

# THE CITIZENS' GUIDE

A Pocket Reference to  
New York State's Finances



2007

*August 2007*

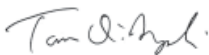
Dear Fellow New Yorker:

As New York's chief financial officer, it is my goal to make information about the State's finances as understandable and available to as many New Yorkers as possible.

This guide is just one of my efforts to make information accessible to all New Yorkers. You can find much more information about New York's State and local governments on my web site: [www.osc.state.ny.us](http://www.osc.state.ny.us)

I hope you find this guide helpful.

Sincerely,



Thomas P. DiNapoli  
State Comptroller



# Table of Contents

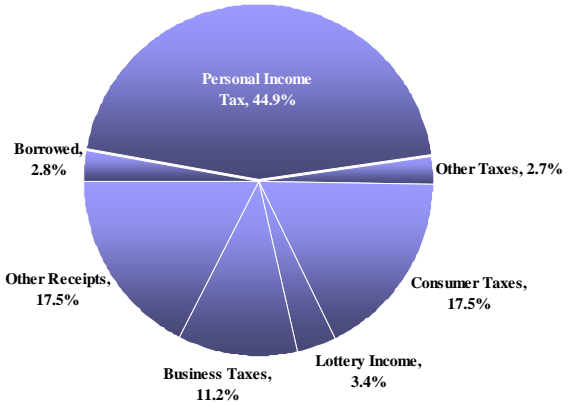
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WHERE TAXES COME FROM, WHERE THEY GO .....	2
FEDERAL GRANTS SPENDING .....	3
SPENDING PER PERSON .....	4
SPENDING PER PERSON BY PROGRAM .....	5
NEW YORK'S DEBT .....	6
DEBT PER PERSON AND LOCAL GOVERNMENT DEBT .....	7
REGIONAL JOB GROWTH .....	8
TAXES PER PERSONAL INCOME .....	9
HIGHWAY CONDITION RATING .....	10
CLEAN WATER/CLEAN AIR BOND ACT .....	11
EDUCATION FUNDING SOURCES .....	12
PERSONAL INCOME PER PERSON .....	13
RECIPIENTS OF PUBLIC ASSISTANCE /FOOD STAMPS .....	13
STATE REVENUE DETAILS .....	14
STATE SPENDING DETAILS .....	15
FEDERAL GRANT RECEIPTS AND DISBURSEMENTS .....	16
IMPROVING NEW YORK'S FINANCIAL FUTURE .....	17

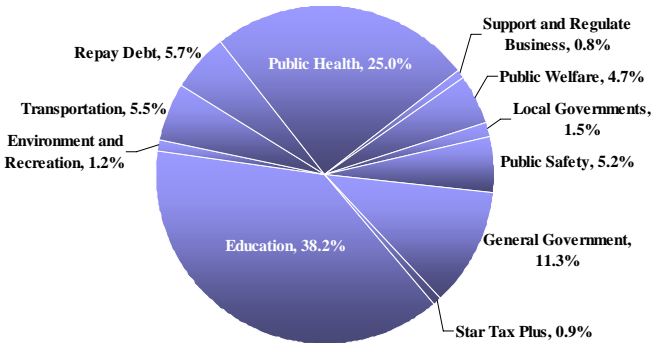
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## State Funds 2006-2007

The State Collected and Borrowed \$77.0 Billion

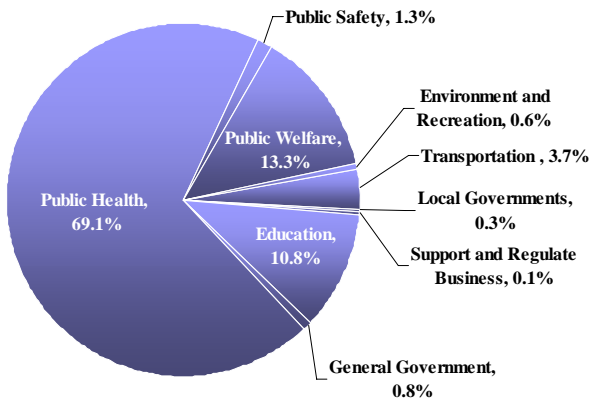


The State Spent \$77.8 Billion



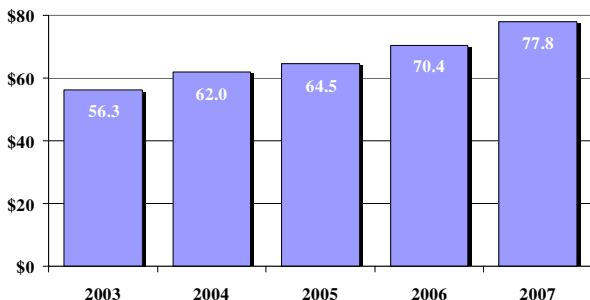
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## The State Spent \$34.9 Billion from the Federal Government



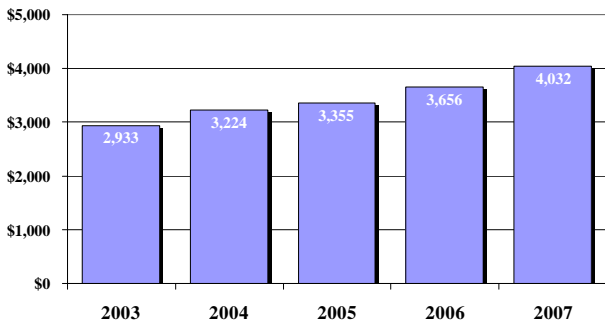
## Total Spending from State Resources

*figures in billions*



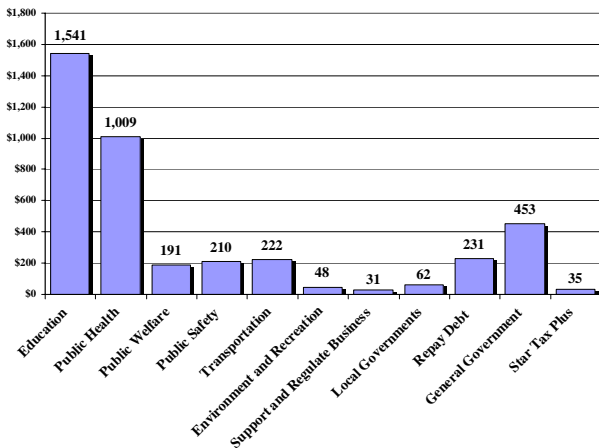
*Since 2003, spending from State resources has increased faster (38.2%) than inflation (11.9%).*

## Total State Spending from State Resources Per Person



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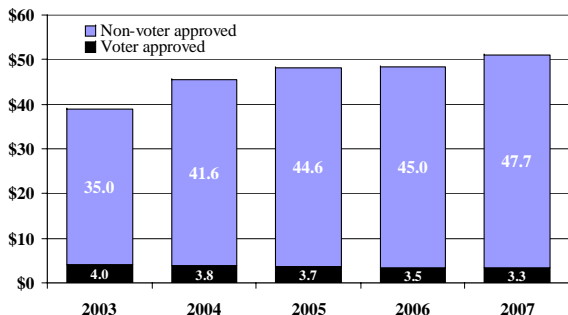
## Total Spending from State Resources Per Person by Program for 2006-2007



*Spending for Education and Public Health account for over 63% of total spending from State Resources.*

## State-Funded Debt

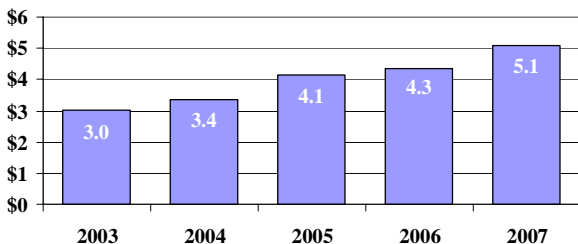
*figures in billions*



*Voter approved debt has decreased from 10.3% of State-funded debt outstanding in 2003 to 6.5% in 2007.*

## The Cost of New York's Debt

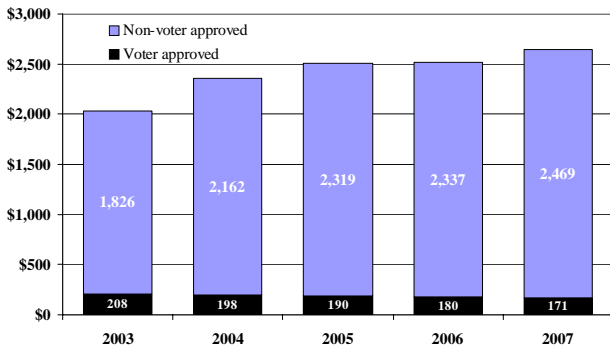
*figures in billions*



*Debt service costs have increased 70% from 2003.*



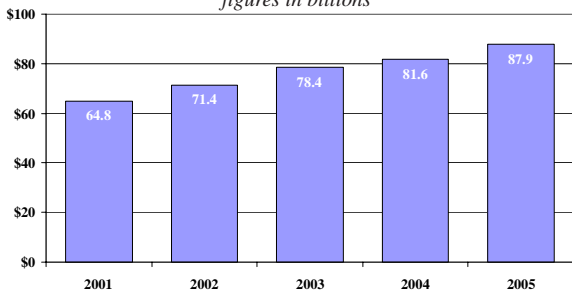
## State-Funded Debt Per Person



*Debt per capita has increased nearly 30% since 2003. New York is fifth in the nation for debt per capita.*

## Local Government Debt

*figures in billions*

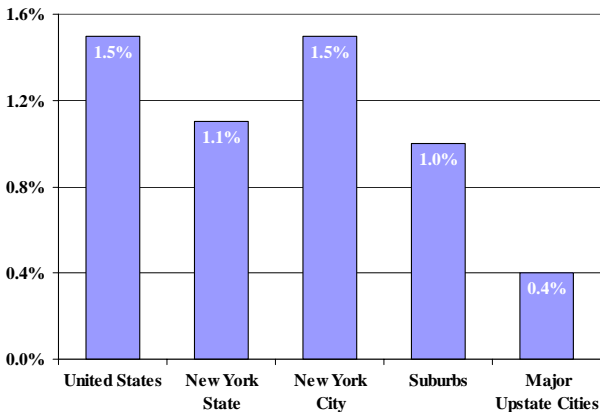


*Debt issued by local governments increased nearly \$23.1 billion (36%) between 2001 and 2005.*

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## Regional Job Growth Chart

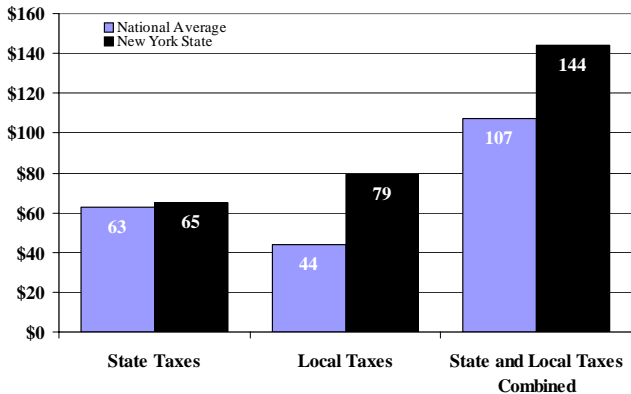
### Mid-year Comparison of 2006 to 2007



*Job growth upstate continues to lag behind the rest of the State and the nation.*

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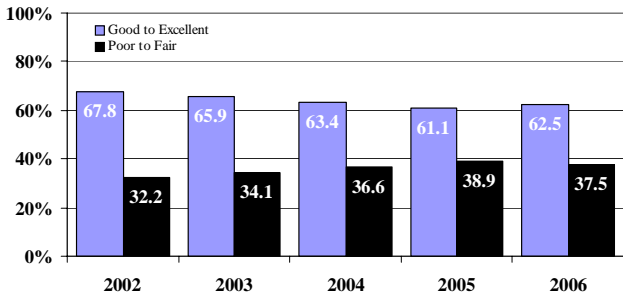
## Taxes Per \$1,000 of Personal Income



*Local taxes in New York are 80% above the national average. State taxes are slightly above the national average.*

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## Highway Condition Rating in New York *as a percent of total lane miles*

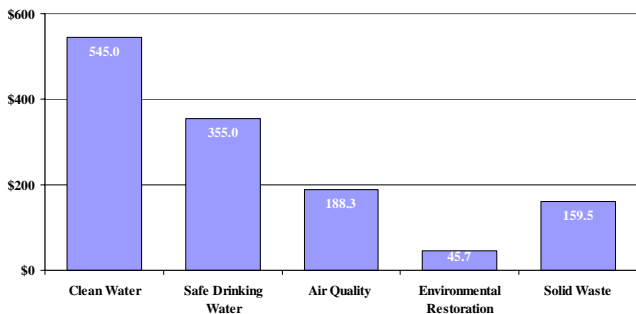


*The State is responsible for maintaining more than 42,000 lane miles of highway.*

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## 1996 Clean Water/Clean Air Bond Act Disbursements

*figures in millions*

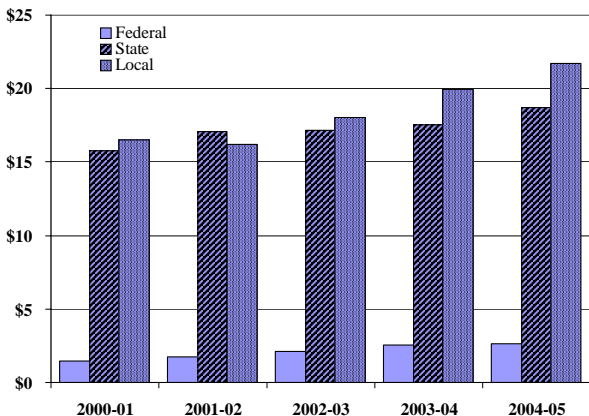


*Since 1996, \$1.3 billion has been disbursed through the Clean Water/Clean Air Bond Act.*

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## Education Funding Sources

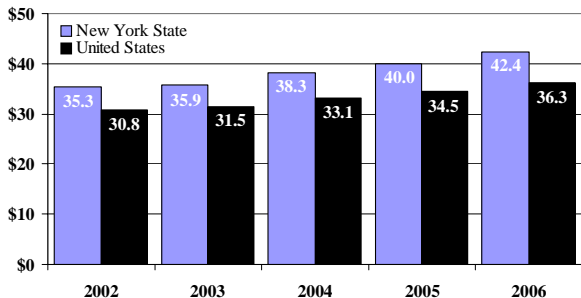
*figures in billions*



*In 2004-05, support for public elementary and secondary schools came from local, State and federal sources in the amount of \$21.7 billion, \$18.7 billion and \$2.7 billion, respectively.*

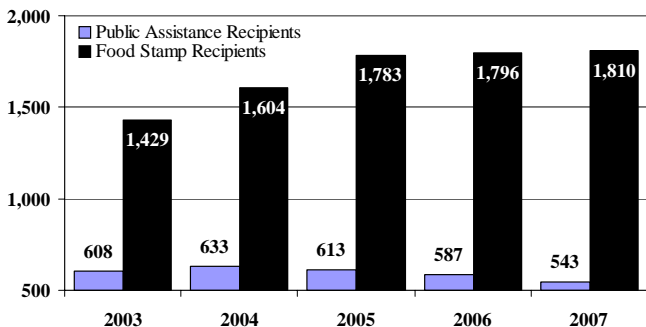
## Personal Income Per Person, NY vs. U.S.

*figures in thousands*



## Recipients of Public Assistance and Food Stamps

*figures in thousands*



*The number of public assistance recipients has declined annually since 2004, whereas the number of food stamp recipients continues to increase.*

# State Revenues 2006-2007\*

(with changes from previous year)

<b>PERSONAL INCOME TAX</b>	<b>\$34.6 Billion (12.2%)</b>
<b>BUSINESS TAXES</b>	<b>\$8.6 Billion (21.4%)</b>
Corporation Franchise.....	\$4.2 Billion (38.5%)
Corporation and Utilities.....	\$820 Million (-1.4%)
Insurance.....	\$1.3 Billion (16.2%)
Bank.....	\$1.2 Billion (24.2%)
Petroleum Business.....	\$1.1 Billion (-4.9%)
<b>LOTTERY INCOME, VLT, CASINO</b>	<b>\$2.6 Billion (8.2%)</b>
<b>BORROWING</b>	<b>\$2.2 Billion (3.8%)</b>
<b>CONSUMER TAXES</b>	<b>\$13.5 Billion (-2.9%)</b>
Sales Tax.....	\$10.8 Billion (-4.1%)
Motor Vehicle Fees.....	\$769 Million (6.8%)
Cigarette/Tobacco Products.....	\$985 Million (1.1%)
Motor Fuel.....	\$513 Million (-3.4%)
Alcoholic Beverage.....	\$252 Million (7.7%)
Highway Use.....	\$153 Million (-4.4%)
Auto Rental.....	\$ 45 Million (7.1%)
<b>OTHER TAXES</b>	<b>\$2.1 Billion (15.2%)</b>
Estate and Gift.....	\$1.1 Billion (22.9%)
Pari-Mutuel.....	\$21 Million (-8.7%)
Real Estate Transfer.....	\$1.0 Billion (9.0%)
<b>OTHER</b>	<b>\$13.4 Billion (-6.7%)</b>
Student Tuition and Fees.....	\$2.1 Billion (6.7%)
Hospital Patient Fees.....	\$1.6 Billion (22.5%)
Abandoned Property.....	\$701 Million (29.6%)
Regulatory Assessments.....	\$1.9 Billion (19.3%)
Public Asset Sale-Non Profit Conversion.....	\$514 Million (-81.3%)
Transfers from Public Goods Pool.....	\$2.9 Billion (7.7%)
Miscellaneous.....	\$3.7 Billion (4.3%)

\*State Funds only



# State Spending 2006-2007\*

(with changes from previous year)

<b>EDUCATION</b>	<b>\$29.7 Billion (9.8%)</b>
Public Schools.....	\$19.1 Billion (9.6%)
School Tax Relief (STAR).....	\$3.3 Billion (3.4%)
State University of New York.....	\$5.3 Billion (10.1%)
City University of New York.....	\$1.0 Billion (71.8%)
Tuition Assistance Program.....	\$866 Million (-8.2%)
Higher Education Services Corporation.....	\$89 Million (17.1%)
Cultural Programs.....	\$52 Million (18.2%)
<b>SCHOOL TAX PLUS</b>	<b>\$673 Million (100%)</b>
(STAR Rebate Checks)	
<b>PUBLIC HEALTH</b>	<b>\$19.5 Billion (10.5%)</b>
Health and Mental Health Services.....	\$5.8 Billion (33.0%)
Medical Assistance (Medicaid).....	\$13.7 Billion (3.1%)
<b>PUBLIC WELFARE</b>	<b>\$3.7 Billion (2.4%)</b>
Public Welfare.....	\$3.3 Billion (2.9%)
Public Housing.....	\$198 Million (3.7%)
Employment Services.....	\$208 Million (-5.9%)
<b>PUBLIC SAFETY</b>	<b>\$4.0 Billion (17.6%)</b>
Criminal Justice.....	\$1.1 Billion (8.5%)
Emergency Management.....	\$183 Million (103.3%)
Prisons/Reformations.....	\$2.7 Billion (18.5%)
<b>TRANSPORTATION</b>	<b>\$4.3 Billion (3.5%)</b>
<b>ENVIRONMENT/RECREATION</b>	<b>\$920 Million (7.1%)</b>
Environmental Protection.....	\$583 Million (5.8%)
Parks, Recreation.....	\$337 Million (9.4%)
<b>SUPPORT/REGULATE BUSINESS</b>	<b>\$599 Million (-20.6%)</b>
<b>LOCAL GOVERNMENT</b>	<b>\$1.2 Billion (11.0%)</b>
<b>GENERAL GOVERNMENT</b>	<b>\$8.8 Billion (8.0%)</b>
<b>REPAY DEBT</b>	<b>\$4.5 Billion (20.3%)</b>

\*State Funds only

# Federal Grants Received and Disbursed 2006-2007

*(with changes from previous year)*

<b>RECEIVED</b>	<b>\$35.6 Billion (1.3%)</b>
<b>EDUCATION</b>	<b>\$3.8 Billion (-0.9%)</b>
Public Schools.....	\$3.6 Billion (-1.3%)
State University of New York.....	\$167 Million (5.0%)
Tuition Assistance Program.....	\$7 Million (250%)
Higher Education Services Corporation.....	\$6 Million (50.0%)
<b>PUBLIC HEALTH</b>	<b>\$24.1 Billion (5.8%)</b>
Health and Mental Health Services.....	\$3.9 Billion (2.8%)
Medical Assistance (Medicaid).....	\$20.2 Billion (6.4%)
<b>PUBLIC WELFARE</b>	<b>\$4.6 Billion (-9.6%)</b>
Public Welfare.....	\$4.1 Billion (-9.7%)
Public Housing.....	\$15 Million (-11.8%)
Employment Services.....	\$450 Million (-8.7%)
<b>PUBLIC SAFETY</b>	<b>\$467 Million (54.6%)</b>
Criminal Justice.....	\$172 Million (37.6%)
Emergency Management.....	\$266 Million (81.0%)
Prisons/Reformations.....	\$29 Million (-3.3%)
<b>TRANSPORTATION</b>	<b>\$1.3 Billion (6.1%)</b>
<b>ENVIRONMENT/RECREATION</b>	<b>\$195 Million (-27.5%)</b>
Environmental Protection.....	\$187 Million (-28.9%)
Parks, Recreation.....	\$8 Million (33.3%)
<b>SUPPORT/REGULATE BUSINESS</b>	<b>\$19 Million (-13.6%)</b>
<b>LOCAL GOVERNMENT</b>	<b>\$105 Million (-1.9%)</b>
<b>GENERAL GOVERNMENT</b>	<b>\$289 Million (9.5%)</b>

# Improving New York's Financial Future

## Comprehensive Financial Reform Must Include

- ◆ Budget Reform
- ◆ Debt Reform
- ◆ Public Authorities Reform

The time has come to take a holistic approach to financial reform, setting aside piecemeal attempts to correct the State's long-standing structural problems. New York's leaders should work together and produce comprehensive, effective, overarching reforms to restore the State's fiscal strength and integrity and to achieve the highest standards of accountability and transparency in government, in order to restore the public's trust in its government.

All data was compiled by the Office of the State Comptroller. More detailed data is presented in other publications of the Comptroller's Office including the Comptroller's *Comprehensive Annual Financial Report*.

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