

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF MANAGEMENT AUDIT AND STATE FINANCIAL SERVICES
BUREAU OF ACCOUNTING OPERATIONS**

Comptroller's Monthly Report To The Legislature
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)

NOVEMBER 2002



**H. CARL McCALL
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED
	NOV. 2002	NOV. 30, 2002	NOV. 2002	NOV. 30, 2002	NOV. 2002	NOV. 30, 2002	NOV. 2002	NOV. 30, 2002	NOV. 2002	NOV. 30, 2002	NOV. 2001	NOV. 30, 2001
RECEIPTS:												
Personal Income Tax (6)	\$546.0	\$11,841.8	\$638.0	\$1,446.0	\$182.1	\$2,384.6	\$ --	\$ --	\$1,366.1	\$15,672.4	\$1,351.8	\$19,688.8
Consumption/Use Taxes and Fees (5)	522.4	4,644.0	38.0	362.1	159.5	1,451.9	75.7	689.8	795.6	7,147.8	828.5	6,953.8
Business Taxes (5.9)	1,544.3	34.1	591.4	--	--	44.3	387.7	72.5	2,523.4	107.3	2,764.7	
Other Taxes	45.5	513.9	--	--	14.6	249.2	11.2	67.2	71.3	830.3	96.4	746.5
Miscellaneous Receipts (8)	368.0	1,402.8	816.5	6,214.4	164.3	523.6	79.9	839.2	1,428.7	8,980.0	1,268.3	6,827.9
Federal Grants	0.5	4.7	2,648.2	20,647.2	--	--	127.2	1,052.1	2,775.9	21,704.0	2,210.7	17,876.7
Total Receipts	1,476.5	19,951.5	4,174.8	29,261.1	520.5	4,609.3	338.3	3,036.0	6,510.1	56,857.9	5,863.0	54,858.4
DISBURSEMENTS:												
Local Assistance Grants:												
General Purpose	88.3	400.1	--	--	--	--	--	--	88.3	400.1	66.1	323.0
Education	355.4	6,736.7	777.7	4,496.0	--	--	0.6	1.3	1,133.7	11,234.0	1,948.0	10,579.2
Social Services (4)	127.3	5,342.9	2,653.5	16,994.5	--	--	--	--	2,780.8	22,337.4	2,641.9	19,458.2
Health and Environment	22.2	316.2	159.0	1,300.6	--	--	0.2	152.2	181.4	1,769.0	171.9	1,630.3
Mental Hygiene	82.6	697.4	30.4	149.7	--	--	2.0	13.0	115.0	860.1	55.3	867.7
Transportation	26.4	124.2	191.6	1,076.5	--	--	42.9	186.5	260.9	1,387.2	222.3	1,182.3
Criminal Justice	12.8	96.9	6.3	80.0	--	--	--	--	19.1	176.9	23.5	189.8
SEMO and Disaster Assistance	--	12.1	30.4	808.0	--	--	--	--	30.4	820.1	58.9	368.6
Miscellaneous	25.3	256.9	50.7	455.5	--	--	28.1	122.1	104.1	834.5	64.5	798.9
Total Local Assistance Grants	740.3	13,983.4	3,899.6	25,360.8	--	--	73.8	475.1	4,713.7	39,819.3	5,252.4	35,398.0
Departmental Operations:												
Personal Service	299.3	4,332.9	491.6	2,437.2	--	--	--	--	790.9	6,770.1	910.4	6,533.0
Non-Personal Service	119.5	1,345.4	244.4	1,804.9	--	3.8	--	--	363.9	3,154.1	355.6	2,973.3
General State Charges	128.6	1,956.0	68.7	348.0	--	--	--	--	197.3	2,304.0	180.2	2,048.5
Debt Service, Including Payments on												
Financing Agreements (2)	--	--	--	--	194.3	1,951.7	--	--	194.3	1,951.7	290.6	2,277.9
Capital Projects (3)	--	--	0.9	2.6	--	--	325.1	2,620.2	326.0	2,622.8	329.1	2,528.9
Total Disbursements	1,287.7	21,617.7	4,705.2	29,953.5	194.3	1,955.5	398.9	3,095.3	6,586.1	56,622.0	7,318.3	51,759.6
Excess (Deficiency) of Receipts over Disbursements	188.8	(1,666.2)	(530.4)	(692.4)	326.2	2,653.8	(60.6)	(59.3)	(76.0)	235.9	(1,455.3)	3,098.8
OTHER FINANCING SOURCES (USES):												
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	93.0
Transfers from Other Funds (1)	490.0	4,247.7	209.7	1,853.0	231.5	2,799.7	6.4	338.5	937.6	9,238.9	842.0	6,176.0
Transfers to Other Funds (1)	(128.8)	(1,852.2)	(151.0)	(1,319.0)	(528.4)	(5,337.0)	(131.8)	(776.8)	(940.0)	(9,285.0)	(842.0)	(6,189.2)
Total Other Financing Sources (Uses)	361.2	2,395.5	58.7	534.0	(296.9)	(2,537.3)	(125.4)	(438.3)	(2.4)	(46.1)	--	79.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	550.0	729.3	(471.7)	(158.4)	29.3	116.5	(186.0)	(497.6)	(78.4)	189.8	(1,455.3)	3,178.6
Beginning Fund Balances (Deficit) (7)	1,211.1	1,031.8	1,360.2	1,046.9	256.2	169.0	(579.0)	(267.4)	2,248.5	1,980.3	8,275.2	3,641.3
Ending Fund Balances (Deficit)	\$1,761.1	\$1,761.1	\$888.5	\$888.5	\$285.5	\$285.5	(\$765.0)	(\$765.0)	\$2,170.1	\$2,170.1	\$6,819.9	\$6,819.9

GOVERNMENTAL FUNDS FOOTNOTES

**Exhibit A Notes
November 2002**

1. Operating transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

The more significant transfers include the General Fund transfers "To" the State Capital Projects Fund (\$327.7m), the General Debt Service Fund (\$1,030.2m), the Court Facilities Incentive Aid Fund (\$41.1m), the SUNY Income Fund (\$50.6), the Banking Services Fund (\$44.3m) and the Community Provider Assistance Program Fund (\$100.0m).

Also included in General Fund transfers are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$21.0m) and Special Revenue Funds (\$189.6m).

In Special Revenue Funds, Transfers "To" Other Funds includes transfers to Debt Service Funds (\$1,176.0m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$19.7m) from the SUNY Income Fund State University Hospital Income Reimbursable Account.

Also included in Special Revenue Funds are transfers to the General Fund from the Miscellaneous State Special Revenue Fund (\$105.7m).

In Debt Service Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Local Government Assistance Tax Fund (\$1,268.9m), the Clean Water/Clean Air Fund (\$198.2m), the Emergency Highway Reconditioning and Preservation Fund (\$41.3m) and the Emergency Highway Construction and Reconstruction Fund (\$41.3m).

Also included in Debt Service Fund transfers are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$59.9m), Mental Hygiene (\$1,207.2m) and the State University (\$132.0m).

Chapter 383 of the Laws of 2001 amended the SFL to establish the Revenue Bond Tax Fund within the Debt Service Fund Group. The act authorizes the Comptroller to deposit 25% of personal income tax withholdings to the Revenue Bond Tax Fund to satisfy debt service requirements. The Comptroller is further authorized to transfer personal income tax receipts in excess of debt service requirements to General Fund. Transfers from the Revenue Bond Tax Fund to the General Fund are \$2,377.2m.

In Capital Projects Funds, Transfers "To" Other Funds includes transfers to the General Fund from the Environmental Protection Fund (\$200.0m) and to the General Debt Service Fund (\$550.4m).

Also included in Capital Projects Funds are transfers to the Mass Transportation Operating Assistance Fund (\$20.0m)

2. Total debt service disbursements include:
- Principal and interest on general obligation bonds \$340.6 million
 - Lease-purchase/contractual obligation payments 1,611.1
3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government.

The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

State bond and note proceeds	\$106.8 million
Urban Development Corporation (Youth Facilities)	8.6
Urban Development Corporation (Correctional Facilities)	85.1
Housing Finance Agency (HFA)	180.6
Dormitory Authority (MCFFA)	176.5
Dormitory Authority (Health Facilities)	26.7
Dormitory Authority and State University Income Fund	19.1
Federal Capital Projects	167.6

4. Special Revenue Federal Funds disbursements include the following payments made by the State which will be reimbursed by the Federal Government in December 2002:
- | | |
|---|---------------|
| Federal USDA/Food and Consumer Services | \$1.4 million |
| Federal DHHS (Medicaid) | 139.5 |
| Federal DHHS (All Other) | 43.3 |
| Federal DHHS/Block Grant | 5.2 |
| Federal Education | 7.0 |
| Federal Miscellaneous Operating Grants | 33.0 |
| Federal DOL Grants | -- |
5. General Fund receipts do not include \$477.1 million of cigarette tax receipts which were collected by the State and credited to the Tobacco Control and Insurance Initiatives Pool.
6. A portion of personal income tax receipts are transfers to the State Special Revenue-School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners. During September, October and November STAR payments totalling \$180.0 million, \$613.3 million and \$638.0 million were made and are included in Local Assistance Grants - Education.
7. The April 1, 2001 cash balance for the Agency Funds (-) and General Fund (+) have been adjusted to reflect the reclassification of the Fringe Benefit Escrow Fund.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	8 Months Ended November 30		Increase/ (Decrease)
					2002	2001	
	(amounts in millions)						
Abandoned and Unclaimed Property	\$ 448.5	\$ --	\$ --	\$ --	\$ 448.5	\$ 300.0	\$ 148.5
Interest Earnings	21.7	31.7	2.3	4.1	59.8	347.5	(287.7)
Receipts from Public Authorities:							
Bond Issuance Fees	99.6	13.5	--	--	113.1	8.4	104.7
Bond Proceeds to Reimburse Capital Spending	--	--	--	751.0	751.0	814.4	(63.4)
Cost Recovery Assessments	--	0.6	--	--	0.6	0.4	0.2
Dormitory Authority	--	12.0	--	--	12.0	--	12.0
Housing Finance Agency	50.0	--	--	--	50.0	--	50.0
State of NY Mortgage Agency	150.0	--	--	--	150.0	--	150.0
SUNY Construction Fund	--	8.3	--	--	8.3	8.2	0.1
Thruway Authority	2.0	17.9	--	--	19.9	25.1	(5.2)
All Other	--	11.5	--	10.1	21.6	8.7	12.9
Refunds and Reimbursements:							
SUNY Contracts and Grants	--	198.0	--	--	198.0	187.6	10.4
Receipts from Municipalities	9.3	10.9	12.9	0.6	33.7	34.8	(1.1)
Women, Infants and Children Rebates	--	76.7	--	--	76.7	77.6	(0.9)
HESC Student Loan Recoveries	--	49.6	--	--	49.6	53.4	(3.8)
Admin Recoveries - Collection of Local Taxes	28.2	21.0	--	0.6	49.8	50.3	(0.5)
Indirect Cost Assessments	47.7	--	--	--	47.7	53.5	(5.8)
All Other	9.8	69.4	21.9	18.6	119.7	40.5	79.2
Health Care Reform Act Transfers From:							
Health Care Initiatives Pool	--	--	--	--	--	--	--
Loans from HCRA Pools	--	200.0	--	--	200.0	--	200.0
Tobacco Control & Insurance Initiatives Pool	--	787.7	--	--	787.7	303.2	484.5
Revenues of State Departments:							
Patient/Client Care	60.3	504.2	321.9	--	886.4	751.5	134.9
Medical Care Provider Assessments	96.2	1,448.8	--	--	1,545.0	834.5	710.5
Assessments against Regulated Industries	--	324.2	--	--	324.2	317.1	7.1
Student Tuition and Fees	--	504.6	151.2	--	655.8	614.7	41.1
Rental on World Trade Center	8.8	--	--	--	8.8	7.0	1.8
EPIC Premiums and Fees	--	83.2	--	--	83.2	51.5	31.7
Rentals and Leases	1.6	4.8	--	4.8	11.2	11.3	(0.1)
Miscellaneous Sales	8.7	44.6	0.1	0.1	53.5	58.3	(4.8)
All Other	16.4	12.6	13.3	7.9	50.2	50.0	0.2
Lottery Receipts:							
Education	--	998.4	--	--	998.4	875.2	123.2
Administration	--	283.1	--	--	283.1	226.8	56.3
Licenses and Fees	188.4	428.1	--	41.1	657.6	557.2	100.4
Fines	155.6	69.0	--	0.3	224.9	159.2	65.7
TOTAL	\$ 1,402.8	\$ 6,214.4	\$ 523.6	\$ 839.2	\$ 8,980.0	\$ 6,827.9	\$ 2,152.1

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF
 CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN EQUITY
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF NOV. 2002	8 MO. ENDED NOV. 30, 2002	MONTH OF NOV. 2002	8 MO. ENDED NOV. 30, 2002	MONTH OF NOV. 2002	8 MO. ENDED NOV. 30, 2002	MONTH OF NOV. 2001	8 MO. ENDED Nov. 30, 2001
RECEIPTS:								
Miscellaneous Receipts	\$5.8	\$50.7	\$29.6	\$346.0	\$35.4	\$396.7	\$40.7	\$336.7
TOTAL RECEIPTS	<u>5.8</u>	<u>50.7</u>	<u>29.6</u>	<u>346.0</u>	<u>35.4</u>	<u>396.7</u>	<u>40.7</u>	<u>336.7</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.9	8.5	8.9	76.8	9.8	85.3	10.7	82.5
Non-Personal Service	5.7	43.7	29.8	302.0	35.5	345.7	29.9	309.3
General State Charges	0.2	0.9	4.6	28.0	4.8	28.9	8.5	22.2
Debt Service	--	--	--	49.9	--	49.9	--	61.7
TOTAL DISBURSEMENTS	<u>6.8</u>	<u>53.1</u>	<u>43.3</u>	<u>456.7</u>	<u>50.1</u>	<u>509.8</u>	<u>49.1</u>	<u>475.7</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(1.0)</u>	<u>(2.4)</u>	<u>(13.7)</u>	<u>(110.7)</u>	<u>(14.7)</u>	<u>(113.1)</u>	<u>(8.4)</u>	<u>(139.0)</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	2.3	44.3	2.3	44.3	--	12.6
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>2.3</u>	<u>44.3</u>	<u>2.3</u>	<u>44.3</u>	<u>--</u>	<u>12.6</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1.0)	(2.4)	(11.4)	(66.4)	(12.4)	(68.8)	(8.4)	(126.4)
BEGINNING FUND EQUITY (DEFICITS)	<u>17.6</u>	<u>19.0</u>	<u>(156.5)</u>	<u>(101.5)</u>	<u>(138.9)</u>	<u>(82.5)</u>	<u>(183.3)</u>	<u>(65.3)</u>
ENDING FUND EQUITY (DEFICITS)	<u>\$16.6</u>	<u>\$16.6</u>	<u>(\$167.9)</u>	<u>(\$167.9)</u>	<u>(\$151.3)</u>	<u>(\$151.3)</u>	<u>(\$191.7)</u>	<u>(\$191.7)</u>

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF
CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
(in millions)

EXHIBIT C

	EXPENDABLE TRUST		NONEXPENDABLE TRUST		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED	MONTH OF	8 MO. ENDED
	NOV. 2002	NOV. 30, 2002	NOV. 2002	NOV. 30, 2002	NOV. 2002	NOV. 30, 2002	NOV. 2001	NOV. 30, 2001
RECEIPTS:								
Miscellaneous Receipts	\$5.5	\$17.3	\$2.9	\$22.6	\$8.4	\$39.9	\$5.5	\$39.2
Federal Grants	74.1	927.1	0.1	1.3	74.2	928.4	3.4	19.7
Unemployment Taxes	201.6	1,907.4	--	--	201.6	1,907.4	241.0	1,704.3
TOTAL RECEIPTS	281.2	2,851.8	3.0	23.9	284.2	2,875.7	249.9	1,763.2
DISBURSEMENTS:								
Local Assistance Grants:								
Mental Hygiene	--	--	--	0.1	--	0.1	--	2.5
Miscellaneous	1.1	1.1	0.4	2.8	1.5	3.9	0.1	2.8
Departmental Operations:								
Personal Service	0.4	3.1	--	--	0.4	3.1	0.3	3.1
Non-Personal Service	1.0	11.0	1.1	15.1	2.1	26.1	2.3	25.7
General State Charges	--	1.0	--	--	--	1.0	0.4	1.3
Unemployment Benefits	277.9	2,815.5	--	--	277.9	2,815.5	251.7	1,706.5
Capital Projects	0.4	2.4	--	--	0.4	2.4	1.1	2.9
TOTAL DISBURSEMENTS	280.8	2,834.1	1.5	18.0	282.3	2,852.1	255.9	1,744.8
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	0.4	17.7	1.5	5.9	1.9	23.6	(6.0)	18.4
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	1.8	--	--	--	1.8	--	0.6
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	--	1.8	--	--	--	1.8	--	0.6
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	0.4	19.5	1.5	5.9	1.9	25.4	(6.0)	19.0
BEGINNING FUND BALANCES	65.5	46.4	28.2	23.8	93.7	70.2	87.8	62.8
ENDING FUND BALANCES	\$65.9	\$65.9	\$29.7	\$29.7	\$95.6	\$95.6	\$81.8	\$81.8

**STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2003
 FOR THE EIGHT (8) MONTHS ENDED NOVEMBER 30, 2002
 (amounts in millions)**

EXHIBIT "D"

	GENERAL FUND		
	Financial Plan October 2002	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2002	\$1,031.8	\$1,031.8	--
RECEIPTS:			
Taxes:			
Personal Income Tax	11,824.0 (1)	11,841.8	17.8
Consumption/Use Taxes:			
Sales and Use Taxes	4,116.1	4,116.2	0.1
Other Consumption/Use Taxes	530.6	527.8	(2.8)
Business Taxes	1,581.4	1,544.3	(37.1)
Other Taxes	533.5	513.9	(19.6)
Miscellaneous Receipts/Federal Grants	1,317.7	1,407.5	89.8
Total Receipts	<u>19,903.3</u>	<u>19,951.5</u>	<u>48.2</u>
DISBURSEMENTS:			
Local Assistance Grants	14,171.0	13,983.4	187.6
Departmental Operations	5,702.3	5,678.3	24.0
General State Charges	1,979.1	1,956.0	23.1
Total Disbursements	<u>21,852.4</u>	<u>21,617.7</u>	<u>234.7</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(1,949.1)</u>	<u>(1,666.2)</u>	<u>282.9</u>
OTHER FINANCING SOURCES (USES):			
Transfers From Other Funds	4,257.8 (1)	4,247.7	(10.1)
Transfers To Other Funds	(1,823.4)	(1,852.2)	(28.8)
Total Other Financing Sources (Uses)	<u>2,434.4</u>	<u>2,395.5</u>	<u>(38.9)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>485.3</u>	<u>729.3</u>	<u>244.0</u>
CLOSING CASH BALANCE-NOVEMBER 30, 2002	<u><u>\$1,517.1</u></u>	<u><u>\$1,761.1</u></u>	<u><u>\$244.0</u></u>

(1) The Financial Plan estimates for Personal Income Tax is adjusted for amounts required to be deposited into the Revenue Bond Tax Fund (RBTF). Furthermore, Transfers From Other Funds is adjusted to include amounts in excess of debt service needs of the RBTF.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)**

EXHIBIT E

	<u>GENERAL</u>		<u>SPECIAL REVENUE</u>		<u>DEBT SERVICE</u>		<u>CAPITAL PROJECTS</u>		<u>TOTAL GOVERNMENTAL FUNDS</u>			
	<u>MONTH OF NOV. 2002</u>	<u>8 MO. ENDED NOV. 30, 2002</u>	<u>MONTH OF NOV. 2002</u>	<u>8 MO. ENDED NOV. 30, 2002</u>	<u>MONTH OF NOV. 2002</u>	<u>8 MO. ENDED NOV. 30, 2002</u>	<u>MONTH OF NOV. 2002</u>	<u>8 MO. ENDED NOV. 30, 2002</u>	<u>MONTH OF NOV. 2002</u>	<u>8 MO. ENDED NOV. 30, 2002</u>	<u>MONTH OF NOV. 2001</u>	<u>8 MO. ENDED NOV. 30, 2001</u>
PERSONAL INCOME TAX												
Withholding	\$ 1,535.5	\$ 12,037.8	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 1,535.5	\$ 12,037.8	\$ 1,511.6	\$ 12,085.2
Estimated payments	26.0	3,216.8	--	--	--	--	--	--	26.0	3,216.8	31.2	4,236.2
Final returns	13.0	1,251.3	--	--	--	--	--	--	13.0	1,251.3	18.7	1,767.5
Other	(37.9)	198.0	--	--	--	--	--	--	(37.9)	198.0	(23.0)	219.0
Gross Receipts	<u>1,536.6</u>	<u>16,703.9</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>1,536.6</u>	<u>16,703.9</u>	<u>1,538.5</u>	<u>18,307.9</u>
Transfers to School Tax Relief Fund	(638.0)	(1,446.0)	638.0	1,446.0	--	--	--	--	--	--	--	--
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(182.1)	(2,384.6)	--	--	182.1	2,384.6	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	1,677.4	--	--	--	--	--	--	--	1,677.4	--	3,517.4
Less: Refunds Issued	(170.5)	(2,708.9)	--	--	--	--	--	--	(170.5)	(2,708.9)	(186.7)	(2,136.5)
Total	<u>546.0</u>	<u>11,841.8</u>	<u>638.0</u>	<u>1,446.0</u>	<u>182.1</u>	<u>2,384.6</u>	<u>--</u>	<u>--</u>	<u>1,366.1</u>	<u>15,672.4</u>	<u>1,351.8</u>	<u>19,688.8</u>
CONSUMPTION / USE TAXES AND FEES												
Sales and Use	453.3	4,116.2	26.7	262.6	151.0	1,369.4	--	--	631.0	5,748.2	663.4	5,557.9
Auto Rental (1)	--	--	--	--	--	--	--	22.8	--	22.8	--	20.4
Hotel / Motel	--	--	--	--	--	--	--	--	--	--	--	--
Motor Vehicle	18.2	53.0	6.0	51.4	--	--	37.3	321.4	61.5	425.8	51.5	401.8
Cigarette/Tobacco Products	30.9	325.0	--	--	--	--	--	--	30.9	325.0	49.4	366.2
Motor Fuel	--	--	5.3	48.1	8.5	82.5	25.1	246.6	38.9	377.2	35.5	361.0
Alcoholic Beverage	16.2	123.8	--	--	--	--	--	--	16.2	123.8	15.5	119.7
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	13.3	99.0	13.3	99.0	11.0	102.9
Alcoholic Beverage Control Licenses	<u>3.8</u>	<u>26.0</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>3.8</u>	<u>26.0</u>	<u>2.2</u>	<u>23.9</u>
Total	<u>522.4</u>	<u>4,644.0</u>	<u>38.0</u>	<u>362.1</u>	<u>159.5</u>	<u>1,451.9</u>	<u>75.7</u>	<u>689.8</u>	<u>795.6</u>	<u>7,147.8</u>	<u>828.5</u>	<u>6,953.8</u>
BUSINESS TAXES												
Corporation Franchise	2.4	644.2	(0.5)	98.7	--	--	--	--	1.9	742.9	26.2	910.1
Corporation and Utilities	4.3	365.8	0.1	116.2	--	--	--	--	4.4	482.0	30.3	621.5
Insurance	3.9	333.6	0.2	32.2	--	--	--	--	4.1	365.8	3.7	332.4
Bank	(17.0)	200.2	(2.2)	34.5	--	--	--	--	(19.2)	234.7	(18.6)	267.2
Petroleum Business	0.5	0.5	36.5	309.8	--	--	44.3	387.7	81.3	698.0	65.7	633.5
Lubricating Oil	--	--	--	--	--	--	--	--	--	--	--	--
Total	<u>(5.9)</u>	<u>1,544.3</u>	<u>34.1</u>	<u>591.4</u>	<u>--</u>	<u>--</u>	<u>44.3</u>	<u>387.7</u>	<u>72.5</u>	<u>2,523.4</u>	<u>107.3</u>	<u>2,764.7</u>
OTHER TAXES												
Real Property Gains	0.6	3.5	--	--	--	--	--	--	0.6	3.5	--	4.1
Estate and Gift	42.5	488.9	--	--	--	--	--	--	42.5	488.9	65.5	457.9
Pari-Mutuel	2.4	21.1	--	--	--	--	--	--	2.4	21.1	2.2	20.5
Real Estate Transfer	--	--	--	--	14.6	249.2	11.2	67.2	25.8	316.4	28.7	263.5
Racing and Exhibitions	--	0.4	--	--	--	--	--	--	--	0.4	--	0.5
Total	<u>45.5</u>	<u>513.9</u>	<u>--</u>	<u>--</u>	<u>14.6</u>	<u>249.2</u>	<u>11.2</u>	<u>67.2</u>	<u>71.3</u>	<u>830.3</u>	<u>96.4</u>	<u>746.5</u>
TOTAL TAX RECEIPTS	<u>\$ 1,108.0</u>	<u>\$ 18,544.0</u>	<u>\$ 710.1</u>	<u>\$ 2,399.5</u>	<u>\$ 356.2</u>	<u>\$ 4,085.7</u>	<u>\$ 131.2</u>	<u>\$ 1,144.7</u>	<u>\$ 2,305.5</u>	<u>\$ 26,173.9</u>	<u>\$ 2,384.0</u>	<u>\$ 30,153.8</u>

(1) Transfer Auto Use Tax from the General Fund to Highway and Bridge Trust Fund pursuant to Chapter 85, Part D, Laws of 2002.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT "F"

													8 Months Ended Nov. 30													
													2002	2001												
													2003													
													2002	2001												
													APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	(1)	\$1,031.8	\$3,800.0	\$1,170.6	\$1,736.7	\$1,337.2	\$997.9	\$1,734.9	\$1,211.1				\$1,031.8	\$1,109.7												
RECEIPTS:																										
Personal Income Tax		4,688.2	463.0	1,603.4	1,164.7	1,072.1	1,525.4	779.0	546.0				11,841.8	19,044.4												
Consumption/Use Taxes and Fees	(2)(3)	591.4	502.3	687.5	572.9	490.3	752.9	524.3	522.4				4,644.0	4,636.5												
Business Taxes		57.0	(64.1)	709.1	71.4	32.7	729.2	14.9	(5.9)				1,544.3	1,842.1												
Other Taxes		59.4	61.5	88.7	66.7	77.7	69.1	45.3	45.5				513.9	483.0												
Miscellaneous Receipts		77.3	132.3	304.6	86.8	93.8	156.2	183.8	368.0				1,402.8	1,120.1												
Federal Grants		1.7	1.0	(0.2)	0.6	--	0.5	0.6	0.5				4.7	0.9												
Total Receipts		5,475.0	1,096.0	3,393.1	1,963.1	1,766.6	3,233.3	1,547.9	1,476.5	0.0	0.0	0.0	19,951.5	27,127.0												
DISBURSEMENTS:																										
Local Assistance Grants:																										
General Purpose		70.0	11.1	60.4	4.8	12.2	136.6	16.7	88.3				400.1	323.0												
Education		233.2	1,807.2	1,731.2	249.8	830.6	742.4	786.9	355.4				6,736.7	6,557.4												
Social Services		800.4	1,208.8	501.3	1,101.6	592.4	348.6	662.5	127.3				5,342.9	6,207.7												
Health and Environment		55.2	20.1	61.8	52.6	24.8	57.3	22.2	22.2				316.2	382.9												
Mental Hygiene		149.1	57.4	34.1	152.3	34.0	94.6	93.3	82.6				697.4	690.7												
Transportation		0.1	13.0	58.4	0.1	26.1	--	0.1	26.4				124.2	126.8												
Criminal Justice		6.8	8.9	7.9	16.7	9.6	12.4	21.8	12.8				96.9	113.6												
SEMO and Disaster Assistance		2.3	0.1	1.7	--	--	0.8	7.2	--				12.1	3.2												
Miscellaneous		11.6	15.9	21.3	52.3	58.8	50.6	21.1	25.3				256.9	315.7												
Total Local Assistance Grants		1,328.7	3,142.5	2,478.1	1,630.2	1,588.5	1,443.3	1,631.8	740.3	0.0	0.0	0.0	13,983.4	14,721.0												
Departmental Operations:																										
Personal Service		617.8	508.3	584.7	782.9	549.3	543.1	447.5	299.3				4,332.9	4,434.2												
Non-Personal Service	(1)	178.3	190.4	154.6	204.4	197.1	154.8	146.3	119.5				1,345.4	1,396.1												
General State Charges	(1)	350.6	159.0	180.6	248.1	192.2	460.8	236.1	128.6				1,956.0	1,827.6												
Debt Service, Including Payments on Financing Agreements		--	--	--	--	--	--	--	--				--	--												
Total Disbursements		2,475.4	4,000.2	3,398.0	2,865.6	2,527.1	2,602.0	2,461.7	1,287.7	0.0	0.0	0.0	21,617.7	22,378.9												
Excess (Deficiency) of Receipts over Disbursements		2,999.6	(2,904.2)	(4.9)	(902.5)	(760.5)	631.3	(913.8)	188.8	0.0	0.0	0.0	(1,666.2)	4,748.1												
OTHER FINANCING SOURCES (USES):																										
Transfers from Other Funds		186.6	483.2	798.7	586.7	555.1	671.4	476.0	490.0				4,247.7	1,411.4												
Transfers to State Capital Projects		(52.0)	(32.1)	(6.9)	(36.9)	(48.9)	(101.7)	(42.8)	(6.4)				(327.7)	(242.0)												
Transfers to General Debt Service		(170.4)	(161.6)	(185.7)	(30.5)	(59.6)	(278.7)	(30.3)	(113.4)				(1,030.2)	(1,381.0)												
Transfers to All Other State Funds		(195.6)	(14.7)	(35.1)	(16.3)	(25.4)	(185.3)	(12.9)	(9.0)				(494.3)	(238.9)												
Total Other Financing Sources (Uses)		(231.4)	274.8	571.0	503.0	421.2	105.7	390.0	361.2	0.0	0.0	0.0	2,395.5	(450.5)												
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		2,768.2	(2,629.4)	566.1	(399.5)	(339.3)	737.0	(523.8)	550.0	0.0	0.0	0.0	729.3	4,297.6												
CLOSING CASH BALANCE		\$3,800.0	\$1,170.6	\$1,736.7	\$1,337.2	\$997.9	\$1,734.9	\$1,211.1	\$1,761.1	\$0.0	\$0.0	\$0.0	\$1,761.1	\$5,407.3												

(1) The April 2001 beginning cash balance and activity in Non-Personal Services and General State Charges reflects the reclassification of the Employee Fringe Benefits Escrow Fund to the General Fund Group.

(2) Reported amount does not include cigarette taxes which, pursuant to statute, are credited to the Tobacco Control and Insurance Initiatives Pool (see Exhibit A - Note #5)

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT " F "

(page 2)

	8 Months Ended Nov. 30												2002	2001
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$1,605.3	\$1,480.4	\$1,449.4	\$1,553.2	\$1,444.2	\$1,397.9	\$1,571.9	\$1,535.5					\$12,037.8	\$12,085.2
Estimated payments	1,414.5	28.2	784.2	37.2	29.8	858.6	38.3	26.0					3,216.8	4,236.2
Final returns	1,020.3	29.0	19.9	19.9	33.5	14.2	101.5	13.0					1,251.3	1,767.5
Other	65.1	(43.9)	39.2	45.5	41.9	47.0	41.1	(37.9)					198.0	219.0
Gross Receipts	4,105.2	1,493.7	2,292.7	1,655.8	1,549.4	2,317.7	1,752.8	1,536.6	0.0	0.0	0.0	0.0	16,703.9	18,307.9
Transfers to School Tax Relief Fund	--	--	--	--	--	(180.0)	(628.0)	(638.0)					(1,446.0)	(394.4)
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--					--	(250.0)
Transfers to Revenue Bond Tax Fund	--	(154.3)	(534.4)	(388.3)	(357.3)	(508.6)	(259.6)	(182.1)					(2,384.6)	--
Refund reserve reduction (increase)	1,677.4	--	--	--	--	--	--	--					1,677.4	3,517.4
Refunds issued	(1,094.4)	(876.4)	(154.9)	(102.8)	(120.0)	(103.7)	(86.2)	(170.5)					(2,708.9)	(2,136.5)
Total Personal Income Tax	4,688.2	463.0	1,603.4	1,164.7	1,072.1	1,525.4	779.0	546.0	0.0	0.0	0.0	0.0	11,841.8	19,044.4
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	465.2	441.8	606.1	502.9	474.1	708.7	464.1	453.3					4,116.2	3,973.5
Auto Rental	(1)	--	--	--	--	--	--	--					--	20.4
Hotel / Motel	--	--	--	--	--	--	--	--					--	--
Motor Vehicle	43.4	9.4	21.3	9.1	(38.8)	(14.6)	5.0	18.2					53.0	132.8
Cigarette/Tobacco Products	62.5	34.0	42.9	40.7	37.3	38.5	38.2	30.9					325.0	366.2
Motor Fuel	--	--	--	--	--	--	--	--					--	--
Alcoholic Beverage	17.2	14.6	14.6	17.2	14.4	16.3	13.3	16.2					123.8	119.7
Beverage Container	--	--	--	--	--	--	--	--					--	--
Highway Use	--	--	--	--	--	--	--	--					--	--
Alcoholic Beverage Control Licenses	3.1	2.5	2.6	3.0	3.3	4.0	3.7	3.8					26.0	23.9
Total Consumption/Use Taxes and Fees	591.4	502.3	687.5	572.9	490.3	752.9	524.3	522.4	0.0	0.0	0.0	0.0	4,644.0	4,636.5
BUSINESS TAXES														
Corporation Franchise	22.0	(54.5)	292.1	45.9	31.8	280.5	24.0	2.4					644.2	808.2
Corporation and Utilities	2.4	(0.7)	161.1	4.1	3.5	194.6	(3.5)	4.3					365.8	500.9
Insurance	18.6	(5.1)	142.5	12.4	9.6	148.0	3.7	3.9					333.6	302.5
Bank	14.0	(3.8)	113.4	9.0	(12.2)	106.1	(9.3)	(17.0)					200.2	230.5
Petroleum Business	--	--	--	--	--	--	--	0.5					0.5	--
Lubricating Oil	--	--	--	--	--	--	--	--					--	--
Total Business Taxes	57.0	(64.1)	709.1	71.4	32.7	729.2	14.9	(5.9)	0.0	0.0	0.0	0.0	1,544.3	1,842.1
OTHER TAXES														
Real Property Gains	0.5	0.5	0.1	0.4	0.3	0.6	0.5	0.6					3.5	4.1
Estate and Gift	57.4	58.5	85.6	63.8	73.8	65.0	42.3	42.5					488.9	457.9
Pari-Mutuel	1.5	2.4	3.0	2.5	3.6	3.4	2.3	2.4					21.1	20.5
Real Estate Transfer	--	--	--	--	--	--	--	--					--	--
Racing and Exhibitions	--	0.1	--	--	--	0.1	0.2	--					0.4	0.5
Total Other Taxes	59.4	61.5	88.7	66.7	77.7	69.1	45.3	45.5	0.0	0.0	0.0	0.0	513.9	483.0
TOTAL TAX RECEIPTS	<u>\$5,396.0</u>	<u>\$962.7</u>	<u>\$3,088.7</u>	<u>\$1,875.7</u>	<u>\$1,672.8</u>	<u>\$3,076.6</u>	<u>\$1,363.5</u>	<u>\$1,108.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$18,544.0</u>	<u>\$26,006.0</u>

(1) Transfer Auto Use Tax from the General Fund to Highway and Bridge Trust Fund pursuant to Chapter 85, Part D, Laws of 2002.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "G"

													8 Months Ended Nov. 30	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	\$1,046.9	\$1,544.6	\$1,054.6	\$1,414.6	\$1,683.4	\$320.9	\$1,208.9	\$1,360.2					\$1,046.9	\$2,198.7
RECEIPTS:														
Personal Income Tax	--	--	--	--	--	180.0	628.0	638.0					1,446.0	394.4
Consumption/Use Taxes and Fees	58.1	37.6	39.9	44.3	52.6	51.5	40.1	38.0					362.1	324.2
Business Taxes	44.3	32.3	166.6	61.7	50.0	164.1	38.3	34.1					591.4	569.2
Other Taxes	--	--	--	--	--	--	--	--					--	--
Miscellaneous Receipts	529.2	590.2	693.8	687.1	831.0	927.3	1,139.3	816.5					6,214.4	4,432.0
Federal Grants	2,193.4	2,432.1	2,371.9	2,327.8	2,507.3	3,680.9	2,485.6	2,648.2					20,647.2	16,901.5
Total Receipts	2,825.0	3,092.2	3,272.2	3,120.9	3,440.9	5,003.8	4,331.3	4,174.8	0.0	0.0	0.0	0.0	29,261.1	22,621.3
DISBURSEMENTS:														
Local Assistance Grants:														
Education	326.6	388.3	299.7	108.1	1,620.0	250.7	724.9	777.7					4,496.0	4,020.7
Social Services	1,238.8	2,154.3	1,613.0	1,870.3	2,217.0	2,867.5	2,380.1	2,653.5					16,994.5	13,225.0
Health and Environment	143.1	181.9	138.8	154.1	193.3	171.3	159.1	159.0					1,300.6	1,014.3
Mental Hygiene	23.1	1.7	20.6	18.0	11.1	28.0	16.8	30.4					149.7	143.5
Transportation	102.7	186.7	133.3	98.9	156.7	101.3	105.3	191.6					1,076.5	1,010.9
Criminal Justice	14.5	5.5	11.7	13.7	4.3	10.8	13.2	6.3					80.0	76.2
SEMO and Disaster Assistance	201.5	5.5	108.2	120.2	39.9	246.5	55.8	30.4					808.0	365.3
Miscellaneous	49.5	55.3	50.1	58.2	63.1	67.4	61.2	50.7					455.5	404.6
Total Local Assistance Grants	2,099.8	2,979.2	2,375.4	2,441.5	4,305.4	3,743.5	3,516.4	3,899.6	0.0	0.0	0.0	0.0	25,360.8	20,260.5
Departmental Operations:														
Personal Service	171.6	393.7	177.5	244.6	227.6	262.7	467.9	491.6					2,437.2	2,098.8
Non-Personal Service	213.7	189.3	232.5	203.1	183.3	230.6	308.0	244.4					1,804.9	1,573.9
General State Charges	30.8	53.7	39.8	36.6	52.3	19.6	46.5	68.7					348.0	220.9
Capital Projects	0.1	0.2	0.1	0.5	0.2	0.2	0.4	0.9					2.6	4.2
Total Disbursements	2,516.0	3,616.1	2,825.3	2,926.3	4,768.8	4,256.6	4,339.2	4,705.2	0.0	0.0	0.0	0.0	29,953.5	24,158.3
Excess (Deficiency) of Receipts over Disbursements	309.0	(523.9)	446.9	194.6	(1,327.9)	747.2	(7.9)	(530.4)	0.0	0.0	0.0	0.0	(692.4)	(1,537.0)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	282.8	133.4	161.5	184.7	293.9	365.6	221.4	209.7					1,853.0	1,489.0
Transfers to Other Funds	(94.1)	(99.5)	(248.4)	(110.5)	(328.5)	(224.8)	(62.2)	(151.0)					(1,319.0)	(1,146.1)
Total Other Financing Sources (Uses)	188.7	33.9	(86.9)	74.2	(34.6)	140.8	159.2	58.7	0.0	0.0	0.0	0.0	534.0	342.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	497.7	(490.0)	360.0	268.8	(1,362.5)	888.0	151.3	(471.7)	0.0	0.0	0.0	0.0	(158.4)	(1,194.1)
CLOSING CASH BALANCE	\$1,544.6	\$1,054.6	\$1,414.6	\$1,683.4	\$320.9	\$1,208.9	\$1,360.2	\$888.5	\$0.0	\$0.0	\$0.0	\$0.0	\$888.5	\$1,004.6

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT "G"
(page 2)

													8 Months Ended Nov. 30	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
PERSONAL INCOME TAX	\$ --	\$ --	\$ --	\$ --	\$ --	\$180.0	\$628.0	\$638.0					\$1,446.0	\$394.4
Total Personal Income Tax	--	--	--	--	--	180.0	628.0	638.0	0.0	0.0	0.0	0.0	1,446.0	394.4
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	49.5	26.4	29.8	34.8	28.0	40.0	27.4	26.7					262.6	261.2
Auto Rental	--	--	--	--	--	--	--	--					--	--
Hotel / Motel	--	--	--	--	--	--	--	--					--	--
Motor Vehicle	3.8	3.9	3.7	3.3	18.2	5.5	7.0	6.0					51.4	18.6
Cigarette/Tobacco Products	--	--	--	--	--	--	--	--					--	--
Motor Fuel	4.8	7.3	6.4	6.2	6.4	6.0	5.7	5.3					48.1	44.4
Alcoholic Beverage	--	--	--	--	--	--	--	--					--	--
Beverage Container	--	--	--	--	--	--	--	--					--	--
Highway Use	--	--	--	--	--	--	--	--					--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--	--					--	--
Total Consumption/Use Taxes and Fees	58.1	37.6	39.9	44.3	52.6	51.5	40.1	38.0	0.0	0.0	0.0	0.0	362.1	324.2
BUSINESS TAXES														
Corporation Franchise	10.2	(0.5)	40.1	4.7	5.0	37.7	2.0	(0.5)					98.7	101.9
Corporation and Utilities	2.7	1.1	47.8	13.6	0.4	50.7	(0.2)	0.1					116.2	120.6
Insurance	(0.9)	(2.7)	18.7	1.0	1.3	13.6	1.0	0.2					32.2	29.9
Bank	(1.6)	(2.1)	19.8	1.0	0.3	19.9	(0.6)	(2.2)					34.5	36.7
Petroleum Business	33.9	36.5	40.2	41.4	43.0	42.2	36.1	36.5					309.8	280.1
Lubricating Oil	--	--	--	--	--	--	--	--					--	--
Total Business Taxes	44.3	32.3	166.6	61.7	50.0	164.1	38.3	34.1	0.0	0.0	0.0	0.0	591.4	569.2
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--					--	--
Estate and Gift	--	--	--	--	--	--	--	--					--	--
Pari-Mutuel	--	--	--	--	--	--	--	--					--	--
Real Estate Transfer	--	--	--	--	--	--	--	--					--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--					--	--
Total Other Taxes	--	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	--	--
TOTAL TAX RECEIPTS	\$102.4	\$69.9	\$206.5	\$106.0	\$102.6	\$395.6	\$706.4	\$710.1	\$0.0	\$0.0	\$0.0	\$0.0	\$2,399.5	\$1,287.8

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "H"

													8 Months Ended Nov. 30	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	\$169.0	\$226.3	\$241.8	\$334.4	\$305.0	\$234.1	\$341.6	\$256.2					\$169.0	\$421.8
RECEIPTS:														
Personal Income Tax	--	154.3	534.4	388.3	357.3	508.6	259.6	182.1					2,384.6	250.0
Consumption/Use Taxes and Fees														
Sales and Use	152.8	147.2	201.9	167.6	158.0	236.2	154.7	151.0					1,369.4	1,323.2
Motor Fuel	8.4	12.6	11.8	10.3	11.1	10.2	9.6	8.5					82.5	79.0
Other Taxes	36.5	26.0	47.3	26.8	33.8	16.8	47.4	14.6					249.2	196.3
Miscellaneous Receipts	54.3	48.3	32.0	33.3	43.9	61.5	86.0	164.3					523.6	396.5
Total Receipts	<u>252.0</u>	<u>388.4</u>	<u>827.4</u>	<u>626.3</u>	<u>604.1</u>	<u>833.3</u>	<u>557.3</u>	<u>520.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,609.3</u>	<u>2,245.0</u>
DISBURSEMENTS:														
Departmental Operations:														
Non-Personal Service	0.1	0.2	1.4	0.3	--	1.4	0.4	--					3.8	3.3
Debt Service, including payments on financing agreements	242.4	236.3	273.4	103.8	281.8	512.7	107.0	194.3					1,951.7	2,277.9
Total Disbursements	<u>242.5</u>	<u>236.5</u>	<u>274.8</u>	<u>104.1</u>	<u>281.8</u>	<u>514.1</u>	<u>107.4</u>	<u>194.3</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,955.5</u>	<u>2,281.2</u>
Excess (Deficiency) of Receipts over Disbursements	<u>9.5</u>	<u>151.9</u>	<u>552.6</u>	<u>522.2</u>	<u>322.3</u>	<u>319.2</u>	<u>449.9</u>	<u>326.2</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,653.8</u>	<u>(36.2)</u>
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	326.9	318.2	481.0	199.4	451.0	647.6	144.1	231.5					2,799.7	3,017.0
Transfers to Other Funds	(279.1)	(454.6)	(941.0)	(751.0)	(844.2)	(859.3)	(679.4)	(528.4)					(5,337.0)	(2,663.6)
Total Other Financing Sources (Uses)	<u>47.8</u>	<u>(136.4)</u>	<u>(460.0)</u>	<u>(551.6)</u>	<u>(393.2)</u>	<u>(211.7)</u>	<u>(535.3)</u>	<u>(296.9)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(2,537.3)</u>	<u>353.4</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>57.3</u>	<u>15.5</u>	<u>92.6</u>	<u>(29.4)</u>	<u>(70.9)</u>	<u>107.5</u>	<u>(85.4)</u>	<u>29.3</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>116.5</u>	<u>317.2</u>
CLOSING CASH BALANCE	<u>\$226.3</u>	<u>\$241.8</u>	<u>\$334.4</u>	<u>\$305.0</u>	<u>\$234.1</u>	<u>\$341.6</u>	<u>\$256.2</u>	<u>\$285.5</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$285.5</u>	<u>\$739.0</u>

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT "I"

	8 Months Ended Nov. 30												2002	2001		
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH				
OPENING CASH BALANCE (DEFICITS)	(\$267.4)	(\$346.1)	(\$466.6)	(\$485.3)	(\$582.5)	(\$689.1)	(\$570.9)	(\$579.0)							(\$267.4)	(\$88.9)
RECEIPTS:																
Consumption/Use Taxes and Fees																
Motor Vehicle		16.3	47.5	29.1	33.0	71.7	44.3	42.2	37.3						321.4	250.4
Auto Rental	(1)	1.8	0.1	8.8	0.1	--	12.0	--	--						22.8	--
Motor Fuel		25.1	37.9	35.5	30.9	33.0	30.4	28.7	25.1						246.6	237.6
Highway Use		12.1	11.4	11.7	12.1	13.2	12.6	12.6	13.3						99.0	102.9
Business Taxes																
Petroleum Business		41.9	47.8	52.0	51.2	53.2	52.6	44.7	44.3						387.7	353.4
Other Taxes		--	--	11.2	11.2	11.2	11.2	11.2	11.2						67.2	67.2
Miscellaneous Receipts		15.8	152.4	113.0	35.1	50.6	274.9	117.5	79.9						839.2	879.3
Federal Grants		97.3	100.4	117.7	114.9	121.5	213.0	160.1	127.2						1,052.1	974.3
Total Receipts		210.3	397.5	379.0	288.5	354.4	651.0	417.0	338.3	0.0	0.0	0.0	0.0		3,036.0	2,865.1
DISBURSEMENTS:																
Local Assistance Grants:																
Education		0.1	0.5	0.1	--	--	--	--	0.6						1.3	1.1
Social Services		--	--	--	--	--	--	--	--						--	25.5
Health and Environment		0.3	--	0.1	0.1	2.3	149.1	0.1	0.2						152.2	233.1
Mental Hygiene		0.9	0.5	1.8	1.1	1.4	1.0	4.3	2.0						13.0	33.5
Transportation		13.0	14.2	18.7	20.1	25.2	23.7	28.7	42.9						186.5	44.6
Miscellaneous		10.0	1.5	3.3	5.9	38.5	4.0	30.8	28.1						122.1	78.7
Total Local Assistance Grants		24.3	16.7	24.0	27.2	67.4	177.8	63.9	73.8	0.0	0.0	0.0	0.0		475.1	416.5
Departmental Operations:																
Personal Service		--	--	--	--	--	--	--	--						--	--
Non-Personal Service		--	--	--	--	--	--	--	--						--	--
General State Charges		--	--	--	--	--	--	--	--						--	--
Capital Projects		259.6	327.4	332.5	332.6	379.5	318.1	345.4	325.1						2,620.2	2,524.7
Total Disbursements		283.9	344.1	356.5	359.8	446.9	495.9	409.3	398.9	0.0	0.0	0.0	0.0		3,095.3	2,941.2
Excess (Deficiency) of Receipts over Disbursements		(73.6)	53.4	22.5	(71.3)	(92.5)	155.1	7.7	(60.6)	0.0	0.0	0.0	0.0		(59.3)	(76.1)
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)		--	--	--	--	--	--	--	--						--	93.0
Transfers from Other Funds		51.0	32.2	17.4	32.4	48.8	106.2	44.1	6.4						338.5	258.6
Transfers to Other Funds		(56.1)	(206.1)	(58.6)	(58.3)	(62.9)	(143.1)	(59.9)	(131.8)						(776.8)	(517.6)
Total Other Financing Sources (Uses)		(5.1)	(173.9)	(41.2)	(25.9)	(14.1)	(36.9)	(15.8)	(125.4)	0.0	0.0	0.0	0.0		(438.3)	(166.0)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(78.7)	(120.5)	(18.7)	(97.2)	(106.6)	118.2	(8.1)	(186.0)	0.0	0.0	0.0	0.0		(497.6)	(242.1)
CLOSING CASH BALANCE (DEFICITS)		(\$346.1)	(\$466.6)	(\$485.3)	(\$582.5)	(\$689.1)	(\$570.9)	(\$579.0)	(\$765.0)	\$0.0	\$0.0	\$0.0	\$0.0		(\$765.0)	(\$331.0)

(1) The Auto Use Tax was transferred from the General Fund to the Highway and Bridge Trust Fund, Capital Projects Fund group, pursuant to Chapter 85, Part D, Laws of 2002.

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT J

													8 Months Ended Nov. 30	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY FEBRUARY MARCH			2002	2001
OPENING CASH BALANCE (DEFICITS)	\$19.0	\$20.2	\$22.3	\$22.7	\$23.8	\$22.8	\$20.7	\$17.6					\$19.0	\$16.8
RECEIPTS:														
Miscellaneous Receipts	6.3	6.0	4.3	8.4	6.6	7.8	5.5	5.8					50.7	55.4
Total Receipts	6.3	6.0	4.3	8.4	6.6	7.8	5.5	5.8	0.0	0.0	0.0	0.0	50.7	55.4
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.7	0.9	0.6	1.2	1.3	2.0	0.9	0.9					8.5	7.7
Non-Personal Service	4.3	3.0	3.2	6.0	6.2	7.8	7.5	5.7					43.7	45.5
General State Charges	0.1	--	0.1	0.1	0.1	0.1	0.2	0.2					0.9	0.9
Total Disbursements	5.1	3.9	3.9	7.3	7.6	9.9	8.6	6.8	0.0	0.0	0.0	0.0	53.1	54.1
Excess (Deficiency) of Receipts over Disbursements	1.2	2.1	0.4	1.1	(1.0)	(2.1)	(3.1)	(1.0)	0.0	0.0	0.0	0.0	(2.4)	1.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1.2	2.1	0.4	1.1	(1.0)	(2.1)	(3.1)	(1.0)	0.0	0.0	0.0	0.0	(2.4)	1.3
CLOSING CASH BALANCE	<u>\$20.2</u>	<u>\$22.3</u>	<u>\$22.7</u>	<u>\$23.8</u>	<u>\$22.8</u>	<u>\$20.7</u>	<u>\$17.6</u>	<u>\$16.6</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$16.6</u>	<u>\$18.1</u>

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT K

													8 Months Ended Nov. 30	
	2002 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2003 JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE (DEFICITS)	(\$101.5)	(\$105.6)	(\$109.5)	(\$146.8)	(\$170.0)	(\$176.5)	(\$174.6)	(\$156.5)					(\$101.5)	(\$82.1)
RECEIPTS:														
Miscellaneous Receipts	33.2	39.2	59.0	36.9	59.6	42.5	46.0	29.6					346.0	281.3
Total Receipts	33.2	39.2	59.0	36.9	59.6	42.5	46.0	29.6	0.0	0.0	0.0	0.0	346.0	281.3
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	9.5	9.7	8.4	12.9	8.9	8.7	9.8	8.9					76.8	74.8
Non-Personal Service	26.2	30.3	93.7	30.0	39.0	34.0	19.0	29.8					302.0	263.8
General State Charges	1.6	4.7	10.9	1.0	4.0	--	1.2	4.6					28.0	21.3
Debt Service, Including Payments on Financing Agreements	--	--	--	16.6	33.3	--	--	--					49.9	61.7
Total Disbursements	37.3	44.7	113.0	60.5	85.2	42.7	30.0	43.3	0.0	0.0	0.0	0.0	456.7	421.6
Excess (Deficiency) of Receipts over Disbursements	(4.1)	(5.5)	(54.0)	(23.6)	(25.6)	(0.2)	16.0	(13.7)	0.0	0.0	0.0	0.0	(110.7)	(140.3)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	1.6	16.7	0.4	19.1	2.1	2.1	2.3					44.3	12.6
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	1.6	16.7	0.4	19.1	2.1	2.1	2.3	0.0	0.0	0.0	0.0	44.3	12.6
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(4.1)	(3.9)	(37.3)	(23.2)	(6.5)	1.9	18.1	(11.4)	0.0	0.0	0.0	0.0	(66.4)	(127.7)
CLOSING CASH BALANCE (DEFICITS)	(\$105.6)	(\$109.5)	(\$146.8)	(\$170.0)	(\$176.5)	(\$174.6)	(\$156.5)	(\$167.9)	\$0.0	\$0.0	\$0.0	\$0.0	(\$167.9)	(\$209.8)

STATE OF NEW YORK
EXPENDABLE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)

EXHIBIT L

	2002				2003				2003				8 Months Ended Nov. 30	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2002	2001
OPENING CASH BALANCE	\$46.4	\$10.5	\$23.5	\$56.5	\$44.4	\$69.0	\$61.0	\$65.5					\$46.4	\$36.1
RECEIPTS:														
Miscellaneous Receipts	1.2	0.7	0.9	0.8	6.2	1.2	0.8	5.5					17.3	19.9
Federal Grants	171.5	174.7	141.7	111.7	80.8	87.2	85.4	74.1					927.1	19.2
Unemployment Taxes	269.3	249.9	243.9	259.8	241.5	216.4	225.0	201.6					1,907.4	1,704.3
Total Receipts	442.0	425.3	386.5	372.3	328.5	304.8	311.2	281.2	0.0	0.0	0.0	0.0	2,851.8	1,743.4
DISBURSEMENTS:														
Local Assistance Grants:														
Miscellaneous	--	--	--	--	--	--	--	1.1					1.1	1.4
Departmental Operations:														
Personal Service	0.3	0.4	0.4	0.7	0.3	0.3	0.3	0.4					3.1	3.1
Non-Personal Service	1.5	1.7	1.1	1.8	1.2	1.4	1.3	1.0					11.0	9.5
General State Charges	0.3	0.3	--	--	0.4	--	--	--					1.0	1.3
Unemployment Benefits	474.8	409.7	355.7	380.1	302.8	310.3	304.2	277.9					2,815.5	1,706.5
Capital Projects	1.0	0.2	(3.4)	1.8	0.7	0.8	0.9	0.4					2.4	2.9
Total Disbursements	477.9	412.3	353.8	384.4	305.4	312.8	306.7	280.8	0.0	0.0	0.0	0.0	2,834.1	1,724.7
Excess (Deficiency) of Receipts over Disbursements	(35.9)	13.0	32.7	(12.1)	23.1	(8.0)	4.5	0.4	0.0	0.0	0.0	0.0	17.7	18.7
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	0.3	--	1.5	--	--	--					1.8	0.6
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	0.3	--	1.5	--	--	--	0.0	0.0	0.0	0.0	1.8	0.6
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(35.9)	13.0	33.0	(12.1)	24.6	(8.0)	4.5	0.4	0.0	0.0	0.0	0.0	19.5	19.3
CLOSING CASH BALANCE	\$10.5	\$23.5	\$56.5	\$44.4	\$69.0	\$61.0	\$65.5	\$65.9	\$0.0	\$0.0	\$0.0	\$0.0	\$65.9	\$55.4

**STATE OF NEW YORK
NONEXPENDABLE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2002-2003
(in millions)**

EXHIBIT M

	8 Months Ended Nov. 30													
	2002						2003						2002	2001
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$23.8	\$26.4	\$27.5	\$29.7	\$31.5	\$33.5	\$31.2	\$28.2					\$23.8	\$26.7
RECEIPTS:														
Miscellaneous Receipts	3.9	2.4	2.3	2.2	3.4	3.0	2.5	2.9					22.6	19.3
Federal Grants	0.2	0.1	0.6	--	0.1	--	0.2	0.1					1.3	0.5
Total Receipts	<u>4.1</u>	<u>2.5</u>	<u>2.9</u>	<u>2.2</u>	<u>3.5</u>	<u>3.0</u>	<u>2.7</u>	<u>3.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>23.9</u>	<u>19.8</u>
DISBURSEMENTS:														
Local Assistance Grants:														
Mental Hygiene	0.1	--	--	--	--	--	--	--					0.1	2.5
Miscellaneous	0.3	0.5	0.4	0.3	0.3	0.6	--	0.4					2.8	1.4
Departmental Operations:														
Personal Service	--	--	--	--	--	--	--	--					--	--
Non-Personal Service	1.1	0.9	0.3	0.1	1.2	4.7	5.7	1.1					15.1	16.2
Total Disbursements	<u>1.5</u>	<u>1.4</u>	<u>0.7</u>	<u>0.4</u>	<u>1.5</u>	<u>5.3</u>	<u>5.7</u>	<u>1.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>18.0</u>	<u>20.1</u>
Excess (Deficiency) of Receipts over Disbursements	<u>2.6</u>	<u>1.1</u>	<u>2.2</u>	<u>1.8</u>	<u>2.0</u>	<u>(2.3)</u>	<u>(3.0)</u>	<u>1.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>5.9</u>	<u>(0.3)</u>
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>2.6</u>	<u>1.1</u>	<u>2.2</u>	<u>1.8</u>	<u>2.0</u>	<u>(2.3)</u>	<u>(3.0)</u>	<u>1.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>5.9</u>	<u>(0.3)</u>
CLOSING CASH BALANCE	<u>\$26.4</u>	<u>\$27.5</u>	<u>\$29.7</u>	<u>\$31.5</u>	<u>\$33.5</u>	<u>\$31.2</u>	<u>\$28.2</u>	<u>\$29.7</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$29.7</u>	<u>\$26.4</u>

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF NOVEMBER 2002
(amounts in millions)

SCHEDULE 1

	BALANCE 11/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/02
GENERAL FUND					
001-Local Assistance	\$ --	\$ 0.531	\$ 722.246	\$ 721.715	\$ --
003-State Operations	995.700	1,470.563	547.261	(279.312)	1,639.690
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	96.299	5.441	--	(81.211)	20.529
006-Universal Pre-K	--	--	--	--	--
007-Community Projects	119.144	--	18.235	--	100.909
166-Fringe Benefits Escrow	--	--	--	--	--
TOTAL GENERAL FUND	1,211.143	1,476.535	1,287.742	361.192	1,761.128
SPECIAL REVENUE FUNDS-GENERAL					
023-New York Interest on Lawyer Account	3.727	0.766	0.041	--	4.452
050-Tuition Reimbursement	1.271	0.180	0.185	--	1.266
052-Local Government Records Management Improvement	5.331	1.580	1.209	(0.192)	5.510
053-School Tax Relief	14.688	638.000	650.578	--	2.110
054-Charter Schools Stimulus	0.309	0.001	--	--	0.310
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.016	--	--	--	0.016
061-HCRA Transfer	66.503	221.548	179.734	--	108.317
062-Tobacco Transfer	0.600	--	--	--	0.600
068-Indigent Care	244.157	48.074	291.243	--	0.988
073-Dedicated Mass Transportation Trust	62.473	37.441	45.690	--	54.224
160-State Lottery	(744.638)	148.474	15.030	--	(611.194)
300-Sewage Treatment Program Mgmt. & Administration	0.898	0.002	0.431	--	0.469
301-EnCon Special Revenue	34.982	(2.116)	4.687	(2.215)	25.964
302-Conservation	19.939	0.497	2.786	--	17.650
303-Environmental Protection and Oil Spill Compensation	8.990	4.035	2.611	2.215	12.629
305-Training and Education Program on OSHA	6.777	3.700	1.707	--	8.770
306-Lawyers' Fund for Client Protection	4.494	0.525	0.033	--	4.986
312-Hazardous Waste Remedial	(12.595)	1.598	1.603	(1.312)	(13.912)
313-Mass Transportation Operating Assistance	189.257	35.084	145.620	20.000	98.721
314-Clean Air	2.164	7.327	2.255	--	7.236
318-New York State Infrastructure Trust	0.055	--	--	--	0.055
321-Legislative Computer Services	5.029	0.035	--	--	5.064
328-Biodiversity Stewardship and Research	--	--	--	--	--
337-Rural Housing Assistance	--	--	--	--	--
339-Miscellaneous State Special Revenue	1,142.769	223.436	460.498	100.967	1,006.674
340-Court Facilities Incentive Aid	2.990	0.015	0.138	--	2.867
341-Employment Training	0.217	--	--	--	0.217
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	384.217	146.444	243.618	10.036	297.079
346-Substance Abuse Service	5.084	0.161	0.105	--	5.140
349-Lake George Park Trust	0.532	0.009	0.092	--	0.449
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	14.210	0.581	0.935	--	13.856

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF NOVEMBER 2002
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 11/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/02
<u>SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)</u>					
355-New York Great Lakes Protection	\$ 3.971	\$ 0.006	\$ 0.027	\$ --	\$ 3.950
359-Federal Revenue Maximization	14.528	(2.605)	11.688	--	0.235
362-NYS/DOT Highway Safety Program	0.754	0.847	0.242	--	1.359
365-Vocational Rehabilitation	0.599	0.019	--	--	0.618
366-Drinking Water Program Management and Administration	(0.672)	--	0.440	--	(1.112)
368-NYC County Clerks' Operations Offset	(5.970)	--	1.212	--	(7.182)
369-Judiciary Data Processing Offset	(4.874)	--	0.846	--	(5.720)
377-IFR / CUTRA	34.266	5.101	3.814	--	35.553
379-Racing Preservation	--	--	--	--	--
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.069	0.020	--	--	0.089
482-Unemployment Insurance Interest and Penalty	4.346	0.780	1.331	--	3.795
TOTAL SPECIAL REVENUE FUNDS-GENERAL	1,511.463	1,521.565	2,070.429	129.499	1,092.098
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	1.730	72.069	73.929	(1.261)	(1.391)
265-Federal Health and Human Services	(171.621)	2,237.224	2,180.081	(68.350)	(182.828)
267-Federal Education	(11.217)	121.717	117.157	(0.335)	(6.992)
269-Federal DHHS Block Grant	(1.109)	109.766	112.987	(0.844)	(5.174)
290-Federal Miscellaneous Operating Grants	(8.392)	37.983	62.571	(0.012)	(32.992)
480-Unemployment Insurance Administration	30.379	35.177	41.770	--	23.786
484-Unemployment Insurance Occupational Training	6.638	8.731	14.347	--	1.022
486-DOL Federal Grants	2.320	30.610	31.970	--	0.960
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(151.272)	2,653.277	2,634.812	(70.802)	(203.609)
TOTAL SPECIAL REVENUE FUNDS	1,360.191	4,174.842	4,705.241	58.697	888.489
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	140.852	134.290	--	(111.435)	163.707
311-General Obligation Debt Service	--	182.075	173.678	(4.457)	3.940
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	2.694	3.045	0.351	--
319-Department of Health Income	30.915	5.027	16.248	(3.031)	16.663
320-Emergency Highway Reconditioning & Preservation	--	4.260	--	(4.260)	--
330-State University Dormitory Income	69.859	22.241	--	(11.563)	80.537
336-Emergency Highway Construction & Reconstruction	--	4.260	--	(4.260)	--
361-Clean Water/Clean Air	10.769	14.620	--	(8.497)	16.892
364-Local Government Assistance Tax	3.795	151.099	1.390	(149.709)	3.795
TOTAL DEBT SERVICE FUNDS	\$ 256.190	\$ 520.566	\$ 194.361	\$ (296.861)	\$ 285.534

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF NOVEMBER 2002
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 11/1/02	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 11/30/02
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	\$ --	\$ 64.324	\$ 74.601	\$ 10.277	\$ --
071-Health Facilities Capital Improvement	--	--	--	--	--
072-Dedicated Highway and Bridge Trust	(208.101)	122.332	110.114	(59.979)	(255.862)
074-SUNY Residence Halls Rehabilitation and Repair	84.183	0.126	3.334	0.009	80.984
075-New York State Canal System Development	1.850	0.060	0.586	--	1.324
076-Parks Infrastructure	(1.559)	12.077	1.761	--	8.757
077-Passenger Facility Charge	0.245	--	--	--	0.245
078-Environmental Protection	180.220	11.487	4.259	(50.000)	137.448
079-Clean Water/Clean Air Implementation	(7.383)	--	0.122	--	(7.505)
080-Hudson River Park	0.073	--	--	--	0.073
101-Energy Conservation Thru Improved Transportation Bond	0.380	--	--	--	0.380
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	0.159	--	--	--	0.159
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	4.588	--	--	--	4.588
115-Environmental Quality Protection Bond	5.663	--	--	(0.054)	5.609
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
123-Transportation Infrastructure Renewal Bond	17.265	--	--	(0.001)	17.264
124-1986 Environmental Quality Bond Act	16.243	--	--	(3.476)	12.767
126-Accelerated Capacity and Transportation Improvement Bond	7.500	--	--	(0.269)	7.231
127-Clean Water/Clean Air Bond	12.745	--	--	(0.061)	12.684
291-Federal Capital Projects	(170.622)	127.342	122.590	(1.774)	(167.644)
310-Forest Preserve Expansion	0.236	0.001	--	--	0.237
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	0.561	0.001	--	--	0.562
327-Suburban Transportation	20.325	--	--	(20.000)	0.325
357-Division for Youth Facilities Improvement	(8.685)	--	(0.116)	--	(8.569)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(4.000)	--	--	--	(4.000)
376-Housing Program	(168.160)	--	8.473	--	(176.633)
378-Natural Resource Damage	8.371	0.013	0.023	--	8.361
380-DOT Engineering Services	(160.533)	--	52.792	--	(213.325)
384-State University Capital Projects	11.882	0.015	0.380	--	11.517
387-Miscellaneous Capital Projects	21.410	0.134	0.411	--	21.133
388-CUNY Capital Projects	(1.596)	--	0.005	--	(1.601)
389-Mental Hygiene Facilities Capital Improvement	(171.813)	0.369	5.011	--	(176.455)
399-Correction Facilities Capital Improvement	(70.493)	--	14.557	--	(85.050)
TOTAL CAPITAL PROJECTS FUNDS	<u>(579.044)</u>	<u>338.281</u>	<u>398.903</u>	<u>(125.328)</u>	<u>(764.994)</u>
TOTAL GOVERNMENTAL FUNDS	<u>\$ 2,248.480</u>	<u>\$ 6,510.224</u>	<u>\$ 6,586.247</u>	<u>\$ (2.300)</u>	<u>\$ 2,170.157</u>

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF NOVEMBER 2002
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 11/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 11/30/02</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$ 0.295	\$ 0.015	\$ 0.010	\$ --	\$ 0.300
325-State Exposition Special	1.494	0.574	0.871	--	1.197
326-Correctional Services Commissary	1.225	2.448	2.426	--	1.247
329-Correctional Services Family Benefit	6.863	1.783	2.381	--	6.265
331-Agency Enterprise	1.083	0.188	0.213	--	1.058
351-Mental Health Sheltered Workshop	2.602	0.195	0.226	--	2.571
352-Mental Retardation Sheltered Workshop	0.559	0.030	0.068	--	0.521
353-Mental Hygiene Community Stores	2.409	0.173	0.232	--	2.350
450-Industrial Exhibit Authority	1.117	0.393	0.382	--	1.128
TOTAL ENTERPRISE FUNDS	<u>17.647</u>	<u>5.799</u>	<u>6.809</u>	<u>--</u>	<u>16.637</u>
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	(17.287)	12.096	21.260	--	(26.451)
334-Agency Internal Service	(110.123)	13.888	16.229	2.304	(110.160)
343-Mental Hygiene Revolving	0.900	0.188	0.148	--	0.940
347-Youth Vocational Education	0.003	--	--	--	0.003
394-Joint Labor/Management Administration	1.296	--	0.111	--	1.185
395-Audit and Control Revolving	(0.690)	--	0.077	--	(0.767)
396-Health Insurance Revolving	(23.708)	0.051	0.918	--	(24.575)
397-Correctional Industries Revolving	(6.849)	3.314	4.569	--	(8.104)
TOTAL INTERNAL SERVICE FUNDS	<u>(156.458)</u>	<u>29.537</u>	<u>43.312</u>	<u>2.304</u>	<u>(167.929)</u>
TOTAL PROPRIETARY FUNDS	<u>\$ (138.811)</u>	<u>\$ 35.336</u>	<u>\$ 50.121</u>	<u>\$ 2.304</u>	<u>\$ (151.292)</u>

SCHEDULE 3

STATE OF NEW YORK
 FIDUCIARY FUNDS
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF NOVEMBER 2002
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 11/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 11/30/02</u>
<u>EXPENDABLE TRUST FUNDS</u>					
019-Mental Health Gifts and Donations	\$ 1.753	\$ 0.052	\$ 0.022	\$ --	\$ 1.783
020-Combined Expendable Trust	24.423	5.300	2.785	--	26.938
021-Agriculture Producer's Security	3.955	0.006	0.013	--	3.948
022-Milk Producers Security	5.834	0.022	0.010	--	5.846
024-Archives Partnership Trust	0.495	0.001	0.068	(0.009)	0.419
333-Winter Sports Education Trust	1.204	0.002	--	--	1.206
481-Unemployment Insurance Benefit	<u>27.802</u>	<u>275.822</u>	<u>277.880</u>	<u>--</u>	<u>25.744</u>
TOTAL EXPENDABLE TRUST FUNDS	<u>65.466</u>	<u>281.205</u>	<u>280.778</u>	<u>(0.009)</u>	<u>65.884</u>
<u>NONEXPENDABLE TRUST FUNDS</u>					
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
221-Combined Student Loan	13.272	2.640	1.079	--	14.833
307-Equipment Loan for the Disabled	0.300	0.004	0.001	--	0.303
332-Combined Non-Expendable Trust	4.074	0.264	0.035	--	4.303
335-Musical Instrument Revolving	0.001	--	--	--	0.001
338-Arts Capital Revolving	0.470	0.001	--	--	0.471
360-Housing Development	<u>10.108</u>	<u>0.031</u>	<u>0.398</u>	<u>--</u>	<u>9.741</u>
TOTAL NONEXPENDABLE TRUST FUNDS	<u>\$ 28.225</u>	<u>\$ 2.940</u>	<u>\$ 1.513</u>	<u>\$ --</u>	<u>\$ 29.652</u>

STATE OF NEW YORK
 FIDUCIARY FUNDS
 STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FOR THE MONTH OF NOVEMBER 2002
 (amounts in millions)

SCHEDULE 3
 (continued)

<u>FUND TYPE</u>	<u>FUND BALANCE 11/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 11/30/02</u>
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	\$ --	\$ --	\$ --	\$ --	\$ --
130-School Capital Facilities Financing Reserve	43.683	1.827	12.130	--	33.380
152-Employees Health Insurance	131.994	279.443	278.515	--	132.922
153-Social Security Contribution	29.427	63.143	74.627	--	17.943
154-Employee Payroll Withholding Escrow	36.869	267.419	295.813	--	8.475
162-Employees Dental Insurance	18.220	0.711	6.557	--	12.374
163-Management Confidential Group Insurance	0.603	0.579	0.571	--	0.611
165-Lottery Prize	166.827	96.667	75.087	20.534	208.941
167-Health Insurance Reserve Receipts	4.661	(4.661)	--	--	--
169-Miscellaneous New York State Agency	691.927	12.531	113.479	--	590.979
175-Elderly Pharmaceutical Insurance Coverage Escrow	0.959	46.880	23.400	--	24.439
176-City University Senior College Operating	46.315	60.011	76.726	--	29.600
179-Medicaid Management Information System Escrow	626.194	2,598.337	2,659.052	--	565.479
309-Special Education	--	--	--	--	--
344-State University Collection	147.271	(70.111)	--	--	77.160
382-SUNY Federal Direct Lending Program	(0.260)	1.858	--	--	1.598
TOTAL AGENCY FUNDS	<u>1,944.690</u>	<u>3,354.634</u>	<u>3,615.957</u>	<u>20.534</u>	<u>1,703.901</u>
TOTAL FIDUCIARY FUNDS	<u>\$ 2,038.381</u>	<u>\$ 3,638.779</u>	<u>\$ 3,898.248</u>	<u>\$ 20.525</u>	<u>\$ 1,799.437</u>

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FOR THE MONTH OF NOVEMBER 2002
 (amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 11/1/02</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 11/30/02</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$ 52.763	\$ 0.080	\$ --	\$ 52.843
149-Sole Custody Investment	1,053.109	1,068.644	1,024.859	1,096.894
650-Comptroller's Refund	--	133.329	133.329	--
750-NYS Thruway Authority Operating	<u>3.093</u>	<u>31.086</u>	<u>30.494</u>	<u>3.685</u>
TOTAL ACCOUNTS	\$ <u>1,108.965</u>	\$ <u>1,233.139</u>	\$ <u>1,188.682</u>	\$ <u>1,153.422</u>

**STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2003**

PURPOSE	DEBT OUTSTANDING APR. 1, 2002	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING NOV. 30, 2002	INTEREST DISBURSED	
		MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2002	MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2002		MONTH OF NOVEMBER	8 MONTHS ENDED NOV. 30, 2002
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 1,304,285,262.09	\$ --	\$ --	\$ 6,000,000.00	\$ 78,747,787.69	\$ 1,225,537,474.40	\$ 194,030.69	\$ 35,023,979.83
Clean Water/Clean Air:								
Air Quality	138,723,327.21	--	--	--	6,254,556.10	132,468,771.11	23,729.96	3,294,391.25
Safe Drinking Water	228,817,482.95	--	--	--	8,118,578.14	220,698,904.81	64,781.82	6,226,748.72
Water	276,400,686.44	--	--	--	1,573,419.29	274,827,267.15	94,852.86	5,626,125.56
Solid Waste	96,166,804.31	--	--	--	1,283,411.90	94,883,392.41	54,501.30	1,430,953.98
Environmental Restoration	17,518,242.13	--	--	--	84,655.17	17,433,586.96	12,507.10	205,106.75
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	74,597,947.52	--	--	11,175,000.00	18,434,324.29	56,163,623.23	498,987.54	2,581,033.72
Environmental Quality Protection (1972):								
Air	34,292,298.25	--	--	--	2,236,081.60	32,056,216.65	--	993,256.54
Land	93,036,402.64	--	--	2,390,000.00	9,097,093.75	83,939,308.89	121,750.00	2,849,007.89
Wet Lands	1,000.00	--	--	--	--	1,000.00	--	30.00
Water	248,821,529.23	--	--	4,370,000.00	19,731,472.84	229,090,056.39	557,202.50	8,359,073.09
Environmental Quality (1986):								
Land and Forests	141,459,633.87	--	--	100,000.00	9,958,542.50	131,501,091.37	14,941.08	4,397,585.80
Solid Waste Management	681,822,787.03	--	--	5,500,000.00	9,223,102.03	672,599,685.00	585,464.75	13,050,323.60
Higher Education Construction	6,380,000.00	--	--	--	1,800,000.00	4,580,000.00	--	147,975.00
Housing								
Low Cost	152,385,863.00	--	--	584,000.00	10,177,930.38	142,207,932.62	70,664.00	3,671,903.67
Middle Income	75,482,000.00	--	--	1,270,000.00	1,645,000.00	73,837,000.00	892,731.25	2,531,822.25
Urban Renewal	862,383.18	--	--	220,000.00	250,977.75	611,405.43	7,700.00	30,199.28
Outdoor Recreation Development	838,234.48	--	--	30,000.00	159,897.60	678,336.88	1,050.00	44,354.85
Park and Recreation Land Acquisition	219,163.58	--	--	30,000.00	57,800.94	161,362.64	1,050.00	7,288.61
Pure Waters	205,278,758.72	--	--	1,890,000.00	13,470,423.73	191,808,334.99	353,137.50	6,644,585.76
Rail Preservation Development	63,982,328.96	--	--	1,030,000.00	7,992,582.79	55,989,746.17	531,350.00	2,736,425.96
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	11,970,833.58	--	--	--	2,588,761.71	9,382,071.87	--	270,094.49
Ports, Canals, and Waterways	4,739,546.83	--	--	70,000.00	456,149.06	4,283,397.77	2,205.00	179,036.92
Rapid Transit, Rail, and Aviation	68,224,275.27	--	--	200,000.00	4,054,229.48	64,170,045.79	6,650.00	2,148,152.41
Transportation Capital Facilities:								
Aviation	72,101,722.78	--	--	320,000.00	4,342,450.47	67,759,272.31	232,656.25	2,499,611.53
Mass Transportation	143,808,485.23	--	--	1,570,000.00	17,999,770.79	125,808,714.44	1,247,162.50	5,918,559.08
Total General Obligation Bonded Debt	\$ 4,142,216,999.28	\$ --	\$ --	\$ 36,749,000.00	\$ 229,739,000.00	\$ 3,912,477,999.28	\$ 5,569,106.10	\$ 110,867,626.54

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE EIGHT (8) MONTHS ENDED NOVEMBER 30, 2002

SCHEDULE 5a

	DEBT	EMERGENCY HIGHWAY (320/336)	GENERAL	DEPARTMENT	LOCAL	MENTAL	STATE	COMBINED TOTALS		INCREASE (DECREASE)
	REDUCTION		DEBT	OF HEALTH	GOVERNMENT	HEALTH	UNIVERSITY	8 MONTHS ENDED NOVEMBER 30		
	FUND		SERVICE	INCOME	ASSISTANCE	SERVICES	DORMITORY	2002	2001	
(064)	(311)	(319)	(364)	(304)	(330)					
Special Contractual Financing Obligations:										
City University Construction	\$ --	\$ --	\$ 215,923,891	\$ --	\$ --	\$ --	\$ --	\$ 215,923,891	\$ 318,777,430	\$ (102,853,539)
Community Enhancement Facilities Program	--	--	1,060,616	--	--	--	--	1,060,616	5,527,455	(4,466,839)
County of Albany	--	--	--	--	--	--	--	--	2,076,631	(2,076,631)
Department of TransRegion 1 Schenectady	--	--	866,398	--	--	--	--	866,398	109,704	756,694
Dormitory Authority	--	--	350,592,079	30,522,609	--	153,419,479	17,946,262	552,480,429	676,866,996	(124,386,567)
Environmental Conservation - Broadway Albat	--	--	3,185,973	--	--	--	--	3,185,973	3,188,628	(2,655)
Environmental Conservation - 50 Wolf Rd Alb	--	--	1,301,250	--	--	--	--	1,301,250	1,290,274	10,976
Energy Research & Development Authority	--	--	7,094,925	--	--	--	--	7,094,925	7,253,024	(158,099)
Environmental Facilities Corporation	--	--	13,254,447	--	--	--	--	13,254,447	14,406,866	(1,152,419)
Hampton Plaza	--	--	181,188	--	--	--	--	181,188	186,858	(5,670)
Hanson Place	--	--	3,321,513	--	--	--	--	3,321,513	2,514,000	807,513
44 Holland Avenue	--	--	830,016	--	--	--	--	830,016	809,800	20,216
Housing Finance Agency	--	--	38,456,033	--	--	--	--	38,456,033	38,656,381	(200,348)
Local Government Assistance Corporation	--	--	--	--	94,835,348	--	--	94,835,348	103,335,533	(8,500,185)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	--	--	--	--	--	--	--	84,841,624	(84,841,624)
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	--	8,849,897	--	--	--	--	8,849,897	8,826,793	23,104
Thruway Authority	--	--	549,672,000	--	--	--	--	549,672,000	522,512,971	27,159,029
Urban Development Corporation:										
Correctional Facilities	--	--	100,976,217	--	--	--	--	100,976,217	96,518,255	4,457,962
Center for Industrial Innovation at RPI	--	--	991,123	--	--	--	--	991,123	1,055,670	(64,547)
Syracuse University Science and										
Technology Center	--	--	216,871	--	--	--	--	216,871	252,869	(35,998)
Cornell Univer. Supercomputer Center	--	--	793,368	--	--	--	--	793,368	809,754	(16,386)
Columbia Univer. Telecommunications Cent	--	--	3,838,663	--	--	--	--	3,838,663	3,842,938	(4,275)
Onondaga Convention Center	--	--	1,327,363	--	--	--	--	1,327,363	1,375,925	(48,562)
Clarkson University	--	--	319,204	--	--	--	--	319,204	333,639	(14,435)
Alfred University	--	--	147,833	--	--	--	--	147,833	114,653	33,180
New York University	--	--	--	--	--	--	--	--	26,494	(26,494)
Rochester University	--	--	--	--	--	--	--	--	--	--
Higher Education	--	--	1,338,053	--	--	--	--	1,338,053	1,404,186	(66,133)
Youth Facilities	--	--	4,367,092	--	--	--	--	4,367,092	4,349,848	17,244
University Facilities Grant 95 Refunding	--	--	384,131	--	--	--	--	384,131	583,421	(199,290)
Economic Development Heritage Trail Proje	--	--	488,743	--	--	--	--	488,743	593,866	(105,123)
Sports Facility	--	--	3,438,044	--	--	--	--	3,438,044	3,568,451	(130,407)
Ten Eyck Project Albany	--	--	822,416	--	--	--	--	822,416	822,416	--
Long Island and Pine Barren	--	--	352,048	--	--	--	--	352,048	368,531	(16,483)
South Mall	--	--	--	--	--	--	--	--	--	--
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$ --	\$ 1,314,391,395	\$ 30,522,609	\$ 94,835,348	\$ 153,419,479	\$ 17,946,262	\$ 1,611,115,093	\$ 1,907,201,885	\$ (296,086,792)

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF NOVEMBER 2002
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>NOVEMBER 2002</u>	<u>FISCAL YEAR TO DATE</u>
<u>SHORT TERM INVESTMENT POOL</u>		
AVERAGE DAILY INVESTMENT BALANCE*	\$3,450.0	\$3,546.2
AVERAGE YIELD*	1.507%	1.761%
TOTAL INVESTMENT EARNINGS	\$4.282	\$41.809
DESCRIPTION	<u>PAR AMOUNT</u>	
TREASURY BILLS	\$0.0	
GOVT. AGENCY BILLS/NOTES	\$0.0	
REPURCHASE AGREEMENTS	\$13.8	
COMMERCIAL PAPER	\$3,719.4	
CERTIFICATES OF DEPOSIT	\$309.7	
0% COMPENSATING BALANCE CD's	<u>\$1,230.3</u>	
	<u>\$5,273.2</u>	

*Does not include 0% Compensating Balance CD,s.