%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (4)	2,025.8	8,312.1	1,001.5	4,125.5	273.3	2,364.5	196.3	690.5	3,496.9	15,492.6	2,137.3	13,139.1	2,353.5	17.9%
Transfers to Other Funds (4)	(848.2)	(4,030.5)	(507.2)	(1,610.7)	(1,920.2)	(9,194.2)	(228.5)	(691.1)	(3,504.1)	(15,526.5)	(2,135.5)	(13,173.2)	2,353.3	17.9%
Total Other Financing Sources (Uses)	1,177.6	4,281.6	494.3	2,514.8	(1,646.9)	(6,829.7)	(32.2)	(0.6)	(7.2)	(33.9)	1.8	(34.1)	0.2	0.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,631.5	4,663.5	(1,233.9)	(295.8)	(708.4)	84.7	169.3	(515.8)	858.5	3,936.6	549.8	2,649.2	1,287.4	48.6%
Beginning Fund Balances (Deficits)	3,642.0	1,610.0	3,311.4	2,373.3	1,172.2	379.1	(1,171.1)	(486.0)	6,954.5	3,876.4	5,459.7	3,360.3	516.1	15.4%
Ending Fund Balances (Deficits)	\$6,273.5	\$6,273.5	\$2,077.5	\$2,077.5	\$463.8	\$463.8	(\$1,001.8)	(\$1,001.8)	\$7,813.0	\$7,813.0	\$6,009.5	\$6,009.5	\$1,803.5	30.0%

GOVERNMENTAL FUNDS FOOTNOTES

1. Special Revenue Federal funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in October 2013:

Federal DHHS	\$281.9 million
Federal USDA/Food and Consumer Services	36.2
Federal DHHS/Block Grant	--
Federal Education	19.7
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	--

2. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

3. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$117.5 million
Urban Development Corporation (Youth Facilities)	12.5
Housing Finance Agency (HFA)	190.3
Housing Assistance Fund	17.3
Dormitory Authority (Mental Hygiene)	341.6
Dormitory Authority and State University Income Fund	227.4
Federal Capital Projects	60.7
State bond and note proceeds	22.1

4. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects	\$580.4 million
General Debt Service	462.2
Alcohol Beverage Control	9.5
Banking Services	20.3
Centralized Tech Services	20.0
Court Facilities Incentive Aid	82.3
Financial Crimes Revenue Account	16.0
Financial Management Systems	33.0
Housing Debt Fund	1.7
Mental Hygiene Program Account	175.0
Mental Hygiene Patient Income Account	350.0
MTA Financial Assistance	191.2
MTA Operating Assistance	26.6
NYC County Courts Operating	4.9
Procurement Revenue Account	3.0
SUNY - Hospitals IFR Account	26.9
SUNY General Revenue Offset Account	810.4
Tax Revenue Arrearage Account	3.0
Tribal State Compact Account	2.5

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$8.9m), the State University Income Fund (\$43.2m), the Mental Hygiene Program Account (\$1,159.4m) and Miscellaneous State Special Revenue Fund (\$0.1m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2013 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service funds of (\$1,177.4m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to the Capital Projects funds (\$102.1m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Quality of Care Account	\$7.4 million
Revenue Arrearage Account	21.6
State Police Motor Vehicle Law	20.0
SUNY Income Fund	21.4
Tribal State Compact Revenue	202.5
Youth Facilities Per Diem	20.3
Miscellaneous State Special Revenue Fund	7.8

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,775.9 million
Local Government Assistance Tax	1,416.7
Sales Tax Revenue Bond Tax	1,486.6
Clean Water/Clean Air	318.5

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$72.0m), Mental Hygiene (\$954.2m) and the State University (\$162.3m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$13.4m) and the General Debt Service Fund (\$677.7m).

5. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

September 2013 - Exhibit A Notes
(Continued)

	Allocation of Month-End Balances	
	General Fund	Special Revenue- Federal
Medicaid Recoveries - Health Facilities	\$57,456	\$174,010
Medicaid Recoveries - Audit	--	620,616
Medicaid Recoveries - Third Parties	--	7,894,066
Pharmacy Rebates	--	443,671
Medicare Catastrophic Recovery	211,670,227	--
Medicaid "Windfall" Recovery	--	--
Total	<u>\$211,727,683</u>	<u>\$9,132,363</u>

6. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on State disbursements resulting from ARRA can be found in Appendix C of this report.
7. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$422.1m for the month of June and \$188.8m in September.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

Exhibit A Notes
September 2013
(continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	6 Months Ended September 30		\$ Increase/ (Decrease)
					2013	2012	
	(amounts in millions)						
Abandoned Property							
Abandoned Property	\$59.7	\$5.3	\$ --	\$ --	\$65.0	\$171.0	(\$106.0)
Bottle Bill	53.3	--	--	15.0	68.3	67.7	0.6
Assessments							
Business	250.0	461.8	--	10.8	722.6	451.1	271.5
Medical Care	43.2	2,372.0	--	--	2,415.2	2,482.4	(67.2)
Public Utilities	215.8	42.7	--	--	258.5	294.1	(35.6)
Other	0.2	105.2	--	--	105.4	107.3	(1.9)
Fees, Licenses and Permits							
Alcohol Beverage Control Licensing	34.9	--	--	--	34.9	30.4	4.5
Business/Professional	107.3	546.8	0.1	25.6	679.8	688.0	(8.2)
Civil	99.5	25.7	--	--	125.2	125.3	(0.1)
Criminal	0.3	4.1	--	--	4.4	5.8	(1.4)
Motor Vehicle	--	269.0	--	384.6	653.6	615.9	37.7
Recreational/Consumer	5.7	93.6	--	2.1	101.4	70.9	30.5
Fines, Penalties and Forfeitures	455.6	63.4	--	17.6	536.6	689.4	(152.8)
Gaming							
Casino	--	448.4	--	--	448.4	--	448.4
Lottery	--	1,215.8	--	--	1,215.8	1,193.5	22.3
Video Lottery	--	477.7	--	--	477.7	442.3	35.4
Interest Earnings	0.2	12.9	0.2	0.3	13.6	12.8	0.8
Receipts from Public Authorities							
Bond Proceeds	--	--	--	930.9	930.9	1,264.2	(333.3)
Cost Recovery Assessments	4.0	20.4	--	--	24.4	11.2	13.2
Issuance Fees	34.2	7.2	--	--	41.4	76.3	(34.9)
Non Bond Related	48.4	2.3	--	1.5	52.2	170.7	(118.5)
Receipts from Municipalities	0.1	58.8	7.5	1.8	68.2	148.8	(80.6)
Rentals	5.0	4.2	36.1	6.5	51.8	172.9	(121.1)
Revenues of State Departments							
Administrative Recoveries	48.4	44.3	--	1.5	94.2	95.4	(1.2)
Commissions	--	3.5	--	--	3.5	0.8	2.7
Gifts, Grants and Donations	0.3	3.1	--	--	3.4	2.8	0.6
Indirect Cost Recoveries	60.4	--	--	--	60.4	24.3	36.1
Patient/Client Care Reimbursement	--	1,209.9	209.0	--	1,418.9	1,176.3	242.6
Rebates	--	57.0	--	--	57.0	76.0	(19.0)
Restitution and Settlements	2.5	1.7	--	1.6	5.8	104.0	(98.2)
Student Loans	0.2	13.0	--	--	13.2	33.0	(19.8)
All Other	24.0	70.1	--	6.4	100.5	50.8	49.7
Sales	1.9	11.9	--	0.6	14.4	5.2	9.2
Tuition	--	928.5	--	--	928.5	862.5	66.0
TOTAL	\$1,555.1	\$8,580.3	\$252.9	\$1,406.8	\$11,795.1	\$11,723.1	\$72.0

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012
RECEIPTS:								
Miscellaneous Receipts	\$10.7	\$117.5	\$39.7	\$185.2	\$50.4	\$302.7	\$61.5	\$229.6
Federal Receipts	135.2	953.2	--	--	135.2	953.2	244.1	1,808.1
Unemployment Taxes	215.8	1,466.3	--	--	215.8	1,466.3	229.1	1,631.0
Total Receipts	361.7	2,537.0	39.7	185.2	401.4	2,722.2	534.7	3,668.7
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	1.8	4.1	7.6	55.0	9.4	59.1	9.6	56.2
Non-Personal Service	90.3	111.3	42.9	225.8	133.2	337.1	139.1	293.5
General State Charges	0.4	0.5	5.2	20.6	5.6	21.1	0.1	12.8
Unemployment Benefits	392.0	2,459.2	--	--	392.0	2,459.2	473.6	3,439.0
Total Disbursements	484.5	2,575.1	55.7	301.4	540.2	2,876.5	622.4	3,801.5
Excess (Deficiency) of Receipts Over Disbursements	(122.8)	(38.1)	(16.0)	(116.2)	(138.8)	(154.3)	(87.7)	(132.8)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	13.5	40.3	13.5	40.3	2.6	40.0
Transfers to Other Funds	--	--	(6.3)	(6.4)	(6.3)	(6.4)	(4.4)	(5.9)
Total Other Financing Sources (Uses)	--	--	7.2	33.9	7.2	33.9	(1.8)	34.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(122.8)	(38.1)	(8.8)	(82.3)	(131.6)	(120.4)	(89.5)	(98.7)
Beginning Fund Balances (Deficits)	168.4	83.7	(79.9)	(6.4)	88.5	77.3	129.8	139.0
Ending Fund Balances (Deficits)	\$45.6	\$45.6	(\$88.7)	(\$88.7)	(\$43.1)	(\$43.1)	\$40.3	\$40.3

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012
RECEIPTS:								
Miscellaneous Receipts	\$13.2	\$52.9	\$ --	\$0.6	\$13.2	\$53.5	\$4.4	\$36.7
Total Receipts	<u>13.2</u>	<u>52.9</u>	<u>--</u>	<u>0.6</u>	<u>13.2</u>	<u>53.5</u>	<u>4.4</u>	<u>36.7</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	4.3	28.5	--	0.1	4.3	28.6	4.0	27.1
Non-Personal Service	0.6	6.2	--	--	0.6	6.2	0.6	8.8
General State Charges	--	15.1	--	--	--	15.1	--	6.2
Total Disbursements	<u>4.9</u>	<u>49.8</u>	<u>--</u>	<u>0.1</u>	<u>4.9</u>	<u>49.9</u>	<u>4.6</u>	<u>42.1</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>8.3</u>	<u>3.1</u>	<u>--</u>	<u>0.5</u>	<u>8.3</u>	<u>3.6</u>	<u>(0.2)</u>	<u>(5.4)</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
Total Other Financing Sources (Uses)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>8.3</u>	<u>3.1</u>	<u>--</u>	<u>0.5</u>	<u>8.3</u>	<u>3.6</u>	<u>(0.2)</u>	<u>(5.4)</u>
Beginning Fund Balances (Deficits)	<u>(8.9)</u>	<u>(3.7)</u>	<u>10.8</u>	<u>10.3</u>	<u>1.9</u>	<u>6.6</u>	<u>5.9</u>	<u>11.1</u>
Ending Fund Balances (Deficits)	<u>(\$0.6)</u>	<u>(\$0.6)</u>	<u>\$10.8</u>	<u>\$10.8</u>	<u>\$10.2</u>	<u>\$10.2</u>	<u>\$5.7</u>	<u>\$5.7</u>

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2014
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2013
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes:			
Personal Income	\$21,538	\$21,575.4	\$37.4
Consumption/Use	7,647	7,691.8	44.8
Business	3,772	3,585.5	(186.5)
Other	1,517	1,566.4	49.4
Miscellaneous Receipts	11,993	11,795.1	(197.9)
Federal Receipts	21,706	21,842.9	136.9
Total Receipts	68,173	68,057.1	(115.9)
DISBURSEMENTS:			
Local Assistance Grants	46,623	46,108.0	(515.0)
Departmental Operations	9,649	9,598.6	(50.4)
General State Charges	3,577	3,413.3	(163.7)
Debt Service	2,247	2,086.1	(160.9)
Capital Projects	2,850	2,880.6	30.6
Total Disbursements	64,946	64,086.6	(859.4)
Excess (Deficiency) of Receipts over Disbursements	3,227	3,970.5	743.5
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net	12	--	(12.0)
Transfers from Other Funds	15,524	15,492.6	(31.4)
Transfers to Other Funds	(15,565)	(15,526.5)	(38.5)
Total Other Financing Sources (Uses)	(29.0)	(33.9)	(4.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,198	3,936.6	738.6
Fund Balances (Deficits) at April 1	3,877	3,876.4	(0.6)
Fund Balances (Deficits) at September 30	\$7,075	\$7,813.0	\$738.0

(*) Source: 2013-14 Financial Plan First Quarterly Update, dated August 2, 2013.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2014
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2013
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$15,542	\$15,570.6	\$28.6	\$611	\$611.0	\$ --
Consumption/Use	3,300	3,322.8	22.8	1,099	1,097.5	(1.5)
Business	2,716	2,568.4	(147.6)	716	678.7	(37.3)
Other	584	603.6	19.6	547	542.0	(5.0)
Miscellaneous Receipts	1,671	1,555.1	(115.9)	8,507	8,580.3	73.3
Federal Receipts	--	0.1	0.1	20,619	20,539.7	(79.3)
Bond and Note Proceeds, net	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service	4,764	4,775.9	11.9	--	--	--
Sales Tax in excess of LGAC / STRBF Debt Service	2,879	2,903.3	24.3	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service	290	318.5	28.5	--	--	--
All Other	312	314.4	2.4	3,935	4,125.5	190.5
Total Receipts and Other Financing Sources	32,058	31,932.7	(125.3)	36,034	36,174.7	140.7
DISBURSEMENTS:						
Local Assistance Grants	17,766	17,184.9	(581.1)	27,976	27,916.9	(59.1)
Departmental Operations	3,659	3,655.8	(3.2)	5,973	5,923.0	(50.0)
General State Charges	2,505	2,398.0	(107.0)	1,072	1,015.3	(56.7)
Debt Service	--	--	--	--	--	--
Capital Projects	--	--	--	8	4.6	(3.4)
Transfers To:						
Debt Service	610	462.2	(147.8)	--	--	--
Capital Projects	601	580.4	(20.6)	--	--	--
State Share Medicaid	993	1,211.6 (**)	218.6	--	--	--
SUNY Operations	812	810.4	(1.6)	--	--	--
Other Purposes	1,158	965.9	(192.1)	1,722	1,610.7	(111.3)
Total Disbursements and Other Financing Uses	28,104	27,269.2	(834.8)	36,751	36,470.5	(280.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,954	4,663.5	709.5	(717)	(295.8)	421.2
Fund Balances (Deficits) at April 1	1,610	1,610.0	--	2,371	2,373.3	2.3
Fund Balances (Deficits) at September 30	\$5,564	\$6,273.5	\$709.5	\$1,654	\$2,077.5	\$423.5

(*) Source: 2013-14 Financial Plan First Quarterly Update, dated August 2, 2013.

(**) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2014
 FOR SIX (6) MONTHS ENDED SEPTEMBER 30, 2013
 (amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$5,385	\$5,393.8	\$8.8	\$ --	\$ --	\$ --
Consumption/Use	2,943	2,966.1	23.1	305.0	305.4	0.4
Business	--	--	--	340.0	338.4	(1.6)
Other	338	373.2	35.2	48.0	47.6	(0.4)
Miscellaneous Receipts	361	252.9	(108.1)	1,454	1,406.8	(47.2)
Federal Receipts	36	34.3	(1.7)	1,051	1,268.8	217.8
Bond and Note Proceeds, net	--	--	--	12	--	(12.0)
Transfers from Other Funds	2,590	2,364.5	(225.5)	754	690.5	(63.5)
Total Receipts and Other Financing Sources	11,653	11,384.8	(268.2)	3,964	4,057.5	93.5
DISBURSEMENTS:						
Local Assistance Grants	--	--	--	881	1,006.2	125.2
Departmental Operations	17	19.8	2.8	--	--	--
General State Charges	--	--	--	--	--	--
Debt Service	2,247	2,086.1	(160.9)	--	--	--
Capital Projects	--	--	--	2,842	2,876.0	34.0
Transfers to Other Funds	8,982	9,194.2	212.2	687	691.1	4.1
Total Disbursements and Other Financing Uses	11,246	11,300.1	54.1	4,410	4,573.3	163.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	407	84.7	(322.3)	(446)	(515.8)	(69.8)
Fund Balances (Deficits) at April 1	381	379.1	(1.9)	(485)	(486.0)	(1.0)
Fund Balances (Deficits) at September 30	\$788	\$463.8	(\$324.2)	(\$931)	(\$1,001.8)	(\$70.8)

(*) Source: 2013-14 Financial Plan First Quarterly Update, dated August 2, 2013.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2013	6 MOS. ENDED SEPT. 30, 2013	MONTH OF SEPT. 2012	6 MOS. ENDED SEPT. 30, 2012	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$2,159.0	\$14,095.8	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,159.0	\$14,095.8	\$2,042.0	\$13,612.7	\$483.1	3.5%
Estimated payments	2,025.3	9,895.0	--	--	--	--	--	--	2,025.3	9,895.0	1,874.8	7,639.7	2,255.3	29.5%
Returns	60.4	1,797.0	--	--	--	--	--	--	60.4	1,797.0	48.5	1,645.6	151.4	9.2%
State/City Offsets	(24.5)	(204.7)	--	--	--	--	--	--	(24.5)	(204.7)	(14.5)	(84.0)	120.7	143.7%
Other (Assessments/LLC)	72.4	513.1	--	--	--	--	--	--	72.4	513.1	81.0	545.3	(32.2)	-5.9%
Gross Receipts	4,292.6	26,096.2	--	--	--	--	--	--	4,292.6	26,096.2	4,031.8	23,359.3	2,736.9	11.7%
Transfers to School Tax Relief Fund	(188.9)	(611.0)	188.9	611.0	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(1,018.3)	(5,393.8)	--	--	1,018.3	5,393.8	--	--	--	--	--	--	--	--
Less: Refunds Issued	(219.2)	(4,520.8)	--	--	--	--	--	--	(219.2)	(4,520.8)	(83.0)	(3,991.7)	529.1	13.3%
Total	2,866.2	15,570.6	188.9	611.0	1,018.3	5,393.8	--	--	4,073.4	21,575.4	3,948.8	19,367.6	2,207.8	11.4%
CONSUMPTION / USE TAXES														
Sales and Use	595.2	2,969.5	80.2	433.3	594.7	2,966.1	--	--	1,270.1	6,368.9	1,223.4	5,974.1	394.8	6.6%
Auto Rental	--	--	13.8	24.8	--	--	22.7	40.4	36.5	65.2	33.9	59.5	5.7	9.6%
Cigarette/Tobacco Products	40.1	225.2	89.0	546.0	--	--	--	--	129.1	771.2	121.4	821.7	(50.5)	-6.1%
Motor Fuel	--	--	8.6	51.2	--	--	33.3	195.3	41.9	246.5	42.2	247.9	(1.4)	-0.6%
Alcoholic Beverage	21.7	128.1	--	--	--	--	--	--	21.7	128.1	22.9	126.2	1.9	1.5%
Highway Use	--	--	--	--	--	--	11.4	69.7	11.4	69.7	9.2	68.9	0.8	1.2%
Metropolitan Commuter Trans. Taxicab Trip	--	--	0.4	42.2	--	--	--	--	0.4	42.2	0.4	43.2	(1.0)	-2.3%
Total	657.0	3,322.8	192.0	1,097.5	594.7	2,966.1	67.4	305.4	1,511.1	7,691.8	1,453.4	7,341.5	350.3	4.8%
BUSINESS TAXES														
Corporation Franchise	443.4	1,371.2	60.5	201.5	--	--	--	--	503.9	1,572.7	448.9	1,260.0	312.7	24.8%
Corporation and Utilities	133.1	253.5	31.4	66.8	--	--	2.1	3.7	166.6	324.0	173.2	349.9	(25.9)	-7.4%
Insurance	255.7	518.7	29.5	63.8	--	--	--	--	285.2	582.5	281.0	589.2	(6.7)	-1.1%
Bank	174.8	425.0	28.9	78.6	--	--	--	--	203.7	503.6	302.2	688.3	(184.7)	-26.8%
Petroleum Business	--	--	46.0	268.0	--	--	57.3	334.7	103.3	602.7	99.3	570.8	31.9	5.6%
Total	1,007.0	2,568.4	196.3	678.7	--	--	59.4	338.4	1,262.7	3,585.5	1,304.6	3,458.2	127.3	3.7%
OTHER TAXES														
Real Property Gains	--	(0.2)	--	--	--	--	--	--	--	(0.2)	--	--	(0.2)	-100.0%
Estate and Gift	109.8	593.5	--	--	--	--	--	--	109.8	593.5	65.1	528.3	65.2	12.3%
Pari-Mutuel	2.1	9.7	--	--	--	--	--	--	2.1	9.7	2.5	10.7	(1.0)	-9.3%
Real Estate Transfer	--	--	--	--	79.8	373.2	11.9	47.6	91.7	420.8	58.3	369.0	51.8	14.0%
Racing and Exhibitions	0.2	0.6	--	--	--	--	--	--	0.2	0.6	0.2	0.5	0.1	20.0%
Metropolitan Commuter Trans. Mobility	--	--	73.3	542.0	--	--	--	--	73.3	542.0	65.1	559.2	(17.2)	-3.1%
Total	112.1	603.6	73.3	542.0	79.8	373.2	11.9	47.6	277.1	1,566.4	191.2	1,467.7	98.7	6.7%
Total Tax Receipts	\$4,642.3	\$22,065.4	\$650.5	\$2,929.2	\$1,692.8	\$8,733.1	\$138.7	\$691.4	\$7,124.3	\$34,419.1	\$6,898.0	\$31,635.0	\$2,784.1	8.8%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2013-2014
(amounts in millions)**

GOVERNMENTAL FUNDS CASH FLOW

													6 Months Ended Sept. 30			
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$3,876.4	\$9,553.7	\$7,508.6	\$7,489.0	\$7,077.3	\$6,954.5							\$3,876.4	\$3,360.3	\$516.1	15.4%
RECEIPTS:																
Personal Income Tax	6,657.2	2,386.5	3,826.6	2,416.3	2,215.4	4,073.4							21,575.4	19,367.6	2,207.8	11.4%
Consumption/Use Taxes	1,154.5	1,124.5	1,520.9	1,219.7	1,161.1	1,511.1							7,691.8	7,341.5	350.3	4.8%
Business Taxes	495.6	221.9	1,218.3	208.0	179.0	1,262.7							3,585.5	3,458.2	127.3	3.7%
Other Taxes	270.6	273.4	199.0	308.0	238.3	277.1							1,566.4	1,467.7	98.7	6.7%
Miscellaneous Receipts	1,620.4	1,588.6	2,124.2	1,749.2	2,023.3	2,689.4							11,795.1	11,723.1	72.0	0.6%
Federal Receipts	2,493.9	4,927.2	3,286.4	3,661.2	3,824.3	3,649.9							21,842.9	19,264.7	2,578.2	13.4%
Total Receipts	12,692.2	10,522.1	12,175.4	9,562.4	9,641.4	13,463.6	0.0	0.0	0.0	0.0	0.0	0.0	68,057.1	62,622.8	5,434.3	8.7%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	488.7	3,596.8	3,310.3	1,099.9	970.5	3,873.3							13,339.5	13,202.9	136.6	1.0%
Environment and Recreation	11.9	1.4	12.1	6.0	16.2	154.0							201.6	99.7	101.9	102.2%
General Government	16.0	36.1	577.4	38.5	130.6	119.6							918.2	738.6	179.6	24.3%
Public Health:																
Medicaid	2,939.8	4,234.8	3,315.4	3,539.7	3,365.7	3,101.5							20,496.9	19,711.0	785.9	4.0%
Other Public Health	197.4	296.2	576.8	411.3	625.0	424.5							2,531.2	2,456.6	74.6	3.0%
Public Safety	106.7	398.7	118.9	304.0	229.8	111.8							1,269.9	595.0	674.9	113.4%
Public Welfare	441.3	496.6	744.2	814.7	695.4	946.8							4,139.0	3,766.0	373.0	9.9%
Support and Regulate Business	22.8	24.1	68.8	301.0	37.6	43.3							497.6	474.4	23.2	4.9%
Transportation	237.1	559.7	502.3	422.7	533.4	458.9							2,714.1	2,334.4	379.7	16.3%
Total Local Assistance Grants	4,461.7	9,644.4	9,226.2	6,937.8	6,604.2	9,233.7	0.0	0.0	0.0	0.0	0.0	0.0	46,108.0	43,378.6	2,729.4	6.3%
Departmental Operations:																
Personal Service	1,062.5	1,196.8	993.3	1,312.9	991.8	989.9							6,547.2	6,380.5	166.7	2.6%
Non-Personal Service	407.4	506.4	510.1	480.2	544.0	603.3							3,051.4	2,576.1	475.3	18.5%
General State Charges	469.8	647.8	526.0	649.7	724.9	395.1							3,413.3	2,730.9	682.4	25.0%
Debt Service, Including Payments on Financing Agreements	281.9	136.9	409.7	89.9	373.4	794.3							2,086.1	2,320.1	(234.0)	-10.1%
Capital Projects	328.7	429.8	515.9	500.1	524.5	581.6							2,880.6	2,553.3	327.3	12.8%
Total Disbursements	7,012.0	12,562.1	12,181.2	9,970.6	9,762.8	12,597.9	0.0	0.0	0.0	0.0	0.0	0.0	64,086.6	59,939.5	4,147.1	6.9%
Excess (Deficiency) of Receipts over Disbursements	5,680.2	(2,040.0)	(5.8)	(408.2)	(121.4)	865.7	0.0	0.0	0.0	0.0	0.0	0.0	3,970.5	2,683.3	1,287.2	48.0%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--
Transfers from Other Funds	3,311.1	1,929.8	2,977.5	2,054.6	1,722.7	3,496.9							15,492.6	13,139.1	2,353.5	17.9%
Transfers to Other Funds	(3,314.0)	(1,934.9)	(2,991.3)	(2,058.1)	(1,724.1)	(3,504.1)							(15,526.5)	(13,173.2)	2,353.3	17.9%
Total Other Financing Sources (Uses)	(2.9)	(5.1)	(13.8)	(3.5)	(1.4)	(7.2)	0.0	0.0	0.0	0.0	0.0	0.0	(33.9)	(34.1)	0.2	0.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	5,677.3	(2,045.1)	(19.6)	(411.7)	(122.8)	858.5	0.0	0.0	0.0	0.0	0.0	0.0	3,936.6	2,649.2	1,287.4	48.6%
Ending Fund Balance	\$9,553.7	\$7,508.6	\$7,489.0	\$7,077.3	\$6,954.5	\$7,813.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$7,813.0	\$6,009.5	\$1,803.5	30.0%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2013-2014
(amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW
TAX RECEIPTS

													6 Months Ended Sept. 30			
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	2013	2012	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX																
Withholdings	\$2,502.6	\$2,475.5	\$2,225.6	\$2,453.6	\$2,279.5	\$2,159.0							\$14,095.8	\$13,612.7	\$483.1	3.5%
Estimated payments	5,828.8	73.4	1,798.1	103.3	66.1	2,025.3							9,895.0	7,639.7	2,255.3	29.5%
Returns	1,474.0	136.0	52.7	37.4	36.5	60.4							1,797.0	1,645.6	151.4	9.2%
State/City Offsets	(137.8)	(9.1)	(9.1)	(10.0)	(14.2)	(24.5)							(204.7)	(84.0)	120.7	143.7%
Other (Assessments/LLC)	106.7	91.6	68.4	71.9	102.1	72.4							513.1	545.3	(32.2)	-5.9%
Gross Receipts	<u>9,774.3</u>	<u>2,767.4</u>	<u>4,135.7</u>	<u>2,656.2</u>	<u>2,470.0</u>	<u>4,292.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>26,096.2</u>	<u>23,359.3</u>	<u>2,736.9</u>	<u>11.7%</u>
Transfers to School Tax Relief Fund	--	--	--	--	--	--							--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	--	--							--	--	--	--
Refunds issued	(3,117.1)	(380.9)	(309.1)	(239.9)	(254.6)	(219.2)							(4,520.8)	(3,991.7)	529.1	13.3%
Total Personal Income Tax	<u>6,657.2</u>	<u>2,386.5</u>	<u>3,826.6</u>	<u>2,416.3</u>	<u>2,215.4</u>	<u>4,073.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>21,575.4</u>	<u>19,367.6</u>	<u>2,207.8</u>	<u>11.4%</u>
CONSUMPTION/USE TAXES																
Sales and Use	944.1	929.8	1,291.1	967.8	966.0	1,270.1							6,368.9	5,974.1	394.8	6.6%
Auto Rental	2.2	0.1	26.4	--	--	36.5							65.2	59.5	5.7	9.6%
Cigarette/Tobacco Products	123.0	123.0	125.6	149.0	121.5	129.1							771.2	821.7	(50.5)	-6.1%
Motor Fuel	34.6	40.2	43.5	41.7	44.6	41.9							246.5	247.9	(1.4)	-0.6%
Alcoholic Beverage	17.8	19.9	23.5	27.1	18.1	21.7							128.1	126.2	1.9	1.5%
Highway Use	12.9	10.9	10.6	13.7	10.2	11.4							69.7	68.9	0.8	1.2%
Metropolitan Commuter Trans. Taxicab Trip	19.9	0.6	0.2	20.4	0.7	0.4							42.2	43.2	(1.0)	-2.3%
Total Consumption/Use Taxes and Fees	<u>1,154.5</u>	<u>1,124.5</u>	<u>1,520.9</u>	<u>1,219.7</u>	<u>1,161.1</u>	<u>1,511.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>7,691.8</u>	<u>7,341.5</u>	<u>350.3</u>	<u>4.8%</u>
BUSINESS TAXES																
Corporation Franchise	374.9	117.7	471.3	71.6	33.3	503.9							1,572.7	1,260.0	312.7	24.8%
Corporation and Utilities	5.1	2.8	146.5	1.4	1.6	166.6							324.0	349.9	(25.9)	-7.4%
Insurance	9.0	3.1	270.1	3.6	11.5	285.2							582.5	589.2	(6.7)	-1.1%
Bank	16.6	1.0	223.6	30.3	28.4	203.7							503.6	688.3	(184.7)	-26.8%
Petroleum Business	90.0	97.3	106.8	101.1	104.2	103.3							602.7	570.8	31.9	5.6%
Total Business Taxes	<u>495.6</u>	<u>221.9</u>	<u>1,218.3</u>	<u>208.0</u>	<u>179.0</u>	<u>1,262.7</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>3,585.5</u>	<u>3,458.2</u>	<u>127.3</u>	<u>3.7%</u>
OTHER TAXES																
Real Property Gains	--	--	(0.2)	--	--	--							(0.2)	--	(0.2)	-100.0%
Estate and Gift	90.5	109.2	73.7	136.3	74.0	109.8							593.5	528.3	65.2	12.3%
Pari-Mutuel	0.9	1.4	1.7	1.3	2.3	2.1							9.7	10.7	(1.0)	-9.3%
Real Estate Transfer	57.6	64.1	53.4	73.9	80.1	91.7							420.8	369.0	51.8	14.0%
Racing and Exhibitions	--	0.2	--	0.1	0.1	0.2							0.6	0.5	0.1	20.0%
Metropolitan Commuter Trans. Mobility	121.6	98.5	70.4	96.4	81.8	73.3							542.0	559.2	(17.2)	-3.1%
Total Other Taxes	<u>270.6</u>	<u>273.4</u>	<u>199.0</u>	<u>308.0</u>	<u>238.3</u>	<u>277.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,566.4</u>	<u>1,467.7</u>	<u>98.7</u>	<u>6.7%</u>
Total Tax Receipts	<u>\$8,577.9</u>	<u>\$4,006.3</u>	<u>\$6,764.8</u>	<u>\$4,152.0</u>	<u>\$3,793.8</u>	<u>\$7,124.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$34,419.1</u>	<u>\$31,635.0</u>	<u>\$2,784.1</u>	<u>8.8%</u>

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2013-2014
(amounts in millions)**

EXHIBIT "F"

													6 Months Ended Sept. 30			
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$1,610.0	\$6,378.7	\$3,743.9	\$4,805.2	\$4,406.8	\$3,642.0							\$1,610.0	\$1,786.7	(\$176.7)	-9.9%
RECEIPTS:																
Personal Income Tax	4,992.9	1,789.9	2,447.8	1,812.3	1,661.5	2,866.2							15,570.6	13,938.0	1,632.6	11.7%
Consumption/Use Taxes	540.1	430.6	664.3	525.2	505.6	657.0							3,322.8	4,547.8	(1,225.0)	-26.9%
Business Taxes	355.1	108.5	945.5	87.1	65.2	1,007.0							2,568.4	2,458.3	110.1	4.5%
Other Taxes	91.4	110.8	75.2	137.7	76.4	112.1							603.6	539.5	64.1	11.9%
Miscellaneous Receipts	121.8	67.5	707.2	106.1	76.1	476.4							1,555.1	1,853.9	(298.8)	-16.1%
Federal Receipts	--	--	--	0.1	--	--							0.1	33.4	(33.3)	-99.7%
Total Receipts	6,101.3	2,507.3	4,840.0	2,668.5	2,384.8	5,118.7	0.0	0.0	0.0	0.0	0.0	0.0	23,620.6	23,370.9	249.7	1.1%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	231.4	2,758.9	2,272.6	773.1	807.2	1,480.6							8,323.8	8,610.3	(286.5)	-3.3%
Environment and Recreation	0.6	0.4	0.7	0.4	1.9	0.8							4.8	2.5	2.3	92.0%
General Government	1.9	11.9	557.7	3.2	1.4	93.2							669.3	621.6	47.7	7.7%
Public Health:																
Medicaid	967.6	1,244.6	1,027.3	1,006.6	1,140.6	850.8							6,237.5	6,081.5	156.0	2.6%
Other Public Health	25.0	52.2	37.1	33.3	145.6	56.4							349.6	366.8	(17.2)	-4.7%
Public Safety	3.0	12.3	9.4	13.7	30.5	17.1							86.0	128.1	(42.1)	-32.9%
Public Welfare	216.4	164.4	335.3	135.7	213.6	365.3							1,430.7	1,660.6	(229.9)	-13.8%
Support and Regulate Business	3.9	5.5	4.8	6.5	4.1	9.6							34.4	57.1	(22.7)	-39.8%
Transportation	--	22.5	1.4	--	24.8	0.1							48.8	48.6	0.2	0.4%
Total Local Assistance Grants	1,449.8	4,272.7	4,246.3	1,972.5	2,369.7	2,873.9	0.0	0.0	0.0	0.0	0.0	0.0	17,184.9	17,577.1	(392.2)	-2.2%
Departmental Operations:																
Personal Service	446.8	525.3	435.1	577.6	440.3	436.9							2,862.0	3,222.4	(360.4)	-11.2%
Non-Personal Service	116.0	153.6	112.2	140.7	152.7	118.6							793.8	772.5	21.3	2.8%
General State Charges	443.5	602.9	113.1	619.3	383.8	235.4							2,398.0	2,210.8	187.2	8.5%
Total Disbursements	2,456.1	5,554.5	4,906.7	3,310.1	3,346.5	3,664.8	0.0	0.0	0.0	0.0	0.0	0.0	23,238.7	23,782.8	(544.1)	-2.3%
Excess (Deficiency) of Receipts over Disbursements	3,645.2	(3,047.2)	(66.7)	(641.6)	(961.7)	1,453.9	0.0	0.0	0.0	0.0	0.0	0.0	381.9	(411.9)	793.8	192.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	2,116.5	854.8	1,774.5	883.7	656.8	2,025.8							8,312.1	6,037.2	2,274.9	37.7%
Transfers to State Capital Projects	(66.1)	(110.7)	(94.9)	17.5	(125.8)	(200.4)							(580.4)	(266.2)	314.2	118.0%
Transfers to Federal Capital Projects	--	--	--	--	--	--							--	--	--	--
Transfers to General Debt Service	(567.5)	186.7	61.1	(397.4)	2.1	252.8							(462.2)	(749.4)	(287.2)	-38.3%
Transfers to All Other State Funds	(359.4)	(518.4)	(612.7)	(260.6)	(336.2)	(900.6)							(2,987.9)	(2,114.1)	873.8	41.3%
Total Other Financing Sources (Uses)	1,123.5	412.4	1,128.0	243.2	196.9	1,177.6	0.0	0.0	0.0	0.0	0.0	0.0	4,281.6	2,907.5	1,374.1	47.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,768.7	(2,634.8)	1,061.3	(398.4)	(764.8)	2,631.5	0.0	0.0	0.0	0.0	0.0	0.0	4,663.5	2,495.6	2,167.9	86.9%
Ending Fund Balance	\$6,378.7	\$3,743.9	\$4,805.2	\$4,406.8	\$3,642.0	\$6,273.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$6,273.5	\$4,282.3	\$1,991.2	46.5%

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2013-2014
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

													6 Months Ended Sept. 30	
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY FEBRUARY MARCH			2013	2012
PERSONAL INCOME TAX														
Withholdings	\$2,502.6	\$2,475.5	\$2,225.6	\$2,453.6	\$2,279.5	\$2,159.0							\$14,095.8	\$13,612.7
Estimated payments	5,828.8	73.4	1,798.1	103.3	66.1	2,025.3							9,895.0	7,639.7
Returns	1,474.0	136.0	52.7	37.4	36.5	60.4							1,797.0	1,645.6
State/City Offsets	(137.8)	(9.1)	(9.1)	(10.0)	(14.2)	(24.5)							(204.7)	(84.0)
Other (Assessments/LLC)	106.7	91.6	68.4	71.9	102.1	72.4							513.1	545.3
Gross Receipts	<u>9,774.3</u>	<u>2,767.4</u>	<u>4,135.7</u>	<u>2,656.2</u>	<u>2,470.0</u>	<u>4,292.6</u>	0.0	0.0	0.0	0.0	0.0	0.0	<u>26,096.2</u>	<u>23,359.3</u>
Transfers to School Tax Relief Fund	--	--	(422.1)	--	--	(188.9)							(611.0)	(587.7)
Transfers to Revenue Bond Tax Fund	(1,664.3)	(596.6)	(956.7)	(604.0)	(553.9)	(1,018.3)							(5,393.8)	(4,841.9)
Refunds issued	(3,117.1)	(380.9)	(309.1)	(239.9)	(254.6)	(219.2)							(4,520.8)	(3,991.7)
Total Personal Income Tax	<u>4,992.9</u>	<u>1,789.9</u>	<u>2,447.8</u>	<u>1,812.3</u>	<u>1,661.5</u>	<u>2,866.2</u>	0.0	0.0	0.0	0.0	0.0	0.0	<u>15,570.6</u>	<u>13,938.0</u>
CONSUMPTION/USE TAXES														
Sales and Use	483.0	380.5	604.8	453.4	452.6	595.2							2,969.5	4,188.1
Auto Rental	--	--	--	--	--	--							--	--
Cigarette/Tobacco Products	39.3	30.2	36.0	44.7	34.9	40.1							225.2	233.5
Motor Fuel	--	--	--	--	--	--							--	--
Alcoholic Beverage	17.8	19.9	23.5	27.1	18.1	21.7							128.1	126.2
Highway Use	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--							--	--
Total Consumption/Use Taxes and Fees	<u>540.1</u>	<u>430.6</u>	<u>664.3</u>	<u>525.2</u>	<u>505.6</u>	<u>657.0</u>	0.0	0.0	0.0	0.0	0.0	0.0	<u>3,322.8</u>	<u>4,547.8</u>
BUSINESS TAXES														
Corporation Franchise	328.9	103.5	408.5	57.8	29.1	443.4							1,371.2	1,103.2
Corporation and Utilities	5.7	2.7	109.4	1.7	0.9	133.1							253.5	267.7
Insurance	8.4	2.4	240.2	1.1	10.9	255.7							518.7	522.0
Bank	12.1	(0.1)	187.4	26.5	24.3	174.8							425.0	565.4
Petroleum Business	--	--	--	--	--	--							--	--
Total Business Taxes	<u>355.1</u>	<u>108.5</u>	<u>945.5</u>	<u>87.1</u>	<u>65.2</u>	<u>1,007.0</u>	0.0	0.0	0.0	0.0	0.0	0.0	<u>2,568.4</u>	<u>2,458.3</u>
OTHER TAXES														
Real Property Gains	--	--	(0.2)	--	--	--							(0.2)	--
Estate and Gift	90.5	109.2	73.7	136.3	74.0	109.8							593.5	528.3
Pari-Mutuel	0.9	1.4	1.7	1.3	2.3	2.1							9.7	10.7
Real Estate Transfer	--	--	--	--	--	--							--	--
Racing and Exhibitions	--	0.2	--	0.1	0.1	0.2							0.6	0.5
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--							--	--
Total Other Taxes	<u>91.4</u>	<u>110.8</u>	<u>75.2</u>	<u>137.7</u>	<u>76.4</u>	<u>112.1</u>	0.0	0.0	0.0	0.0	0.0	0.0	<u>603.6</u>	<u>539.5</u>
Total Tax Receipts	<u>\$5,979.5</u>	<u>\$2,439.8</u>	<u>\$4,132.8</u>	<u>\$2,562.3</u>	<u>\$2,308.7</u>	<u>\$4,642.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$22,065.4</u>	<u>\$21,483.6</u>

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2013-2014
(amounts in millions)

EXHIBIT "G"
COMBINED

													6 Months Ended Sept. 30			
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$2,373.3	\$2,970.3	\$3,499.1	\$2,919.9	\$2,634.2	\$3,311.4							\$2,373.3	\$1,595.5	\$777.8	48.7%
RECEIPTS:																
Personal Income Tax	--	--	422.1	--	--	188.9							611.0	587.7	23.3	4.0%
Consumption/Use Taxes	199.3	162.7	190.6	194.6	158.3	192.0							1,097.5	1,099.3	(1.8)	-0.2%
Business Taxes	90.9	59.4	210.8	65.4	55.9	196.3							678.7	677.3	1.4	0.2%
Other Taxes	121.6	98.5	70.4	96.4	81.8	73.3							542.0	559.2	(17.2)	-3.1%
Miscellaneous Receipts	1,346.8	1,352.0	1,192.6	1,280.1	1,763.3	1,645.5							8,580.3	7,738.6	841.7	10.9%
Federal Receipts	2,381.6	4,739.0	3,125.5	3,397.7	3,609.6	3,286.3							20,539.7	18,281.5	2,258.2	12.4%
Total Receipts	4,140.2	6,411.6	5,212.0	5,034.2	5,668.9	5,582.3	0.0	0.0	0.0	0.0	0.0	0.0	32,049.2	28,943.6	3,105.6	10.7%
DISBURSEMENTS:																
Local Assistance Grants																
Education	257.2	833.6	1,036.5	325.1	151.2	2,392.2							4,995.8	4,575.1	420.7	9.2%
Environment and Recreation	0.1	--	0.2	0.5	0.5	0.8							2.1	0.8	1.3	162.5%
General Government	10.6	20.7	8.0	34.0	125.7	24.9							223.9	90.0	133.9	148.8%
Public Health:																
Medicaid	1,972.2	2,990.2	2,288.1	2,533.1	2,225.1	2,250.7							14,259.4	13,629.5	629.9	4.6%
Other Public Health	168.7	232.3	525.6	349.3	460.0	347.0							2,082.9	1,959.6	123.3	6.3%
Public Safety	103.7	386.4	109.5	290.3	199.3	94.7							1,183.9	466.9	717.0	153.6%
Public Welfare	224.9	324.7	408.9	671.5	418.4	579.9							2,628.3	2,080.6	547.7	26.3%
Support and Regulate Business	6.5	4.5	2.8	201.0	7.9	20.3							243.0	214.3	28.7	13.4%
Transportation	182.4	472.4	446.1	334.9	450.5	411.3							2,297.6	2,074.7	222.9	10.7%
Total Local Assistance Grants	2,926.3	5,264.8	4,825.7	4,739.7	4,038.6	6,121.8	0.0	0.0	0.0	0.0	0.0	0.0	27,916.9	25,091.5	2,825.4	11.3%
Departmental Operations:																
Personal Service	615.7	671.5	558.2	735.3	551.5	553.0							3,685.2	3,158.1	527.1	16.7%
Non-Personal Service	290.1	352.2	394.4	333.6	387.7	479.8							2,237.8	1,785.9	451.9	25.3%
General State Charges	26.3	44.9	412.9	30.4	341.1	159.7							1,015.3	520.1	495.2	95.2%
Capital Projects	1.5	4.8	1.0	0.4	0.7	(3.8)							4.6	3.1	1.5	48.4%
Total Disbursements	3,859.9	6,338.2	6,192.2	5,839.4	5,319.6	7,310.5	0.0	0.0	0.0	0.0	0.0	0.0	34,859.8	30,558.7	4,301.1	14.1%
Excess (Deficiency) of Receipts over Disbursements	280.3	73.4	(980.2)	(805.2)	349.3	(1,728.2)	0.0	0.0	0.0	0.0	0.0	0.0	(2,810.6)	(1,615.1)	(1,195.5)	-74.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	403.7	790.7	746.9	598.6	584.1	1,001.5							4,125.5	3,787.4	338.1	8.9%
Transfers to Other Funds	(87.0)	(335.3)	(345.9)	(79.1)	(256.2)	(507.2)							(1,610.7)	(1,654.0)	(43.3)	-2.6%
Total Other Financing Sources (Uses)	316.7	455.4	401.0	519.5	327.9	494.3	0.0	0.0	0.0	0.0	0.0	0.0	2,514.8	2,133.4	381.4	17.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	597.0	528.8	(579.2)	(285.7)	677.2	(1,233.9)	0.0	0.0	0.0	0.0	0.0	0.0	(295.8)	518.3	(814.1)	-157.1%
Ending Fund Balance	\$2,970.3	\$3,499.1	\$2,919.9	\$2,634.2	\$3,311.4	\$2,077.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$2,077.5	\$2,113.8	(\$36.3)	-1.7%

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2013-2014
(amounts in millions)**

**EXHIBIT "G"
STATE**

													6 Months Ended Sept. 30				
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$422.1	\$ --	\$ --	\$188.9							\$ --	\$611.0	\$587.7	\$23.3	4.0%
Consumption/Use Taxes	199.3	162.7	190.6	194.6	158.3	192.0							--	1,097.5	1,099.3	(1.8)	-0.2%
Business Taxes	90.9	59.4	210.8	65.4	55.9	196.3							--	678.7	677.3	1.4	0.2%
Other Taxes	121.6	98.5	70.4	96.4	81.8	73.3							--	542.0	559.2	(17.2)	-3.1%
Miscellaneous Receipts	1,334.2	1,315.3	1,181.3	1,267.5	1,745.0	1,634.2							--	8,477.5	7,639.5	838.0	11.0%
Federal Receipts	--	0.2	--	(0.1)	0.1	--							--	0.2	0.1	0.1	100.0%
Total Receipts	1,746.0	1,636.1	2,075.2	1,623.8	2,041.1	2,284.7	0.0	0.0	0.0	0.0	0.0	0.0	--	11,406.9	10,563.1	843.8	8.0%
DISBURSEMENTS:																	
Local Assistance Grants																	
Education	1.9	(1.5)	736.3	0.7	2.8	2,203.2							--	2,943.4	2,891.7	51.7	1.8%
Environment and Recreation	0.1	--	--	0.4	--	0.8							--	1.3	0.3	1.0	333.3%
General Government	1.7	4.3	5.1	32.1	108.8	22.5							--	174.5	70.3	104.2	148.2%
Public Health:																	
Medicaid	241.5	445.4	430.7	502.7	356.5	370.0							--	2,346.8	2,247.5	99.3	4.4%
Other Public Health	75.7	107.3	377.9	224.3	205.2	228.7							--	1,219.1	1,269.4	(50.3)	-4.0%
Public Safety	2.4	11.2	8.9	4.1	12.8	5.1							--	44.5	41.0	3.5	8.5%
Public Welfare	0.5	0.7	0.3	0.2	0.3	--							--	2.0	6.7	(4.7)	-70.1%
Support and Regulate Business	5.2	3.5	2.5	200.9	7.9	19.1							--	239.1	210.6	28.5	13.5%
Transportation	180.4	469.3	440.4	331.2	447.4	406.3							--	2,275.0	2,053.3	221.7	10.8%
Total Local Assistance Grants	509.4	1,040.2	2,002.1	1,296.6	1,141.7	3,255.7	0.0	0.0	0.0	0.0	0.0	0.0	--	9,245.7	8,790.8	454.9	5.2%
Departmental Operations:																	
Personal Service	564.9	624.3	506.4	671.2	506.3	507.9							--	3,381.0	2,872.0	509.0	17.7%
Non-Personal Service	250.3	294.5	327.3	264.7	298.0	340.6							--	1,775.4	1,405.1	370.3	26.4%
General State Charges	18.4	41.6	365.3	23.3	335.3	107.6							--	891.5	435.1	456.4	104.9%
Capital Projects	1.5	4.8	1.0	0.4	0.7	(3.8)							--	4.6	3.1	1.5	48.4%
Total Disbursements	1,344.5	2,005.4	3,202.1	2,256.2	2,282.0	4,208.0	0.0	0.0	0.0	0.0	0.0	0.0	--	15,298.2	13,506.1	1,792.1	13.3%
Excess (Deficiency) of Receipts over Disbursements	401.5	(369.3)	(1,126.9)	(632.4)	(240.9)	(1,923.3)	0.0	0.0	0.0	0.0	0.0	0.0	--	(3,891.3)	(2,943.0)	(948.3)	-32.2%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	414.5	811.7	773.9	635.6	607.4	1,041.3							(158.9)	4,125.5	3,787.4	338.1	8.9%
Transfers to Other Funds	(30.6)	(104.8)	(85.0)	17.9	(4.1)	(224.1)							--	(430.7)	(132.6)	298.1	224.8%
Total Other Financing Sources (Uses)	383.9	706.9	688.9	653.5	603.3	817.2	0.0	0.0	0.0	0.0	0.0	0.0	(158.9)	3,694.8	3,654.8	40.0	1.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$785.4	\$337.6	(\$438.0)	\$21.1	\$362.4	(\$1,106.1)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$158.9)	(\$196.5)	\$711.8	(\$908.3)	-127.6%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2013-2014
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

													6 Months Ended Sept. 30				
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --							\$ --	\$ --	\$ --	--	--
Consumption/Use Taxes	--	--	--	--	--	--							--	--	--	--	--
Business Taxes	--	--	--	--	--	--							--	--	--	--	--
Other Taxes	--	--	--	--	--	--							--	--	--	--	--
Miscellaneous Receipts	12.6	36.7	11.3	12.6	18.3	11.3							102.8	99.1	3.7	3.7%	
Federal Receipts	2,381.6	4,738.8	3,125.5	3,397.8	3,609.5	3,286.3							20,539.5	18,281.4	2,258.1	12.4%	
Total Receipts	2,394.2	4,775.5	3,136.8	3,410.4	3,627.8	3,297.6	0.0	0.0	0.0	0.0	0.0	0.0	20,642.3	18,380.5	2,261.8	12.3%	
DISBURSEMENTS:																	
Local Assistance Grants																	
Education	255.3	835.1	300.2	324.4	148.4	189.0							--	2,052.4	1,683.4	369.0	21.9%
Environment and Recreation	--	--	0.2	0.1	0.5	--							--	0.8	0.4	0.4	100.0%
General Government	8.9	16.4	2.9	1.9	16.9	2.4							--	49.4	19.7	29.7	150.8%
Public Health:																	
Medicaid	1,730.7	2,544.8	1,857.4	2,030.4	1,868.6	1,880.7							--	11,912.6	11,382.0	530.6	4.7%
Other Public Health	93.0	125.0	147.7	125.0	254.8	118.3							--	863.8	690.2	173.6	25.2%
Public Safety	101.3	375.2	100.6	286.2	186.5	89.6							--	1,139.4	425.9	713.5	167.5%
Public Welfare	224.4	324.0	408.6	671.3	418.1	579.9							--	2,626.3	2,073.9	552.4	26.6%
Support and Regulate Business	1.3	1.0	0.3	0.1	--	1.2							--	3.9	3.7	0.2	5.4%
Transportation	2.0	3.1	5.7	3.7	3.1	5.0							--	22.6	21.5	1.1	5.1%
Total Local Assistance Grants	2,416.9	4,224.6	2,823.6	3,443.1	2,896.9	2,866.1	0.0	0.0	0.0	0.0	0.0	0.0	--	18,671.2	16,300.7	2,370.5	14.5%
Departmental Operations:																	
Personal Service	50.8	47.2	51.8	64.1	45.2	45.1							--	304.2	286.1	18.1	6.3%
Non-Personal Service	39.8	57.7	67.1	68.9	89.7	139.2							--	462.4	380.8	81.6	21.4%
General State Charges	7.9	3.3	47.6	7.1	5.8	52.1							--	123.8	85.0	38.8	45.6%
Capital Projects	--	--	--	--	--	--							--	--	--	--	--
Total Disbursements	2,515.4	4,332.8	2,990.1	3,583.2	3,037.6	3,102.5	0.0	0.0	0.0	0.0	0.0	0.0	19,561.6	17,052.6	2,509.0	14.7%	
Excess (Deficiency) of Receipts over Disbursements	(121.2)	442.7	146.7	(172.8)	590.2	195.1	0.0	0.0	0.0	0.0	0.0	0.0	1,080.7	1,327.9	(247.2)	-18.6%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--							--	--	--	--	--
Transfers to Other Funds	(67.2)	(251.5)	(287.9)	(134.0)	(275.4)	(322.9)							158.9	(1,180.0)	(1,521.4)	(341.4)	-22.4%
Total Other Financing Sources (Uses)	(67.2)	(251.5)	(287.9)	(134.0)	(275.4)	(322.9)	0.0	0.0	0.0	0.0	0.0	158.9	(1,180.0)	(1,521.4)	(341.4)	-22.4%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$188.4)	\$191.2	(\$141.2)	(\$306.8)	\$314.8	(\$127.8)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$158.9	(\$99.3)	(\$193.5)	\$94.2	48.7%	

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2013-2014
(amounts in millions)

EXHIBIT "G"
TAX RECEIPTS

	2013						2014						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2013	2012
PERSONAL INCOME TAX	\$ --	\$ --	\$422.1	\$ --	\$ --	\$188.9							\$611.0	\$587.7
Total Personal Income Tax	--	--	422.1	--	--	188.9	0.0	0.0	0.0	0.0	0.0	0.0	611.0	587.7
CONSUMPTION/USE TAXES														
Sales and Use	87.5	60.6	81.7	61.7	61.6	80.2							433.3	393.7
Auto Rental	1.0	--	10.0	--	--	13.8							24.8	22.2
Cigarette/Tobacco Products	83.7	92.8	89.6	104.3	86.6	89.0							546.0	588.2
Motor Fuel	7.2	8.7	9.1	8.2	9.4	8.6							51.2	52.0
Alcoholic Beverage	--	--	--	--	--	--							--	--
Highway Use	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Taxicab Trip	19.9	0.6	0.2	20.4	0.7	0.4							42.2	43.2
Total Consumption/Use Taxes and Fees	199.3	162.7	190.6	194.6	158.3	192.0	0.0	0.0	0.0	0.0	0.0	0.0	1,097.5	1,099.3
BUSINESS TAXES														
Corporation Franchise	46.0	14.2	62.8	13.8	4.2	60.5							201.5	156.8
Corporation and Utilities	(0.2)	0.1	34.6	0.3	0.6	31.4							66.8	77.0
Insurance	0.6	0.7	29.9	2.5	0.6	29.5							63.8	67.2
Bank	4.5	1.1	36.2	3.8	4.1	28.9							78.6	122.9
Petroleum Business	40.0	43.3	47.3	45.0	46.4	46.0							268.0	253.4
Total Business Taxes	90.9	59.4	210.8	65.4	55.9	196.3	0.0	0.0	0.0	0.0	0.0	0.0	678.7	677.3
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--							--	--
Estate and Gift	--	--	--	--	--	--							--	--
Pari-Mutuel	--	--	--	--	--	--							--	--
Real Estate Transfer	--	--	--	--	--	--							--	--
Racing and Exhibitions	--	--	--	--	--	--							--	--
Metropolitan Commuter Trans. Mobility	121.6	98.5	70.4	96.4	81.8	73.3							542.0	559.2
Total Other Taxes	121.6	98.5	70.4	96.4	81.8	73.3	0.0	0.0	0.0	0.0	0.0	0.0	542.0	559.2
Total Tax Receipts	\$411.8	\$320.6	\$893.9	\$356.4	\$296.0	\$650.5	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$2,929.2	\$2,923.5

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2013-2014**
(amounts in millions)

EXHIBIT "H"

													6 Months Ended Sept. 30			
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$379.1	\$802.9	\$927.9	\$577.6	\$988.5	\$1,172.2							\$379.1	\$427.5	(\$48.4)	-11.3%
RECEIPTS:																
Personal Income Tax	1,664.3	596.6	956.7	604.0	553.9	1,018.3							5,393.8	4,841.9	551.9	11.4%
Consumption/Use Taxes																
Sales and Use	373.6	488.7	604.6	452.7	451.8	594.7							2,966.1	1,392.3	1,573.8	113.0%
Other Taxes	57.6	64.1	41.5	62.0	68.2	79.8							373.2	321.4	51.8	16.1%
Miscellaneous Receipts	26.7	52.5	55.3	45.9	27.6	44.9							252.9	395.9	(143.0)	-36.1%
Federal Receipts (*)	--	--	0.1	1.6	32.6	--							34.3	39.4	(5.1)	-12.9%
Total Receipts	<u>2,122.2</u>	<u>1,201.9</u>	<u>1,658.2</u>	<u>1,166.2</u>	<u>1,134.1</u>	<u>1,737.7</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>9,020.3</u>	<u>6,990.9</u>	<u>2,029.4</u>	<u>29.0%</u>
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	1.3	0.6	3.5	5.9	3.6	4.9							19.8	17.7	2.1	11.9%
Debt Service, including payments on financing agreements	281.9	136.9	409.7	89.9	373.4	794.3							2,086.1	2,320.1	(234.0)	-10.1%
Total Disbursements	<u>283.2</u>	<u>137.5</u>	<u>413.2</u>	<u>95.8</u>	<u>377.0</u>	<u>799.2</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,105.9</u>	<u>2,337.8</u>	<u>(231.9)</u>	<u>-9.9%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>1,839.0</u>	<u>1,064.4</u>	<u>1,245.0</u>	<u>1,070.4</u>	<u>757.1</u>	<u>938.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>6,914.4</u>	<u>4,653.1</u>	<u>2,261.3</u>	<u>48.6%</u>
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	724.2	127.6	311.2	583.9	344.3	273.3							2,364.5	2,991.4	(626.9)	-21.0%
Transfers to Other Funds	(2,139.4)	(1,067.0)	(1,906.5)	(1,243.4)	(917.7)	(1,920.2)							(9,194.2)	(7,683.8)	1,510.4	19.7%
Total Other Financing Sources (Uses)	<u>(1,415.2)</u>	<u>(939.4)</u>	<u>(1,595.3)</u>	<u>(659.5)</u>	<u>(573.4)</u>	<u>(1,646.9)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(6,829.7)</u>	<u>(4,692.4)</u>	<u>(2,137.3)</u>	<u>-45.5%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>423.8</u>	<u>125.0</u>	<u>(350.3)</u>	<u>410.9</u>	<u>183.7</u>	<u>(708.4)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>84.7</u>	<u>(39.3)</u>	<u>124.0</u>	<u>315.5%</u>
Ending Fund Balance	<u>\$802.9</u>	<u>\$927.9</u>	<u>\$577.6</u>	<u>\$988.5</u>	<u>\$1,172.2</u>	<u>\$463.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$463.8</u>	<u>\$388.2</u>	<u>\$75.6</u>	<u>19.5%</u>

(*) Federal receipts includes credit payments for interest paid on Build America Bonds and Qualified School Construction Bonds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2013-2014
(amounts in millions)

EXHIBIT "I"
COMBINED

													6 Months Ended Sept. 30			
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	(\$486.0)	(\$598.2)	(\$662.3)	(\$813.7)	(\$952.2)	(1,171.1)							(\$486.0)	(\$449.4)	(\$36.6)	-8.1%
RECEIPTS:																
Consumption/Use Taxes						\$22.7							40.4	37.3	3.1	8.3%
Auto Rental	1.2	0.1	16.4	--	--											
Motor Fuel	27.4	31.5	34.4	33.5	35.2	33.3							195.3	195.9	(0.6)	-0.3%
Highway Use	12.9	10.9	10.6	13.7	10.2	11.4							69.7	68.9	0.8	1.2%
Business Taxes																
Petroleum Business	50.0	54.0	59.5	56.1	57.8	57.3							334.7	317.3	17.4	5.5%
Transmission	(0.4)	--	2.5	(0.6)	0.1	2.1							3.7	5.3	(1.6)	-30.2%
Other Taxes	--	--	11.9	11.9	11.9	11.9							47.6	47.6	--	--
Miscellaneous Receipts	125.1	116.6	169.1	317.1	156.3	522.6							1,406.8	1,746.0	(339.2)	-19.4%
Federal Receipts	112.3	188.2	160.8	261.8	182.1	363.6							1,268.8	899.1	369.7	41.1%
Total Receipts	328.5	401.3	465.2	693.5	453.6	1,024.9	0.0	0.0	0.0	0.0	0.0	0.0	3,367.0	3,317.4	49.6	1.5%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	4.3	1.2	1.7	12.1	0.5							19.9	17.5	2.4	13.7%
Environment and Recreation	11.2	1.0	11.2	5.1	13.8	152.4							194.7	96.4	98.3	102.0%
General Government	3.5	3.5	11.7	1.3	3.5	1.5							25.0	27.0	(2.0)	-7.4%
Public Health:																
Medicaid	--	--	--	--	--	--							--	--	--	--
Other Public Health	3.7	11.7	14.1	28.7	19.4	21.1							98.7	130.2	(31.5)	-24.2%
Public Safety	--	--	--	--	--	--							--	--	--	--
Public Welfare	--	7.5	--	7.5	63.4	1.6							80.0	24.8	55.2	222.6%
Support and Regulate Business	12.4	14.1	61.2	93.5	25.6	13.4							220.2	203.0	17.2	8.5%
Transportation	54.7	64.8	54.8	87.8	58.1	47.5							367.7	211.1	156.6	74.2%
Total Local Assistance Grants	85.6	106.9	154.2	225.6	195.9	238.0							1,006.2	710.0	296.2	41.7%
Departmental Operations:																
Personal Service	--	--	--	--	--	--							--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--
Capital Projects	327.2	425.0	514.9	499.7	523.8	585.4							2,876.0	2,550.2	325.8	12.8%
Total Disbursements	412.8	531.9	669.1	725.3	719.7	823.4	0.0	0.0	0.0	0.0	0.0	0.0	3,882.2	3,260.2	622.0	19.1%
Excess (Deficiency) of Receipts over Disbursements	(84.3)	(130.6)	(203.9)	(31.8)	(266.1)	201.5	0.0	0.0	0.0	0.0	0.0	0.0	(515.2)	57.2	(572.4)	-1000.7%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--							--	--	--	--
Transfers from Other Funds	66.7	156.7	144.9	(11.6)	137.5	196.3							690.5	323.1	367.4	113.7%
Transfers to Other Funds	(94.6)	(90.2)	(92.4)	(95.1)	(90.3)	(228.5)							(691.1)	(705.7)	(14.6)	-2.1%
Total Other Financing Sources (Uses)	(27.9)	66.5	52.5	(106.7)	47.2	(32.2)	0.0	0.0	0.0	0.0	0.0	0.0	(0.6)	(382.6)	382.0	99.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(112.2)	(64.1)	(151.4)	(138.5)	(218.9)	169.3	0.0	0.0	0.0	0.0	0.0	0.0	(515.8)	(325.4)	(190.4)	-58.5%
Ending Fund Balance	(\$598.2)	(\$662.3)	(\$813.7)	(\$952.2)	(\$1,171.1)	(\$1,001.8)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$1,001.8)	(\$774.8)	(\$227.0)	-29.3%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF RECEIPTS AND DISBURSEMENTS
 FISCAL YEAR 2013-2014
 (amounts in millions)

EXHIBIT "I"
 STATE

													6 Months Ended Sept. 30				
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes																	
Auto Rental	\$1.2	\$0.1	\$16.4	\$ --	\$ --	\$22.7							\$ --	\$40.4	\$37.3	\$3.1	8.3%
Motor Fuel	27.4	31.5	34.4	33.5	35.2	33.3							--	195.3	195.9	(0.6)	-0.3%
Highway Use	12.9	10.9	10.6	13.7	10.2	11.4							--	69.7	68.9	0.8	1.2%
Business Taxes																	
Petroleum Business	50.0	54.0	59.5	56.1	57.8	57.3							--	334.7	317.3	17.4	5.5%
Transmission	(0.4)	--	2.5	(0.6)	0.1	2.1							--	3.7	5.3	(1.6)	-30.2%
Other Taxes	--	--	11.9	11.9	11.9	11.9							--	47.6	47.6	--	--
Miscellaneous Receipts	125.0	116.6	169.0	317.1	155.9	522.7							--	1,406.3	1,745.3	(339.0)	-19.4%
Federal Receipts	--	--	--	--	--	2.5							--	2.5	2.7	(0.2)	-7.4%
Total Receipts	216.1	213.1	304.3	431.7	271.1	663.9	0.0	0.0	0.0	0.0	0.0	0.0	--	2,100.2	2,420.3	(320.1)	-13.2%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.1	4.3	1.2	1.7	12.1	0.5							--	19.9	17.5	2.4	13.7%
Environment and Recreation	2.4	1.0	2.5	5.1	10.0	31.5							--	52.5	36.6	15.9	43.4%
General Government	3.5	3.5	11.7	1.3	3.5	1.5							--	25.0	27.0	(2.0)	-7.4%
Public Health:																	
Medicaid	--	--	--	--	--	--							--	--	--	--	--
Other Public Health	3.7	11.7	14.1	28.7	19.4	19.7							--	97.3	84.9	12.4	14.6%
Public Safety	--	--	--	--	--	--							--	--	--	--	--
Public Welfare	--	7.5	--	7.5	63.4	1.6							--	80.0	24.8	55.2	222.6%
Support and Regulate Business	12.4	14.1	61.2	93.5	25.6	13.4							--	220.2	203.0	17.2	8.5%
Transportation	0.2	1.4	0.6	0.3	0.7	0.5							--	3.7	2.6	1.1	42.3%
Total Local Assistance Grants	22.3	43.5	91.3	138.1	134.7	68.7							--	498.6	396.4	102.2	25.8%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--							--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--	--
Capital Projects	271.0	334.9	401.8	378.2	378.1	459.4							--	2,223.4	1,982.3	241.1	12.2%
Total Disbursements	293.3	378.4	493.1	516.3	512.8	528.1	0.0	0.0	0.0	0.0	0.0	0.0	--	2,722.0	2,378.7	343.3	14.4%
Excess (Deficiency) of Receipts over Disbursements	(77.2)	(165.3)	(188.8)	(84.6)	(241.7)	135.8	0.0	0.0	0.0	0.0	0.0	0.0	--	(621.8)	41.6	(663.4)	-1594.7%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--	--								--	--	--	--
Transfers from Other Funds	66.7	156.7	144.9	(11.6)	137.5	196.3							--	690.5	323.1	367.4	113.7%
Transfers to Other Funds	(94.6)	(90.2)	(92.4)	(95.1)	(90.3)	(228.5)							--	(691.1)	(705.7)	(14.6)	-2.1%
Total Other Financing Sources (Uses)	(27.9)	66.5	52.5	(106.7)	47.2	(32.2)	0.0	0.0	0.0	0.0	0.0	0.0	--	(0.6)	(382.6)	382.0	99.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$105.1)	(\$98.8)	(\$136.3)	(\$191.3)	(\$194.5)	\$103.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$622.4)	(\$341.0)	(\$281.4)	-82.5%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-Federal funds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2013-2014
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													Intra-Fund Transfer Eliminations (*)	6 Months Ended Sept. 30			
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH		2013	2012	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$0.1	\$ --	\$0.1	\$ --	\$0.4	(\$0.1)							\$ --	\$0.5	\$0.7	(\$0.2)	-28.6%
Federal Receipts	112.3	188.2	160.8	261.8	182.1	361.1							--	1,266.3	896.4	369.9	41.3%
Total Receipts	112.4	188.2	160.9	261.8	182.5	361.0	0.0	0.0	0.0	0.0	0.0	0.0	--	1,266.8	897.1	369.7	41.2%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--							--	--	--	--	--
Environment and Recreation	8.8	--	8.7	--	3.8	120.9							--	142.2	59.8	82.4	137.8%
General Government	--	--	--	--	--	--							--	--	--	--	--
Public Health:																	
Medicaid	--	--	--	--	--	--							--	--	--	--	--
Other Public Health	--	--	--	--	--	1.4							--	1.4	45.3	(43.9)	-96.9%
Public Safety	--	--	--	--	--	--							--	--	--	--	--
Public Welfare	--	--	--	--	--	--							--	--	--	--	--
Support and Regulate Business	--	--	--	--	--	--							--	--	--	--	--
Transportation	54.5	63.4	54.2	87.5	57.4	47.0							--	364.0	208.5	155.5	74.6%
Total Local Assistance Grants	63.3	63.4	62.9	87.5	61.2	169.3							--	507.6	313.6	194.0	61.9%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--							--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--							--	--	--	--	--
General State Charges	--	--	--	--	--	--							--	--	--	--	--
Capital Projects	56.2	90.1	113.1	121.5	145.7	126.0							--	652.6	567.9	84.7	14.9%
Total Disbursements	119.5	153.5	176.0	209.0	206.9	295.3	0.0	0.0	0.0	0.0	0.0	0.0	--	1,160.2	881.5	278.7	31.6%
Excess (Deficiency) of Receipts over Disbursements	(7.1)	34.7	(15.1)	52.8	(24.4)	65.7	0.0	0.0	0.0	0.0	0.0	0.0	--	106.6	15.6	91.0	583.3%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--							--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--	--	--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--	--	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$7.1)	\$34.7	(\$15.1)	\$52.8	(\$24.4)	\$65.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	\$106.6	\$15.6	\$91.0	583.3%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2013-2014
(amounts in millions)**

EXHIBIT J

													6 Months Ended Sept. 30	
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	2013	2012
Beginning Fund Balance	\$83.7	\$38.1	\$85.6	\$88.2	\$88.9	\$168.4							\$83.7	\$97.1
RECEIPTS:														
Miscellaneous Receipts	4.7	5.1	5.5	20.2	71.3	10.7							117.5	120.0
Federal Receipts	179.8	174.8	153.6	165.3	144.5	135.2							953.2	1,808.1
Unemployment Taxes	269.7	254.6	208.7	270.8	246.7	215.8							1,466.3	1,631.0
Total Receipts	454.2	434.5	367.8	456.3	462.5	361.7	0.0	0.0	0.0	0.0	0.0	0.0	2,537.0	3,559.1
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.4	0.4	0.3	0.7	0.5	1.8							4.1	3.6
Non-Personal Service	3.2	3.7	4.1	3.6	6.4	90.3							111.3	122.2
General State Charges	--	--	0.1	--	--	0.4							0.5	0.2
Unemployment Benefits	496.2	382.9	360.7	451.3	376.1	392.0							2,459.2	3,439.0
Total Disbursements	499.8	387.0	365.2	455.6	383.0	484.5	0.0	0.0	0.0	0.0	0.0	0.0	2,575.1	3,565.0
Excess (Deficiency) of Receipts over Disbursements	(45.6)	47.5	2.6	0.7	79.5	(122.8)	0.0	0.0	0.0	0.0	0.0	0.0	(38.1)	(5.9)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(45.6)	47.5	2.6	0.7	79.5	(122.8)	0.0	0.0	0.0	0.0	0.0	0.0	(38.1)	(5.9)
Ending Fund Balance	\$38.1	\$85.6	\$88.2	\$88.9	\$168.4	\$45.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$45.6	\$91.2

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2013-2014
(amounts in millions)

EXHIBIT K

													6 Months Ended Sept. 30	
	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2014 JANUARY	FEBRUARY	MARCH	2013	2012
Beginning Fund Balance	(\$6.4)	(\$23.3)	(\$52.2)	(\$60.2)	(\$78.4)	(\$79.9)							(\$6.4)	\$41.9
RECEIPTS:														
Miscellaneous Receipts	17.5	27.5	34.0	27.3	39.2	39.7							185.2	109.6
Total Receipts	17.5	27.5	34.0	27.3	39.2	39.7	0.0	0.0	0.0	0.0	0.0	0.0	185.2	109.6
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	10.9	8.9	7.3	11.9	8.4	7.6							55.0	52.6
Non-Personal Service	25.1	51.1	37.6	37.1	32.0	42.9							225.8	171.3
General State Charges	1.2	1.6	10.9	--	1.7	5.2							20.6	12.6
Total Disbursements	37.2	61.6	55.8	49.0	42.1	55.7	0.0	0.0	0.0	0.0	0.0	0.0	301.4	236.5
Excess (Deficiency) of Receipts over Disbursements	(19.7)	(34.1)	(21.8)	(21.7)	(2.9)	(16.0)	0.0	0.0	0.0	0.0	0.0	0.0	(116.2)	(126.9)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	2.8	5.2	13.8	3.6	1.4	13.5							40.3	40.0
Transfers to Other Funds	--	--	--	(0.1)	--	(6.3)							(6.4)	(5.9)
Total Other Financing Sources (Uses)	2.8	5.2	13.8	3.5	1.4	7.2	0.0	0.0	0.0	0.0	0.0	0.0	33.9	34.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(16.9)	(28.9)	(8.0)	(18.2)	(1.5)	(8.8)	0.0	0.0	0.0	0.0	0.0	0.0	(82.3)	(92.8)
Ending Fund Balance	(\$23.3)	(\$52.2)	(\$60.2)	(\$78.4)	(\$79.9)	(\$88.7)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$88.7)	(\$50.9)

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2013-2014
(amounts in millions)

EXHIBIT L

	2013						2014						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2013	2012
Beginning Fund Balance	(\$3.7)	(\$4.7)	(\$10.0)	(\$11.4)	(\$12.2)	(\$8.9)							(\$3.7)	\$0.9
RECEIPTS:														
Miscellaneous Receipts	4.7	7.9	4.3	6.2	16.6	13.2							52.9	36.1
Total Receipts	4.7	7.9	4.3	6.2	16.6	13.2	0.0	0.0	0.0	0.0	0.0	0.0	52.9	36.1
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	4.7	4.2	4.7	6.2	4.4	4.3							28.5	27.0
Non-Personal Service	1.0	1.5	0.8	0.8	1.5	0.6							6.2	8.8
General State Charges	--	7.5	0.2	--	7.4	--							15.1	6.2
Total Disbursements	5.7	13.2	5.7	7.0	13.3	4.9	0.0	0.0	0.0	0.0	0.0	0.0	49.8	42.0
Excess (Deficiency) of Receipts over Disbursements	(1.0)	(5.3)	(1.4)	(0.8)	3.3	8.3	0.0	0.0	0.0	0.0	0.0	0.0	3.1	(5.9)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(1.0)	(5.3)	(1.4)	(0.8)	3.3	8.3	0.0	0.0	0.0	0.0	0.0	0.0	3.1	(5.9)
Ending Fund Balance	(\$4.7)	(\$10.0)	(\$11.4)	(\$12.2)	(\$8.9)	(\$0.6)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$0.6)	(\$5.0)

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2013-2014
(amounts in millions)

EXHIBIT M

	2013						2014						6 Months Ended Sept. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2013	2012
Beginning Fund Balance	\$10.3	\$10.6	\$11.0	\$10.7	\$10.7	\$10.8							\$10.3	\$10.2
RECEIPTS:														
Miscellaneous Receipts	0.3	0.4	(0.3)	0.1	0.1	--							0.6	0.6
Total Receipts	0.3	0.4	(0.3)	0.1	0.1	--	0.0	0.0	0.0	0.0	0.0	0.0	0.6	0.6
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	--	--	0.1	--	--							0.1	0.1
Non-Personal Service	--	--	--	--	--	--							--	--
General State Charges	--	--	--	--	--	--							--	--
Total Disbursements	--	--	--	0.1	--	--	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.1
Excess (Deficiency) of Receipts over Disbursements	0.3	0.4	(0.3)	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.5
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--							--	--
Transfers to Other Funds	--	--	--	--	--	--							--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.3	0.4	(0.3)	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.5
Ending Fund Balance	\$10.6	\$11.0	\$10.7	\$10.7	\$10.8	\$10.8	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$10.8	\$10.7

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF SEPTEMBER 2013
 (amounts in millions)

SCHEDULE 1

	BALANCE 9/1/13	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/13
GENERAL FUND					
10000-10049-Local Assistance Account	\$ --	\$0.015	\$2,873.571	\$2,873.556	\$ --
10050-10099-State Operations Account	3,551.937	4,704.905	377.164	(1,695.876)	6,183.802
10100-10149-Tax Stabilization Reserve	--	--	--	--	--
10150-10199-Contingency Reserve	--	--	--	--	--
10200-10249-Universal Pre-K Reserve	--	--	--	--	--
10250-10299-Community Projects	90.045	--	0.359	--	89.686
10300-10349-Rainy Day Reserve Fund	--	--	--	--	--
10400-10449-Refund Reserve Account	--	--	--	--	--
10500-10549-Fringe Benefits Escrow	--	413.797	413.797	--	--
10550-10599-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	3,641.982	5,118.717	3,664.891	1,177.680	6,273.488
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.218	0.004	0.006	--	2.216
20100-20299-Combined Expendable Trust	63.731	0.602	0.566	(0.036)	63.731
20300-20349-New York Interest on Lawyer Account	9.677	0.750	0.708	--	9.719
20350-20399-NYS Archives Partnership Trust	0.349	--	0.015	--	0.334
20400-20449-Child Performer's Protection	0.201	0.011	0.035	--	0.177
20450-20499-Tuition Reimbursement	6.205	0.290	0.177	--	6.318
20500-20549-New York State Local Government Records Management Improvement	4.223	0.964	2.858	--	2.329
20550-20599-School Tax Relief	--	188.853	188.804	--	0.049
20600-20649-Charter Schools Stimulus	0.704	0.001	0.103	--	0.602
20650-20699-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
20800-20849-HCRA Resources	53.339	443.804	404.447	(9.676)	83.020
20850-20899-Dedicated Mass Transportation Trust	34.889	95.084	60.683	--	69.290
20900-20949-State Lottery	1,172.244	258.852	2,024.756	--	(593.660)
20950-20999-Combined Student Loan	22.860	1.951	6.007	--	18.804
21000-21049-Sewage Treatment Program Mgmt. & Administration	0.684	--	0.527	--	0.157
21050-21149-EnCon Special Revenue	(33.668)	9.402	10.643	--	(34.909)
21150-21199-Conservation	85.616	11.147	8.100	--	88.663
21200-21249-Environmental Protection and Oil Spill Compensation	18.943	2.683	3.048	--	18.578
21250-21299-Training and Education Program on OSHA	5.359	0.001	4.200	--	1.160
21300-21349-Lawyers' Fund for Client Protection	7.026	0.576	0.656	--	6.946
21350-21399-Equipment Loan for the Disabled	0.546	0.004	0.011	--	0.539
21400-21449-Mass Transportation Operating Assistance	106.482	200.647	138.072	8.674	177.731
21450-21499-Clean Air	(19.258)	3.164	3.864	--	(19.958)
21500-21549-New York State Infrastructure Trust	0.077	--	--	--	0.077
21550-21559-Legislative Computer Services	10.318	0.061	0.100	--	10.279
21600-21649-Biodiversity Stewardship and Research	--	--	--	--	--
21650-21699-Combined Non-Expendable Trust	3.484	--	--	--	3.484
21700-21749-Winter Sports Education Trust	0.987	0.001	--	--	0.988
21750-21799-Musical Instrument Revolving	0.001	--	--	--	0.001
21850-21899-Arts Capital Revolving	0.805	0.001	--	--	0.806
21900-22499-Miscellaneous State Special Revenue	730.475	201.373	642.119	789.742	1,079.471

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2013
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 9/1/13	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/13
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22500-22549-Court Facilities Incentive Aid	23.007	0.008	19.228	20.000	23.787
22550-22599-Employment Training	0.049	--	--	--	0.049
22650-22699-State University Income	729.139	734.853	463.818	6.644	1,006.818
22700-22749-Chemical Dependence Service	11.114	0.981	0.099	--	11.996
22750-22799-Lake George Park Trust	0.686	--	0.091	--	0.595
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	29.467	8.464	0.193	--	37.738
22850-22899-New York Great Lakes Protection	0.189	--	0.018	--	0.171
22900-22949-Federal Revenue Maximization	0.023	--	--	--	0.023
22950-22999-Housing Development	8.891	0.006	0.018	--	8.879
23000-23049-NYS/DOT Highway Safety Program	(4.523)	(0.001)	0.216	--	(4.740)
23050-23099-Vocational Rehabilitation	0.163	0.006	--	--	0.169
23100-23149-Drinking Water Program Management and Administration	(6.818)	1.039	0.323	--	(6.102)
23150-23199-NYC County Clerks' Operations Offset	(30.548)	--	1.877	--	(32.425)
23200-23249-Judiciary Data Processing Offset	7.907	2.606	1.535	--	8.978
23250-23449-IFR / CUTRA	118.468	7.827	15.189	--	111.106
23500-23549-USOC Lake Placid Training	0.063	0.001	--	--	0.064
23550-23599-Indigent Legal Services	84.074	6.137	0.210	--	90.001
23600-23649-Unemployment Insurance Interest and Penalty	7.044	0.831	0.402	--	7.473
23650-23699-MTA Financial Assistance Fund	171.948	101.642	204.186	1.894	71.298
TOTAL SPECIAL REVENUE FUNDS-STATE	3,438.860	2,284.626	4,207.908	817.242	2,332.820
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA / Food and Consumer Services	(63.639)	266.401	238.938	--	(36.176)
25100-25199-Federal Health and Human Services	(39.617)	2,557.010	2,476.765	(322.570)	(281.942)
25200-25249-Federal Education	(22.824)	195.579	192.027	(0.410)	(19.682)
25250-25299-Federal DHHS Block Grant	--	--	--	--	--
25300-25899-Federal Miscellaneous Operating Grants	(68.403)	204.059	121.028	--	14.628
25900-25949-Unemployment Insurance Administration	65.876	49.158	48.778	--	66.256
25950-25999-Unemployment Insurance Occupational Training	1.435	0.373	0.551	--	1.257
26000-26049-Federal Employment and Training Grants	(0.289)	25.126	24.540	--	0.297
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(127.461)	3,297.706	3,102.627	(322.980)	(255.362)
	3,311.399	5,582.332	7,310.535	494.262	2,077.458
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	--	--	--	--	--
40100-40149-Mental Health Services	85.440	21.338	-	197.338	304.116
40150-40199-General Debt Service	862.646	1,315.708	735.980	(1,442.251)	0.123
40250-40299-State Housing Debt Service	--	4.240	5.055	0.815	--
40300-40349-Department of Health Income	22.970	14.394	--	(10.742)	26.622
40350-40399-State University Dormitory Income	123.494	4.911	--	(17.429)	110.976
40400-40449-Clean Water/Clean Air	15.934	79.764	--	(77.215)	18.483
40450-40499-Local Government Assistance Tax	61.697	297.359	58.124	(297.453)	3.479
TOTAL DEBT SERVICE FUNDS	1,172.181	1,737.714	799.159	(1,646.937)	463.799

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2013
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 9/1/13	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 9/30/13
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	--	12.905	221.444	208.539	--
30050-30099-Dedicated Highway and Bridge Trust	(574.854)	620.065	226.615	(228.027)	(409.431)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	111.490	0.038	4.902	(4.000)	102.626
30300-30349-New York State Canal System Development	4.116	0.398	--	--	4.514
30350-30399-Parks Infrastructure	(83.160)	2.220	6.112	--	(87.052)
30400-30449-Passenger Facility Charge	0.014	--	--	--	0.014
30450-30499-Environmental Protection	46.772	12.800	14.092	--	45.480
30500-30549-Clean Water/Clean Air Implementation	--	--	--	--	--
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
30610-30619-Park & Recreation Land Acquisition Bond	--	--	--	--	--
30620-30629-Pure Waters Bond	1.169	--	--	(0.071)	1.098
30630-30639-Transportation Capital Facilities Bond	3.391	--	--	--	3.391
30640-30649-Environmental Quality Protection Bond	1.992	--	--	--	1.992
30650-30659-Rebuild and Renew New York Transportation Bond	103.289	--	--	(7.711)	95.578
30660-30669-Transportation Infrastructure Renewal Bond	4.257	--	--	--	4.257
30670-30679-1986 Environmental Quality Bond Act	14.948	--	--	--	14.948
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.837	--	--	--	2.837
30690-30699-Clean Water/Clean Air Bond	4.388	--	--	(0.393)	3.995
30700-30749-State Housing Bond	--	--	--	--	--
30750-30799-Outdoor Recreation Development Bond	--	--	--	--	--
30900-30949-Rail Preservation and Development Bond	--	--	--	--	--
31350-31449-Federal Capital Projects	(126.346)	360.970	295.326	--	(60.702)
31450-31499-Forest Preserve Expansion	0.895	0.001	--	--	0.896
31500-31549-Hazardous Waste Remedial	(217.556)	1.318	17.038	(0.542)	(233.818)
31650-31699-Suburban Transportation	0.505	--	--	--	0.505
31700-31749-Division for Youth Facilities Improvement	(9.154)	--	3.340	--	(12.494)
31800-31849-Housing Assistance	(17.314)	--	--	--	(17.314)
31850-31899-Housing Program	(188.634)	--	1.650	--	(190.284)
31900-31949-Natural Resource Damage	16.164	0.018	0.058	--	16.124
31950-32199-DOT Engineering Services	(12.455)	--	0.228	--	(12.683)
32200-32249-Miscellaneous Capital Projects	30.813	0.292	1.228	--	29.877
32250-32299-CUNY Capital Projects	(0.023)	--	--	--	(0.023)
32300-32349-Mental Hygiene Facilities Capital Improvement	(345.399)	13.592	9.816	--	(341.623)
32350-32399-Correction Facilities Capital Improvement	(102.080)	--	15.384	--	(117.464)
32400-32552-State University Capital Projects	165.586	0.239	4.984	--	160.841
33000-33049-NYS Storm Recovery Fund	(6.858)	(0.001)	1.179	--	(8.038)
TOTAL CAPITAL PROJECTS FUNDS	(1,171.043)	1,024.855	823.396	(32.205)	(1,001.789)
TOTAL GOVERNMENTAL FUNDS	\$6,954.519	\$13,463.618	\$12,597.981	(\$7.200)	\$7,812.956

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF SEPTEMBER 2013
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE 9/1/13</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE 9/30/13</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$0.208	\$0.004	\$0.002	\$ --	\$0.210
50050-50099-State Exposition Special	6.361	5.442	5.034	--	6.769
50100-50299-Correctional Services Commissary	2.748	2.651	2.614	--	2.785
50300-50399-Agency Enterprise	2.381	0.247	0.147	--	2.481
50400-50449-OMH Sheltered Workshop	1.924	0.102	0.059	--	1.967
50450-50499-OPWDD Patient Workshop	1.157	0.021	0.069	--	1.109
50500-50599-Mental Hygiene Community Stores	3.537	0.112	0.093	--	3.556
50650-50699-Unemployment Insurance Benefit	150.115	353.104	476.506	--	26.713
TOTAL ENTERPRISE FUNDS	168.431	361.683	484.524	--	45.590
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-O.G.S. Centralized Services	(72.146)	31.562	18.371	(5.240)	(64.195)
55050-55099-Agency Internal Service	14.886	4.806	29.714	12.507	2.485
55100-55149-Mental Hygiene Revolving	0.155	0.061	0.058	--	0.158
55150-55199-Youth Vocational Education	0.068	0.001	--	--	0.069
55200-55249-Joint Labor/Management Administration	2.212	--	0.136	--	2.076
55250-55299-Audit and Control Revolving	(2.226)	--	1.327	(0.067)	(3.620)
55300-55349-Health Insurance Revolving	(15.310)	0.574	1.943	--	(16.679)
55350-55399-Correctional Industries Revolving	(7.570)	2.763	4.147	(0.001)	(8.955)
TOTAL INTERNAL SERVICE FUNDS	(79.931)	39.767	55.696	7.199	(88.661)
TOTAL PROPRIETARY FUNDS	\$88.500	\$401.450	\$540.220	\$7.199	(\$43.071)

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2013
(amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE 9/1/13</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE 9/30/13</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement-Administration	(\$8.893)	\$13.158	\$4.819	\$ --	(\$0.554)
TOTAL PENSION TRUST FUNDS	(8.893)	13.158	4.819	--	(0.554)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.170	0.001	0.024	--	2.147
66050-66099-Milk Producers' Security	8.583	0.055	0.028	--	8.610
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.753	0.056	0.052	--	10.757
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	19.993	0.465	--	--	20.458
60150-60199-Child Performer's Holding	0.219	0.001	0.001	--	0.219
60200-60249-Employees Health Insurance	354.558	533.567	599.886	--	288.239
60250-60299-Social Security Contribution	15.122	83.192	83.186	--	15.128
60300-60399-Employee Payroll Withholding Escrow	16.877	324.847	345.417	--	(3.693)
60400-60449-Employees Dental Insurance	8.480	5.266	5.743	--	8.003
60450-60499-Management Confidential Group Insurance	0.507	0.864	0.858	--	0.513
60500-60549-Lottery Prize	370.233	80.608	75.578	--	375.263
60550-60599-Health Insurance Reserve Receipts	0.108	0.001	--	--	0.109
60600-60799-Miscellaneous New York State Agency	536.278	222.426	157.659	--	601.045
60800-60849-Elderly Pharmaceutical Insurance Coverage Escrow	22.611	4.149	9.017	--	17.743
60850-60899-CUNY Senior College Operating	3.363	330.002	195.218	--	138.147
60900-60949-Medicaid Management Information System Escrow	198.484	3,591.125	3,649.898	--	139.711
60950-60999-Special Education	--	--	--	--	--
61000-61099-State University Collection	494.022	(144.967)	--	--	349.055
61100-61999-SUNY Federal Direct Lending Program	(66.429)	(20.971)	--	--	(87.400)
TOTAL AGENCY FUNDS	1,974.426	5,010.575	5,122.461	--	1,862.540
TOTAL FIDUCIARY FUNDS	\$1,976.286	\$5,023.789	\$5,127.332	\$ --	\$1,872.743

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF SEPTEMBER 2013
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE 9/1/13</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE 9/30/13</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$2.705	\$0.001	\$ --	\$2.706
70050-70149-Sole Custody Investment (*)	1,566.122	8,002.360	7,524.807	2,043.675
70200-Comptroller's Refund	--	148.822	148.822	--
TOTAL ACCOUNTS	<u>\$1,568.827</u>	<u>\$8,151.183</u>	<u>\$7,673.629</u>	<u>\$2,046.381</u>

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2013, \$10,265,510.76 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (20800-20849).

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2013-14**

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2013	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPT. 30, 2013	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2013	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2013		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2013
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$285,132,330.68	\$ --	\$ --	\$23,105,000.00	\$53,577,919.54	\$231,554,411.14	\$1,711,104.13	\$5,661,640.41
Clean Water/Clean Air:								
Air Quality	32,379,687.04	--	--	--	7,137,994.81	25,241,692.23	245,913.76	640,662.89
Safe Drinking Water	3,455,503.43	--	--	--	3,440,000.00	15,503.43	368.21	64,008.21
Water	466,466,948.26	--	--	--	9,081,707.68	457,385,240.58	3,805,614.10	7,522,000.36
Solid Waste	58,500,765.51	--	--	--	5,721,166.42	52,779,599.09	159,482.86	691,642.96
Environmental Restoration	92,867,014.02	--	--	--	118,970.67	92,748,043.35	773,430.93	1,842,108.42
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	9,972,896.88	--	--	2,645,000.00	3,195,741.03	6,777,155.85	77,181.83	133,468.61
Environmental Quality Protection (1972):								
Air	7,884,529.35	--	--	--	3,065,267.25	4,819,262.10	--	175,460.13
Land and Wetlands	18,101,670.81	--	--	1,525,000.00	5,956,872.83	12,144,797.98	54,227.20	410,694.15
Water	67,619,046.38	--	--	10,980,000.00	18,156,925.48	49,462,120.90	495,927.13	1,101,152.11
Environmental Quality (1986):								
Land and Forests	26,573,661.90	--	--	1,100,000.00	3,197,762.42	23,375,899.48	89,345.73	416,998.32
Solid Waste Management	324,746,087.76	--	--	5,000,000.00	20,304,130.16	304,441,957.60	2,563,211.29	5,329,836.59
Housing:								
Low Cost	28,425,000.00	--	--	--	3,705,000.00	24,720,000.00	--	436,550.00
Middle Income	26,745,000.00	--	--	4,720,000.00	4,720,000.00	22,025,000.00	335,451.00	335,451.00
Park and Recreation Land Acquisition	14,861.27	--	--	--	--	14,861.27	290.26	290.26
Pure Waters	57,002,922.06	--	--	5,660,000.00	10,440,044.54	46,562,877.52	421,966.91	984,620.15
Rail Preservation Development	2,677,029.95	--	--	1,170,000.00	1,533,033.63	1,143,996.32	20,374.00	53,566.46
Rebuild and Renew New York Transportation:								
Highway Facilities	873,119,380.50	--	--	--	--	873,119,380.50	9,660,500.21	18,892,605.63
Canals and Waterways	15,279,819.82	--	--	--	--	15,279,819.82	114,402.25	324,278.76
Aviation	56,152,937.84	--	--	--	--	56,152,937.84	529,233.79	1,167,477.35
Rail and Port	77,708,185.17	--	--	--	--	77,708,185.17	347,138.69	1,789,936.29
Mass Transit - Dept. of Transportation	11,239,879.29	--	--	--	--	11,239,879.29	41,465.40	251,216.47
Mass Transit - Metropolitan Transportation Authority	951,348,159.43	--	--	--	--	951,348,159.43	7,679,814.84	20,008,495.10
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	3,412,754.16	--	--	--	--	3,412,754.16	62,359.74	69,760.57
Ports, Canals, and Waterways	--	--	--	--	--	--	--	--
Rapid Transit, Rail, and Aviation	12,824,436.79	--	--	1,555,000.00	2,970,462.67	9,853,974.12	59,485.00	188,423.48
Transportation Capital Facilities:								
Aviation	13,478,354.46	--	--	770,000.00	2,309,983.61	11,168,370.85	71,006.00	241,879.46
Mass Transportation	1,011,136.70	--	--	850,000.00	892,017.26	119,119.44	11,842.00	15,951.74
Total General Obligation Bonded Debt	\$3,524,139,999.46	\$ --	\$ --	\$59,080,000.00	\$159,525,000.00	\$3,364,614,999.46	\$29,331,137.26	\$68,750,175.88

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX (6) MONTHS ENDED SEPTEMBER 30, 2013

SCHEDULE 5a

	DEBT	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL	MENTAL HEALTH SERVICES (40100-40149)	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION			GOVERNMENT		BOND	UNIVERSITY	6 MONTHS ENDED SEPT. 30		
	RESERVE FUND (40000-40049)			ASSISTANCE TAX (40450-40499)		TAX (40152)	DORMITORY INCOME (40350-40399)	2013	2012	
Special Contractual Financing Obligations:										
Payments to Public Authorities:										
City University Construction	\$ --	\$120,492,190	\$ --	\$ --	\$ --	\$ --	\$ --	\$120,492,190	\$128,491,576	(\$7,999,386)
Dormitory Authority:										
Albany County Airport	--	275,719	--	--	--	--	--	275,719	325,332	(49,613)
Child Care Facilities	--	--	--	--	--	--	--	--	--	--
Consolidated Service Contract Refunding	--	--	--	--	--	--	--	--	83,554,102	(83,554,102)
David Axelrod Institute	--	4,779,467	--	--	--	--	--	4,779,467	4,664,279	115,188
Department of Health Facilities	--	--	14,106,370	--	--	--	--	14,106,370	14,077,231	29,139
Economic Development Housing	--	--	--	--	--	7,976,074	--	7,976,074	9,549,235	(1,573,161)
Education	--	--	--	--	--	130,950,881	--	130,950,881	135,782,758	(4,831,877)
General Purpose	--	--	--	--	--	376,560,109	--	376,560,109	235,489,106	141,071,003
Health Care	--	--	--	--	--	2,822,019	--	2,822,019	2,911,369	(89,350)
Judicial Training Institute	--	--	--	--	--	--	--	--	--	--
Mental Health Facilities	--	--	--	--	106,119,423	--	--	106,119,423	110,626,048	(4,506,625)
OGS Parking	--	474,125	--	--	--	--	--	474,125	478,125	(4,000)
State Department of Education Facilities	--	916,516	--	--	--	--	--	916,516	982,867	(66,351)
State Facilities and Equipment	--	--	--	--	--	65,270	--	65,270	269,379	(204,109)
SUNY Community Colleges	--	28,026,713	--	--	--	--	--	28,026,713	25,349,483	2,677,230
SUNY Dormitory Facilities	--	--	--	--	--	--	--	--	67,111,158	(67,111,158)
SUNY Educational Facilities	--	--	--	--	--	--	--	--	150,671,089	(150,671,089)
Environmental Facilities Corporation	--	2,669,252	--	--	--	16,409,128	--	19,078,380	21,081,943	(2,003,563)
Housing Finance Agency	--	26,480,026	--	--	--	34,206,740	--	60,686,766	68,546,597	(7,859,831)
Local Government Assistance Corporation	--	--	--	57,300,500	--	--	--	57,300,500	63,816,513	(6,516,013)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	34,257,425	--	--	--	--	--	34,257,425	41,732,511	(7,475,086)
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	--	--	--	--	--	--	--	--	--
Thruway Authority:										
Dedicated Highway & Bridge	--	508,034,030	--	--	--	--	--	508,034,030	522,414,900	(14,380,870)
Local Highway & Bridge	--	95,441,800	--	--	--	--	--	95,441,800.00	101,186,800	(5,745,000)
Transportation	--	--	--	--	--	73,893,575	--	73,893,575	65,659,250	8,234,325
Urban Development Corporation:										
Center for Industrial Innovation at RPI	--	--	--	--	--	--	--	--	110,687	(110,687)
Clarkson University	--	159,913	--	--	--	--	--	159,913	178,200	(18,287)
Columbia Univer. Telecommunications Center	--	3,719,000	--	--	--	--	--	3,719,000	3,719,000	--
Community Enhancement Facilities Program	--	--	--	--	--	--	--	--	--	--
Consolidated Service Contract Refunding	--	62,634,127	--	--	--	--	--	62,634,127	67,945,314	(5,311,187)
Cornell Univer. Supercomputer Center	--	493,000	--	--	--	--	--	493,000	493,000	--
Correctional Facilities	--	4,187,685	--	--	--	--	--	4,187,685	5,400,708	(1,213,023)
Economic Development Housing	--	--	--	--	--	22,130,332	--	22,130,332	26,111,603	(3,981,271)
General Purpose	--	--	--	--	--	82,893,116	--	82,893,116	69,008,877	13,884,239
State Facilities and Equipment	--	--	--	--	--	38,798,562	--	38,798,562	41,819,009	(3,020,447)
Syracuse University Science and Technology Center	--	255,338	--	--	--	--	--	255,338	311,025	(55,687)
University Facilities Grant 95 Refunding	--	286,259	--	--	--	--	--	286,259	317,472	(31,213)
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$893,582,585	\$14,106,370	\$57,300,500	\$106,119,423	\$786,705,806	\$ --	\$1,857,814,684	\$2,070,186,546	(\$212,371,862)

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2013
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>SEPTEMBER 2013</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE SEPTEMBER 2012</u>
<u>SHORT TERM INVESTMENT POOL*</u>			
AVERAGE DAILY INVESTMENT BALANCE**	\$5,222.5	\$6,101.7	\$6,649.8
AVERAGE YIELD**	0.152%	0.151%	0.167%
TOTAL INVESTMENT EARNINGS	\$0.652	\$4.623	\$5.531

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>SEPTEMBER 2013 PAR AMOUNT</u>	<u>SEPTEMBER 2012 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ --	\$ --
REPURCHASE AGREEMENTS	21.5	1,417.5
COMMERCIAL PAPER	1,404.4	1,184.8
CERTIFICATES OF DEPOSIT/SAVINGS	3,748.2	3,082.0
0% COMPENSATING BALANCE CD's	4,400.0	3,125.0
	<u>\$9,574.1</u>	<u>\$8,809.3</u>

*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

**Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING & OIL SPILL REMEDIATION

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2013-2014**

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<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Account</u>	Appendix A
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<u>American Recovery and Reinvestment Act of 2009- Schedule of Disbursements of Federal Awards</u>	Appendix C
<u>HCRA Public Goods Pool - Statement of Cash Flow</u>	Appendix D
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<u>Public Authority Off Budget Spending Report</u>	Appendix F
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STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2013-2014

APPENDIX A

	2013 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	6 Months Ended September 30, 2013
OPENING CASH BALANCE	\$17,997,940	\$175,043,010	\$162,693,537	\$18,101,879	\$72,236,110	\$53,339,999	\$17,997,940
RECEIPTS:							
Cigarette Tax	83,696,224	92,842,993	89,554,269	104,213,728	86,686,771	88,993,788	545,987,773
State Share of NYC Cigarette Tax	3,798,000	3,832,000	4,454,000	5,214,000	3,712,000	4,184,584	25,194,584
STIP Interest	43,439	--	64,929	28,378	--	64,247	200,993
Public Asset Transfers	--	--	--	--	--	--	--
Assessments	327,107,157	365,066,598	344,867,943	364,964,258	323,227,955	349,020,467	2,074,254,378
Fees	446,000	98,000	1,955,209	1,894,141	7,047,210	775,000	12,215,560
Rebates	1,461	--	49,037	--	--	--	50,498
Restitution and Settlements	49,000	322,000	612,000	58,000	624,000	748,234	2,413,234
Miscellaneous	--	--	--	113,028	--	17,337	130,365
Total Receipts	415,141,281	462,161,591	441,557,387	476,485,533	421,297,936	443,803,657	2,660,447,385
DISBURSEMENTS:							
Grants	254,961,215	424,991,159	572,971,072	409,604,712	435,659,813	402,330,789	2,500,518,760
Interest - Late Payments	2,166	27	314	341	1,010	49	3,907
Personal Service	901,489	840,036	787,875	1,249,213	840,729	588,843	5,208,185
Non-Personal Service	1,945,312	2,526,279	10,488,472	715,827	1,409,460	651,229	17,736,579
Employee Benefits/Indirect Costs	--	--	1,286,016	--	1,134	877,374	2,164,524
Total Disbursements	257,810,182	428,357,501	585,533,749	411,570,093	437,912,146	404,448,284	2,525,631,955
OPERATING TRANSFERS:							
Transfers to Capital Projects Fund	--	45,000,000	--	--	--	--	45,000,000
Transfers to General Fund	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	1,306,000	9,029,000	10,335,000
Transfers to Miscellaneous Special Revenue Fund:							
Administration Program Account	--	--	--	--	--	--	--
Empire State Stem Cell Trust Account	--	--	--	10,000,000	--	--	10,000,000
Transfers to SUNY Income Fund	286,029	1,153,563	615,296	781,209	975,901	646,505	4,458,503
Total Operating Transfers	286,029	46,153,563	615,296	10,781,209	2,281,901	9,675,505	69,793,503
Total Disbursements and Transfers	258,096,211	474,511,064	586,149,045	422,351,302	440,194,047	414,123,789	2,595,425,458
CLOSING CASH BALANCE	\$175,043,010	\$162,693,537	\$18,101,879	\$72,236,110	\$53,339,999	\$83,019,867	\$83,019,867

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2013-2014

APPENDIX B

Program/Purpose	Appropriation Amount (1)	April - June Disbursements	July Disbursements	August Disbursements	September Disbursements	Total Disbursements 6 Months Ending September 30, 2013 (2)
AIDS INSTITUTE PROGRAM	\$ 75,016,000					
COMMUNITY SERVICE PROG- HIGH RISK		--	--	--	--	--
HIV CLINICAL & PROVIDER EDUCATION		439,794	123,469	--	17,469	580,732
HIV HEALTH CARE SUPPORTIVE SERVICES		2,930,093	322,251	302,999	168,588	3,723,931
HIV STD HEPATITIS C PREVENTION		4,767,306	668,662	728,002	976,331	7,140,301
INFANTS AND PREGNANT WOMEN		--	--	--	--	--
REGIONAL AND TARGETED		2,032,154	730,810	498,003	53,921	3,314,888
CENTER FOR COMMUNITY HEALTH PROGRAM	181,286,529					
ADEPHI UNIVRST CANC SPRT PRG		--	--	--	--	--
BRST CANCER HOTLINE - ADELPHI		--	--	--	--	--
CENTER FOR COMMUNITY HLTH		628,649	192,534	121,054	122,674	1,064,911
EVIDENCE BASED CANCER SVC		3,065,790	122,242	391,404	20,220	3,599,656
FAMILY PLANNING		--	--	--	--	--
HYPERTENSION PREVENTION TREATMENT		60,290	27,512	34,377	--	122,179
INDIAN HEALTH PROGRAM		906,705	129,180	5,609	56,942	1,098,436
LEAD POISONING PREVENTION		--	--	--	--	--
MATERNITY & EARLY CHHOOD FOUNDATION		74,778	--	--	--	74,778
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		(2,742,140)	--	--	--	(2,742,140)
PRENATAL CARE ASSISTANCE PROGRAM		517,275	72,821	9,196	8,075	607,367
PUBLIC HEALTH CAMPAIGN		1,405,813	--	25,834	--	1,431,647
RAPE CRISIS		42,660	--	--	24,157	66,817
SCHOOL BASED HEALTH PROGRAM		1,435,537	620,750	302,168	95,116	2,453,571
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		66,516	47,920	3,879	--	118,315
TOBACCO ENFORCEMENT		5,441	85,205	465,151	--	555,797
TUBERCULOSIS		213,128	--	--	--	213,128
CHILD HEALTH INSURANCE PROGRAM	997,038,800					
CHILD HEALTH INSURANCE		65,999,578	24,566,183	89,288,867	23,760,871	203,615,499
COMMUNITY SUPPORT PROGRAM	75,000					
COMMUNITY SUPPORT		12,000	--	12,000	--	24,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	373,255,000					
EDLERLY PHARMACEUTICAL INSURANCE COV		24,863,459	10,931,004	8,519,323	6,083,163	50,396,949
HEALTH CARE FINANCING PROGRAM	9,217,600					
HEALTH CARE FINANCING		455,660	136,142	90,336	230,691	912,829
HEALTH CARE REFORM ACT PROGRAM	1,589,940,764					
AIDS DRUG ASSISTANCE		--	--	--	--	--
AMBULATORY CARE TRAINING		--	19,243	352,576	--	371,819
AREA HEALTH EDUCATION CENTER		1,646,900	--	--	553,064	2,199,964
COMMISSIONER EMERGENCY DISTRIBUTIONS		910,286	--	--	383,401	1,293,687
DIAGNOSTIC & TREATMENT CTR UNCOMPENSATED CARE		453,838	--	--	--	453,838
DIVERSITY IN MEDICINE		--	805,654	--	289,494	1,095,148
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		--	--	--	--	--
HCRA PAYOR / PROVIDER AUDITS		708,789	--	238,000	--	946,789
HEALTH FACILITY RESTRUCTURING DASNY		--	--	19,600,000	--	19,600,000
HEALTH WORKFORCE RETRAINING		3,466,148	114,010	2,743,891	384,839	6,708,888
INFERTILITY SERVICES GRANTS		8,105	165,703	182,967	38,079	394,854
MEDICAL INDEMNITY FUND		--	--	--	--	--
PART 405.4 HOSPITAL AUDITS		156,253	--	--	--	156,253
PAY FOR PERFORMANCE		--	--	--	--	--
PHYSICIAN EXCESS MEDICAL MALPRACTICE		127,400,000	--	--	--	127,400,000
PHYSICIAN LOAN REPAYMENT		493,320	--	--	--	493,320
PHYSICIAN PRACTICE SUPPORT		866,648	65,183	--	100,000	1,031,831
PHYSICIAN WORKFORCE STUDIES		--	--	--	--	--
POISON CONTROL CENTERS		--	--	--	1,250,000	1,250,000
POOL ADMINISTRATION		391,200	--	--	--	391,200
ROSWELL PARK CANCER INSTITUTE		17,900,000	--	17,900,000	--	35,800,000
RPCI CANC RSRCH OPERATING COSTS		1,500,000	--	1,500,000	--	3,000,000
RURAL HEALTH CARE ACCESS		2,680,091	154,057	304,242	36,140	3,174,530
RURAL HEALTH NETWORK		1,493,217	(12,948)	411,503	43,063	1,934,835
SCHOOL BASED HEALTH CENTERS		--	--	--	--	--
SCHOOL BASED HEALTH CLINICS-POOL ADMN		--	--	--	--	--
TOBACCO USE PREVENTION/CONTROL		8,500,591	1,121,856	17,000	--	9,639,447
TRANSITION ACCT - PRIOR YEAR ALLOCATION		--	--	--	--	--

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2013-14

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	April - June Disbursements	July Disbursements	August Disbursements	September Disbursements	Total Disbursements 6 Months Ending September 30, 2013 (2)
MEDICAL ASSISTANCE PROGRAM	\$ 27,441,842,000	\$	\$	\$	\$	\$
BREAST & CERVICAL CANCER		2,100,000	--	--	--	2,100,000
DISABLED PERSONS		23,500,000	--	--	--	23,500,000
FAMILY HEALTH PLUS		342,300,000	306,000,000	2,088,000	--	650,388,000
FINANCIAL ASSISTANCE		--	--	--	--	--
HOME HEALTH RATE INCREASE		--	--	--	--	--
INPATIENT NURSING HOME PHARMACIES		--	--	229,704,000	303,424,000	533,128,000
MEDICAID INDIGENT CARE		195,304,450	64,043,578	62,094,828	66,241,261	387,684,117
MEDICAL ASSISTANCE		146,400,000	--	--	--	146,400,000
NYC MEDICAID		124,700,000	--	--	--	124,700,000
PHYSICIAN SERVICES		85,200,000	--	--	--	85,200,000
PRIMARY CARE CASE MANAGEMENT		2,000,000	--	--	--	2,000,000
PSNL CRE WRKR RECR & RETEN NYC (3)		--	--	--	--	--
PSNL CRE WRKR RECR & RETEN ROS (4)		--	--	--	--	--
SUPPLEMENTAL MEDICAL INSURANCE		68,000,000	--	--	--	68,000,000
OFFICE OF HEALTH INSURANCE PROGRAM	12,819,800					
OFFICE OF HEALTH INSURANCE		1,097,350	88,912	71,201	(171,852)	1,085,611
OFFICE OF HEALTH SYSTEMS MANAGEMENT	51,940,100					
OFFICE HEALTH SYSTEMS MANAGEMENT		7,399,327	1,010,484	897,113	923,457	10,230,381
OFFICE OF LONG TERM CARE	19,526,540					
ADULT HOME INITIATIVE		--	--	--	--	--
ENABLE AIR CONDITIONING		--	--	--	--	--
ENABLE QUALITY OF LIFE		--	--	--	--	--
QUALITY PROG ADULT CARE FACILITIES		--	--	--	--	--
TOTAL	30,751,958,133	1,273,756,999	412,352,417	438,903,523	405,113,164	2,530,126,103
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000					
Reclass of SUNY Hospital Disprop Share to Transfer		(2,054,888)	(781,209)	(975,901)	(646,505)	(4,458,503)
Reconciling Adjustment (P-Card and T-Card)		(679)	(1,115)	(15,476)	(18,375)	(35,645)
TOTAL APPROPRIATED AMOUNT	\$ 30,752,047,133	\$ 1,271,701,432	\$ 411,570,093	\$ 437,912,146	\$ 404,448,284	\$ 2,525,631,955

- (1) Includes amounts appropriated in SFY 2013-14, as well as prior year appropriations that were reappropriated
 (2) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent
 (3) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
 (4) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - SEPTEMBER 2013
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	September Disbursements	Life-to-Date Disbursements
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ --	\$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	--	10,057,887.00
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	--	399,900.00
84.033	Department of Education	Federal Work-Study Program	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	--	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	446,599.12	8,822,627.54
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	836,589.56	1,551,946.19
84.386	Department of Education	Education Technology State Grants, Recovery Act	--	53,551,200.24
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	--	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	8,070,833.10	160,913,821.41
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	--	906,803,696.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	--	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	--	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	--	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	--	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	9,892,796.89	246,201,495.77
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	--	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	--	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	--	2,297,731.00
84.410	Department of Education	Education Jobs Fund	--	616,479,620.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	--	411,249.00
Total Education			19,246,818.67	5,981,299,654.25
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	--	763,000.00
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	--	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	--	1,635,086.95
66.454	Environmental Protection Agency	Water Quality Management Planning	--	4,132,731.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	2,780,311.45	425,038,121.47
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	1,394,820.88	86,256,943.08
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	--	9,212,000.00
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	375,466.00	395,061,608.84
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis:	1,465.98	740,784.27
Total Energy and Environment			4,552,064.31	923,847,887.86
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	4,148,718.00
Total Food and Nutrition Services			--	11,082,466.00
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	(281.87)	4,172,843.86
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	--	26,951,329.00
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	--	26,406,387.04
93.563	Health and Human Services	Child Support Enforcement	--	101,131,818.69
93.658	Health and Human Services	Foster Care- Title IV-E	--	53,978,181.00
93.659	Health and Human Services	Adoption Assistance	--	60,062,684.00
93.708	Health and Human Services	ARRA - Head Start	493,168.73	4,856,999.25
93.712	Health and Human Services	ARRA - Immunization	--	4,275,750.26
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	--	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	--	723,023,290.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	--	1,166,708.33
93.778	Health and Human Services	Medical Assistance Program (FMAP)	10,148,426.68	13,777,286,497.68
94.006	Corporation for National and Community Service	AmeriCorps	--	6,672,738.91
Total Health and Social Services			10,641,313.54	14,916,642,129.02

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - SEPTEMBER 2013
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C
 (continued)

Federal CFDA No.	Federal Agency	Program	September Disbursements	Life-to-Date Disbursements
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	\$ --	\$ 21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	--	85,384,063.91
		Total Housing	--	107,259,063.91
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	131,345,033.08	16,182,064,173.28
17.235	Department of Labor	Senior Community Service - Employment Program	--	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	--	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	--	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	--	70,633,412.47
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	--	1,112,175.14
		Total Labor	131,345,033.08	16,381,247,211.27
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	42,743.09	3,393,990.14
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	--	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	--	7,274,394.35
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	114,250.73	1,410,470.54
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	--	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	--	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	--	66,946,360.41
		Total Public Protection	156,993.82	91,059,927.50
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	7,956,572.85	929,528,516.16
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grant	673,323.56	16,229,461.55
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	115,972.84	22,030,505.28
		Total Transportation	8,745,869.25	967,788,482.99
		TOTAL ARRA DISBURSEMENTS	\$ 174,688,092.67	\$ 39,380,226,822.80

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2013-2014**

	1st Quarter April - June	2013 JULY	2013 AUGUST	2013 SEPTEMBER	2013-2014
OPENING CASH BALANCE	\$ 124,515,602.03	\$ 149,966,355.50	\$ 253,319,191.62	\$ 278,935,500.98	\$ 124,515,602.03
RECEIPTS:					
Patient Services	690,352,345.43	302,638,734.96	224,557,062.29	232,376,719.63	1,449,924,862.31
Covered Lives	262,987,900.91	122,361,061.15	87,018,745.69	85,918,685.42	558,286,393.17
Provider Assessments	16,925,008.23	10,673,239.37	7,109,865.64	4,924,529.46	39,632,642.70
1% Assessments	83,044,211.00	29,793,246.00	26,980,321.00	25,986,528.00	165,804,306.00
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00	0.00
Interest Income	58,210.29	29,967.34	15,157.45	22,903.13	126,238.21
Unassigned	(272,849.92)	(3,081.00)	3,763.00	(662.00)	(272,829.92)
Total Receipts	1,053,094,825.94	465,493,167.82	345,684,915.07	349,228,703.64	2,213,501,612.47
DISBURSEMENTS:					
Program Disbursements:					
Poison Control Centers	0.00	0.00	0.00	(1,250,000.00)	(1,250,000.00)
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	110,000.00	0.00	0.00	110,000.00
Total Disbursements	0.00	110,000.00	0.00	(1,250,000.00)	(1,140,000.00)
Excess (Deficiency) of Receipts over Disbursements	1,053,094,825.94	465,603,167.82	345,684,915.07	347,978,703.64	2,212,361,612.47
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Medicaid Disproportionate Share	13,295.00	0.00	0.00	0.00	13,295.00
Health Facility Assessment Fund - Hospital Quality Contributi	9,382,546.00	2,823,217.00	3,159,349.00	3,289,529.00	18,654,641.00
Transfers From State Funds:					
HCRA Resources Fund	0.00	0.00	0.00	1,250,000.00	1,250,000.00
Total Other Financing Sources	9,395,841.00	2,823,217.00	3,159,349.00	4,539,529.00	19,917,936.00
Transfers to Other Pools:					
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers to State Funds:					
HCRA Resources Fund	(837,289,689.10)	(299,678,491.48)	(260,012,240.54)	(281,072,040.93)	(1,678,052,462.05)
Indigent Care Fund (matched)	(195,311,076.39)	(64,762,321.20)	(62,591,214.64)	(67,333,804.58)	(389,998,416.81)
Indigent Care Fund (non-matched)	(4,439,147.98)	(632,736.02)	(624,499.53)	(612,897.11)	(6,309,280.64)
Total Other Financing Uses	(1,037,039,913.47)	(365,073,548.70)	(323,227,954.71)	(349,018,742.62)	(2,074,360,159.50)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	25,450,753.47	103,352,836.12	25,616,309.36	3,499,490.02	157,919,388.97
CLOSING CASH BALANCE	\$ 149,966,355.50	\$ 253,319,191.62	\$ 278,935,500.98	\$ 282,434,991.00	\$ 282,434,991.00

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2013-2014

	<u>1st Quarter</u> <u>April-June</u>	<u>2013</u> <u>JULY</u>	<u>2013</u> <u>AUGUST</u>	<u>2013</u> <u>SEPTEMBER</u>	<u>2013-2014</u>
OPENING CASH BALANCE	\$ 328.56	\$ 708.56	\$ 63,777,115.39	\$ 1,724.16	\$ 328.56
RECEIPTS:					
Interest Income	2,164.78	0.00	1,724.16	368.57	4,257.51
Total Receipts	<u>2,164.78</u>	<u>0.00</u>	<u>1,724.16</u>	<u>368.57</u>	<u>4,257.51</u>
DISBURSEMENTS:					
Program Disbursements:					
Indigent Care	(192,219,654.38)	0.00	(125,418,474.58)	(66,288,046.87)	(383,926,175.83)
High Need Indigent Care	0.00	0.00	0.00	0.00	0.00
Other	(1,235,155.42)	0.00	0.00	1,222.03	(1,233,933.39)
Total Program Disbursements	<u>(193,454,809.80)</u>	<u>0.00</u>	<u>(125,418,474.58)</u>	<u>(66,286,824.84)</u>	<u>(385,160,109.22)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(193,452,645.02)</u>	<u>0.00</u>	<u>(125,416,750.42)</u>	<u>(66,286,456.27)</u>	<u>(385,155,851.71)</u>
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Public Goods Pool	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	97,655,538.20	32,381,160.60	31,295,607.32	33,666,902.29	194,999,208.41
HCRA Resources Indigent Care - Unmatched	2,120,022.45	316,368.01	308,131.52	305,837.54	3,050,359.52
HCRA Resources Indigent Care - ATB	(3,923,238.04)	(1,301,573.82)	(1,257,986.97)	(1,352,817.28)	(7,835,616.11)
Federal DHHS Fund	97,655,538.19	32,381,160.60	31,295,607.32	33,666,902.29	194,999,208.40
Other	0.00	0.00	0.00	0.00	0.00
Total Other Financing Sources	<u>193,507,860.80</u>	<u>63,777,115.39</u>	<u>61,641,359.19</u>	<u>66,286,824.84</u>	<u>385,213,160.22</u>
Transfers to Other Pools:					
Public Goods Pool	(13,295.00)	0.00	0.00	0.00	(13,295.00)
Health Facility Assessment Fund	(39,756.00)	0.00	0.00	0.00	(39,756.00)
Transfers to State Funds:					
HCRA Resources Fund Indigent Care Acct	(1,784.78)	(708.56)	0.00	(1,724.16)	(4,217.50)
Total Other Financing Uses	<u>(54,835.78)</u>	<u>(708.56)</u>	<u>0.00</u>	<u>(1,724.16)</u>	<u>(57,268.50)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>380.00</u>	<u>63,776,406.83</u>	<u>(63,775,391.23)</u>	<u>(1,355.59)</u>	<u>40.01</u>
CLOSING CASH BALANCE	<u>\$ 708.56</u>	<u>\$ 63,777,115.39</u>	<u>\$ 1,724.16</u>	<u>\$ 368.57</u>	<u>\$ 368.57</u>

Source: HCRA - Office of Pool Administration

APPENDIX F

SUMMARY OF OFF-BUDGET SPENDING REPORT
(amounts in thousands)

	2013 APRIL	2013 MAY	2013 JUNE	2013 JULY	2013 AUGUST	2013 SEPTEMBER	2013 OCTOBER	2013 NOVEMBER	2013 DECEMBER	2014 JANUARY	2014 FEBRUARY	2014 MARCH	2013-2014 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	16	96	124	85	112								433
Education - EXCEL	2,880	4,330	7,516	3,206	1,374								19,306
Department of Health - All Other	6	22	27	26	43								124
CEFAP	90	--	90	36	156								372
Regional Development:													
CCAP/RESTORE	726	546	730	587	218								2,807
Multi-modal	36	225	--	--	--								261
GenNYsis	1,009	883	--	398	--								2,290
CUNY Senior Colleges	25,183	23,511	27,098	30,640	36,558								142,990
CUNY Community Colleges	1,301	1,782	1,644	2,757	353								7,837
SUNY Dormitories	12,130	9,751	12,705	12,465	10,758								57,809
Upstate Community Colleges	5,197	8,131	5,351	2,977	3,045								24,701
Mental Health	8,540	22,709	4,959	21,679	9,343								67,230
Developmental Disabilities	2,207	1,296	4,497	1,672	1,351								11,023
Alcoholism & Substance Abuse	50	103	117	134	183								587
Brooklyn Court Officer Training Academy	375	319	561	492	7								1,754
TOTAL DORMITORY AUTHORITY:	59,746	73,704	65,419	77,154	63,501	--	--	--	--	--	--	--	339,524
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	--	--	--	--	--								--
CCAP	113	--	--	125	--								238
Empire Opportunity	--	--	--	--	--								--
CEFAP	--	--	--	--	--								--
State Facilities and Equipment	--	--	--	--	--								--
TOTAL EMPIRE STATE DEVELOPMENT CORP:	113	--	--	125	--	--	--	--	--	--	--	--	238
THRUWAY AUTHORITY:													
CHIPS	--	--	23,535	--	--								23,535
SHIPS	--	--	--	--	--								--
Marchiselli	--	--	25,806	--	--								25,806
Multi-modal	--	43	--	--	1,391								1,434
TOTAL THRUWAY AUTHORITY:	--	43	49,341	--	1,391	--	--	--	--	--	--	--	50,775
TOTAL OFF-BUDGET:	59,859	73,747	114,760	77,279	64,892	--	--	--	--	--	--	--	390,537

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

Schedule of Month-End Temporary Loans Outstanding September 30, 2013

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the Statewide Financial System to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

**Office of the State Comptroller
Summary of Month-End Temporary Loans Outstanding**

	June 30, 2013	July 31, 2013	August 31, 2013	Change	September 30, 2013
TOTAL GENERAL FUND	\$ --	\$ --	\$ --	\$ --	\$ --
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,335,822,474.36	1,494,750,638.95	1,656,366,178.55	(8,444,487.67)	1,647,921,690.88
TOTAL STATE SPECIAL REVENUE FUNDS	839,828,570.09	722,642,457.05	758,574,690.05	1,119,314,908.46	1,877,889,598.51
TOTAL FEDERAL FUNDS	554,266,544.72	836,757,678.36	613,646,541.89	351,483,595.93	965,130,137.82
TOTAL AGENCY FUNDS	--	--	--	--	--
TOTAL ENTERPRISE FUND	--	11,331.66	11,203.99	20,841.01	32,045.00
TOTAL INTERNAL SERVICE FUNDS	120,374,923.16	129,353,975.94	126,661,142.68	19,173,105.82	145,834,248.50
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 2,850,292,512.33	\$ 3,183,516,081.96	\$ 3,155,259,757.16	\$ 1,481,547,963.55	\$ 4,636,807,720.71

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

SFS Fund	ACCOUNT TITLE	June 30, 2013	July 31, 2013	August 31, 2013	Change	September 30, 2013
	GENERAL FUND					
10050	State Operations and Local Assistance	--	--	--	--	-- (10)
	TOTAL GENERAL FUND	--	--	--	--	--
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	493,626,325.06	590,977,030.69	648,424,342.19	(49,131,978.56)	599,292,363.63 (8)
30101	REHAB/REPAIR MARITIME	--	--	--	--	--
30102	D21RVE- MARITIME	--	--	--	--	--
30103	D36RVE- CENTRAL ADMIN	--	--	--	--	--
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	6,194,967.61	6,323,538.07	467,243.11	13,401.39	480,644.50
30105	REHAB/REPAIR ALBANY	--	--	--	--	--
30106	D01RVE- ALBANY	--	--	--	--	--
30107	REHAB/REPAIR BINGHAMTON	--	--	--	--	--
30108	D07RVE- BINGHAMTON	--	--	--	--	--
30109	REHAB/REPAIR BUFFALO UNIVERSITY	--	--	--	--	--
30110	D28RVE- SUNY BUFFALO	--	--	--	--	--
30111	REHAB/REPAIR STONYBROOK	--	--	--	--	--
30112	D13RVE- STONYBROOK	--	--	--	--	--
30113	REHAB/REPAIR BROOKLYN	--	--	--	--	--
30114	D14RVE - HSC BROOKLYN	--	--	--	--	--
30115	REHAB/REPAIR SYRACUSE	--	--	--	--	--
30116	D15RVE- HSC SYRACUSE	--	--	--	--	--
30117	REHAB/REPAIR BROCKPORT	--	--	--	--	--
30118	D02RVE- BROCKPORT	--	--	--	474,546.69	474,546.69
30119	REHAB/REPAIR BUFFALO COLLEGE	--	--	--	--	--
30120	D03RVE -SUB BUFFALO	--	--	--	--	--
30121	REHAB/REPAIR CORTLAND	--	--	--	--	--
30122	D04RVE- CORTLAND	--	--	--	--	--
30123	REHAB/REPAIR FREDONIA	--	--	--	--	--
30124	D05RVE- FREDONIA	--	--	--	--	--
30125	REHAB/REPAIR GENESEO	--	--	--	--	--
30126	D06RVE- GENESEO	--	--	--	--	--
30127	REHAB/REPAIR OLD WESTBURY	--	--	--	--	--
30128	D31RVE- OLD WESTBURY	--	--	--	--	--
30129	REHAB/REPAIR NEW PALTZ	--	--	--	--	--
30130	D08RVE- NEW PALTZ	--	--	--	--	--
30131	REHAB/REPAIR ONEONTA	--	--	--	--	--
30132	D09RVE- ONEONTA	--	--	--	--	--
30133	REHAB/REPAIR OSWEGO	--	--	--	--	--
30134	D10RVE- OSWEGO	--	--	--	--	--
30135	REHAB/REPAIR PLATTSBURGH	--	--	--	--	--
30136	D11RVE- PLATTSBURGH	--	--	--	--	--
30137	REHAB/REPAIR POTSDAM	--	--	--	--	--
30138	D12RVE- POTSDAM	--	--	--	--	--
30139	REHAB/REPAIR PURCHASE	--	--	--	--	--
30140	D29RVE- PURCHASE	--	--	--	--	--
30141	REHAB/REPAIR FOR UTICA/ROME	--	--	--	--	--
30142	D27RVE- CAMPUS RESERVE	--	--	--	--	--
30143	REHAB/REPAIR ALFRED	--	--	--	--	--
30144	D22RVE- ALFRED	--	--	--	--	--
30145	REHAB/REPAIR CANTON	--	--	--	--	--
30146	D23RVE- CANTON	--	--	--	--	--
30147	REHAB/REPAIR COBLESKILL	--	--	--	--	--
30148	D24RVE- COBLESKILL	--	--	--	--	--
30149	REHAB/REPAIR DELHI	--	--	--	--	--
30150	D25RVE- DELHI	--	--	--	--	--
30151	REHAB/REPAIR FARMINGDALE	--	--	--	--	--
30152	D26RVE- FARMINGDALE	--	--	--	--	--
30153	REHAB/REPAIR MORRISVILLE	--	--	--	--	--
30154	D27RVE- MORRISVILLE	--	--	--	--	--
30351	STATE PARK INFRASTRUCTURE	66,101,041.12	78,317,166.24	83,160,179.64	3,891,826.32	87,052,005.96
30501	CW/CA IMPLEMENTATION DEC	169.29	169.29	169.29	--	169.29
30502	CW/CA IMPLEMENTATION STATE	--	--	--	--	--
30503	CW/CA IMPLEMENTATION ERDA	--	--	--	--	--
30504	CW/CA IMPLEMENTATION EFC	--	--	--	--	--
31506	HAZARDOUS WASTE CLEAN UP	220,100,379.93	225,529,985.70	229,477,745.93	16,109,385.45	245,587,131.38
31701	YOUTH FACILITIES IMPROVEMENT	7,111,150.54	8,078,455.83	9,154,054.23	3,339,812.58	12,493,866.81
31801	HOUSING ASSISTANCE	17,314,858.05	17,314,858.05	17,314,858.05	--	17,314,858.05
31851	HOUSING PROG FD-HSG TR FD CORP	16,796,629.22	16,796,629.22	55,146,740.33	1,650,000.00	56,796,740.33
31852	HOUSING PROG FD AFFORD HSG CORP	18,871,934.30	18,871,934.30	43,871,934.30	--	43,871,934.30
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	82,407,661.85	89,907,661.85	89,907,661.85	--	89,907,661.85
31854	HOUSING PROG FD-HFA	--	--	--	--	--
31951	HIGHWAY FAC PURPOSE	12,382,902.75	12,450,338.57	12,455,368.03	228,265.89	12,683,633.92
32213	NY RACING ACCOUNT	--	--	--	--	--
32301	OPWDD-STATE FACILITIES PRE 12/99	--	--	--	--	--

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SFS Fund	ACCOUNT TITLE	June 30, 2013	July 31, 2013	August 31, 2013	Change	September 30, 2013
32302	DSAS-COMMUNITY FACILITIES	--	--	--	--	--
32303	OMH-COMMUNITY FACILITIES	89,625,351.85	92,804,425.25	92,965,162.99	(10,983,628.30)	81,981,534.69
32304	OPWDD-COMMUNITY FACILITIES	--	--	--	--	--
32305	OASAS-COMMUNITY FACILITIES	169,183,754.83	170,257,731.95	175,122,910.22	1,379,120.35	176,502,030.57
32306	DASNY - OMH ADMIN	26,473,962.99	27,266,995.15	28,219,966.78	214,111.44	28,434,078.22
32307	DASNY - OPWDD ADMIN	6,555,047.42	6,555,047.42	6,555,047.42	--	6,555,047.42
32308	DASNY - OASAS ADMIN	166,017.22	166,017.22	287,017.22	--	287,017.22
32309	OMH -STATE FACILITIES	43,253,237.95	47,431,582.83	54,121,937.05	7,307,237.20	61,429,174.25
32310	OPWDD -STATE FACILITIES	--	--	--	--	--
32311	OASAS -STATE FACILITIES	3,704,055.72	3,999,815.81	776,540.31	499,347.06	1,275,887.37
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	11,110.01	11,110.01	11,110.01	--	11,110.01
32352	DOCS-REHABILITATION PROJECTS	55,941,916.65	81,690,145.50	102,068,612.40	15,383,737.82	117,452,350.22
33001	STORM RECOVERY ACCOUNT	--	--	6,857,577.20	--	1,180,327.00
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,335,822,474.36	1,494,750,638.95	1,656,366,178.55	(8,444,487.67)	1,647,921,690.88
	STATE SPECIAL REVENUE FUNDS					
20451	TUITION REIMBURSEMENT FUND	--	--	--	--	--
20452	VOCATIONAL SCHOOL SUPERVISION	--	--	--	--	--
20501	LOCAL GOVERNMENT RECORDS MGMT	--	--	--	--	--
20810	CHILD HEALTH INSURANCE	72,910,274.80	97,476,457.60	86,765,324.57	23,758,983.69	110,524,308.26
20812	HOSPITAL BASED GRANTS PROGRAM	--	--	--	--	--
20818	EPIC PREMIUM ACCOUNT	13,749,423.48	22,728,286.12	--	--	--
20901	LOTTERY-EDUCATION	--	--	--	1,250,874,844.13	1,250,874,844.13
20904	VLT EDUCATION	--	--	--	--	--
21001	ENVIR FAC CORP ADM ACCT	--	--	--	--	--
21002	ENCON ADMIN ACCT	--	--	--	--	--
21053	WASTE MGMT & CLEANUP	--	--	--	--	--
21061	HAZARDOUS BULK STORAGE	--	--	--	--	--
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,851,780.87	2,796,582.33	3,433,434.32	641,375.07	4,074,809.39
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,026,175.13	4,209,537.85	3,600,043.71	289,030.62	3,889,074.33
21067	ENCON-RECREATION	10,230,955.64	10,451,975.62	11,044,172.20	518,926.95	11,563,099.15
21077	PUBLIC SAFETY RECOVERY ACCOUNT	123,842.76	123,792.76	367,034.76	9,034.00	376,068.76
21080	ENCON CONSERVATIONIST MAGAZINE ACCT	--	--	--	--	--
21081	ENVIRONMENTAL REGULATORY	25,810,933.20	29,590,235.86	29,811,737.17	1,795,716.06	31,607,453.23
21082	NATURAL RESOURCES ACCOUNT	21,942,595.36	21,832,520.94	22,102,350.44	(263,965.40)	21,838,385.04
21084	MINED LAND RECLAMATION ACCT	476,276.15	680,362.91	--	--	--
21087	GREAT LAKES RESTORATION INITIATIVE	--	--	--	--	--
21201	AUDIT AND CONTROL OIL SPILL	215,789.83	289,712.01	420,074.27	48,860.37	468,934.64
21202	HEALTH DEPT OIL SPILL	69,008.12	89,058.90	102,422.52	13,386.51	115,809.03
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	3,983,247.60	5,254,032.86	6,337,845.39	2,040,782.92	8,378,628.31
21204	OIL SPILL COMPENSATION	--	--	--	--	--
21205	LICENSE FEE SURCHARGES	--	--	--	--	--
21401	PUBLIC TRANSPORTATION SYSTEMS	4,470,158.85	--	11,038,931.43	(5,360,760.02)	5,678,171.41
21402	METROPOLITAN MASS TRANSPORTATION	--	--	--	56,173,800.60	56,173,800.60
21451	OPERATING PERMIT PROGRAM	17,017,475.14	17,756,966.23	18,182,578.78	936,141.63	19,118,720.41
21452	MOBILE SOURCE	13,914.98	192,474.16	1,075,337.69	(235,820.44)	839,517.25
21902	HEALTH-SPARC'S	--	--	--	520,903.82	520,903.82
21903	OPWDD PROVIDER OF SERVICE	52,111,654.48	64,309,834.63	77,637,311.57	11,405,339.38	89,042,650.95
21905	NYS THRUWAY AUTHORITY	6,003,761.62	6,003,761.62	6,003,761.62	--	6,003,761.62
21907	MENTAL HYGIENE PROGRAM	--	--	31,541,642.35	(31,541,642.35)	--
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	312,059,095.83	151,152,329.46	208,856,232.68	(208,856,232.68)	--
21911	FINANCIAL CONTROL BOARD	672,164.55	194,141.90	304,038.85	360,273.29	664,312.14
21912	RACING REGULATION ACCOUNT	5,164,693.47	4,595,871.61	5,185,130.58	(897,326.83)	4,287,803.75
21913	TRI STATE REGIONAL PLAN ACCOUNT	12,857,643.63	13,870,600.28	14,734,590.49	1,118,713.72	15,853,304.21
21919	CYBER SECURITY UPGRADE	--	--	--	--	--
21937	SU DORM INCOME REIMBURSE	342,379.40	4,970,106.52	589,839.43	204,687.47	794,526.90
21943	ENERGY RESEARCH ACCOUNT	6,323,953.91	6,323,953.91	10,134,953.91	38,052.52	10,173,006.43
21945	CRIMINAL JUSTICE IMPROVEMENT	1,496,456.46	3,910,500.32	4,070,753.07	2,935,069.44	7,005,822.51
21950	FINGERPRINT IDENTIFICATION & TECH ACCOUNT	--	--	--	--	--
21959	ENV LAB REF FEE	402,713.35	612,214.50	763,255.45	357,397.80	1,120,653.25
21962	CLINICAL LAB FEE	20,795,218.43	19,131,150.39	18,127,117.23	1,060,350.90	19,187,468.13
21964	PUBLIC EMP REL BOARD	--	--	--	--	--
21971	CABLE TELEVISION	--	--	--	--	--
21978	INDIRECT COST RECOVERY	4,033,156.63	1,692,992.52	1,691,662.50	1,506,789.36	3,198,451.86
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	--	--	--	--	--
21983	RAIL SAFETY INSPECTION	--	--	--	--	--
21989	MULTI - AGENCY TRAINING ACCOUNT	--	--	--	--	--
21992	CRITICAL INFRASTRUCTURE ACCT	--	--	--	--	--
22003	BELL JAR COLLECTION ACCOUNT	120,641.19	--	--	--	--
22004	INDUSTRY AND UTILITY SERVICE	--	--	--	79,049.37	79,049.37
22006	REAL PROPERTY DISPOSITION	--	--	--	--	--
22007	PARKING ACCOUNT	--	--	--	--	--
22009	ASBESTOS SAFETY TRAINING	26,761.53	52,459.37	71,869.68	20,302.32	92,172.00
22011	PUBLIC SERVICE	--	--	--	--	--
22016	CAPACITY CONTRACTING	--	--	--	--	--

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SFS Fund	ACCOUNT TITLE	June 30, 2013	July 31, 2013	August 31, 2013	Change	September 30, 2013
22032	BATAVIA SCHOOL FOR THE BLIND	5,712,289.74	6,165,529.63	6,406,562.89	426,929.21	6,833,492.10
22034	INVESTMENT SERVICES	--	--	--	--	--
22036	SURPLUS PROPERTY ACCOUNT	--	--	--	--	--
22039	FINANCIAL OVERSIGHT	963,757.91	274,857.28	789,483.95	182,070.78	971,554.73
22046	REGULATION INDIAN GAMING	108,307,926.18	108,159,427.09	51,365,803.35	2,157,013.54	53,522,816.89
22053	ROME SCHOOL FOR THE DEAF	--	152,198.82	270,097.44	309,843.73	579,941.17
22054	DSP-SEIZED ASSETS	11,318,150.90	11,469,530.79	11,605,272.77	(1,091,133.56)	10,514,139.21
22055	ADMINISTRATIVE ADJUDICATION	4,178,482.66	5,157,353.16	9,918,281.61	2,496,151.82	12,414,433.43
22056	FEDERAL SALARY SHARING	--	--	--	--	--
22062	NYC ASSESSMENT ACCT	--	--	--	--	--
22063	CULTURAL EDUCATION ACCOUNT	3,761,695.52	4,638,718.06	3,532,241.15	(2,090,318.55)	1,441,922.60
22065	EXAMINATION & MISC REV	--	--	--	--	--
22078	LOCAL SERVICES ACCOUNT	--	--	--	--	--
22085	DHCR MORTGAGE SERVICES	1,605,893.52	1,019,271.42	1,340,666.07	320,235.48	1,660,901.55
22087	DMV-COMPULSORY INS PRGM	--	--	--	--	--
22090	HOUSING INDIRECT COST RECOVERY	6,222,312.18	3,492,858.99	3,672,860.84	243,932.27	3,916,793.11
22094	ACCIDENT PREVENTION COURSE PROGRAM	--	--	--	--	--
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	--	--	--	--	--
22130	LOW INCOME HOUSING CREDIT MONITORING	--	--	--	--	--
22135	EFC-CORPORATION ADMINISTRATION	--	--	--	--	--
22144	MONROSE VETERAN'S HOME	--	--	--	--	--
22149	MOTOR FUEL QUALITY ACCOUNT	--	--	--	--	--
22151	DEFERRED COMPENSATION ADMIN	145,783.56	195,158.78	77,944.37	89,753.84	167,698.21
22156	RENT REVENUE OTHER - NYC	25,516,165.25	15,238,550.88	17,229,009.32	5,274,651.28	22,503,660.60
22158	RENT REVENUE	796,644.81	757,217.66	516,667.34	56,642.79	573,310.13
22168	TAX REVENUE ARREARAGE ACCOUNT	--	--	--	--	--
22176	OGS-SOLID WASTE MGMT	--	--	--	--	--
22654	S.U. NON-RESIDENT REV. OFFSET	35,962,795.09	35,962,795.09	35,967,115.05	9,554.35	35,976,669.40
22802	STATE POLICE MV ENFORCE	--	--	--	--	--
23001	DOT - HIGHWAY SAFETY PRGM	4,397,987.53	4,664,131.41	4,522,922.74	216,807.20	4,739,729.94
23101	EFC DRINKING WATER PROGRAM	--	--	--	--	--
23102	DOH DRINKING WATER PROGRAM	5,990,998.64	6,503,746.87	6,818,105.35	(716,156.42)	6,101,948.93
23151	NYCCC OPERATING OFFSET	25,645,540.21	28,499,197.94	30,548,209.15	1,876,866.48	32,425,075.63
TOTAL STATE SPECIAL REVENUE FUNDS		839,828,570.09	722,642,457.05	758,574,690.05	1,119,314,908.46	1,877,889,598.51
FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	89,708,943.64	17,596,221.67	70,349,725.43	(27,634,436.10)	42,715,289.33 (1)
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	101,274,949.31	416,784,918.43	153,287,918.94	525,240,150.27	678,528,069.21 (2)
25200-25249	FEDERAL EDUCATION GRANTS FUND	15,791,274.36	56,697,511.78	23,554,324.40	(3,208,039.96)	20,346,284.44 (3)
25250-25299	FEDERAL BLOCK GRANT FUND	--	--	--	--	-- (4)
25300-25899	FEDERAL OPERATING GRANTS FUND	151,798,935.01	145,847,893.71	194,979,669.34	(87,755,918.09)	107,223,751.25 (5)
31351	MILITARY AND NAVAL AFFAIRS	8,271,331.67	8,547,288.67	8,188,185.35	50,644.56	8,238,829.91 (8)
31354	DEPARTMENT OF TRANSPORTATION	178,199,093.31	176,364,637.05	151,188,568.45	(51,863,781.67)	99,324,786.78 (7)
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	7,429,147.33	8,397,739.77	8,841,595.66	(1,804,954.67)	7,036,640.99 (6)
25901-25905	UI ADMINISTRATION	1,263,182.25	6,113,420.79	2,968,601.52	(1,252,115.61)	1,716,485.91
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	--	--	--	--	--
26001	DOL WORKFORCE INVESTMENT ACT	529,687.84	408,046.49	287,952.80	(287,952.80)	--
26002	DOL FEDERAL GRANTS	--	--	--	--	--
TOTAL FEDERAL FUNDS		\$554,266,544.72	\$836,757,678.36	\$613,646,541.89	\$351,483,595.93	\$965,130,137.82 (9)
AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	--	--	--	--	--
60901	MMIS - STATE & FEDERAL	--	--	--	--	--
TOTAL AGENCY FUNDS		--	--	--	--	--
ENTERPRISE FUND						
50051	STATE FAIR RECEIPTS FUND	--	--	--	--	--
50318	OGS CONVENTION CENTER ACCOUNT	--	11,331.66	11,203.99	20,841.01	32,045.00
TOTAL ENTERPRISE FUND		--	\$11,331.66	\$11,203.99	\$20,841.01	\$32,045.00
INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	1,090,919.96	1,107,922.22	831,463.31	824,492.97	1,655,956.28
55002	CENTRALIZED SERVICES-DATA PROCESSING	1,077,381.85	1,108,628.84	1,124,408.86	39,493.48	1,163,902.34
55003	CENTRALIZED SERVICES-PRINTING	2,519,787.53	2,599,297.00	2,280,231.20	37,162.61	2,317,393.81
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	1,029,699.26	1,157,594.26	1,332,724.46	1,474,671.53	1,474,671.53
55005	CENTRALIZED SERVICES-DONATED FOODS	2,226,093.74	2,341,882.12	1,487,475.51	9,392.37	1,496,867.88
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	--	--	--	--	--
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,824,186.22	4,080,480.00	4,187,239.10	342,884.75	4,530,123.85
55008	CENTRALIZED SERVICES-PASNY	23,517,777.14	30,627,030.90	29,978,323.06	(3,571,358.80)	26,406,964.26
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	--	--	--	--	--
55010	CENTRALIZED SERVICES-DESIGN & CONSTR	4,723,791.19	1,525,109.30	--	--	--
55011	CENTRALIZED SERVICES-INSURANCE	1,355,096.36	1,863,975.56	1,502,944.03	87,635.40	1,590,579.43
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	--	--	--	--	--
55013	CENTRALIZED SERVICES-COP'S	9,425,174.37	9,437,351.91	144,672.29	(144,672.29)	--

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

SFS Fund	ACCOUNT TITLE	June 30, 2013	July 31, 2013	August 31, 2013	Change	September 30, 2013
55014	CENTRALIZED SERVICES-FOOD SERVICES	--	--	--	--	--
55015	CENTRALIZED SERVICES-HOMER FOLKS	--	--	--	--	--
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	--	26,961.54
55017	DOWNSTATE WAREHOUSE	275,728.64	363,378.82	233,694.35	(142,536.51)	91,157.84
55018	BUILDING ADMINISTRATION	0.00	0.00	0.00	--	279,984.21
55019	LEASE SPACE INITIATIVE	--	--	--	--	--
55020	OGS ENTERPRISE CONTRACTING ACCT	31,230,944.91	33,971,750.88	37,807,517.67	3,416,154.45	41,223,672.12
55021	NYS MEDIA CENTER	--	--	--	--	--
55022	BUSINESS SERVICES CENTER	--	--	--	440,348.51	440,348.51
55052	ARCHIVES RECORD MGMT I.S.	--	--	--	--	--
55053	FEDERAL SINGLE AUDIT	--	--	--	--	--
55055	CIVIL SERVICE LAW-SEC. 11 ADMIN	--	--	--	--	--
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	100,146.99	156,817.25	--	--	--
55057	BANKING SERVICES ACCOUNT	713,392.26	21,406.23	290,706.27	42,244.55	332,950.82
55058	CULTURAL RESOURCE SURVEY	1,873,204.93	2,263,000.77	2,025,374.95	(590,156.55)	1,435,218.40
55059	NEIGHBOR WORK PROJECT	10,744,646.74	10,605,801.16	10,202,916.78	(282,309.21)	9,920,607.57
55060	AUTOMATIC/PRINT CHARGBACKS	784,599.05	1,780,180.99	2,475,394.37	694,668.10	3,170,062.47
55061	OFT NYT ACCT	--	--	--	--	--
55062	DATA CENTER ACCOUNT	--	--	2,713,912.18	14,851,112.26	17,565,024.44
55063	HUMAN SVCE TELECOM ACCT	--	--	--	--	--
55065	OPWDD COPY CENTER ACCOUNT	--	--	--	--	--
55066	CYBER SECURITY INTRUSION ACCT	1,542,603.66	1,377,362.46	1,377,362.46	(129,302.90)	1,248,059.56
55067	DOMESTIC VIOLENCE GRANT	180,437.76	186,060.76	165,745.39	(36,192.79)	129,552.60
55069	CENTRALIZED TECHNOLOGY SERVICES	--	--	1,366,010.86	(1,366,010.86)	--
55070	LEARNING MGMT SYSTEM	--	--	--	--	--
55071	TAX CONTACT CENTER ACCOUNT	--	--	--	--	--
55072	HUMAN SERVICES CONTACT CNTR ACCT	--	--	390.00	80,376.77	80,766.77
55073	LABOR CONTACT CENTER ACCOUNT	--	--	--	--	--
55201	JOINT LABOR MANAGEMENT ADMIN	--	--	--	--	--
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	624,431.87	845,806.31	1,233,277.05	144,031.07	1,377,308.12
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	--	--	992,199.63	1,250,480.64	2,242,680.27
55300	HEALTH INSURANCE INTERNAL SERVICE	13,445,338.28	14,360,894.38	15,033,193.72	1,394,769.99	16,427,963.71
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	1,388,791.38	587,106.31	277,069.66	(26,159.35)	250,910.31
55350	CORR INDUSTRIES INTERNAL SERVICE	6,653,787.53	6,958,175.97	7,569,933.98	1,384,625.88	8,954,559.86
TOTAL INTERNAL SERVICE FUNDS		\$120,374,923.16	\$129,353,975.94	\$126,661,142.68	\$19,173,105.82	\$145,834,248.50
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING		\$2,850,292,512.33	\$3,183,516,081.96	\$3,155,259,757.16	\$1,481,547,963.55	\$4,636,807,720.71

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 57, Part HH, Section 1 and 1A, of the Laws of 2013-14. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

NOTE:

- (1) Includes all negative cash balance funds within fund 25000-25099.
- (2) Includes all negative cash balance funds within fund 25100-25199.
- (3) Includes all negative cash balance funds within fund 25200-25249.
- (4) Includes all negative cash balance funds within fund 25250-25299.
- (5) Includes all negative cash balance funds within fund 25300-25899.
- (6) Includes all negative cash balance funds within fund 25901-25905.
- (7) Includes all other negative cash balance funds within fund 31350-31449.
- (8) The Fund 31354 temporary loan balance includes \$69.8 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 30051 based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 30051 on or before March 31, 2014.
- (9) Except for DOT-Highways (see note 8), temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (10) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 10000 and State Purpose Fund 10050.